1 Dually charged polyamide nanofiltration membranes fabricated

2 by microwave-assisted grafting for heavy metals removal

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Ben-Qing Huang ^{a,b}, Yong-Jian Tang ^{a*}, An-Ran Gao ^a, Zuo-Xiang Zeng ^a,
 Shuang-Mei Xue ^c, Chen-Hao Ji ^c, Chuyang Y. Tang ^d, Zhen-Liang Xu ^{a*1}

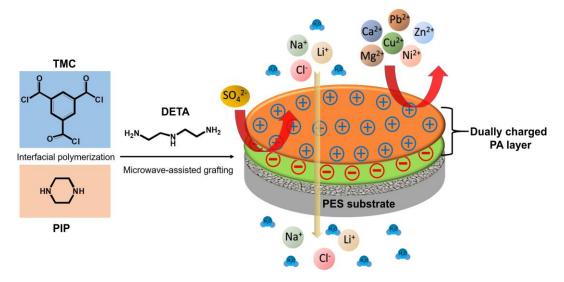
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- 7 ^a State Key Laboratory of Chemical Engineering, Membrane Science and Engineering
- 8 R&D Lab, Chemical Engineering Research Center, School of Chemical Engineering,
- 9 East China University of Science and Technology, 130 Meilong Road, Shanghai
- 10 200237, China
- 11 b Institute of Flow-Induced Corrosion and Intelligent Prevention and Control,
- 12 Changzhou University, Changzhou 213000, China
- 13 ° Institute for Advanced Study, Shenzhen University, Shenzhen 518060, China
- d Department of Civil Engineering, The University of Hong Kong, Pokfulam HW619B,
- 15 Hong Kong, China

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GRAPHIC ABSTRACT



^{*} To whom all correspondence should be addressed. Email: tangyongjian@ecust.edu.cn, chemxuzl@ecust.edu.cn.

- 1 ABSTRACT: Heavy metals pollution is an urgent problem that seriously hazards
- 2 human health and ecosystems. Herein, dually charged nanofiltration (NF) membranes
- 3 were prepared via a facile modification with diethylenetriamine (DETA) after
- 4 interfacial polymerization. More amine groups were imported after grafting and a
- 5 polyamide layer with opposite charges was constructed. The as-prepared membranes
- 6 had a pure water permeability of 17.0 L m⁻² h⁻¹ bar⁻¹ and maintained ideal rejection for
- 7 both Na₂SO₄ (98.2%) and MgCl₂ (94.1%), illustrating dually charged property. In
- 8 addition, the dually charged NF membranes had high rejection to a series of heavy
- 9 metals, showing good application potential in heavy metals removal.
- *Keywords*: Nanofiltration, Grafting, Dually charged, Heavy metals

1. Introduction

Heavy metals, typically like copper, lead, zinc, nickel, chromium, cadmium, and mercury, have specific gravities of greater than or equal to 4.0 [1] and are often present in high concentrations in wastewater of mining, smelting, electroplating, and chemical industries [2, 3]. If released into the environment, heavy metals can potentially pose huge threats to the ecosystem and human health [4]. Many heavy metals can bind to proteins and destroy their enzymatic activities, thus affecting biological/physiological activities and leading to chronic poisoning and even death [5]. Commonly methods of heavy metal removal from contaminated water include adsorption, chemical precipitation, ion exchange, and electrodeposition. Nevertheless, these methods often suffer deficiencies such as high cost in equipment and operation, low removal rate, detrimental metal sludge [6]. Therefore, developing new alternative technology is imperative.

Nanofiltration (NF) is a pressure-driven membrane technology increasingly used for wastewater treatment [7-9]. It separates solutes from water mainly through size sieving and electrostatic interaction. With suitable pore size and tailorable surface charge property, NF technology shows superiorities in separating small-molecular-weight pollutants and salts with characteristics of high efficiency, low expense, no chemical or thermal addition, and environmentally friendliness [10].

