



## PHYSICS

## Free-electron Brewster-transition radiation

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We reveal a mechanism to enhance particle-matter interactions by exploiting the pseudo-Brewster effect of gain materials, presenting an enhancement of at least four orders of magnitude for light emission. This mechanism is enabled by the emergence of an unprecedented phase diagram that maps all phenomena of free-electron transition radiation into three distinct phases in a gain-thickness parameter space, namely, the conventional, intermediate, and Brewster phases, when an electron penetrates a dielectric slab with a modest gain and a finite thickness. Essentially, our revealed mechanism corresponds to the free-electron transition radiation in the Brewster phase, which also features ultrahigh directionality, always at the Brewster angle, regardless of the electron velocity. Counterintuitively, we find that the intensity of this free-electron Brewster-transition radiation is insensitive to the Fabry-Pérot resonance condition and, thus, the variation of slab thickness, and moreover, a weaker gain could lead to a stronger enhancement for light emission.

## INTRODUCTION

Free-electron radiation (1–8) is a fundamental process of light emission that originates from the interaction between fast-moving charged particles (e.g., a swift electron or ion) and optical matter. One powerful capability of free-electron radiation is that it can create light emission at any frequency, ranging from the microwave to x-ray regimes. The light emission could not only be exploited to implement novel types of radiation sources [e.g., free-electron lasers (9–12) and high-power microwave sources (13, 14)] but also be measured to detect the information of particles [e.g., high-energy particle detector (15–19) and particle-beam diagnosis (20, 21)] or optical matter [e.g., electron microscopy (22) and medical imaging (23)]. Therefore, free-electron radiation is of paramount importance to many areas of science, as varied as high-energy physics, astronomy, cosmology, nanophotonics, plasmonics, material science, and biomedicine, and is currently opening new frontiers across these areas.

However, the intensity of free-electron radiation is generally weak because of the weak interaction between each particle and optical matter (6–8, 11, 18, 22, 24, 25). To enable many of the applications envisioned in this field (4, 18, 26–32), free-electron radiation should be enhanced. There are currently three main ways to achieve such an enhancement. One way is to increase the current

density of particle beams, such as the high-current electron beam widely used in the high-power microwave source (e.g., with an output power in the order of megawatt) (13, 14). The second way is to elongate the interaction length. The third way is to accelerate the particle beam to almost the speed  $c$  of light in free space. For example, the free-electron laser, as firstly developed by Madey in 1971 (33), uses a 43-MeV electron beam and a 5-m-long wiggler to amplify the light emission (33), and the output power of free-electron lasers can now be in the order of gigawatt (34, 35). Another example is the Cherenkov detector (15–19), a famous particle detector based on Cherenkov radiation (36, 37), whose radiator generally has a meter-scale length and is designed particularly for the identification of high-energy particles with their kinetic energy up to the giga-electron volt scale.

Nevertheless, at the base of all these is still a weak particle-matter interaction. The weak interaction severely impedes the development of many more enticing applications of free-electron radiation, such as the miniaturization of free-electron radiation sources (22, 30–32, 38, 39) and high-energy particle detectors (18, 19, 40). The realization of such applications could boost the on-chip integration of free-electron light sources (e.g., in the terahertz/x-ray regimes) and to facilitate the direct detection of high-energy particles in outer space. Despite the long research history of free-electron radiation (24, 41–51), there remains a need for fundamentally different mechanisms to enhance the particle-matter interaction, especially for low-energy particles.

Here, we propose a mechanism to enhance the particle-matter interaction by exploiting the pseudo-Brewster effect (52–59) of gain materials, which could lead to the light emission with an enhancement of many (e.g., four) orders of magnitude. While the optical gain provides a universal way to amplify the light emission for most optical systems, the influence of optical gain on the free-electron radiation (including its intensity and directionality) is underexplored; in particular, the pseudo-Brewster effect of gain materials has never been connected to the particle-matter interaction. We find that the connection of pseudo-Brewster effect to the particle-matter interaction enables us to map all phenomena of free-electron transition radiation (a typical type of free-electron radiation) (18, 46, 60–63) into an unprecedented phase diagram in a gain-

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thickness parameter space, when an electron perpendicularly penetrates a dielectric slab with a modest gain and a finite gain. We highlight that our proposed mechanism is not purely due to the existence of optical gain but enabled by the emergence of this exotic phase diagram of free-electron transition radiation, which could be categorized into three distinct phases, namely, the conventional, intermediate, and Brewster phases. Essentially, our proposed mechanism corresponds to the free-electron transition radiation in the Brewster phase. Counterintuitively, the revealed free-electron transition radiation in the Brewster phase has three exotic features. First, we find that the optical gain in the Brewster phase can substantially enhance not only the intensity of light emission but also its directionality. As a result, the free-electron transition radiation in the Brewster phase is uniquely featured with ultrahigh directionality, always at the Brewster angle, irrespective to the electron velocity. Second, we find that the optical gain in the Brewster phase can disable the Fabry-Pérot resonance condition. Correspondingly, the intensity of free-electron transition radiation in the Brewster phase becomes insensitive to the variation of slab thickness. Third, we find that a weaker optical gain can lead to a stronger enhancement of free-electron transition radiation in the Brewster phase, as fundamentally governed by the pseudo-Brewster effect of gain materials.

