Lei, H., Zhang, N, Niu, B.D., Wang, X.<sup>2</sup>, Xiao, S.L., Du, X.J., Chen, T., Yang, L., Wang, D.Y., Cowling, B., Li, Y.G. & Shu, Y.L. (2023). Effect of rapid urbanization in Mainland China on the seasonal influenza epidemic: Spatiotemporal Analysis of Surveillance Data from 2010 to 2017. *JMIR Public Health and Surveillance*. doi:10.2196/41435

Effect of rapid urbanization in Mainland China on the seasonal influenza

epidemic: Spatiotemporal Analysis of Surveillance Data from 2010 to 2017

Hao Lei

Nan Zhang

Beidi Niu

Xiao Wang

Shenglan Xiao

Xiangjun Du

Tao Chen

Lei Yang

Dayan Wang

Benjamin Cowling

Yuguo Li

Yuelong Shu

School of Public Health, Zhejiang University, Hangzhou, China
School of Public Health (Shenzhen), Shenzhen Campus of Sun Yat-sen University,
Shenzhen, China

Key Laboratory of Green Built Environment and Energy Efficient Technology,

Beijing University of Technology, Beijing, China (N Zhang PhD)

National Institute for Viral Disease Control and Prevention, Collaboration Innovation

Center for Diagnosis and Treatment of Infectious Diseases, Chinese Center for

Disease Control and Prevention; Key Laboratory for Medical Virology, National

Health Commission, Beijing 102206, China

School of Public Health, Li Ka Shing Faculty of Medicine, The University of Hong

Kong, Pokfulam, Hong Kong SAR, China.

Department of Mechanical Engineering, The University of Hong Kong, Pokfulam,

Hong Kong SAR, China

Corresponding Author: Hao Lei, School of Public Health, Zhejiang University,

Hangzhou, China.

Email: shuylong@mail.sysu.edu.cn

Telephone: +86 020 8322 6383

Submitted to JMIR Public Health Surveill Oct 8 2022

Accepted Apr 11 2023

## **Author Biographies**

Hao Lei, PhD, is Associate Professor in the School of Public Health, Zhejiang University, Hangzhou, China. His research interests are in infectious disease epidemiology and environmental health. His current research topics include superspreading potentials of SARS-CoV-2 variants, impacts of nonpharmaceutical interventions on seasonal influenza transmission, and timing predictions for COVID-19 waves based on global data.

Nan Zhang, PhD, is Professor in the College of Architecture and Civil Engineering at Beijing University of Technology. His research interests are in building environment and human behaviour. His current research topics include healthy buildings and intelligent building systems.

Beidi Niu, BS, researcher in School of Public Health, Zhejiang University, Hangzhou, China

Xiao Wang, MPH, researcher in the School of Public Health (Shenzhen), Shenzhen Campus of Sun Yat-sen University, Shenzhen, China.

Shenglan Xiao, PhD, is an associate professor at the School of Public Health (Shenzhen) in Sun Yat-sen University. Her research interests are at the intersection of public health and the built environment. Her current research focuses on the role of indoor environmental quality in the transmission of infectious diseases.

Xiangjun Du, PhD, is a researcher in School of Public Health, Shenzhen Campus, Sun Yat-sen University, Shenzhen, China.

Tao Chen, PhD, is a researcher in the National Institute for Viral Disease Control and Prevention, Collaboration Innovation Center for Diagnosis and Treatment of Infectious Diseases, Chinese Center for Disease Control and Prevention, and Key Laboratory for Medical Virology, National Health Commission. His research interests are in influenza surveillance and vaccine strain selection. His current research topics include epidemiological characteristics of seasonal influenza viruses, antigenic cartography for H3N2 evolution, and imported respiratory infectious disease risks.

Lei Yang, MASc, is a researcher in the National Institute for Viral Disease Control and Prevention, Collaboration Innovation Center for Diagnosis and Treatment of Infectious Diseases, Chinese Center for Disease Control and Prevention, and Key Laboratory for Medical Virology, National Health Commission. His research interests are in avian influenza virology and public health threats. His current research topics include biological features of human H3N8 viruses, genesis of highly pathogenic H7N9 variants, and risk factors for H5N1 human infections.

Dayan Wang, PhD, is a Director of the National Influenza Center and Deputy Director of the National Institute for Viral Disease Control and Prevention, Chinese Center for Disease Control and Prevention, Key Laboratory for Medical Virology, National Health Commission, Beijing, China. His research interests are in emerging viral pathogens and influenza response. His current research topics include SARS-CoV-2 surveillance through influenza systems, evolution of avian influenza H3 subtypes, and international collaborations for pandemic preparedness.

Benjamin Cowling, PhD,is Chair Professor of Epidemiology and Head of the Division of Epidemiology and Biostatistics, School of Public Health, Li Ka Shing Faculty of Medicine, The University of Hong Kong, Pokfulam, Hong Kong SAR, China. His research interests are in infectious disease epidemiology and biostatistics. His current research topics include transmission dynamics of respiratory viruses, effectiveness of influenza vaccines, and impact assessments of nonpharmaceutical interventions against COVID-19.

Yuguo Li, PhD, is Chair Professor of Building Environment, Honorary Professor of the School of Public Health, and Associate Dean (Research) of Engineering in the Department of Mechanical Engineering, The University of Hong Kong, Pokfulam, Hong Kong SAR, China. His research interests are in building environment engineering and contagio-physics. His current research topics include city climate and urban heat islands, ventilation controls for infection spread, and sustainability in indoor air quality.

Yuelong Shu, PhD, is Professor and Founding Dean in the School of Public Health (Shenzhen), Shenzhen Campus of Sun Yat-sen University, Shenzhen, China, and former Director of the National Influenza Center, Chinese Center for Disease Control and Prevention, Beijing, China. His research interests are in medical virology and infectious disease surveillance. His current research topics include evolution of avian influenza viruses, development of broad-spectrum influenza vaccines, and genomic analysis of emerging coronaviruses.

Effect of rapid urbanization in Mainland China on the seasonal influenza

epidemic: Spatiotemporal Analysis of Surveillance Data from 2010 to 2017

**Abstract** 

Background: The world is undergoing an unprecedented wave of urbanization.

However, the effect of rapid urbanization during the early or middle stages of

urbanization on seasonal influenza transmission remains unknown. Since about 70% of

the world population live in low-income countries, exploring the impact of urbanization

on influenza transmission in urbanized countries is significant for global infection

prediction and prevention.

Objective: The aim of this study was to explore the effect of rapid urbanization on

influenza transmission in China.

Methods: We performed spatiotemporal analyses of province-level influenza

surveillance data collected in Mainland China from April 1, 2010, to March 31, 2017.

An agent-based model based on hourly human contact-related behaviors was built to

simulate the influenza transmission dynamics and to explore the potential mechanism

of the impact of urbanization on influenza transmission.

**Results:** We observed persistent differences in the influenza epidemic attack rates

among the provinces of Mainland China across the 7-year study period, and the attack

rate in the winter waves exhibited a U-shaped relationship with the urbanization rates,

with a turning point at 50%-60% urbanization across Mainland China. Rapid Chinese

urbanization has led to increases in the urban population density and percentage of the

workforce but decreases in household size and the percentage of student population.