Surface charge plays a decisive role in ion sieving performance [11]. The commonly commercial NF membranes are polyamide (PA) membranes fabricated via interfacial polymerization (IP). The typical PA NF membranes, using piperazine (PIP) and trimesoyl chloride (TMC) for IP, are generally negatively charged and show high rejection to multivalent anions while low rejection to monovalent anions, thus offering selective removal of the former [12, 13]. On the other hand, the negatively charged NF membranes tend to have unsatisfactory performance in separating cations. For example, the commercial PA NF membrane NF270 only has a CaCl₂ rejection of 40-60% [9]. Positively charged NF membranes have been developed to achieve enhanced cation separation [14, 15]. The PA NF membranes prepared by IP with polyethyleneimine (PEI) and TMC were positively charged, showing good Mg²⁺/Li⁺ separating performance [16]. But the positively charged NF membranes usually sacrifice anion separation ability [17]. Therefore, the NF membranes with a single charge (negatively or positively) cannot achieve the requirement of purifying wastewater with complex composition of ions [18]. Dually charged NF membranes, having high divalent cations rejections and high

Dually charged NF membranes, having high divalent cations rejections and high divalent anions rejections concurrently, have become a new research category in the field of NF technology, and have a broad application prospect. The key to fabricating dually charged NF membranes is constructing oppositely charged separating layers. Alternative deposition of oppositely charged molecules on the substrate is a commonly used method to fabricate dually charged composite membranes [19, 20]. The charges and thickness could be adjusted expediently by the co-deposition times [21]. Fewer co-deposition times usually leads to a loose membrane structure that cannot meet the separation requirements [22]. Increasing co-deposition times makes the preparation process more complicated, and increases the operating cost accordingly. Controlling the amount of monomers involved in the IP process is also a common method to prepare dually charged NF membranes [23, 24]. The PA layer with opposite charges can be generated in a single IP process. Even though, the requirement of accurate control on fabricating parameters is high and increases the

- 1 industrialization difficulties. Thus, developing a simple and effective preparation
- 2 method of dually charged NF membranes is of great impendency.
- 3 Herein, a facile strategy to fabricate dually charged NF membranes based on the
- 4 IP process was presented. The commonly negatively charged PA membrane prepared
- 5 by IP with PIP and TMC was modified by diethylenetriamine (DETA) to construct an
- 6 oppositely charged PA NF membrane. The differences in chemical structure,
- 7 micromorphology, hydrophilicity, and charge of the fabricated NF membranes before
- 8 and after grafting were characterized in detail. A series of experiments were
- 9 conducted to evaluate separation ability. The potential for heavy metals removal of the
- 10 fabricated dually charged NF membranes were also evaluated.

2. Experimental section

- 12 *2.1 Chemicals and materials*
- Polyether sulfone ultrafiltration (PES UF) membranes, used as substrates for IP,
- 14 were provided by Hangzhou Water Treatment R&D Center (China), which molecular
- weight cutoff (MWCO) was 50,000 Da. Piperazine (PIP, 99.5%) was provided by
- 16 J&K Scientific Co., Ltd. (China). Trimesoyl chloride (TMC, 98%) and
- diethylenetriamine (DETA, 99%) were provided by Aladdin Bio-Chem Technology
- 18 Co., Ltd. (China). Inorganic salts (Na₂SO₄, MgSO₄, Li₂SO₄, NiSO₄, ZnSO₄, CuSO₄,
- 19 MgCl₂, NaCl, CaCl₂, LiCl, Cu(NO₃)₂, and Pb(NO₃)₂, AR), organic solvent (n-hexane,
- 20 AR), polyethylene glycol (PEG, CP), and high-purity KCl (≥99.9%) were provided by
- 21 Sinopharm Chemical Reagent Co., Ltd. (China).
- 22 2.2. Fabrication of NF membranes
- Before preparation, PES UF substrates were completely immersed with DI water
- 24 for at least 48 hours to remove the impurities thoroughly. Organic solution with 0.1
- 25 wt% TMC (n-hexane as solvent), aqueous solution with 0.1 wt% PIP, and aqueous
- 26 solutions with DETA concentrations of 0.005~0.05 wt% were prepared and
- 27 ultrasonicated for 30 min. Firstly, the PES UF membrane was suspended vertically
- and air-dried to remove water drops from its surface. Then the membrane was fixed
- 29 with Teflon frames to make sure that the chemicals only contacted with the upside of