To place our results in the proper context, Cherenkov radiation (36, 37), a famous type of free-electron radiation inside a homogeneous matter, is created only when the electron moves with a velocity  $v$  larger than the phase velocity  $c/n$  of light in that matter (known as the Cherenkov threshold  $v_{\text{th}}$ ), namely,  $v > v_{\text{th}} = c/n$ , where  $n$  is the refractive index of matter. Although Cherenkov radiation is highly directional, its radiation angle  $\theta$ , known as the Cherenkov angle, is sensitive to the electron velocity, as governed by the Frank-Tamm formula of  $\cos\theta = c/nv$  (37, 58). On the other hand, transition radiation could occur when the electron moves across an inhomogeneous region (e.g., an optical interface) at any speed. Still, transition radiation is generally suffered from its low directionality and low intensity. Transition radiation becomes relatively directional, with its maximum appearing at the radiation angle of  $\theta = 1/\gamma$ , only when the electron is highly relativistic (e.g., when  $\gamma > 10$ ) (64), where  $\gamma = 1/\sqrt{1 - v^2/c^2}$  is the Lorentz factor. Our revealed free-electron transition radiation in the Brewster phase has its directionality property (e.g., the dependence of radiation directionality on the electron velocity) completely different from that of Cherenkov radiation, conventional transition radiation, and other types of free-electron radiation (6–8, 22, 30–32, 63), such as Smith-Purcell radiation, synchrotron radiation, and bremsstrahlung radiation; therefore, it corresponds to a brand-new form of free-electron transition radiation. Because of its unique directionality, we suggest to denote the free-electron transition radiation in the Brewster phase as free-electron Brewster-transition radiation. Because of the simultaneous enhancement of its intensity and directionality, the free-electron Brewster-transition radiation may offer a feasible route for the development of novel light sources based on ultralow-energy electrons, with their kinetic energies down to the scale of several electron volts.

## RESULTS

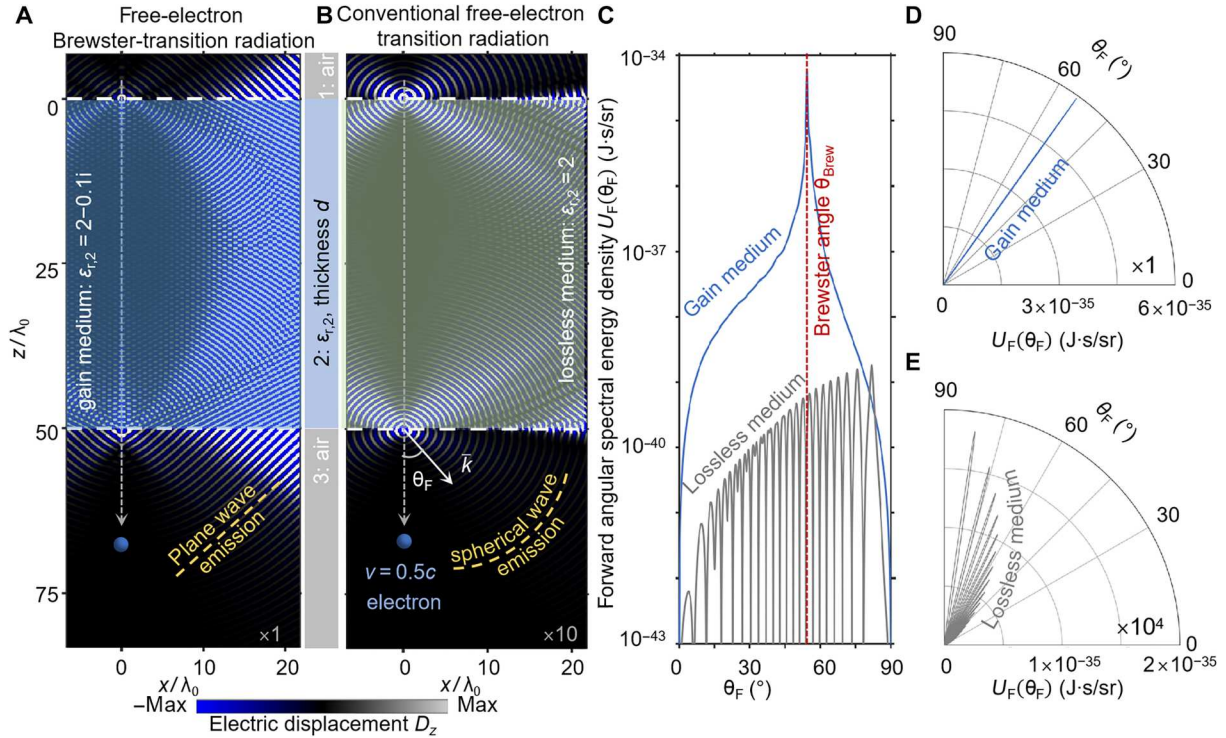
We begin with the conceptual demonstration of free-electron Brewster-transition radiation in Fig. 1 (A to E). We consider a gain

material (namely, region 2 in Fig. 1A) with a relative permittivity of  $\epsilon_{r,2} = 2 - 0.1i$  at the working wavelength of  $\lambda_0$  in free space; this gain, in practice, can be implemented, for example, by using negative-resistance components at the microwave regime (e.g., microwave tunnel diodes) (65–68), optically pumped dye molecules at the visible light regime (e.g., Rhodamine 6G dye molecules) (69–71), and solid-state gain media at the infrared regime [e.g., neodymium-doped yttrium aluminum garnet (Nd:YAG) used in lasers] (72, 73). Both the gain slab's upper region (region 1) and lower region (region 3) are free space. When the incident electron beam has a relatively low current density, the space charge and nonlinear effects are generally weak and can be neglected. Under this condition, the radiation from an electron beam can be considered as the interference of radiation from each moving electron, and here, we consider the radiation from a moving electron with  $v/c = 0.5$  for conceptual illustration. There is no Cherenkov radiation in the proposed system in Fig. 1 because the electron velocity is below the Cherenkov threshold. The slab has a finite thickness of  $d = 50\lambda_0$ . To avoid the potential inelastic electron scattering, which may change the electron trajectory and velocity and cause other types of free-electron radiation (e.g., bremsstrahlung radiation), a small hole with its center along the electron trajectory could be drilled for the slab (fig. S2A) (74, 75), which is feasible especially for microwave gain slabs (65–68). Meanwhile, one may also consider the usage of relativistic electrons (e.g.,  $v/c > 0.95$  in fig. S3) to effectively avoid the inelastic electron scattering.