The net effect of increased influenza transmission in the community and workplaces but decreased transmission in households and schools yielded the observed U-shaped relationship.

Conclusions: Our results highlight the complicated effects of urbanization on the seasonal influenza epidemic in China. As the current urbanization rate in China is approximately 59%, further urbanization with no relevant interventions suggests a worrisome increasing future trend in the influenza epidemic attack rate.

(277 words)

#### Introduction

The influenza virus is mainly spread within close ranges rather than over long distances [1,2]. The distance from an influenza-infected individual may significantly affect the potential of influenza transmission. Social distancing is a well-recognized nonpharmaceutical intervention that could reduce the transmission of viruses from infected to susceptible individuals because of the increased physical distance between these individuals or reduced frequency of congregation in socially dense community settings such as schools or workplaces [3]. Urbanization is a complex phenomenon that is associated with population density in an urban area. In this study, urbanization rate, defined as the percentage of population living in an urban area, was used to characterize the urbanization process. Urbanization can worsen an epidemic through a variety of mechanisms [4]. Studies on low-income nations have clearly documented the association between epidemic severity and the combination of high-density living conditions, extreme poverty, and poor sanitation [5]. With respect to communicable diseases such as the influenza, which is mainly spread within close ranges [1,2], the population concentrations in an urban area can lead to more rapid proliferation of infectious diseases [6]. Urbanization affects the seasonal epidemic transmission in highly urbanized countries [4,7]. In the United States with an urbanization rate of 83% in 2021 [8], cities in urban areas with higher population densities had a higher influenza transmission potential [7]. In Australia with an urbanization rate of 88% in 2021 [8], the peak influenza infection rate and peak prevalence increased steadily as the urban population density increased [4]. However, the impact of urbanization on the influenza epidemic in urban countries such as China with urbanization rates at 63% and India with urbanization rates at 35% in 2021, where about 70% of the population live, is less well-understood. In addition, in highly urbanized countries, urban living has been the norm in the last century. Therefore, the direct effects of further increases in the urban population density on the potential seasonal influenza epidemic might be less obvious [4]. In this study, attack rate, defined as the cumulative incidence rates of seasonal influenza during the study period, was used to characterize the seasonal influenza activities. Since urbanization will continue in urban countries in the near future, understanding the impact of urbanization on influenza transmission is essential for precise influenza prediction and prevention and for building a healthy society.

As a low-income country, China has experienced an unprecedented wave of rapid urbanization, as reported by the rapid increase in the urbanization rate from 17.9% in 1978 to 58.5% in 2017 [9]. The urbanization rate also varies significantly between provinces in China, thereby providing a unique opportunity to study the impact of urbanization on the influenza epidemic at different levels of urbanization within a single health care system. In China, urbanization has led not only to a rapid increase in the urban population density but also to a sharp decrease in the household size [10]. As households, schools (workplaces), and communities have been identified as the primary contexts of influenza transmission [11,12], the impact of urbanization on seasonal influenza in China may be complicated.

Dynamic models such as the susceptible-infected-recovered models have been developed to understand the dynamics of influenza transmission [7]. However, these models do not study the dynamics of influenza transmission at the individual level [13].

In highly urbanized countries, urban living has been the norm in the last century; thus, the susceptible-infected-recovered model could be used to study the impact of urbanization on influenza transmission [7]. However, the impact of urbanization on the influenza epidemic in urbanizing countries is complicated. In this study, to characterize the complicated impact of urbanization on human contact behaviors and population age structures in China, we used an agent-based model in which each individual or group of people was defined as an agent. The agent-based model based on human contact behavior has been used previously to model infectious disease transmission [11,14-15]. We also used similar models previously to successfully simulate influenza transmission in China [12,16].

In this study, we aimed to explore the effects of urbanization on seasonal influenza epidemic intensities in urban Mainland China (except Hong Kong, Macao, and Taiwan) according to the spatiotemporal analyses and modelling study of influenza surveillance data collected in Mainland China from 2010 to 2017.

### Methods

### **Data Sources**

We used weekly reports of influenza surveillance data from the Chinese National Influenza Center. The study data set was based on the number of specimens tested at 554 sentinel hospitals located in the urban areas of 31 provinces of Mainland China (including autonomous regions and municipalities). After excluding Tibet from the analysis due to the incompleteness of data, 30 provinces were evaluated. Although the

surveillance data collection began in 2004, we focused on the period from April 1, 2010, to March 31, 2017, as the surveillance network was improved and expanded after the 2009 influenza A/H1N1 pandemic [17]. Shu et al [18] provide additional details about the Chinese influenza surveillance system. The data set of the influenza surveillance system in China included the number of visits at each hospital, number of cases of influenza-like illness (ILI), number of specimens tested, and number of laboratoryconfirmed cases of influenza A (H1N1, H3N2, and pdmH1) and B (Yamagata and Victoria). ILI cases were identified based on a standard case definition: body temperature >38 °C, either cough or sore throat, and the absence of an alternative diagnosis. Several indicators were defined to characterize influenza activity in China. First, the ILI rate was defined as the number of ILI cases divided by the number of visits. Second, the influenza viral positive rate was defined as the number of laboratoryconfirmed influenza cases divided by the number of specimens tested. In accordance with earlier studies [18-20], in this study, we defined a proxy for the weekly incidence rate (henceforth, incidence rate) of influenza, which is the multiplication of the ILI rate and the influenza viral positive rate. The incidence rate more precisely represents the incidence of influenza infection.

The influenza epidemic in Northern China intensifies in winter-spring months, while that in Southern China shows a semiannual cyclic pattern with clear peaks in both summer and winter [21,22]. We therefore defined an epidemiological annual cycle as the period from April 1 to March 31 of the following year [21], and we divided the annual cycle into summer months and winter-spring months, which included calendar

weeks 14-39 (26 weeks in total) and weeks 40-13 of the following year (26 or 27 weeks in total), respectively [21]. The attack rate of the winter-spring or summer influenza epidemic in each province is defined as the cumulative weekly incidence rates during the half-year time (25 weeks in total): 12 weeks within the peak weeks since the median duration of an influenza epidemic wave is 15.6-22.5 weeks [21].

Province-level data on urbanization, urban areas and populations, household and school sizes and number of students, schools, and factories from year 2010 to year 2016 were obtained from the China Statistical Yearbook [9], available at http://www.stats.gov.cn/tjsj/ndsj/ and China City Statistical Yearbook [42], available at: https://www.mohurd.gov.cn/gongkai/fdzdgknr/sjfb/tjxx/index.html, and National Bureau of Statistics of China [43].

# Modelling

We used an agent-based model to simulate the influenza transmission dynamics in provinces with different urbanization rates. Our simulation strategy is similar to that reported previously in the literature [11]. Similar models have been used to simulate influenza transmission in Beijing [23] and Hong Kong [16]. In this study, we simplified the model and considered 4 types of indoor spaces: homes, schools, workplaces, and communities (ie, public spaces). These 4 types of indoor spaces are known as the primary contexts of influenza transmission [11,12]. Populations were divided into 3 groups: workers, students, and others.