the membrane in the subsequent operation. Secondly, the PIP solution (25 mL) was poured in the frames and immersed the top-surface. After contact of 3 minutes, this PIP solution was poured away, and the residual water drops were swept away with compressed air with 0.2 MPa. Next, 25 mL TMC solution was poured onto the membranes and removed 15 s later. Then the membranes were immersed with 25 mL DETA solution and contacted for 60 s. At last, the membrane was heat-treated using microwave (**Fig. S1**) for 1 min. The label of membrane sample was MDX where X represented the concentration of DETA (wt%), with the blank membrane without DETA grafting denoted as M0 (The preparation parameters of membranes were listed in **Table S2** in detail). The preparation process of NF membranes and possible reactions are shown in **Fig. 1**. All the prepared membranes were kept in DI water to remove unreacted chemicals until further tests and analysis.

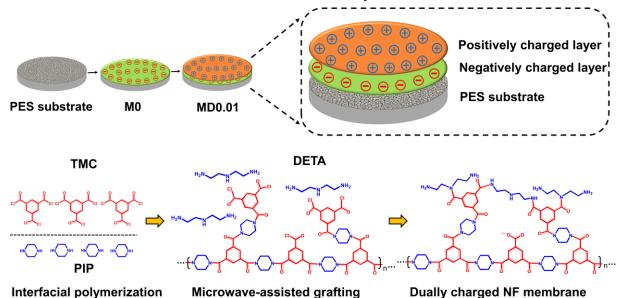


Fig. 1. Diagram and possible reactions of IP and DETA grafting process.

2.3. Characterization

A Fourier transform infrared spectrometer (FTIR, Magna-IR550, Nicolet, USA) with an attenuated total reflection (ATR) accessory and an X-ray photoelectron spectrometer (XPS, K-Alpha, Thermo Fisher, USA) with Al K α radiation (hv=1486.6 eV) as the X-ray source and a hemispherical energy analyzer was used to analyze chemical composition. The pass energy and resolution were 100 eV and 1 eV for wide scan mode and 50 eV and 0.05 eV for high resolution mode. A scanning electron

- 1 microscope (SEM, Nova Nano450, FEI, USA) with the electron energy of 3 kV and a
- 2 Helix detector was applied to observe micromorphology with a magnification of
- 3 50,000. An atomic force microscope (AFM, Multi 8, Bruker, Germany) was used to
- 4 analyze roughness and the testing area was 5 μm×5 μm. A contact angle meter
- 5 (JC2000D, Powereach, China) was applied to test pure water contact angle (WCA). A
- 6 streaming potential analyzer (SurPASS, Anton Paar, Austria) was used to evaluate the
- 7 charge property with 0.01 mol L⁻¹ KCl which pH was adjusted by 0.05 mol L⁻¹ KOH
- 8 and HCl.
- 9 2.4. NF performance test
- The as prepared NF membranes were tested using a lab-scale device with three
- 11 identical crossflow test cells. The tested membranes were circular with a diameter of
- 12 6.0 cm. Before testing, the device maintained stable operation under 5.0 bar for 30
- 13 min.
- The pure water permeability (PWP) was calculated with Eq. 1:

$$15 PWP = \frac{V}{A \cdot t \cdot P} (1)$$

- where V was the volume of permeating solution (L) with collecting time of t (h), A
- and P were the permeating area (m^2) and pressure (bar).
- The rejection was calculated with Eq. 2:

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$$R = \left(1 - \frac{c_P}{c_F}\right) \times 100\%$$
 (2)

- where C_P and C_F were the concentrations of solute in permeation and feed.
- 21 The salts concentrations were detected using a conductivity meter (DDS-11A,
- 22 INESA, China). The concentrations of PEGs were measured by a total organic carbon
- 23 analyzer (TOC, TOCVPN, Shimadzu, Japan). The concentrations of heavy metals
- 24 were tested with an atomic absorption spectrometer (4510F, INESA, China).