When the free-electron Brewster-transition radiation occurs in Fig. 1A, strong plane-like waves propagate into the lower region. As shown by the forward angular spectral energy density  $U_{\text{F}}(\theta_{\text{F}})$  in Fig. 1 (C and D), the free-electron Brewster-transition radiation is highly directional, and its radiation peak shows up at  $\theta_{\text{F,peak}} = 54.7^\circ$ , where  $\theta_{\text{F}}$  represents the forward radiation angle. We have  $\theta_{\text{F,peak}} = \theta_{\text{Brew,pseudo}}$ , where  $\theta_{\text{Brew,pseudo}} = \text{Re}[\arctan(\sqrt{\epsilon_{r,2}/\epsilon_{r,3}})]$  is the pseudo-Brewster angle at the interface between the gain slab and its lower region with a relative permittivity of  $\epsilon_{r,3} = 1$ . This directional feature of free-electron Brewster-transition radiation from a gain slab in Fig. 1A is completely different from that of conventional free-electron transition radiation from a transparent or lossy slab. For example, if the gain slab is replaced by a transparent slab with  $\epsilon_{r,2} = 2$ , there are only spherical-like waves in the lower region in Fig. 1B. The forward angular spectral energy density in Fig. 1 (C and E) further confirms that the conventional free-electron transition radiation is of low directionality and low intensity. As a result, we find in Fig. 1C that the intensity of free-electron Brewster-transition radiation is four orders of magnitude larger than that of conventional free-electron transition radiation at the radiation angle of  $\theta_{\text{F}} = \theta_{\text{Brew,pseudo}}$ . That is, the gain slab behaves as a powerful angular amplifier, which is capable of amplifying the free-electron transition radiation predominantly at the pseudo-Brewster angle.

We now proceed to explain the occurrence of free-electron Brewster-transition radiation in Fig. 2. The theoretical analysis below follows Ginzburg and Frank's theory of free-electron transition radiation, which is developed within the framework of classical Maxwell's equations (18, 46, 60–62, 76). The forward radiation field in the lower region can be written as  $E_{z,\text{forward}}^R(\vec{r}, t) = \iiint d\omega d\vec{k}_{\perp} E_{z,\text{forward}}^R(z) e^{i(\vec{k}_{\perp} \cdot \vec{r}_{\perp} - \omega t)}$ , where

$$E_{z,\text{forward}}^R(z) = A_{\text{forward}} e^{ik_{z,3}(z-d)} \quad (1)$$



**Fig. 1. Conceptual demonstration of free-electron Brewster-transition radiation.** A moving electron perpendicularly penetrates through a slab with a relative permittivity of  $\epsilon_{r,2}$ . **(A and B)** Distribution of radiation field. The slab is constructed by a gain material with  $-\text{Im}(\epsilon_{r,2}) = 0.1$  in **(A)** and a lossless material with  $\text{Im}(\epsilon_{r,2}) = 0$  in **(B)** [see the structural schematic in the right side of **(A)**]. **(C to E)** Angular spectral energy density  $U_F(\theta_F)$  of forward free-electron transition radiation in the Cartesian and polar coordinates.  $\theta_F$  is the angle between the wave vector of forward radiated light and the electron velocity.

$\bar{k}_\perp = \hat{x}k_x + \hat{y}k_y$ ,  $k_\perp = |\bar{k}_\perp|$ ,  $\bar{r}_\perp = \hat{x}x + \hat{y}y$ ,  $k_{z,j}$  is the  $z$  component of wave vector in region  $j$  ( $j = 1, 2$ , or  $3$ ),  $k_{z,j}^2 + k_\perp^2 = k_j^2$ , and  $k_j^2 = \epsilon_{r,j}\omega^2/c^2$ . After some calculations (see sections S1 and S2), the forward radiation coefficient  $A_{\text{forward}}$  is formulated as

$$A_{\text{forward}} = a_{2,3}^+ + a_{1,2}^+ \frac{e^{ik_{z,2}d}}{1 - R_{2,1}R_{2,3}e^{2ik_{z,2}d}} + a_{2,3}^- \frac{R_{2,1}e^{2ik_{z,2}d}}{1 - R_{2,1}R_{2,3}e^{2ik_{z,2}d}} \quad (2)$$

where  $a_{j,j+1}^\pm$  and  $R_{j,j+1} = -R_{j+1,j}$  are related to the radiation coefficient and the reflection coefficient at the interface between region  $j$  and region  $j + 1$ , respectively.

When region 2 is filled with a gain material, we have  $\text{Im}(k_{z,2}) < 0$ . Correspondingly, we have  $|e^{2ik_{z,2}d}| \rightarrow \infty$  and  $|R_{2,1}R_{2,3}e^{2ik_{z,2}d}| \gg 1$ , if  $d/\lambda_0$  is large enough. This way, we always have  $\lim_{d \rightarrow \infty} \frac{e^{ik_{z,2}d}}{1 - R_{2,1}R_{2,3}e^{2ik_{z,2}d}} = 0$  and  $\lim_{d \rightarrow \infty} \frac{R_{2,1}e^{2ik_{z,2}d}}{1 - R_{2,1}R_{2,3}e^{2ik_{z,2}d}} = \frac{-1}{R_{2,3}}$  in Eq. 2. Under this scenario, Eq. 2 is simplified to

$$\lim_{d \rightarrow \infty} A_{\text{forward}} = a_{2,3}^+ - a_{2,3}^- \frac{1}{R_{2,3}} \quad (3)$$

In Eq. 3,  $a_{2,3}^+$  and  $a_{2,3}^-$  are generally in the same order of magnitude (as shown in fig. S4), and we could have  $|a_{2,3}^- \frac{1}{R_{2,3}}| \gg |a_{2,3}^+|$  if  $|R_{2,3}| \rightarrow 0$ . For gain materials,  $|R_{2,3}| \rightarrow 0$ , instead of  $|R_{2,3}| = 0$ , is achievable at the pseudo-Brewster angle of  $\theta_{\text{Brew,pseudo}}$ , according to the pseudo-Brewster effect of gain or lossy materials (49–56).