Figure 1A presents the assumed typical daily movements of 3 groups of people.

Although real human movement patterns are much more complicated, we considered the simulated movement pattern to represent a general daily schedule. The time step in the simulation was set to 1 hour. For each case, the simulation was performed with 100 replications to account for randomness in the status of each individual (infectious, susceptible/removed). Differences in human movement behaviors between weekdays and weekends were not considered. The percentage of students decreased significantly with urbanization (Figure 1B). The number of workers (Nw) was estimated based on the number of people aged between 18 and 60 years ( $N_{18-60}$ ), the number of students in universities ( $N_{SU}$ ), and the unemployment rate ( $R_u$ ) as  $N_W = (N_{18-60} - N_{SU}) * (1-R_u)$ . The percentage of workers increased significantly with urbanization (Figure 1C). The remaining populations were classified as "others." Influenza transmission is affected by the number of students, workers, and others, as well as by the distributions of these populations in each indoor space. The province-level distribution of household sizes at different urbanization levels is shown in Figure 1D. Notably, the school size increased with urbanization (Figure 1E). As we did not observe a significantly linear relationship between urbanization and the number of workers per workplace (Figure 1F), we adopted a mean value of 2020 workers per workplace at all urbanization levels. With urbanization, the urban population density increased exponentially (Figure 1G), and it is reasonable to believe that population contact in the community would also increase.

## <Figure 1>

Here, we defined *Ii* as 1 if individual *i* were infectious and 0 otherwise. The force of

infection per hour experienced by individual i by one infector in a home, community, school, and workplace was then calculated as  $I_k \delta_h e^{a+bshi(t)}$ ,  $I_k \delta_c e^{a+bshi(t)}$ ,  $I_k \delta_s e^{a+bshi(t)}$  and  $I_k \delta_w e^{a+bshi(t)}$ , respectively, where  $n_{hi}$  is the household size of individual I;  $n_{ci}$  is the mean number of people in the community that individual i would contact per hour, which was assumed to be in proportion to the urban population density [23]; n<sub>si</sub> (n<sub>wi</sub>) is the mean number of individuals that individual i would contact in school (workplace) per hour if he/she were a student (worker), which was assumed to be constant in different provinces and n<sub>si</sub>=9, n<sub>wi</sub>=4 [24], a and b are parameters used to adjust the impact of specific humidity, sh(t), at time t on influenza transmission. For this study, a = 0.788and b = -180 [25], while  $\delta_h$ ,  $\delta_c$ ,  $\delta_s$  and  $\delta_w$  indicate the influenza transmission coefficients in a home, community, school and workplace, respectively. We assumed that  $\delta_h:\delta_c:\delta_s:\delta_w=6:1:12:6$  [11], as children are known to have high clinical attack rates of influenza [26,27]. The absolute influenza transmission coefficients in homes, communities, schools, and workplaces ( $\delta_h$ ,  $\delta_c$ ,  $\delta_s$  and  $\delta_w$ , respectively) are always unknown, and these are mainly determined by the antigenic evolution of the influenza virus and thus vary across years [7]. Therefore, we relied on our model approach to back-calculate the transmission coefficients. For each year, we selected the surveillance data in 1 province and used the least squares method to determine the influenza transmission coefficient parameter value in that year. Then, we used the backcalculated transmission coefficients to simulate the influenza transmission in other provinces and compared them with surveillance data to test our model. The simulation duration of influenza transmission was set to 8 months from November 1, 2013, to June

30, 2014. The time step was set to 1 hour. Each simulation under different settings was conducted 100 times to improve the reliability of the results. The latent and infectious periods were set to 2 and 4 days [28], respectively.

There were 2 types of settings in our simulation. When we simulated infection spread in different provinces, the real parameters from each province were used. When we simulated infection spread at different urbanization levels, the ideal parameters obtained by data fitting based on real parameters from all provinces were used. In this setting, Beijing climate data were used to eliminate the impact of climate differences. The detailed model structure and parameter estimation are shown in Multimedia Appendix 1.

# Spatial Analysis of the Influenza Surveillance Data by Province

The spread of influenza is influenced by climate [29]. As China is a climatologically diverse country, we cannot directly compare the province-level intensities of the influenza epidemic. To minimize the influence of climate on the analysis, we attempted to compare the epidemic attack rates in provinces with similar climates by using the following 2 methods. First, we analyzed the attack rates of the influenza epidemic in 15 provinces in Northern China that are situated in the temperate climate zone. Second, there is no significant latitude gradient with respect to province-level urbanization in China. Therefore, we classified the provinces according to urbanization rate. The province urbanization rates ranged from 33.8% to 89.6%, and therefore, we classified

the provinces according to the following urbanization rate ranges: <40%, 40%-50%, 50%-60%, 60%-70%, and >70%. The urbanization within each range was defined as the mean urbanization level of the provinces within this range. The influenza epidemic attack rate was also defined as the mean attack rate in the provinces within each urbanization range. Using year 2013 as an example, the provinces within different urbanization ranges are shown in Figure S2 of Multimedia Appendix 1. The mean latitudes of the provinces with urbanization levels <50%, 50%-60%, 60%-70%, and >70% were 31.8, 35.7, 30.4, and 36.8 °N, respectively; the variance in the latitude was narrow within each urbanization range. Therefore, the influence of climate could be minimized. Last, binomial fitting was used to quantify the observed U-shaped relationships between the province-level influenza epidemic attack rates and urbanization rates by the following equation:

$$y=ax^2+bx+c$$

where y represents the influenza epidemic attack and x represents the urbanization rate. The least squares method was used to estimate the unknown parameters a, b, and c. The turning point of the urbanization rate was -b/2a.

## **Ethical Considerations**

The study did not meet the need for ethical review as it did not involve special categories of personal data, and the data used in this study were from public data

### Results

## Spatiotemporal Analysis of the Influenza Surveillance Data

During the study period between 2010 and 2016, differences in the mean epidemic attack rate followed a geographic pattern, with the highest intensive epidemic detected in both the most and least urban regions such as Beijing (mean urbanization, 86%) and Guizhou (mean urbanization, 39%). The lowest epidemic attack rate was observed in moderate urban regions such as Ningxia (mean urbanization, 53%) and Chongqing (mean urbanization, 58%; Figure 2A). In addition, the differences persisted over time, that is, some provinces had consistently more intense epidemic than others year after year. The influenza incidence rates in Beijing were always higher than those in Ningxia (Figure 2B). For these 5 provinces with the most or least intense epidemic, the mean epidemic attack rates followed a similar trend over time as the mean epidemic attack rates in all 30 provinces (Figure 2C). The Pearson correlation coefficients of the epidemic attack rates in the 30 provinces between any 2 epidemiological years were higher than 0.5 (Figure 2D), indicating that the differences in the epidemic attack rates among provinces persisted over time. There was no significantly linear relationship between the urbanization rate and influenza surveillance intensity (P=.64) (Figure S3 of Multimedia Appendix 1).

