## **Original research**

# **BMJ Open** Invasive pneumococcal disease, pneumococcal pneumonia and all-cause pneumonia in Hong Kong during the **COVID-19 pandemic compared with the** preceding 5 years: a retrospective observational study

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#### ABSTRACT

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# **Objectives** To compare the incidence and severity of

invasive pneumococcal diseases (IPDs), pneumococcal pneumonia and all-cause pneumonia during the COVID-19 pandemic period with universal masking and social distancing with that of previous 5 years.

Design Retrospective observational study on incidence of IPDs, pneumococcal pneumonia and all-cause pneumonia between January 2015–December 2019 and March 2020– March 2021. January-February 2020 was excluded from analysis as it was treated as a transitional period between normal time and pandemic.

Setting Episode-based data by retrieval of hospitalisation records from the Hospital Authority's territory-wide electronic medical record database in Hong Kong. **Participants** Hospitalised patients with IPD (n=742), pneumococcal pneumonia (n=2163) and all-cause pneumonia (including COVID-19 pneumonia, n=453 999) aged 18 years or above. Control diagnoses were included to assess confounding from health-seeking behaviours.

Primary and secondary outcomes Primary outcome is the incidence of diseases between two periods. Secondary outcomes include disease severity surrogated by length of stay and mortality.

**Results** Monthly average number of IPD, pneumococcal pneumonia and all-cause pneumonia hospitalisation significantly decreased by 88.9% (95% CI 79.8% to 98.0%, p<0.0005), 72.5% (95% CI 65.9% to 79.1%, p<0.0005) and 17.5% (95% Cl 16.8% to 18.2%, p<0.0005), respectively. Changes in trend from January 2015-December 2019 to March 2020-March 2021 were -70% (95% CI -87% to -35%, p=0.0025), -43% (95% CI -59% to -19%, p=0.0014) and -11% (95% CI -13% to -10%, p<0.0005), respectively. Length of stay for IPD and pneumococcal pneumonia episodes were insignificantly different in the two periods. No reductions in hospitalisations for control diagnoses were observed. Conclusions Incidence of IPD, pneumococcal pneumonia and all-cause pneumonia decreased during the COVID-19 pandemic. This was observed with universal masking and

# Strengths and limitations of this study

- ► A territory-wide study with near 100% practice of universal masking and wide practise of social distancing.
- Other factors including temperature and air quality were also included in our analysis.
- Disease severity of invasive pneumococcal disease, pneumococcal pneumonia and all-cause pneumonia was compared between the two periods.
- Data from private sector (<10%) were not included in our study.
- The causal relationship cannot be ascertained from this retrospective study.

social distancing. We postulated this is related to reduced transmission of respiratory viruses and bacteria.

#### **INTRODUCTION**

COVID-19 due to SARS-CoV-2 infections was first reported in late 2019.<sup>1</sup> It became a global pandemic and was declared as a public health emergency of international concern on 30 January 2020 by the WHO.<sup>2</sup> As of 22 September 2021, more than 229 million people were infected, causing more than 4.7 million deaths globally.

Pneumococcal disease is caused by Streptococcus pneumoniae infection, with at least 100 known serotypes of pneumococci.<sup>3</sup> Pneumococcal disease can be roughly divided into non-invasive disease and invasive disease. Non-invasive disease includes sinusitis, acute otitis media and pneumonia. Invasive pneumococcal disease (IPD) is defined as isolation of S. pneumoniae from a normally sterile sites, including blood and cerebrospinal fluid.<sup>4</sup> IPD is a notifiable disease in Hong Kong since

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9 January 2015. Pneumococcal infection is a major cause of morbidity and mortality worldwide,<sup>5</sup> with 1.6 million estimated deaths annually in 2005.<sup>6</sup> Incidence of IPD and mortality of pneumococcal pneumonia is higher at extremes of age.<sup>78</sup>