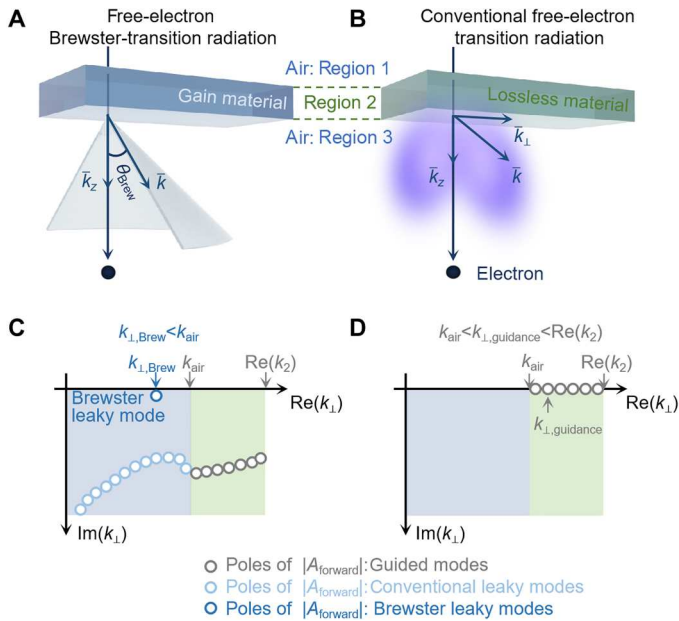
Moreover, if  $\text{Im}(\epsilon_{r,2})$  is reasonably large as shown in fig. S6C,  $\theta_{\text{Brew,pseudo}}$  is insensitive to  $\text{Im}(\epsilon_{r,2})$ , and we have  $\theta_{\text{Brew,pseudo}} = \theta_{\text{Brew}}$ , where  $\theta_{\text{Brew}} = \arctan[\sqrt{\text{Re}(\epsilon_{r,2})}/\epsilon_{r,3}]$  is the Brewster angle according to the Brewster effect of transparent materials (77–80).

With this knowledge of the pseudo-Brewster effect of gain materials, we conclude that the term of  $a_{2,3}^- \frac{1}{R_{2,3}}$  plays a determinant role in Eq. 3, while the contribution from  $a_{2,3}^+$  is negligible, if the radiation angle is close to the Brewster angle (namely,  $\theta_F \rightarrow \theta_{\text{Brew}}$ ). Then, Eq. 3 could be further reduced to

$$\lim_{\substack{d \rightarrow \infty \\ \theta_F \rightarrow \theta_{\text{Brew}}}} A_{\text{forward}} = -a_{2,3}^- \frac{1}{R_{2,3}} \quad (4)$$

Equation 4 indicates that the maximum of  $|A_{\text{forward}}|$ , along with the minimum value of  $|R_{2,3}|$ , would appear at the Brewster angle. In other words, the free-electron Brewster-transition radiation with an ultrahigh directionality and an enhanced intensity would always occur at the Brewster angle as long as the slab thickness is large enough (see the schematic of free-electron Brewster-transition radiation in  $k$ -space in Fig. 2A).

Upon close inspection of Eq. 2, the pole of  $|A_{\text{forward}}|$  directly corresponds to the pole of  $\frac{R_{2,1}e^{2ik_{z,2}d}}{1 - R_{2,1}R_{2,3}e^{2ik_{z,2}d}}$ , a key factor that originates from the wave resonance inside the slab and could manifest the eigenmodes (e.g., guided modes and leaky modes) supported by the slab. To facilitate the understanding of free-electron Brewster-transition radiation, the poles of  $|A_{\text{forward}}|$  from a sufficiently thick gain slab is plotted in the complex  $k_\perp$  plane in Fig. 2C. There are three



**Fig. 2. Mechanism of free-electron Brewster-transition radiation in  $k$ -space.** (A and B) Schematic of free-electron transition radiation in  $k$ -space. The free-electron Brewster-transition radiation in (A) is featured with an ultrahigh directionality at the radiation angle of  $\theta_{\text{F}} = \theta_{\text{Brew}}$ . By contrast, the conventional free-electron transition radiation in (B) is of low directionality. (C and D) Poles or singularities of the forward radiation coefficient  $|A_{\text{forward}}|$  in Eq. 2 in the complex  $k_{\perp}$  plane. If region 2 is filled with a gain material with a sufficiently large thickness in (C), then there is one specific kind of pole always having  $\text{Re}(k_{\perp}) = k_{\perp, \text{Brew}} = k_{\text{air}} \sin \theta_{\text{Brew}}$ . The corresponding mode is termed Brewster leaky mode because of its close connection with the pseudo-Brewster effect of gain material.

distinct types of poles in Fig. 2C. The first type of poles has  $\text{Re}(k_{\perp}) = k_{\perp, \text{guidance}}$ , where  $k_{\text{air}} < k_{\perp, \text{guidance}} < \text{Re}(k_2)$  and  $k_{\text{air}} = \omega/c$ . Hence, all these poles correspond to the guided modes, which would not contribute to the light emission into the far field. The other two types of poles have  $\text{Re}(k_{\perp}) < k_{\text{air}}$ , and hence, their corresponding eigenmodes are intrinsically leaky and can couple into free space. To be specific, the second type of poles corresponds to the conventional leaky modes, whose behavior, to some extent, is related to the Fabry-Pérot resonance condition of  $\arg(R_{2,1}R_{2,3}e^{2ik_{z,2}d}) = 2\pi$  because their pole position [e.g., their value of  $\text{Re}(k_{\perp})$ ] is sensitive to the variation of slab thickness (see the influence of slab thickness in figs. S7 to S9). By contrast, the third type only has one pole in Fig. 2C. Its position becomes irrelevant to the Fabry-Pérot resonance condition and appears always at  $\text{Re}(k_{\perp}) = k_{\perp, \text{Brew}}$  for a sufficiently thick slab, where  $k_{\perp, \text{Brew}} = k_{\text{air}} \sin \theta_{\text{Brew}}$ . Because of its intrinsic connection with the pseudo-Brewster effect of gain materials, this special eigenmode is termed as the Brewster leaky mode in Fig. 2C. The Brewster leaky mode, once excited, would have a larger contribution to the far-field free-electron transition radiation than the conventional leaky modes because the intensity of forward free-electron transition radiation is proportional to the value of  $|A_{\text{forward}}|^2$  at the real- $k_{\perp}$  axis, and the pole position of the third type in the complex  $k_{\perp}$  plane in Fig. 2C is much closer to the real- $k_{\perp}$  axis than that of the second type (see detailed discussions in figs. S7 to S9). Therefore, the occurrence of free-electron Brewster-transition radiation is attributed

to the excitation of Brewster leaky modes, in accordance with our above analysis for Eq. 4. As background, Fig. 2D shows that  $|A_{\text{forward}}|$  from a transparent slab only has the first type of poles.