# <Figure 2>

## **Modelling Study**

We hypothesize that these patterns of epidemic attack rates are due to urbanization. The categorization of the provinces by urbanization rates revealed that the correlation between the influenza epidemic attack rate and urbanization rate yielded a U-shaped curve throughout the study period, with a turning point at about 55% urbanization (Figure 3A). From our binomial-fitter curves, we also found U-shaped relationships between province-level influenza epidemic attack rates and urbanization rates in 15 provinces in Northern China, and we consistently observed the lowest attack rates in provinces with urbanization rates of 50%-60% (Figure 3B and Table 1). The agent-based model that we built in this study also revealed U-shaped relationships between province-level influenza epidemic attack rates and urbanization rates (red line in Figure 3B). The sensitivity analyses of the key parameters in the agent-based model are shown in Figure S4 of Multimedia Appendix 1.

## <Figure 3>

### <Table 1>

We next simulated the influenza transmission to quantify the U-shaped relationship observed in Figure 3. As demonstrated using 2013 as an example, we selected surveillance data from Beijing for back-calculation of the unknown transmission coefficients (Figure 4A,  $R^2$ =0.96). The back-calculated transmission coefficients and our model simulated the influenza transmission dynamics in Jilin well (Figure 4B,  $R^2$ =0.94), demonstrating that the models could be used to simulate influenza transmission in China. Next, we used the model to simulate influenza transmission in

provinces with different urbanization rates. The model also yielded a U-shaped association between the urbanization rate and influenza epidemic attack rate (Figure 3B), with a turning point at 55% urbanization.

Taking the simulation in Beijing and Jilin as an example, the agent-based model built in this study well-fitted the influenza surveillance data (Figure 4A and 4B). Thus, using the agent-based model built in this study, we simulated the contributions of different locations and people in influenza transmission with different urbanization rates. Figure 4C presents the percentage contributions of the 4 studied locations with different urbanization rates to influenza transmission. As the urbanization rate increased from 35% to 85%, the contributions of each location to influenza transmission increased from 2.7% to 41.8% and the contributions of workplace increased from 8.3% to 13.4% but the contributions of homes decreased from 38.9% to 27.4% and the contributions of schools decreased from 50.2% to 17.4%. Given the relatively frequent number of contacts and the high infection risk per contact in schools, our simulation results demonstrate that students face a much higher infection risk than workers (relative risk 5.4; SD 1.7) and others (relative risk 6.8; SD 2.2; Figure 4D).

## <Figure 4>

### Discussion

To the best our knowledge, this study is the first to explore the effect of urbanization on the attack rate of the seasonal influenza epidemic in a country experiencing an unprecedented rapid rate of urbanization. This study was made possible by the

availability of census data and influenza surveillance data. Our analyses revealed a Ushaped relationship between the urbanization rate and influenza attack rate, with a turning point at around 55% urbanization rate. We used an agent-based mathematical model based on hourly human contact behaviors to explore the 2 main primary mechanisms responsible for the observed trend. We found that an increase in the urban population density (Figure 1G) increased the number of close contacts between people in communities and thus enhanced the transmission of influenza in public areas. Further, decreases in household sizes (Figure 1D) and percentages of students (Figure 1B) reduced the rates of influenza transmission in homes and schools, respectively. The net effects of these 2 mechanisms provide a simple but reasonable explanation for the observed U-shaped trend in the influenza epidemic attack rate over the 7-year study period. Further confirmation of this U-shaped trend would have significant implications for the influenza epidemic in China. In addition, although this study analyzes the influenza data in China, the methods may also be applicable in other urbanizing countries and for other respiratory infections such as COVID-19, measles, and Middle East respiratory syndrome because indoor environments such as households, schools (workplaces), and communities have always been identified as the primary context of infection transmission [37,38].

The epidemic attack rate is an important parameter for the development of medical surge capacities and public health systems, including primary care facilities. This is particularly important for influenza, as a vaccine specific for a new pandemic virus

might not be widely available for up to 6 months based on the current vaccine production technology [3]. Previous studies have proposed that socioeconomic factors may affect both the influenza epidemic and pandemic [4,7,39]. Our study also demonstrates regional differences in the intensity of the influenza epidemic across China, which suggest that seasonal influenza interventions should vary among provinces. We found that the most intense influenza epidemic occurred in the most and least urbanized provinces, suggesting that increased attention should be directed to influenza control in the latter provinces, which are also economically underdeveloped. Previous analyses of the history of infectious diseases and human populations have suggested that the host population density is a critical determinant of the establishment and persistent endemicity of a pathogen in a population. Accordingly, urban aggregations play an important role in maintaining infectious disease endemics [40]. As people have become increasingly concentrated in urban areas, and particularly in large cities, influenza viruses could potentially be spread more easily nowadays. Moreover, large cities always serve as hubs in intercity travel networks, which are linked by high-speed rail in China. These hubs could also influence the spread of the influenza epidemic among cities. These potential trends associated with urbanization pose a challenge to future influenza interventions.

There are at least 2 policy implications to build healthy cities in the aspect of infection control. The first is that influenza vaccine recommendations in China should speed up since vaccine coverage is only approximately 2% in China [41], especially in

provinces with urbanization rates greater than 60%. In this study, we found a Ushaped relationship between the influenza epidemic attack rate and urbanization rate, with a turning point between 50% and 60% urbanization. In China, the recent urbanization rate is approximately 60%, and a large number of provinces are currently at the turning point in the U-shaped trend curve. As further urbanization is expected, the trajectory of the influenza epidemic intensity in those provinces in the near future would be worrisome if no interventions were made because of the nonlinear increase in the strain placed on the medical infrastructure [4]. The second is that nonpharmaceutical interventions implemented to control the influenza epidemic and even pandemic should vary by province since this study demonstrates regional differences in the intensity of the influenza epidemic across China. This study shows that in low urbanized regions, influenza transmission mainly occurs in the schools, while in highly urbanized regions, influenza transmission mainly occurs in the community. These findings are well supported by the observed decreasing effectiveness of school closure with increasing urbanization in China [42]. Thus, in highly urbanized regions, ventilation and surface cleaning/disinfection in public places may be effective, while in low urbanized regions, school closures should be recommended.

Parameters in the simulation determined the infectious disease transmission (eg, attack rate). Some parameters such as the time schedule of workers and students and number of students per class were obtained from the literature or real statistical data.

However, other parameters such as the daily numbers of the contacted students in each class and the number of workers in each office were estimated and assumed. We adjusted these parameters to minimize the difference between the simulation results and the real infection spread. Moreover, we performed a sensitivity analysis for 10 parameters to show how these parameters influence the infection spread (Figure S4 of Multimedia Appendix 1).