The first pneumococcal conjugated vaccine (PCV), Prevnar 7 (PCV7), was introduced to the USA in 2000 and incorporated in Hong Kong Childhood Immunisation Programme for children under 2 years old since September 2009.<sup>9 10</sup> In Hong Kong, PCV7 was replaced by the 10-valent and 13-valent PCVs (PCV10 and PCV13) in 2010 and 2011, respectively.<sup>11 12</sup> PCV13 was effective in preventing vaccine-type pneumococcal pneumonia, bacteraemia and non-bacteraemic community-acquired pneumonia.<sup>13</sup> Decline in incidences of all-cause pneumonia in children and the elderly was reported after implementation of PCV in childhood vaccination programme.<sup>1415</sup> The 23-valent polysaccharide vaccine (PPSV23) was effective in preventing pneumococcal pneumonia and reducing mortality from pneumococcal pneumonia in nursing home residents.<sup>16</sup> In our locality, the elderly aged 65 years and above without high-risk conditions, namely immunodeficiency or chronic cardiac, pulmonary, liver or renal disease, or diabetes mellitus, were recommended to receive either a single dose of PCV13 or a single dose of PPSV23. The number of PPSV23 vaccine recipients aged 65 years or above increased from 35 000 in year 2015/2016 to 81 700 in 2019/2020, with approximately 46% of the population in the age group cumulatively vaccinated in 2020.<sup>17</sup> Pneumonia is a common disease causing hospitalisation, accounting for 2.9% of all inpatient discharges and death in Hong Kong.<sup>18</sup> It is the second leading cause of death with age-specific death rates increased markedly after age 65 years.<sup>12</sup>

Whether patients with COVID-19 are more susceptible to pneumococcal superinfection is still under debate. Superinfection of pneumococcal in patients with COVID-19 was reported.<sup>19</sup> Yet, low frequency of bacterial coinfection in patients with early COVID-19 was also observed.<sup>20 21</sup> There is limited evidence on the incidence and severity of IPD, pneumococcal pneumonia and allcause pneumonia during COVID-19 pandemic, especially in areas where universal masking and social distancing were widely practised. Since January 2020, universal masking in public area was voluntarily performed by Hong Kong citizens against COVID-19. Cross-sectional telephone self-reported surveys by the Hong Kong Public Opinion Research Institute showed the percentages of those wearing masks were 74.5% on 20-23 January 2020 (n=1008), 97.6% on 5-20 February (n=10 405) and 98.9% on 4-19 March (n=15 739).<sup>22</sup> On 23 July 2020, masking was mandatory in public areas upon the introduction of Prevention and Control of Disease (Wearing of Mask) Regulation (Cap. 599I). Social distancing measurements were voluntarily practised by the public and have been implemented by the government since 25 January 2020. These public health interventions were shown to associate with relatively low rate of COVID-19 and early termination

of influenza season in Hong Kong.<sup>23</sup> Our previous studies suggested universal masking and social distancing were associated with significant reduction in acute exacerbation of chronic obstructive pulmonary disease and asthma in Hong Kong.<sup>24 25</sup> In the current study, we hypothesised that the aforementioned COVID-19-related public health interventions and reduction in respiratory virus activities would be associated with reduction in hospitalisation due to pneumococcal infections and pneumonia in general.

#### **MATERIALS AND METHODS**

A retrospective study assessing the numbers of IPD, pneumococcal pneumonia and all-cause pneumonia which required hospitalisation during the period of COVID-19 in Hong Kong, comparing with that in the preceding 5 years as a baseline, was conducted. The study period was 1 January 2015–31 March 2021 with exclusion of 1 January 2020–28 February 2020 from analysis, when public health practices such as mask wearing were not yet fully adopted.

## **Data source**

Episode-based data were obtained by retrieval of hospitalisation records from the Hospital Authority's territorywide electronic medical record database, Clinical Data Analysis and Reporting System (CDARS), which provides 90% of inpatient hospital care service in Hong Kong.<sup>24</sup>

Diagnosis code of pneumococcal pneumonia (International Classification of Diseases-9 [ICD-9] code 481) was searched on CDARS. IPD is a notifiable disease since January 2015 with monthly number notified cases and age obtained from the Department of Health, Hong Kong Special Administrative Region (HKSAR). Monthly number of influenza virus detection was collected from a territory-wide laboratory surveillance for both inpatients and outpatients in both public and private medical sectors from the Centre for Health Protection (CHP), HKSAR.<sup>17</sup>

All-cause pneumonia including the following ICD-9 codes for viral, bacterial, tuberculous, fungal and unspecified pneumonia, pneumonia due to inhalation of food or vomitus and lung abscess were obtained from CDARS (ICD-9 codes 003.22, 055.1, 0.1160-1.1166, 112.4, 115, 117.3, 480, 481, 482, 483.1, 483.8, 485, 486, 487, 507.0, 513). Patients with COVID-19-associated pneumonia were included in the all-cause pneumonia dataset. The contribution of COVID-19 within all-cause pneumonia was queried using ICD codes for COVID-19 disease (ICD-9 codes 079.89, 480.8, 519.8). Demographics data (including sex, age), clinical data (including hospitalisation date, length of stay, episode death, date of death) were collected. The results including admission number of various diseases were available in the CHP website and the Hospital Authority Statistics Report.<sup>17 26</sup>

## Inclusion/exclusion criteria

Patients (1) aged 18 years or above, and (2) hospitalised for the listed diseases (pneumococcal pneumonia, IPD and pneumonia) were included in the study. Children aged 0–17 years old were excluded in this study. Duplicated record of a single patient with different diagnoses in the same hospital admission was removed.