From the above analyses for Eqs. 2 to 4, the slab thickness  $d$  should be sufficiently large to enable the appearance of free-electron Brewster-transition radiation. We show in Fig. 3A the influence of  $d$  on the forward free-electron transition radiation. There are mainly two well-separated groups of radiation peaks in Fig. 3A, if  $d$  is relatively small (e.g.,  $d < d_c = 11\lambda_0$ ). These peaks tend to merge to the Brewster angle if  $d$  increases, and then, the free-electron Brewster-transition radiation appears if  $d$  is relatively large (e.g.,  $d > d_{\text{Brew}} = 20\lambda_0$  in Fig. 3A). To quantify this tendency, the angular deviation between these two groups of radiation peaks is defined as  $\Delta\theta = \theta_{\text{max, right}} - \theta_{\text{max, left}}$ , where  $\theta_{\text{max, left}}$  and  $\theta_{\text{max, right}}$  correspond to the angular positions of radiation peaks within the range of  $\theta_{\text{F}} \in [0^\circ, \theta_{\text{Brew}}]$  and  $\theta_{\text{F}} \in [\theta_{\text{Brew}}, 90^\circ]$ , respectively. Figure 3B shows that the value of  $\Delta\theta$  oscillates randomly and  $\Delta\theta > \Delta\theta_c$ , where  $\Delta\theta_c = 10^\circ$ . The value of  $\Delta\theta$  decreases with the increase of  $d$  if  $d > d_c$ ; moreover, we have  $\Delta\theta_{\text{Brew}} < \Delta\theta < \Delta\theta_c$  if  $d_c < d < d_{\text{Brew}}$  and  $\Delta\theta < \Delta\theta_{\text{Brew}}$  if  $d > d_{\text{Brew}}$ , where  $\Delta\theta_{\text{Brew}} = 0.5^\circ$ .

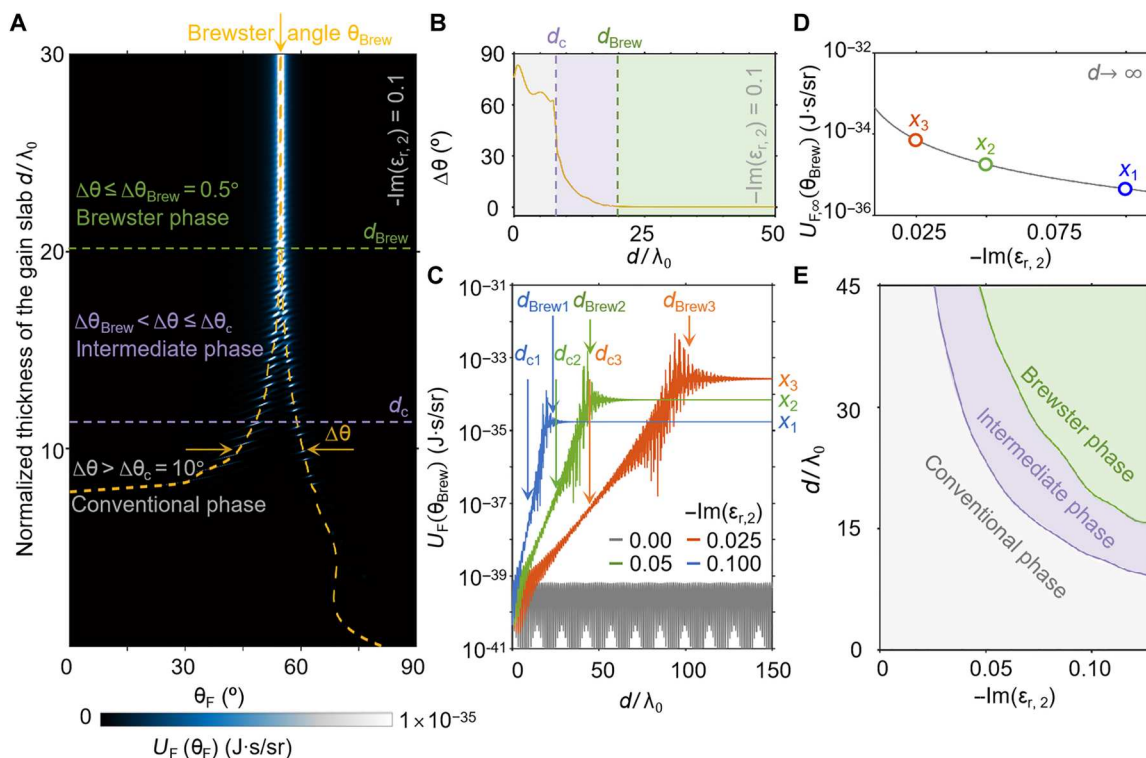
We then denote the free-electron Brewster-transition radiation with  $\Delta\theta < \Delta\theta_{\text{Brew}}$  as the Brewster phase of free-electron transition radiation, the radiation with  $\Delta\theta_{\text{Brew}} < \Delta\theta < \Delta\theta_c$  as the intermediate phase, and the conventional radiation with  $\Delta\theta > \Delta\theta_c$  as the conventional phase, because of their distinct radiation properties in these three phases [e.g., directionality revealed in Fig. 3 (A and B) and intensity in Fig. 3 (A and C)].