This study suggests a number of limitations and possibilities for future research. First, our model did not consider differences in the medical service levels. Highly urbanized areas have access to better medical services, which would enable a more rapid cure of infectious cases and better control of the influenza epidemic. However, approximately 90% of the seasonal influenza cases remain unreported [43], and infectious cases are mostly transmissible during the incubation period and during 2-3 days with clinical symptoms. Therefore, the medical service level would have a very limited impact on the influenza epidemic. Second, our model did not consider differences in the influenza vaccine coverage because this rate has increased only gradually to approximately 2% of the Chinese population over the past 15 years [41]. This low influenza vaccination rate would have a very limited influence on an epidemic. Third, the results of this study cannot be generalized to rural areas since there is a lack of rural-related data. Fourth, this study assumes that all types of agents (eg, worker, student) have a constant time schedule and all offices and classrooms in a province have the same setting. Therefore, the random scenarios, which could be referred to as

a dynamic network, were ignored. Finally, higher resolution analyses of the influenza epidemic, such as those conducted at a city level, would provide more accurate information, as cities are the principal locations of influenza transmission between humans [7]. However, our relatively coarse data set did not allow us to evaluate the influenza patterns at the city or hospital level, even though the surveillance data from 4 of the 30 studied provinces were based on single cities (Beijing, Tianjin, Chongqing, and Shanghai).

In conclusion, we found a U-shaped relationship between the influenza attack rates and urbanization rate in provinces of the urbanizing mainland China, with a turning point between 50% and 60% urbanization. This finding is different from that reported in other studies in urbanized countries. Our study poses the potential challenges of the expected further urbanization process in China on population health and health infrastructure. Further, our results will be critical for precise influenza prediction and prevention.

## Acknowledgments

This study was funded by grants from the Shenzhen Science and Technology Program (grant KQTD20180411143323605), the Collaborative Research Fund from the Research Grants Council of Hong Kong (grant C7025-16G), the National Natural Science Foundation of China (grant 82003509), and the Natural Science Foundation of Zhejiang Province (grant LQ20H260009).

**Data Availability** 

Descriptions of the model structure and the parameters included in the model are

available in Multimedia Appendix 1. Detailed influenza surveillance data are available

from the corresponding author on reasonable request. The model code is available from

GitHub (https://github.com/Lei-hao-Group/Ushape-between-

influenza-and-urbanisation)[37].

**Authors' Contributions** 

YS, YL, and HL conceived and designed the study. YS and YL supervised the study.

TC, LY, DW, and XW collected all the data. HL, XD, and XW cleaned the data. HL

and NZ analyzed the data. NZ performed the simulation. HL wrote the drafts of the

manuscript. YL, YS, NZ, SX, and BC commented on and revised the drafts of the

manuscript. YS, YL, and HL interpreted the findings. All authors read and approved

the final report.

**Conflicts of Interest** 

None declared.

**Abbreviations** 

ILI: influenza-like illness

References

1. Brankston G, Gitterman L, Hirji Z, Lemieux C, Gardam M. Transmission of influenza A in human beings. Lancet Infect Dis. Apr 2007;7(4):257-265.

[CrossRef] [Medline]

2. Lei H, Li Y, Xiao S, Lin C, Norris SL, Wei D, et al. Author Correction: Routes of

transmission of influenza A H1N1, SARS CoV and norovirus in air cabin:

- Comparative analyses. Indoor Air. Jan 2021;31(1):275. [FREE Full text] [CrossRef] [Medline]
- 3. Qualls N, Levitt A, Kanade N, Wright-J egede N, Dopson S, Biggerstaff M, et al. CDC Community Mitigation Guidelines Work Group. Community Mitigation Guidelines to Prevent Pandemic Influenza United States, 2017. MMWR Recomm Rep. Apr 21, 2017;66(1):1-34. [FREE Full text] [CrossRef] [Medline]
- 4. Zachreson C, Fair KM, Cliff OM, Harding N, Piraveenan M, Prokopenko M. Urbanization affects peak timing, prevalence, and bimodality of influenza pandemics in Australia: Results of a census-calibrated model. Sci Adv. Dec 2018;4(12):eaau5294. [FREE Full text] [Cross Ref] [Medline]
- Our cities, our health, our future: acting on social determinants for health equity in urban settings. World Health Organization. URL: <a href="https://cdn.who.int/media/docs/default-source/documents/social-determinants-of-health/urban-settings-knowledge-network-final-report-2007.pdf?sfvrsn=90e5da72\_3">https://cdn.who.int/media/docs/default-source/documents/social-determinants-of-health/urban-settings-knowledge-network-final-report-2007.pdf?sfvrsn=90e5da72\_3</a> [accessed 2021-07-15]
- 6. Eubank S, Guclu H, Kumar VSA, Marathe MV, Srinivasan A, Toroczkai Z, et al. Modelling disease outbreaks in realistic urban social networks. Nature. May 13, 2004;429(6988):180-184. [CrossRef] [Medline]
- 7. Dalziel BD, Kissler S, Gog JR, Viboud C, Bjørnstad ON, Metcalf CJE, et al. Urbanization and humidity shape the intensity of influenza epidemics in U.S. cities. Science. Oct 05, 2018;362(6410):75-79. [FREE Full text] [CrossRef] [Medline]
- 8. Urban population (% of total population). The World Bank.

  URL: <a href="https://data.worldbank.org/indicator/SP.URB.TOTL.IN.ZS?view=chart">https://data.worldbank.org/indicator/SP.URB.TOTL.IN.ZS?view=chart</a> [acce ssed 2023-03-01]
- 9. China Statistical Yearbook.

  URL: <a href="http://www.stats.gov.cn/sj/ndsj/2018/indexeh.htm">http://www.stats.gov.cn/sj/ndsj/2018/indexeh.htm</a> [accessed 2021-07-15]
- 10. China's population development shows new features and trends. China National Bureau of Statistics.
  URL: <a href="http://www.stats.gov.cn/xxgk/jd/sjjd2020/202105/t20210513">http://www.stats.gov.cn/xxgk/jd/sjjd2020/202105/t20210513</a> 1817408.ht ml [accessed 2023-03-01]
- 11. Ferguson NM, Cummings DAT, Cauchemez S, Fraser C, Riley S, Meeyai A, et al. Strategies for containing an emerging influenza pandemic in Southeast Asia.

  Nature. Sep 08, 2005;437(7056):209-214. [FREE Full text] [CrossRef] [Medline]
- 12. Zhang N, Huang H, Su B, Ma X, Li Y. A human behavior integrated hierarchical model of airborne disease transmission in a large city. Build Environ. J an 2018;127:211-220. [FREE Full text] [Cross Ref] [Medline]