3. Results and discussion

26 3.1 Surface composition

- Fig. 2 shows the FTIR spectra. The peaks of 1440 cm⁻¹ and 3400 cm⁻¹ were
- 28 assigned to N-H in-plane bending and O-H stretching vibration [25]. The peak
- 29 appearing at 1652 cm⁻¹corresponded to the C=O stretching vibration of the amide

bond [26]. The FTIR results illustrates the formation of polyamide. The chemical composition of the membranes was analyzed using XPS. Fig. 3 and Table 1 show the XPS spectra and elemental composition of PES UF substrate, M0, MD0.005, and MD0.01. 4.7 % sulfur element (168.0 eV) was detected in PES substrate. After the IP process, the three NF membranes showed a new nitrogen peak (400.0 eV) but no sulfur peak. It confirms that a PA layer had been formed through the IP reaction and fully covered the substrate [27]. The N/O ratio of M0 is 0.72. Grafting DETA led to increased N/O ratios (0.79 for MD0.005 and 0.85 for MD0.01). Consistently, the deconvoluted peaks of C 1s of high resolution XPS spectra (Fig. 3b-d) had the greater percentage of C-N after grafting, which is attributed to the successful grafting of DETA onto the membrane surface [28, 29]. The XPS results demonstrated a positively charged layer had been successfully built after the grafting of DETA which can strengthen the rejection to divalent cations of the membranes. In addition, the percentage of N-C=O was increasing with the grafting, which indicated the greater crosslinking degree of membranes [28]. The N 1s spectra in Fig. S3 also illustrates the increment of N-C=O percentages. The membranes with higher crosslinking degree had smaller pore size which increased rejection to organics but sacrificed permeability.

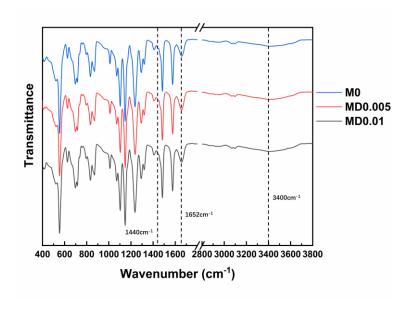


Fig. 2. FTIR spectra of NF membranes.

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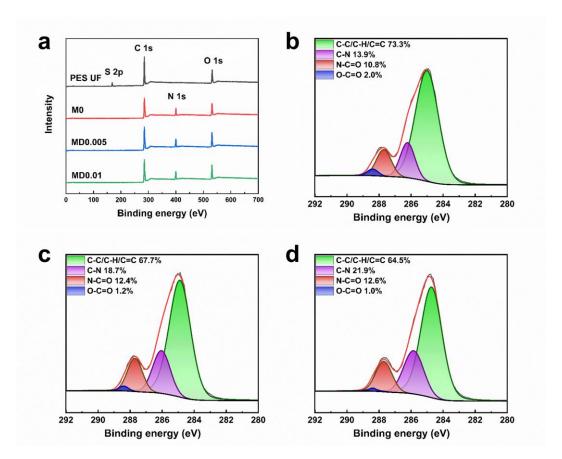


Fig. 3. (a) XPS spectra of PES UF substrate, M0, MD0.005, and MD0.01. C 1s spectra of (b) M0, (c) MD0.005, and (d) MD0.01.

Table 1 Elemental composition of PES UF substrate, M0, MD0.005, and MD0.01.