Because of the exotic feature of forward free-electron transition radiation at the Brewster angle in Fig. 3A, we further investigate in Fig. 3C the radiation intensity at the Brewster angle, namely,  $U_{\text{F}}(\theta_{\text{Brew}})$ , as a function of the slab thickness  $d$ . The value of  $U_{\text{F}}(\theta_{\text{Brew}})$  in Fig. 3C tends to increase with  $d$  if  $d < d_{\text{Brew}}$ ; and it lastly saturates to a constant value of  $U_{\text{F}, \infty}$  and becomes insensitive to the variation of  $d$  if  $d > d_{\text{Brew}}$ , where

$$U_{\text{F}, \infty} = \lim_{d \rightarrow \infty} U_{\text{F}}(\theta_{\text{Brew}}) \propto \lim_{d \rightarrow \infty} |A_{\text{forward}}|^2 \propto \lim_{\theta_{\text{F}} \rightarrow \theta_{\text{Brew}}} \left| \frac{1}{R_{2,3}} \right|^2 \quad (5)$$

Equation 5 indicates that the intensity of free-electron Brewster-transition radiation at the Brewster angle is determined merely by the optical response of gain materials, namely,  $-\text{Im}(\epsilon_{r,2})$ , as shown in Fig. 3 (C and D). Counterintuitively, the value of  $U_{\text{F}, \infty}$  increases while  $|\text{Im}(\epsilon_{r,2})|$  decreases in Fig. 3D. That is, the intensity of free-electron Brewster-transition radiation can be further enhanced by using a slab with a weaker gain, if the slab thickness is sufficiently large. For example, this enhancement reaches over 10 times if  $|\text{Im}(\epsilon_{r,2})|$  decreases from 0.1 to 0.025 in Fig. 3 (C and D). In addition, we show in fig. S15 that for a gain slab with a fixed finite thickness, there would be an optimal nonzero value of  $-\text{Im}(\epsilon_{r,2})$  to achieve the free-electron Brewster-transition radiation with the strongest intensity [e.g.,  $-\text{Im}(\epsilon_{r,2}) = 0.053$  for the case of  $d/\lambda_0 = 40$ ].

From Fig. 3 (A to D), the free-electron transition radiation from a gain slab, especially its directionality, strongly correlates to the slab thickness and the optical gain. We characterize the directionality by  $\Delta\theta$  in the thickness-gain parameter space in Fig. 3E and find clear boundaries between  $\Delta\theta > \Delta\theta_c$ ,  $\Delta\theta_{\text{Brew}} < \Delta\theta < \Delta\theta_c$ , and  $\Delta\theta < \Delta\theta_{\text{Brew}}$  in the thickness-gain space. Therefore, Fig. 3E indicates the existence of an exotic phase diagram for free-electron transition radiation, which is divided into three distinct phases, namely, the



**Fig. 3. Phase diagram and Brewster phase of free-electron transition radiation from a gain slab.** Here, the structural setup is the same as Fig. 1, except for  $-\text{Im}(\epsilon_{r,2})$  and the slab thickness  $d$ . (A) Angular spectral energy density  $U_F(\theta_F)$  of forward free-electron transition radiation as a function of  $\theta_F$  and  $d$ , with  $-\text{Im}(\epsilon_{r,2}) = 0.1$ . The left and right yellow lines correspond to the trajectories of  $\theta_{\text{max, left}} = \max[U_F(\theta_F)]$  for  $\theta_F \in [0^\circ, \theta_{\text{Brew}}]$  and  $\theta_{\text{max, right}} = \max[U_F(\theta_F)]$  for  $\theta_F \in [\theta_{\text{Brew}}, 90^\circ]$ , respectively. The angular deviation is defined as  $\Delta\theta = \theta_{\text{max, right}} - \theta_{\text{max, left}}$ . (B) Dependence of  $\Delta\theta$  on  $d$ , as extracted from (A). (C)  $U_F(\theta_{\text{Brew}})$  as a function of  $d$  under different  $-\text{Im}(\epsilon_{r,2})$ . If  $-\text{Im}(\epsilon_{r,2}) > 0$  and  $d$  is large enough, then the value of  $U_F(\theta_{\text{Brew}})$  becomes a constant, which is denoted as  $U_{F,\infty}(\theta_{\text{Brew}}) = \lim_{d \rightarrow \infty} U_F(\theta_{\text{Brew}})$ . (D)  $U_{F,\infty}(\theta_{\text{Brew}})$  as a function of  $-\text{Im}(\epsilon_{r,2})$ . (E) Phase diagram of forward free-electron transition radiation in the parameter space of  $-\text{Im}(\epsilon_{r,2})$  and  $d$ , according to  $\Delta\theta$ . We have  $\Delta\theta < \Delta\theta_{\text{Brew}}$  for the Brewster phase,  $\Delta\theta_{\text{Brew}} < \Delta\theta < \Delta\theta_c$  for the intermediate phase, and  $\Delta\theta > \Delta\theta_c$  for the conventional phase, where  $\Delta\theta_{\text{Brew}} = 0.5^\circ$  and  $\Delta\theta_c = 10^\circ$ .