- 13. Karimi E, Schmitt K, Akgunduz A. Effect of individual protective behaviors on influenza transmission: an agent-based model. Health Care Manag Sci. Sep 2015;18(3):318-333. [CrossRef] [Medline]
- 14. Staffini A, Svensson AK, Chung U, Svensson T. An Agent-Based Model of the Local Spread of SARS-CoV-2: Modeling Study. J MIR Med Inform. Apr 06, 2021;9(4):e24192. [FREE Full text] [CrossRef] [Medline]
- 15. Nasrinpour HR, Reimer AA, Friesen MR, McLeod RD. Data Preparation for West Nile Virus Agent-Based Modelling: Protocol for Processing Bird Population Estimates and Incorporating ArcMap in AnyLogic. J MIR Res Protoc. J ul 17, 2017;6(7):e138. [FREE Full text] [CrossRef] [Medline]
- 16. Gao X, Wei J, Lei H, Xu P, Cowling BJ, Li Y. Building Ventilation as an Effective Disease Intervention Strategy in a Dense Indoor Contact Network in an Ideal City. PLoS One. 2016;11(9):e0162481. [FREE Full text] [CrossRef] [Medline]
- 17. Liu X, Li Y, Zhu Y, Zhang J, Li X, Zhang J, et al. Seasonal pattern of influenza activity in a subtropical city, China, 2010-2015. Sci Rep. Dec 13, 2017;7(1):17534. [FREE Full text] [CrossRef] [Medline]
- 18. Shu Y, Song Y, Wang D, Greene CM, Moen A, Lee CK, et al. A ten-year China-US laboratory collaboration: improving response to influenza threats in China and the world, 2004-2014. BMC Public Health. May 10, 2019;19(Suppl 3):520. [FREE Full text] [CrossRef] [Medline]
- 19. Goldstein E, Cobey S, Takahashi S, Miller JC, Lipsitch M. Predicting the epidemic sizes of influenza A/H1N1, A/H3N2, and B: a statistical method. PLoS Med. Jul 2011;8(7):e1001051. [FREE Full text] [CrossRef] [Medline]
- 20. Shaman J, Karspeck A, Yang W, Tamerius J, Lipsitch M. Real-time influenza forecasts during the 2012-2013 season. Nat Commun. 2013;4:2837. [FREE Full text] [CrossRef] [Medline]
- 21. Yu H, Alonso WJ, Feng L, Tan Y, Shu Y, Yang W, et al. Characterization of regional influenza seasonality patterns in China and implications for vaccination strategies: spatio-temporal modeling of surveillance data. PLoS Med. Nov 2013;10(11):e1001552. [FREE Full text] [CrossRef] [Medline]
- 22. Shu Y, Fang L, de Vlas SJ, Gao Y, Richardus JH, Cao W. Dual seasonal patterns for influenza, China. Emerg Infect Dis. Apr 2010;16(4):725-726. [FREE Full text] [CrossRef] [Medline]
- 23. China City Statistical Yearbook.

  URL: <a href="https://www.mohurd.gov.cn/gongkai/fdzdgknr/sjfb/tjxx/index.html">https://www.mohurd.gov.cn/gongkai/fdzdgknr/sjfb/tjxx/index.html</a> [access ed 2023-05-09]
- 24. National Bureau of Statistics of China. URL: <a href="http://www.stats.gov.cn/">http://www.stats.gov.cn/</a> [accessed 2023-05-09]

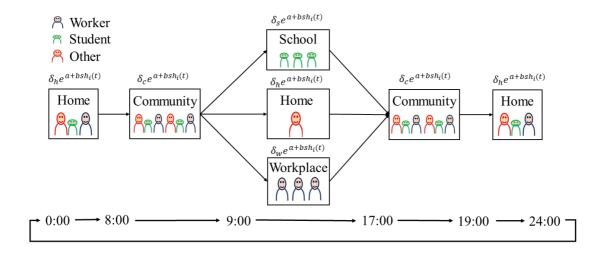
- 25. Zhang N, Huang H, Duarte M, Zhang JJ. Dynamic population flow based risk analysis of infectious disease propagation in a metropolis. Environ Int. Sep 2016;94:369-379. [CrossRef] [Medline]
- 26. Zhang J, Litvinova M, Liang Y, Wang Y, Wang W, Zhao S, et al. Changes in contact patterns shape the dynamics of the COVID-19 outbreak in China. Science. J un 26, 2020;368(6498):1481-1486. [FREE Full text] [CrossRef] [Medline]
- 27. Pei S, Kandula S, Yang W, Shaman J. Forecasting the spatial transmission of influenza in the United States. Proc Natl Acad Sci USA. Mar 13, 2018;115(11):2752-2757. [FREE Full text] [CrossRef] [Medline]
- 28. Olson DR, Heffernan RT, Paladini M, Konty K, Weiss D, Mostashari F. Monitoring the impact of influenza by age: emergency department fever and respiratory complaint surveillance in New York City. PLoS Med. Aug 2007;4(8):e247. [FREE Full text] [CrossRef] [Medline]
- 29. Schanzer D, Vachon J, Pelletier L. Age-specific differences in influenza A epidemic curves: do children drive the spread of influenza epidemics? Am J Epidemiol. J ul 01, 2011;174(1):109-117. [FREE Full text] [CrossRef] [Medline]
- 30. Mills CE, Robins JM, Lipsitch M. Transmissibility of 1918 pandemic influenza. Nature. Dec 16, 2004;432(7019):904-906. [FREE Full text] [CrossRef] [Medline]
- 31. Anderson M. The Population Dynamics of Infectious Diseases: Theory and Applications. Berlin, Germany. Springer; 1982.
- 32. Anderson RM, May RM. Infectious Diseases of Humans Dynamics and Control. London. Oxford University Press; 1992.
- 33. Bolton D. The Computation of Equivalent Potential Temperature. URL: <a href="https://journals.ametsoc.org/view/journals/mwre/108/7/1520-0493">https://journals.ametsoc.org/view/journals/mwre/108/7/1520-0493</a> 1980 108 1046 tcoept 2 0 co 2.xml?tab body=pdf [accessed 2023-05-09]
- 34. Zhang N, Cheng P, Jia W, Dung CH, Liu L, Chen W, et al. Impact of intervention methods on COVID-19 transmission in Shenzhen. Build Environ. Aug 2020;180:107106. [FREE Full text] [CrossRef] [Medline]
- 35. Lowen AC, Mubareka S, Steel J, Palese P. Influenza virus transmission is dependent on relative humidity and temperature. PLoS Pathog. Oct 19, 2007;3(10):1470-1476. [FREE Full text] [CrossRef] [Medline]
- 36. Chinese National Influenza Centre. Home page. Chinese National Influenza Centre. URL: <a href="https://ivdc.chinacdc.cn/cnic/en/">https://ivdc.chinacdc.cn/cnic/en/</a>
- 37. Kim D. Exploratory study on the spatial relationship between emerging infectious diseases and urban characteristics: Cases from Korea. Sustain Cities Soc. Mar 2021;66:102672. [FREE Full text] [CrossRef] [Medline]

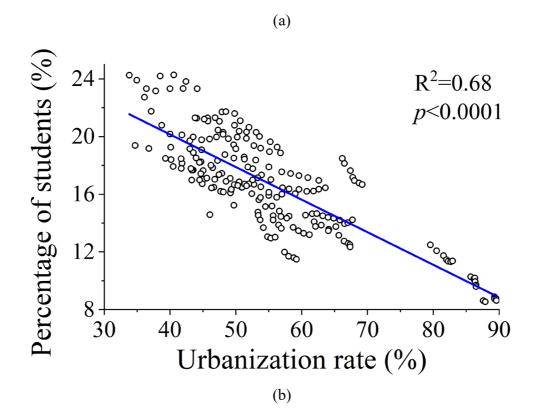
- 38. Lei H, Xu X, Xiao S, Wu X, Shu Y. Household transmission of COVID-19-a systematic review and meta-analysis. J Infect. Dec 2020;81(6):979-997. [FREE Full text] [CrossRef] [Medline]
- 39. Grantz KH, Rane MS, Salje H, Glass GE, Schachterle SE, Cummings DAT.