Sample		N/O motio			
	C 1s	N 1s	O 1s	S 2p	N/O ratio
PES UF	76.7±0.7	/	18.6±0.4	4.7±0.3	/
M 0	71.1±1.0	12.1±0.5	16.8±0.5	/	0.72 ± 0.05
MD0.005	69.5±0.9	13.5±0.4	17.0±0.4	/	0.79 ± 0.04
MD0.01	69.8±1.1	13.9±0.5	16.3±0.4	/	0.85 ± 0.05

3.2. Membrane morphology

SEM and AFM are commonly used and effective way to analyze morphology. Fig. 4a-d show the top-surface images of PES substrate, M0, MD0.005, MD0.01, and e-h are the corresponding cross-section images. The surface of the PES UF membrane and M0 (the typical polypiperazine-amide membrane) was relatively smooth, which is consistent with previous work [12]. After DETA grafting, circular protuberances can be observed on the membrane surface. Similar morphology had been observed on NF membranes prepared by grafting [27]. The unbalance in interfacial tension during the grafting leads to the shrinkage of the PA layer. Fig. 5 shows the AFM images and roughness of the UF substrate and NF membranes. The surface morphology and roughness results Ra and Rms (mean surface roughness and root mean square roughness) obtained by AFM were consistent with the SEM characterization.

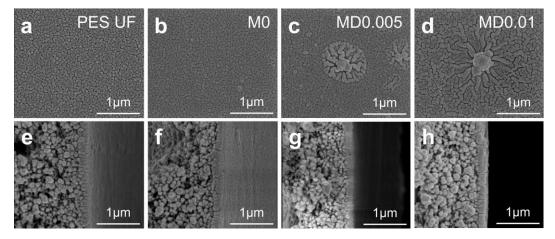


Fig. 4. SEM images of (a and e) PES UF substrate, (b and f) M0, (c and g) MD0.005, and (d and h) MD0.01.

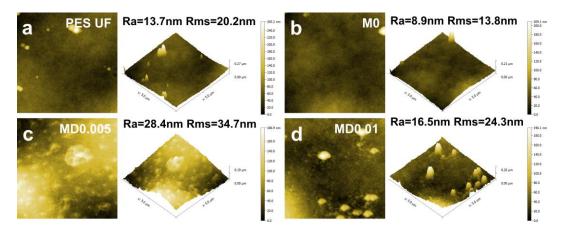


Fig. 5. AFM results of (a) PES UF substrate, (b) M0, (c) MD0.005, and (d) MD0.01 (testing area of 5μm×5μm).

3.3. Surface properties

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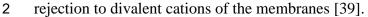
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Hydrophilicity and charge are the important surface properties that are closely related to the permeability, ion selectivity, and antifouling performance of NF membranes [30-32]. WCA and zeta potential are the commonly used methods to represent the hydrophilicity and charge, respectively [28, 33]. Fig. 6a shows the WCA of 0 s (measured at the moment of water drops contact with membrane surface) and after 120 s. The WCA decreased obviously from 0 s to 120 s because the water infiltrated with longer contact time [34]. All the PA NF membranes had better hydrophilicity than PES substrate on account of the difference of PES and PA in hydrophilicity [35]. The WCA of NF membranes with DETA grafting was smaller than M0. After grafting, more amine groups are introduced onto the membrane surface which enhances the hydrophilicity. In addition, the leaf-like venation increased the contact area with water, which tends to reduce the contact angle [36]. Fig. 6b shows the zeta potential of M0, MD0.005, and MD0.01 over a pH range of 4-10. With the test condition changing from acid to alkaline, the zeta potential decreased. The PA layer is positively charged with the amine groups protonated at low pH while negatively charged with amine and carboxylic acid groups deprotonated at high pH [37]. The isoelectric point (IEP) of M0, MD0.005, and MD0.01 were 3.84, 4.44, and 5.67. Generally speaking, higher IEP means that the membrane is more positively charged [38]. The DETA grafting introduces more amine groups to the