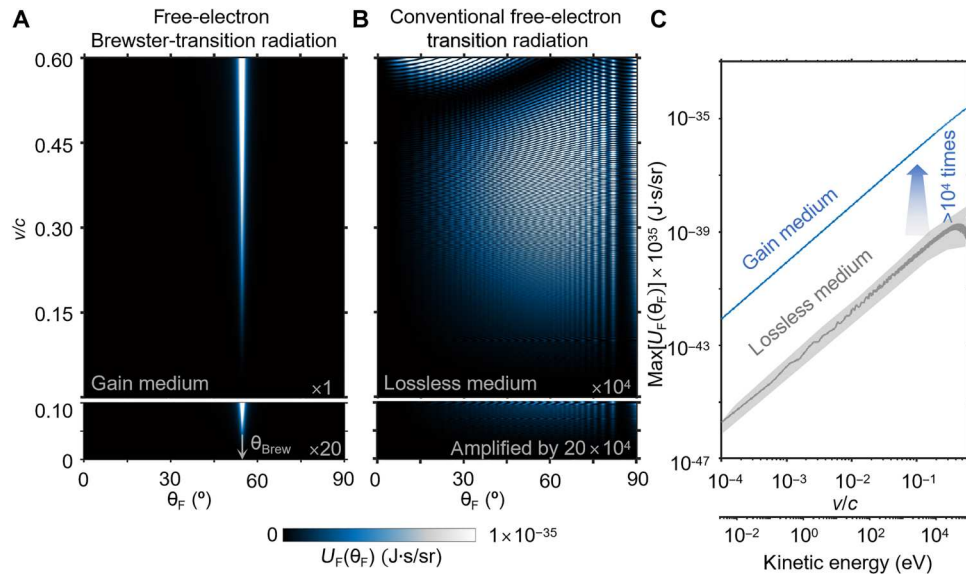
conventional, intermediate, and Brewster phases. In addition, the revealed free-electron Brewster-transition radiation could still emerge with the consideration of frequency dispersion (fig. S12) and anisotropy (fig. S14) for the gain medium.

We further show in Fig. 4A that the occurrence of free-electron Brewster-transition radiation is robust to the variation of electron velocity, and it could occur at any electron velocity (e.g.,  $v/c = 10^{-5}$  or  $10^{-7}$  in fig. S16). On the one hand, the ultrahigh directionality of free-electron Brewster-transition radiation from a gain slab shows up always at the Brewster angle in Fig. 4A, which is independent of the electron velocity and distinctly different from the low directionality of conventional free-electron transition radiation from a transparent slab in Fig. 4B. On the other hand, Fig. 4C shows that the intensity of free-electron Brewster-transition radiation is at least four orders of magnitude larger than that of conventional free-electron transition radiation. This large enhancement also occurs at any electron velocity, even when the electron velocity is far below the light speed (e.g.,  $v/c < 10^{-3}$  in Fig. 4C).

## DISCUSSION

In conclusion, we have revealed the emergence of an unprecedented phase diagram in the gain-thickness parameter space, which could map all free-electron transition radiation phenomena into three distinct phases, namely, the conventional, intermediate, and Brewster

phases, by connecting the pseudo-Brewster effect of gain materials to the particle-matter interaction. If the free-electron transition radiation is in the Brewster phase, then the free-electron Brewster-transition radiation appears and is uniquely featured with an ultrahigh directionality, which always occurs at the Brewster angle and is robust to the electron velocity because of the pseudo-Brewster effect of gain materials. Therefore, the revealed free-electron Brewster-transition radiation represents a new form of free-electron transition radiation and has its directionality property completely different from all other types of free-electron radiation, including Cherenkov radiation and transition radiation, whose directionality are sensitive to the electron velocity. Moreover, we find that the pseudo-Brewster effect could substantially enhance the intensity of free-electron Brewster-transition radiation by many orders of magnitude. Counterintuitively, if the slab thickness is sufficiently large, then we further find that the intensity of free-electron Brewster-transition radiation is insensitive to the Fabry-Pérot resonance condition and the variation of the slab thickness; moreover, a weaker gain could lead to the free-electron Brewster-transition radiation with a higher intensity. Our work indicates that the free-electron Brewster-transition radiation is promising for the development of advanced light sources because it could offer an enticing route to improve both the intensity and directionality of light emission, which is feasible for any particle velocity, even for ultralow



**Fig. 4. Robustness of free-electron Brewster-transition radiation with respect to the electron velocity.** Here, the structural setup is the same as Fig. 1, except for the electron velocity  $v$ . (A and B) Angular spectral energy density  $U_F(\theta_F)$  of the forward free-electron transition radiation as a function of  $v$  and  $\theta_F$ . The slab is filled with a gain medium in (A) and a lossless medium in (B). (C) Dependence of  $\max[U_F(\theta_F)]$  on  $v$ , as extracted from (A) and (B).

energy electrons with their kinetic energy down to the electron volt scale.

## MATERIALS AND METHODS

### Derivation of free-electron transition radiation from an interface

Free-electron transition radiation from a single interface between region  $j$  and region  $j + 1$  (see the structural schematic in fig. S1) can be rigorously calculated by following Ginzburg and Frank's theory of free-electron transition radiation within the framework of classical electrodynamics. Detailed derivation is provided in section S1. If the interface between region  $j$  and region  $j + 1$  is at the plane of  $z = 0$ , then the backward radiation coefficient can be

obtained as  $a_{j,j+1}^{0,-} = \frac{\frac{v}{c} \frac{k_{\perp}^2 c^2}{\omega^2 \epsilon_{r,j}} (\epsilon_{r,j+1} - \epsilon_{r,j}) (1 - \frac{v^2}{c^2} \epsilon_{r,j} + \frac{v}{c} \frac{k_{z,j+1}}{\omega/c})}{(1 - \frac{v^2}{c^2} \epsilon_{r,j} + \frac{k_{\perp}^2 v^2}{\omega^2}) (1 + \frac{v}{c} \frac{k_{z,j+1}}{\omega/c}) [\epsilon_{r,j} \frac{k_{z,j+1}}{\omega/c} + \epsilon_{r,j+1} \frac{k_{z,j}}{\omega/c}]}$ , and simi-

larly, the forward radiation coefficient can be expressed as

$a_{j,j+1}^{0,+} = \frac{\frac{v}{c} \frac{k_{\perp}^2 c^2}{\omega^2 \epsilon_{r,j+1}} (\epsilon_{r,j+1} - \epsilon_{r,j}) (1 - \frac{v^2}{c^2} \epsilon_{r,j+1} - \frac{v}{c} \frac{k_{z,j}}{\omega/c})}{(1 - \frac{v^2}{c^2} \epsilon_{r,j+1} + \frac{k_{\perp}^2 v^2}{\omega^2}) (1 - \frac{v}{c} \frac{k_{z,j}}{\omega/c}) [\epsilon_{r,j} \frac{k_{z,j+1}}{\omega/c} + \epsilon_{r,j+1} \frac{k_{z,j}}{\omega/c}]}$ , where the superscript

"+" and "-" correspond to the forward and backward radiation, respectively.