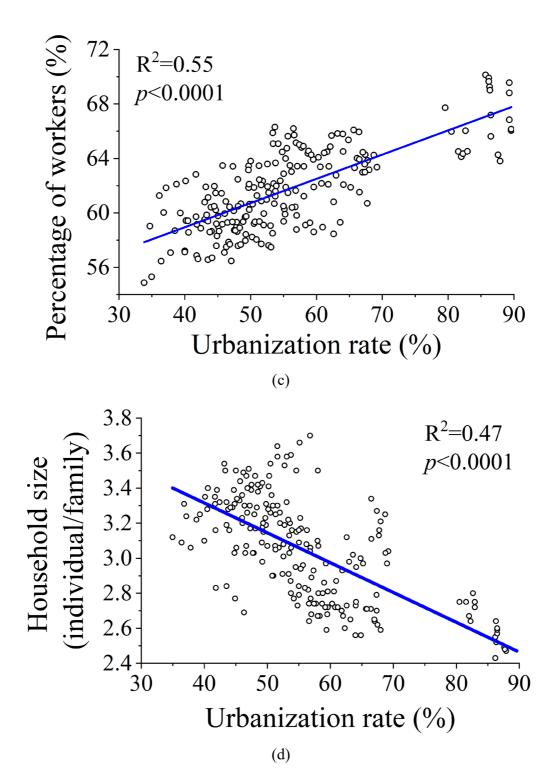
  Disparities in influenza mortality and transmission related to sociodemographic factors within Chicago in the pandemic of 1918. Proc Natl Acad Sci U S A. Nov 29, 2016;113(48):13839-13844. [FREE Full text] [Cross Ref] [Medline]
- 40. Dobson AP, Carper ER. Infectious Diseases and Human Population History. BioScience. Feb 1996;46(2):115-126. [CrossRef]
- 41. Li L, Liu Y, Wu P, Peng Z, Wang X, Chen T, et al. Influenza-associated excess respiratory mortality in China, 2010-15: a population-based study. Lancet Public Health. Sep 2019;4(9):e473-e481. [FREE Full text] [Cross Ref] [Medline]
- 42. Lei H, Jiang H, Zhang N, Duan X, Chen T, Yang L, et al. Increased urbanization reduced the effectiveness of school closures on seasonal influenza epidemics in China. Infect Dis Poverty. Oct 21, 2021;10(1):127. [FREE Full text] [CrossRef] [Medline]
- 43. Axelsen JB, Yaari R, Grenfell BT, Stone L. Multiannual forecasting of seasonal influenza dynamics reveals climatic and evolutionary drivers. Proc Natl Acad Sci U S A. Jul 01, 2014;111(26):9538-9542. [FREE Full text] [CrossRef] [Medline]
- 44. U-shape between influenza and urbanisation. GitHub.

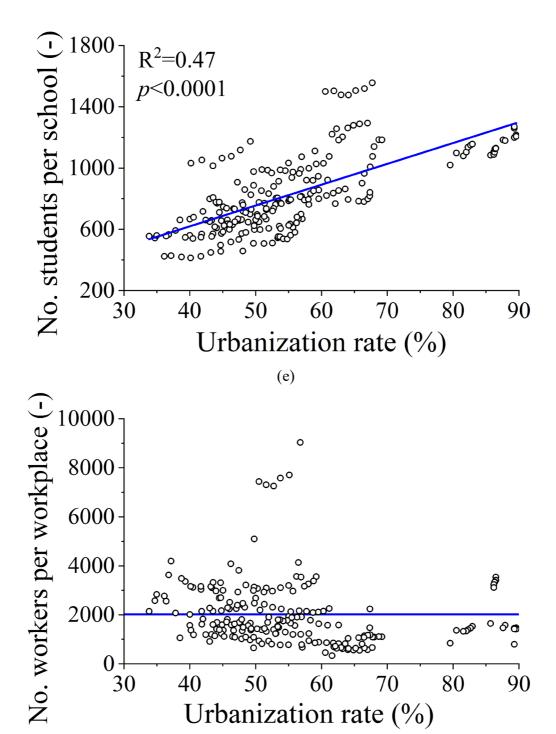
  URL: <a href="https://github.com/Lei-hao-Group/Ushape-between-influenza-and-urbanisation">https://github.com/Lei-hao-Group/Ushape-between-influenza-and-urbanisation</a> [accessed 2023-05-09]

Figure. 1.Individual routines and population distribution data in Mainland China between 2010 and 2016. (A) Flowchart of individual hourly routines. (B) Changes in the proportion of students with increasing urbanization. (C) Changes in the proportion of the workforce with increasing urbanization. (D) Changes in the household size with increasing urbanization. (E) Changes in the school size distribution with increasing urbanization. (F) Changes in the workplace size distribution with increasing urbanization. (G) Changes in the urban population density with increasing urbanization rates.









(f)

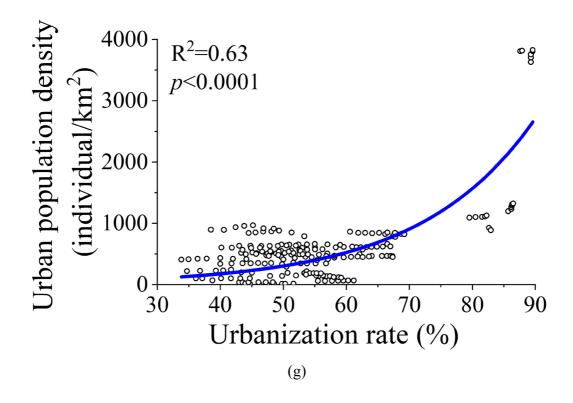
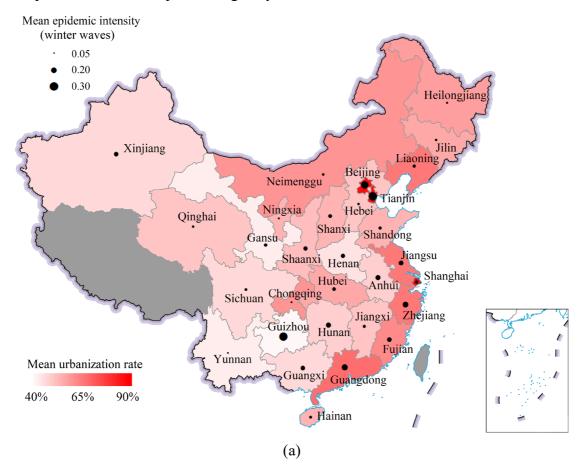
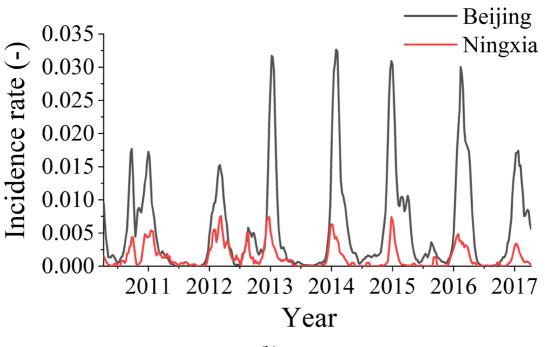
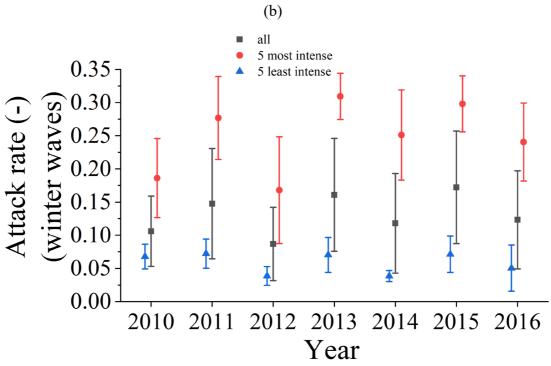