# **Other diagnoses**

The number of hospitalisations for other common medical and surgical conditions including acute kidney injury (AKI) (ICD-9 codes 580, 584), fracture hip (ICD-9 code 820) and peritonitis due to peritoneal dialysis (PD peritonitis) (ICD-9 code 996.68) was collected. These were included to evaluate the possibility of decrease in hospital attendance due to various reasons such as fear of COVID-19 infection in hospital. These diseases were selected as non-communicable diseases and have minimal interactions with environmental factors including mean ambient temperature and air pollution.

# **Other variables**

Monthly mean ambient temperature was obtained from the Hong Kong Observatory.<sup>27</sup> Air quality was measured by Air Quality Health Index (AQHI), which is calculated based on the cumulative health risk attributable to a 3-hour moving average concentration of ozone, nitrogen dioxide, sulphur dioxide and particulate matter.<sup>28</sup> It was reported in a scale of 1–10 and 10+ and grouped into five health risk categories, namely low (1-3), moderate (4-6), high (7), very high (8-10) and serious (10+) with different precautionary actions advised. People with respiratory illness were advised to minimise their outdoor stay when AQHI was high to serious. The AQHI was reported hourly in 13 stations located in different areas of Hong Kong. The total number of hours with AQHI recorded as high to serious grades was expressed as percentage of the total number of hours collected in a month.

# **Statistical method**

The total number of hospitalisations due to IPD, pneumococcal pneumonia and all-cause pneumonia was collected and analysed. Each disease was further divided into three different age groups, including 18–49 years, 50–64 years, and 65 years or above for analysis.

Analysis was done on the number of hospitalisations between January 2015-December 2019, the period prior to COVID-19 (period 1) and March 2020-March 2021 (period 3), while January-February 2020 (period 2) was treated as a transition period and excluded from the analysis. Wilcoxon rank-sum test was first used to analyse the statistical significance of the number of hospitalisations between period 1 and period 3. Generalised linear models were then applied for statistical inference of various types of responses. Log-linear model was used for studying the effect of the pandemic as intervention under segmented regression framework in terms of the change in trend (ie, an increase or decrease in the level of the segment) between period 1 (pre-intervention segment) and period 3 (post-intervention segment) on the monthly hospitalisation count. Regression coefficients of log-linear model can be interpreted as the effect due to pandemic

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by log-linear model.<sup>29</sup> We assumed temporal dependence can be adjusted by the effect of the pandemic, including masking, social distancing and other behavioural changes, and climate-related variables including temperature and AQHI.

Generalised linear model was used for comparing the mortality rate of individuals between the two time periods.<sup>30</sup> Hospitalisation length of stay (LOS) was described in days using median and SD. Statistical inference of the LOS in hospitalisation of individuals was done by Wilcoxon rank-sum test with OR and relative risks and CIs calculated.<sup>29</sup>

Monthly incidence rate for each disease was calculated using the total population followed by age group stratification (18–49 years, 50–64 years and  $\geq$ 65 years) and expressed as number per 100 000 person-years. Age-specific population data were obtained from the Census and Statistics Department.

# Patient and public involvement

Patients or the public were not involved in the design, or conduct, or reporting, or dissemination plans of our research.

# RESULTS

# Invasive pneumococcal disease

The total number of notified IPD episodes was 742 in the entire study, with 699 reported in period 1 and 17 reported in period 3. Pneumococcal serotypes for 684 of the 699 episodes in period 1, and 13 of the 17 episodes in period 3 were successfully determined. The proportions attributed to PCV13 serotypes were 66.5% (465 of 699) and 29.4% (5 of 17), respectively, for period 1 and period 3. Serotype 3 was the most common serotype in both periods, accounting for 41.3% (289 of 699) and 17.6% (3 of 17) in total, respectively. From period 1 to period 3, the monthly number of IPDs caused by PCV13 serotypes declined by 95.1% (95% CI 93.4% to 96.4%) while those for non-PCV13 serotypes declined by 83.0% (95% CI 78.8% to 86.5%), and those for PPSV23 serotypes declined by 94.1% (95% CI 92.3% to 95.5%). The small difference between decline in PCV13 and PPSV23 serotypes was mainly attributed to serotype 6A which was included in PCV13 but not in PPVS23.