### Derivation of free-electron transition radiation from a slab

When a fast electron perpendicularly penetrates through a slab (see the structural schematic in fig. S2), the related free-electron transition radiation can also be rigorously calculated by following Ginzburg and Frank's theory of free-electron transition radiation. On the basis of the result of free-electron radiation from an interface, the forward radiation coefficient  $A_{\text{forward}}$  can be expressed as

$A_{\text{forward}} = a_{2,3}^+ + a_{1,2}^+ \frac{e^{ik_{z,2}d}}{1 - R_{2,1}R_{2,3}e^{2ik_{z,2}d}} + a_{2,3}^- \frac{R_{2,1}e^{2ik_{z,2}d}}{1 - R_{2,1}R_{2,3}e^{2ik_{z,2}d}}$  where  $a_{2,3}^+ = a_{2,3}^{0,+} e^{i\phi_{2,3}d}$ ,  $a_{1,2}^+ = a_{1,2}^{0,+} T_{2,3}$ , and  $a_{2,3}^- = a_{2,3}^{0,-} T_{2,3} e^{i\phi_{2,3}d}$  and  $T_{2,3}$  is

the transmission coefficient at the interface between region 2 and 3. Detailed derivation is provided in section S2.

### Comparison between $|a_{2,3}^+|$ and $|a_{2,3}^-|$

We show in section S3 and fig. S4 that the values of  $|a_{2,3}^+|$  and  $|a_{2,3}^-|$  are in the same order of magnitude.

### Angular spectral energy density $U_F(\theta_F)$ of forward free-electron transition radiation at different electron velocities

In section S4, the detailed calculation of angular spectral energy density  $U_F(\theta_F, \omega)$  of forward free-electron transition radiation is provided. On the basis of the results of radiation field, the forward angular spectral energy density can be obtained as

$U_F(\theta_F) = \frac{\epsilon_{r,3}^{3/2} q^2 \cos^2 \theta_F}{4\pi^3 \epsilon_0 \sin^2 \theta_F} |A_{\text{forward}}|^2$ . Without loss of generality, we discuss in section S5 the influence of the electron velocity on the forward angular spectral energy density for both gain and transparent slabs (see fig. S5).

### Pseudo-Brewster effect

In section S6, we briefly introduce the Brewster effect of transparent materials and the pseudo-Brewster effect of gain or lossy materials. We show in fig. S6 that if  $|\text{Im}(\epsilon_{r,2})|$  is reasonably large, the pseudo-Brewster angle  $\theta_{\text{Brew,pseudo}} = \text{Re}[\arctan(\sqrt{\epsilon_{r,2}/\epsilon_{r,3}})]$  is generally insensitive to  $|\text{Im}(\epsilon_{r,2})|$ , and we approximately have  $\theta_{\text{Brew,pseudo}} = \theta_{\text{Brew}}$ , where  $\theta_{\text{Brew}} = \arctan[\sqrt{\text{Re}(\epsilon_{r,2})/\epsilon_{r,3}}]$  is the Brewster angle for transparent system.

### Poles of forward radiation coefficient $A_{\text{forward}}$ in the complex $k_{\perp}$ plane

To facilitate the understanding of free-electron Brewster-transition radiation, we show the poles of forward radiation coefficient  $A_{\text{forward}}$  in the complex  $k_{\perp}$  plane in section S7. Moreover, the influence of slab thickness on the pole positions is discussed in figs. S7 to S9.

## Dependence of the angular deviation $\Delta\theta$ on the slab thickness $d$ at different optical gains

Without loss of generality, we show in fig. S10 the dependence of  $\Delta\theta$  on  $d$  at different optical gains. Detailed discussion is provided in section S8.

## Free-electron transition radiation from a lossy slab

For comparison, we discuss the free-electron transition radiation from a lossy slab in section S9. The influence of loss on the forward free-electron transition radiation is shown in fig. S11.

## Influence of frequency dispersion and anisotropy on free-electron Brewster-transition radiation

The influence of frequency dispersion and anisotropy on free-electron Brewster-transition radiation is discussed in section S10 and figs. S12 and S14.

## Free-electron Brewster-transition radiation from a gain slab with a fixed finite thickness

We show in section S11 and fig. S15 that for a gain slab with a fixed finite thickness, there would be an optimal nonzero value of  $-\text{Im}(\epsilon_{r,2})$  to achieve the free-electron Brewster-transition radiation with the strongest intensity.

## Free-electron Brewster-transition radiation from ultralow-energy electrons

More examples of free-electron Brewster-transition radiation from ultralow-energy electrons are provided in section S12 and fig. S16.

## Free-electron transition radiation from a Gaussian electron beam

Without loss of generality, the derivation of the free-electron transition radiation from a Gaussian electron beam is provided in section S13. To enable practical applications, the intensity of free-electron Brewster-transition radiation should be further enhanced, for example, by exploiting an electron beam with a suitable current density, instead of a single moving electron. Once the current density is relatively large [e.g., in the order of kiloampere (47)], the corresponding theoretical analysis may use the multiparticle model with the consideration of the space charge and nonlinear effects (via some numerical methods, such as COMSOL or CST simulation) to ensure the accuracy of calculation. Despite the increased complexity when considering these effects, we can conclude from Fig. 4A that the emergence of free-electron Brewster-transition radiation is not sensitive to the variation of electron's velocity. In addition, the experimental observation of free-electron Brewster-transition radiation should use a high-vacuum environment [e.g., with the vacuum maintained at the level of  $10^{-4}$  to  $10^{-3}$  Pa (47)]. To maintain this vacuum level, all samples should be carefully prepared, for example, by using nonventing materials. From this perspective, the gain slab composed of negative-resistance components or solid-state gain media might be more suitable than that composed of optically pumped dye molecules.

## Supplementary Materials

This PDF file includes:

Supplementary Methods

Sections S1 to S13

Figs. S1 to S16

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