Figure 2.Systematic differences in the seasonal influenza epidemic attack rates in the winter-spring waves across provinces in Mainland China according to surveillance data between 2010 and 2016. (A) Mean influenza epidemic attack rates (black circles) and urbanization rates (colored bars) in 30 Chinese provinces; grey areas indicate a lack of data. (B) Time-series influenza incidence rates in Beijing and Ningxia provinces. (C) Influenza epidemic attack rates in 5 provinces with the most or least intense epidemic and in all provinces across epidemiological years, where error lines represent 95% CIs. (D) Pearson correlation coefficients of the epidemic attack rates in 30 provinces between epidemiological years.







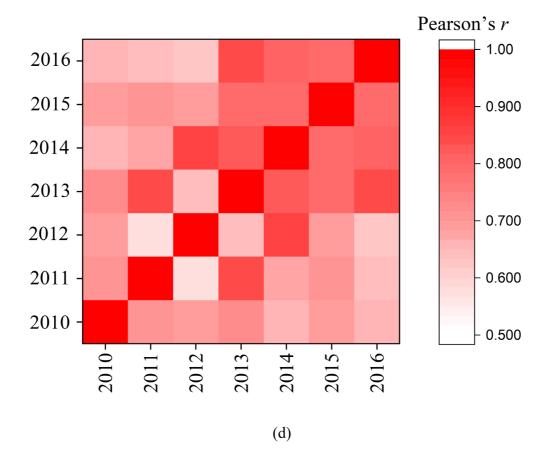
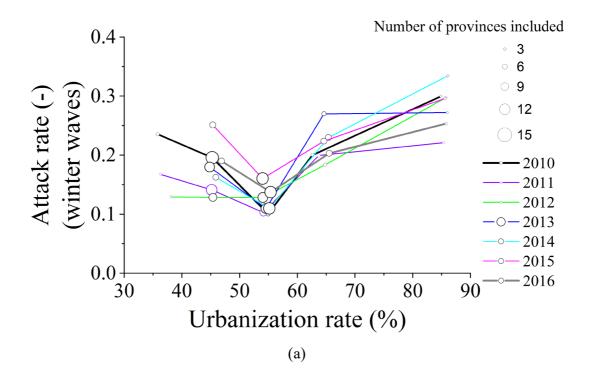


Figure 3.Determination of a U-shaped relationship between the influenza epidemic attack rates and urbanization rate in China. Data are presented by (A) clustering of 30 provinces by the urbanization rate and (B) province-level data in 15 provinces in Northern China. The curves are the binomial fitting of the data in 2010-2016. The red line indicates the agent-based model simulation results, and the error bars indicate the 95% CIs of the 100 replications.



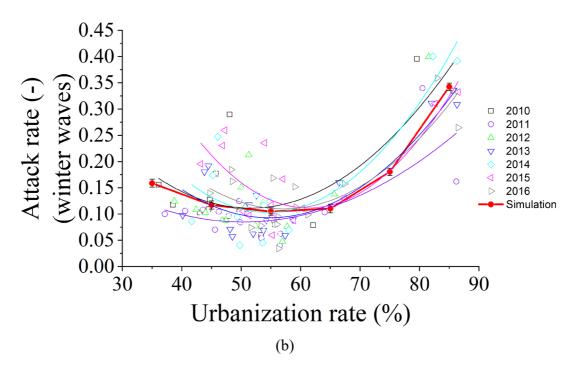
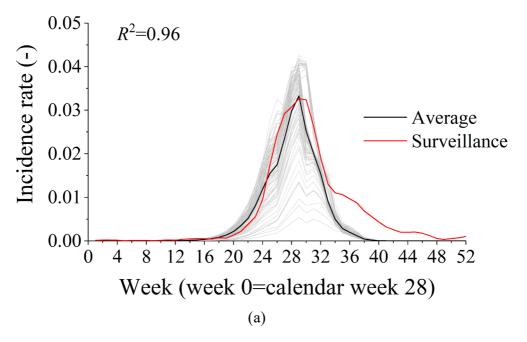
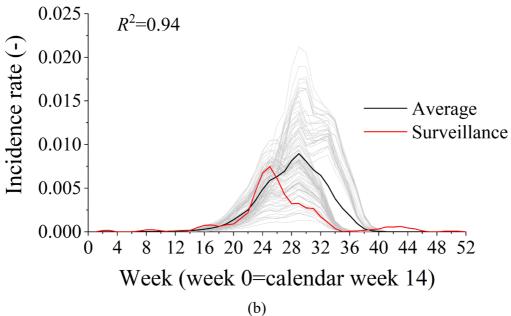
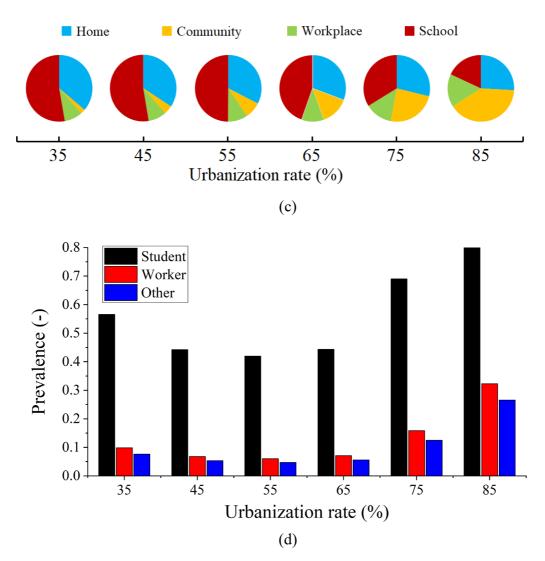


Figure 4. Simulated influenza epidemic in provinces with different urbanization rates. Simulated incidence rates in (A) Beijing and (B) Jilin. (C) Simulated contributions of each setting to influenza transmission according to the urbanization rate. (D) Influenza prevalence among students, workers, and others according to the urbanization rate.







**Table 1**. Binomial fitting of the province-level epidemic attack rates in the 15 provinces in Northern China.

Epidemiological	$R^2$	P value	Urbanization rate turning
year			point (%)
2010	0.61	.004	52
2011	0.51	.01	50
2012	0.76	<.001	50
2013	0.77	<.001	55
2014	0.79	<.001	55
2015	0.67	.001	61
2016	0.68	.001	57