Monthly number of IPDs peaked in January–February each year except in 2016, with the peak in April (figure 1A and online supplemental figure S1). Monthly average number of notified IPD episodes was  $11.7\pm6.2$  in period 1, with significant decrease to  $1\pm4.3$  in period 3 (p<0.0005). By log-linear model segmented regression, relative risk of IPD in period 3 was 0.85 (95% CI 0.75 to 0.96, p=0.0089) (online supplemental table S1). Further analysis on the relative risk of different age groups showed significant decline in relative risk of IPD in those aged  $\geq 65$  years (0.78, 95% CI 0.61 to 0.99, p=0.041). Estimated change in trend in the mean number of cases from period 1 to



**Figure 1** Trend analysis of monthly numbers of invasive pneumococcal disease (IPD), pneumococcal pneumonia, all-cause pneumonia and influenza in Hong Kong, January 2015–March 2021. Numbers of IPD were those obtained through mandatory notification. Numbers of pneumococcal pneumonia and all-cause pneumonia were territorywide hospitalisations by discharge diagnoses. Numbers of influenza viruses were those detected in respiratory specimens in a territory-wide laboratory surveillance. The two vertical lines delineated the time intervals from January 2015 to December 2019 (period 1, prior to COVID-19), January to February 2020 (period 2, excluded form analysis) and March 2020 to March 2021 (period 3, COVID-19 pandemic).

period 3 was -70% (95% CI -87% to -35%, p=0.0025) (figure 1A, online supplemental table S1).

Incidence rates per 100 000 person-years were 2.2 in period 1 and 0.2 in period 3, with an interval reduction of 88.9% (95% CI 79.8% to 98.0%, p<0.0005). Incidence rate ratio between period 1 and period 3 was 0.11 (95% CI 0.07 to 0.18, p<0.0005) (table 1). IPD is most prevalent in age  $\geq$ 65 years. The magnitude of reduction in incidence rate was similar across different age groups, ranging from 81.3% to 93.5% (p<0.0005 for all age groups) (table 1).

The overall median LOS for IPD was 12 (IQR 16) days in period 1 and 12 (IQR 11) days in period 3 (table 2), with statistically insignificant difference (p=0.89). Statistically insignificant variations in LOS of different age groups were observed.

#### Pneumococcal pneumonia

In the entire study period, there were 2163 episodes of hospitalisation for pneumococcal pneumonia, with 1970 episodes in period 1 and 119 episodes in period 3 (table 1). Monthly number of pneumococcal pneumonia peaked in January–February each year except in 2016, with the peak in April (figure 1B and online supplemental figure S1). The average monthly hospitalisation was  $32.8\pm9.9$  episodes in period 1, with significant drop to  $9.2\pm3.9$  episodes in period 3 (p<0.0005). By log-linear model segmented regression, relative risk of pneumococcal pneumonia was 0.90 (95% CI 0.86 to 0.95, p<0.005) in period 3. Trend analysis revealed a change of -43% (95% CI -59% to -19%, p=0.0014, figure 1B, online supplemental table S1) from period 1 to period 3. Age group analysis showed similar change in trend for all

age groups, with statistical significance in age  $\geq 65$  years (-41%, 95% CI -61% to -12%, p=0.010) from period 1 to period 3 (online supplemental table 1 and online supplemental figure 2).

Overall, the incidence rate of hospitalisation for pneumococcal pneumonia decreased significantly by 72.5% (95% CI 65.9% to 79.1%, p<0.0005), from 6.2 per 100 000 person-years in period 1 to 1.7 per 100 000 person-years in period 3. Incidence rate ratio between period 1 and period 3 was 0.28 (95% CI 0.23 to 0.33, p<0.0005) (table 1). Incidence rate per 100 000 person-years showed statistically significant reduction in all age groups, ranging from 73.0% to 82.5%.

Majority of the patients with pneumococcal pneumonia were treated with inpatient care. The total numbers of patients treated as outpatients and discharged from emergency department were 30 in period 1 and 0 in period 3.

The overall median LOS for pneumococcal pneumonia in period 1 and period 3 were 9 (IQR 14) days and 12 (IQR 17) days in all age groups (p=0.051) (table 2). Age group stratification showed insignificant changes in LOS in those aged 18–49 years (p=0.8051) and those aged  $\geq 65$ years (p=0.226). Increase in LOS from 9 (IQR 15) days to 13 (IQR 20) days was observed in age group 50–64 years, and was statistically significant (p=0.019). Mortality rates for all ages were 13.1% (95% CI 11.7% to 14.8%) in period 1 and 22.7% (95% CI 15.5% to 31.3%) in period 3 (p=0.0187). Mortality rate was only statistically significant in age group 50–64 years (p=0.0007) but not in age group 18–49 years (p=0.9917) and age group  $\geq 65$  years (p=0.246) (table 2).

#### All-cause pneumonia

In the entire study period, total hospitalisation episodes for all-cause pneumonia was 453 999, of which 372 660 episodes in period 1 and 67 474 episodes in period 3. Among the 67 474 patients in period 3, 331 were diagnosed with COVID-19 and pneumonia. Monthly number of all-cause pneumonia peaked in January-March each year (figure 1C and online supplemental figure S1). Mean monthly number of hospitalisations for all-cause pneumonia declined by 16.4% (95% CI 15.7% to 17.1%, p<0.0005) from 6211±845.0 episodes in period 1 to 5190.3±593.8 episodes in period 3 (p<0.0005) (figure 1C). Estimated change in trend in the mean number of cases in period 3 was -11% (95% CI -13% to -10%, p<0.0005). By log-linear model segmented regression, relative risk of all-cause pneumonia in period 3 compared with period 1 was 0.98 (95% CI 0.98 to 0.99, p<0.0005) (online supplemental table S1).

Overall, the incidence rate per 100 000 person-years was 1169.7 in period 1, with 17.5% (95% CI 16.8% to 18.2%, p<0.0005) reduction in period 3 to 964.5 per 100 000 person-years in period 3. Incidence rate ratio between period 1 and period 3 was 0.83 (95% CI 0.82 to 0.83, p<0.0005) (table 1). The incidence rate decreased in period 3 compared with period 1 in all age groups.

| Table 1 It      | icidence and      | d number per 100 000 persc                 | n-years of        | pneumococcal pneumonia, i   | nvasive pne       | umococcal disease (IPD) an                 | d pneumonia |  |
|-----------------|-------------------|--|-------------------|---|-------------------|--|-------------|--|
|                 | All ages          |  | 18-49 years       |   | 50-64 years       |  | ≥65 years   |  |
| Disease*        | No of<br>episodes | Incidence rate per<br>100 000 person-years | No of<br>episodes | Incidence rate per<br>100 000 person-years  | No of<br>episodes | Incidence rate per<br>100 000 person-years | No of cases | Incidence rate per<br>100 000 person-years |
| IPD             |                   |  |                   |   |                   |  |             |  |
| Period 1        | 669               | 2.2  | 125               | 0.7   | 195               | 2.2  | 379         | 6.2  |
| Period 2        | 26                | 2.4  | 5                 | 0.9   | 9                 | 2.0  | 15          | 6.6  |
| Period 3        | 17                | 0.2  | က                 | 0.08  | 8                 | 0.4  | 9           | 0.4  |
| %<br>reduction† |                   | 88.9% (79.8% to 98.0%)***                  |                   | 88.6% (66.8% to 110.4%)***  |                   | 81.3% (62.1% to 100.4%)***                 |             | 93.5% (82,2% to 104.8%)***                 |
| IRR‡            |                   | 0.11 (0.07 to 0.18)***                     |                   | 0.11 (0.04 to 0.36)***  |                   | 0.19 (0.09 to 0.38)***                     |             | 0.07 (0.03 to 0.15)***                     |
| Pneumococc      | al pneumonia      |  |                   |   |                   |  |             |  |
| Period 1        | 1970              | 6.2  | 217               | 1.3   | 435               | 4.9  | 1318        | 21.7                                       |
| Period 2        | 74                | 6.9  | Ħ                 | 2.0   | 14                | 4.7  | 49          | 32.4                                       |
| Period 3        | 119               | 1.7  | 80                | 0.2   | 24                | 1.2  | 87          | 5.9  |
| %<br>reduction  |                   | 72.5% (65.9% to 79.1%)***                  |                   | 82.5% (64.5% to 100.5%)***  |                   | 74.8% (61.0% to 88.6%)***                  |             | 73.0% (65.2% to 80.8%)***                  |
| IRR‡            |                   | 0.28 (0.23 to 0.33)***                     |                   | 0.18 (0.09 to 0.35)***  |                   | 0.25 (0.17 to 0.38)***                     |             | 0.27 (0.22 to 0.34)***                     |
| All-cause pne   | sumonia           |  |                   |   |                   |  |             |  |
| Period 1        | 372 660           | 1169.7                                     | 19 502            | 115.3   | 38 360            | 432.4                                      | 314 798     | 5177.1                                     |
| Period 2        | 13 865            | 1288.2                                     | 843               | 153.6   | 1448              | 484.6                                      | 11 574      | 5062.3                                     |
| Period 3        | 67 474            | 964.5                                      | 2473              | 69.3  | 6181              | 318.3                                      | 58 820      | 3958.0                                     |
| %<br>reduction  |                   | 17.5% (16.8% to 18.2%)***                  |                   | 39.9% (37.1% to 42.7%)***   |                   | 26.4% (24.3% to 28.5%)***                  |             | 23.5% (22.8% to 24.3%)***                  |
| IRR‡            |                   | 0.83 (0.82 to 0.83)***                     |                   | 0.60 (0.58 to 0.63)***  |                   | 0.74 (0.72 to 0.76)***                     |             | 0.77 (0.76 to 0.77)***                     |
| *P<0.05-0.01,   | **p<0.01-0.005    | , ***p<0.005.                              | -                 | -<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>-<br>- | -                 |  |             |  |

\*Period 1, January 2015-December 2019 (before COVID-19); period 2, January 2020-February 2020 (transition period); period 3, March 2020-March 2021 (post-COVID-19). †Percentage reduction in period 3 relative to period 1 as the baseline. ‡IRR between period 1 and period 3. IRR, incidence rate ratio.

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|                           | I UI SLAY ANU II | iortality rate of p | neurnococcar pr      | ieuriioriia, iriva | Isive prieuritococcai dise       | ase (IPD) and prie            |                        |                       |
|                           | Median ler       | igth of stay; day   | ys (IQR)             |                    | Mortality rate; % (95%           | 6 CI)                         |                        |                       |
|                           | All ages         | 18-49 years         | 50–64 years          | ≥65 years          | All ages                         | 18–49 years                   | 50-64 years            | ≥65 years             |
| IPD                       |                  |                     |                      |                    |                                  |                               |                        |                       |
| Period 1                  | 12 (16)          | 13 (19)             | 13 (17)              | 12 (13)            | 12.1 (8.8 to 16.1)               | 9.1 (3.4 to 18.7)             | 8.4 (3.7 to 15.9)      | 15.3 (10.3 to 21.4)   |
| Period 3                  | 12 (11)          | 4 (3)               | 16 (19)              | 12 (6)             | 6.3 (1.6 to 30.2)                | 0.0                           | 0.0                    | 14.3 (3.6 to 57.9)    |
| Pneumococcal pneumo       | nia              |                     |                      |                    |                                  |                               |                        |                       |
| Period 1                  | 9 (14)           | 7 (14)              | 9 (15) <sup>*</sup>  | 10 (14)            | 13.1 (11.7 to 14.8) <sup>*</sup> | 4.2 (1.9 to 7.7)              | 7.5 (5.3 to 10.5)**    | 16.3 (13.6 to 18.7)   |
| Period 3                  | 12 (17)          | 7 (13)              | 13 (20) <sup>*</sup> | 12 (16)            | 22.7 (15.5 to 31.3) <sup>*</sup> | 0.0                           | 29.2 (12.6 to 51.1)**  | 23.0 (14.6 to 33.2)   |
| All-cause pneumonia       |                  |                     |                      |                    |                                  |                               |                        |                       |
| Period 1                  | 6 (9)***         | 4 (6)***            | 6 (9)**              | 6 (10)             | 20.5 (20.4 to 20.6)***           | 5.3 (4.9 to 5.6) <sup>*</sup> | 13.0 (11.4 to 12.0)*** | 22.4 (22.2 to 22.4)** |
| Period 3                  | 6 (10)***        | 4 (8)***            | 6 (10)**             | 6 (10)             | 24.2 (24.9 to 25.6)***           | 6.8 (5.9 to 7.9) <sup>*</sup> | 16.1 (5.2 to 17.0)***  | 27.0 (26.6 to 27.3)** |
| *P<0.05-0.01, **p<0.01-0. | 005, ***p<0.005. | _                   |                      |                    |                                  |                               |                        |                       |

The overall median LOS for all-cause pneumonia episodes were 6 (IQR 9) days in period 1 and 6 (IQR 10) days in period 3 (p<0.005) (table 2). Different age groups showed decrease in LOS but were only statistically significant in age 18–49 years and 50–64 years. The mortality rate increased from 20.5% (95% CI 20.4% to 20.6%) in period 1 to 24.2% (95% CI 24.9% to 25.6%) in period 3 (p<0.005) for all-cause pneumonia. The increase in mortality rate was statistically significant in all age groups (table 2).

For subgroup of aspiration pneumonia, the total number of hospitalisations in the entire study period was 21 183, with 17 990 episodes in period 1 and 2684 episodes in period 3. Mean monthly numbers of aspiration pneumonia were  $299.8\pm31.3$  in period 1 and  $206.5\pm30.5$  in period 3 (p<0.0005).

# COVID-19

In period 3, the total reported case of COVID-19 infection at age 18 years or above was 10 348.<sup>15</sup> Among these patients, 331 were diagnosed with pneumonia. Majority of them were in the age group 65 years old or above (n=175), 58 of them were in age group 18–49 years, while 98 patients in age group 50–64 years. None of the patients were diagnosed with coinfection of COVID-19 and pneumococcal pneumonia.

# Influenza

The total number of influenza A or B viruses detected from January 2015 to March 2021 in Hong Kong was 123 732. The monthly number of influenza detection decreased drastically by 99.5% (95% CI 99.4% to 99.5%, p<0.0005) from 1966 $\pm$ 2179 in period 1 to 10 $\pm$ 18 in period 3 (figure 1D and online supplemental figure S1). The monthly average numbers of respiratory specimens tested were 4313 $\pm$ 1172 in period 1 and 3203 $\pm$ 1868 in period 3.

By log-linear model segmented regression, relative risk of influenza was 0.92 (95% CI 0.88 to 0.95, p<0.0005). Estimated change in trend in the mean number of detection in period 3 was -99.0% (95% CI -99.3% to -98.7%, p<0.0005) of that in period 1 (figure 1D).

# Other diagnosis: AKI, PD peritonitis and fracture hip

The monthly average numbers of hospitalisation episodes for AKI in period 1 and period 3 were  $807.8\pm87.5$  and  $911.7\pm62.6$ , respectively (p<0.0005) (figure 2). The monthly average numbers of hospitalisation episodes for PD peritonitis were 246.7±27.7 in period 1 and 255.9±31.9 in period 3 (p=0.23). The monthly average numbers of hospitalisation episodes for fracture hip were 432.9±53.1 in period 1 and 417.3±51.6 in period 3 (p=0.37).

# DISCUSSION

Hong Kong is a city with a population of 7.5 million where universal masking and social distancing were widely practised during the COVID-19 pandemic period. This study showed a drastic decrease in numbers of hospitalisation



**Figure 2** Admission numbers of fracture hip, acute kidney injury and PD peritonitis. PD peritonitis, peritonitis due to peritoneal dialysis.

for IPD and pneumococcal pneumonia and a lesser but still very significant decrease in all cause pneumonia after anti-COVID-19 measures in March 2020–March 2021.

During COVID-19 period, decrease in incidence of IPD was observed in Taiwan and Singapore.<sup>31 32</sup> The decrease in IPD in Hong Kong was greater in magnitude compared with other countries.<sup>33</sup> Our study added new information on the incidence and severity of IPD, pneumococcal pneumonia and all-cause pneumonia in terms of age stratification.

In our patients with COVID-19, there was no pneumococcal coinfection. This may partly be contributed by the infrequent investigation of pneumonia with pneumococcal urinary tests and PCR assays. In an Italian study of 469 patients with COVID-19, 9% was found to be positive for urinary pneumococcal antigen. However, the positive result had no impact on clinical outcome.<sup>34</sup> In another study that investigated the respiratory specimens of patients with COVID-19 using PCR assays, 60% were found to be positive for *S. pneumoniae* but it was unable to distinguish between colonisation and infection.<sup>35</sup>

Introduction of the current PCVs has been highly successful in reducing the incidence of pneumococcal diseases worldwide.<sup>36 37</sup> Vaccine efficacy of PCV13 against vaccine-type IPD in children aged ≤5 years was 86%-96%.<sup>38</sup> In adults aged  $\geq 65$  years, the vaccine efficacy against vaccine-type IPD was reported as 75% and against vaccine-type community-acquired pneumonia were reported as 45.6% and 72.8%, respectively.<sup>13 39</sup> In contrast, PPV23 only has vaccine efficacy of 24% against vaccine-type community-acquired pneumonia in those aged ≥65 years.<sup>40</sup> In Hong Kong, a marked reduction in vaccine-type IPD was observed in children few years after implementation of PCV in 2009.<sup>1012</sup> However, the indirect effect on adult IPD was not evident. The annual number of adult IPD hospitalisations remains static in period 1 (figure 1A). Universal masking and social distancing measures in Hong Kong were shown to have an association with decrease in the incidence of SARS-CoV-241 42 and influenza<sup>43</sup> during the COVID-19 pandemic. Our

study showed 88.9% reduction in incidence of adult IPD. The drastic decrease in incidence of IPD included both vaccine and non-vaccine types and was comparable and greater than the reported figure after introduction of pneumococcal vaccines.<sup>13 37</sup> IPD can lead to significant mortality and morbidity.<sup>44</sup> Our study showed decrease in incidence and trend of IPD and were statistically significant. The decrease in mortality of IPD during the COVID-19 pandemic was statistically insignificant, which can be contributed by the relative small sample size.

Incidence of all-cause pneumonia showed a much lower magnitude of decrease with the lesser decrease observed in those aged ≥65 years (online supplemental figure S2C). In our study, patients with diagnosis of pneumonia during the hospital stay were included. On review of data, majority of patients with pneumonia in age group 65 years or above had other comorbidities including dementia, diabetes mellitus and malignancy included in the same admission. The prevalence of chronic disease is higher in the elderly. The population of Hong Kong has been seeing an ageing trend and the population of 65 years old or above was 1 114 600 in 2015, and increased to 1 371 800 in 2020. The incidence of chronic disease, for example, malignancy increased by 38.1% from 2008 to 2018.<sup>17</sup> Patients with chronic diseases are at higher risk of acquiring infection including pneumonia. Moreover, chronic disease can be the cause leading to hospital admission with subsequent development of hospitalacquired pneumonia.

Pneumococcal pneumonia and IPD are debilitating diseases which have been shown to require long length of hospital stay and high hospital cost.<sup>45,46</sup> The LOS in pneumococcal pneumonia was slightly increased from 17.7 to 19.5 days but was statistically insignificant. Looking into different age groups, only patients aged 50–64 years old showed statistically significant increase in LOS, while patients in age group 18–49 years old and 65 years old or above showed slight decrease. The severity of pneumococcal pneumonia was comparable in the two periods.

Health-seeking behaviour was also evaluated in our study. Admissions of non-communicable diseases revealed either statistically insignificant decrease in hospital attendance or statistically significant increase in hospital attendance. The incidence of AKI increased in our study from 807.8±87.5 in period 1 to 911.7±62.6 in period 3. Druginduced AKI is an important cause in Asia.47 Possible explanation can be due to the health-seeking behaviour of patients with intake of over-the-counter medication for mild diseases prior to seeking help from the hospital. Yet, the exact cause of increase in incidence of AKI should be investigated. Our study covered more than 1-year time for COVID-19 pandemic with a relatively stable number of hospital attendances. Hence, the decrease in incidence of pneumococcal pneumonia, IPD and all-cause pneumonia cannot be explained by health-seeking behaviour alone.

Collateral damages were observed during the COVID-19 pandemic,<sup>48 49</sup> some of which were contributed by the decrease in general medical services to concentrate

healthcare resources for the care of patients with SARS-CoV-2 and the prevention of possible viral spread. However, due to the relatively small number of COVID-19 cases in Hong Kong, provision of acute medical services was minimally disrupted. In our study, the data on admissions for fractures, AKI and PD peritonitis showed no decrease during the COVID-19 pandemic. Hence, the observed decrease in hospital admissions for pneumo-coccal pneumonia, IPD and all-cause pneumonia should not be artefactual.

#### Limitations

This is a retrospective observational study and the direct effect of universal masking, social distancing (eg, closure of schools, bars and pubs) and other strategies on pneumococcal pneumonia, IPD and all-cause pneumonia cannot be ascertained. However, our study covered a period of more than 1 year when infection controlrelated behavioural changes were made throughout, with a consistent observation of decrease in hospitalisation for these diseases seen. Other factors, namely ambient temperature and AQHI, that might have possible effect on the hospitalisation numbers, were also included in our analysis. However, the individual effects of universal masking, social distancing and other strategies cannot be evaluated separately. It is modelled by the effect of the pandemic as a whole in our study.

Our study focused on hospital hospitalisation numbers in the Hospital Authority. For IPD, the incidence was obtained from Central of Health Protection, HKSAR, which included data from both public and private hospitals. The LOS and mortality data of IPD were retrieved from the database in Hospital Authority. Admissions to private hospital or those who received outpatient treatment for pneumococcal pneumonia and all-cause pneumonia were not included in our study. However, Hospital Authority is the largest healthcare provider in Hong Kong.<sup>26</sup> Data from Hospital Authority are representative of the general epidemiology of Hong Kong.

#### CONCLUSIONS

The incidence of pneumococcal pneumonia, IPD and all-cause pneumonia decreased during COVID-19 pandemic compared with the data in previous 5 years. This was observed with widespread practise of universal masking and social distancing. While causality cannot be shown from our data, it is likely that the decrease could be attributed to universal masking and social distancing, which would have reduced the transmission of bacteria and viruses and related bacterial superinfection.

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