1 membrane surface, resulting in more positively charged surface and enhanced



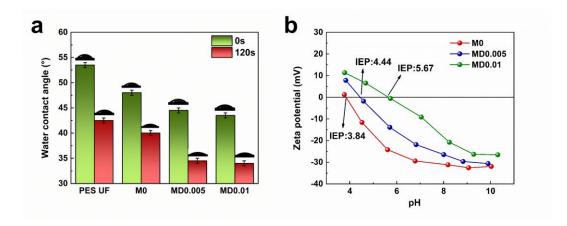


Fig. 6. (a) WCA of PES UF substrate, M0, MD0.005, and MD0.01. (b) Zeta potential of M0, MD0.005, and MD0.01 at pH value ranging of 4-10.

3.4. The effect of DETA concentration

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The membranes were fabricated with different concentrations of DETA. The PWP and rejections to different salts were tested and shown in Fig. 7. After grafting, the PWP decreased from 31.4 (M0) to 18.7 L m⁻² h⁻¹ bar⁻¹ (MD0.005). The DETA grafting makes PA layer further cross-linked according to the XPS results, thus the permeability decreases. With further increasing of DETA concentration, the PWP showed slight decline. M0 showed high rejection to Na₂SO₄, moderate rejection to MgSO₄, while low rejection to MgCl₂ and NaCl, which is typical for polypiperazine-amide membranes with a negatively charged PA separating layer. After DETA modification, the NF membranes maintained high rejection to Na₂SO₄. At the same time, the rejection of MgSO₄ increased to >96.5%. The MgCl₂ rejection also increased obviously (from 18.7% of M0 to 78.0% of MD0.005). With DETA concentration increasing to 0.01%, MgCl₂ rejection increased to 94.1%. Further increasing of DETA concentration had a negligible effect on MgCl₂ rejection. The grafting of DETA constructs a positively charged layer, thereby increasing the rejection of Mg²⁺. Fig. 8 shows the mechanism of the negatively and dually charged NF membranes for ions rejection. The negatively charged membranes like M0 only have a PA layer with a negative charge. Due to the Donnan effect, the divalent anions

can be resisted by the membrane but the divalent cations will transport across the membrane to a great extent [40]. The dually charged NF membranes have both a positively and negatively charged layer. The positively charged layer intercepts divalent cations while the negatively charged layer intercepts divalent anions, thus the membrane has good separating ability for both divalent cations and anions [18, 41].

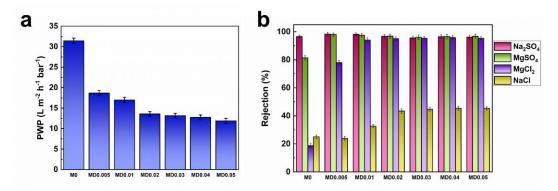


Fig. 7. (a) PWP and (b) Rejection to salts of M0 and membranes grafted with different concentrations of DETA (2000 ppm, 25 °C, 5.0 bar).

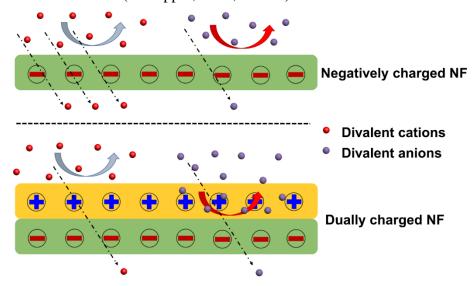


Fig. 8. Separation mechanism of the negatively and dually charged NF membranes.

3.5. NF performance

The permeability and rejection of MD0.01 to different salts were tested and shown in **Fig. 9a**. The rejection of MD0.01 to a series of inorganic salts was in the following order: Na₂SO₄ (98.2%) > MgSO₄ (97.5%) > Li₂SO₄ (96.3%) > MgCl₂ (94.1%) > CaCl₂ (82.6%) > LiCl (36.7%) > NaCl (32.7%). MD0.01 showed high rejection for divalent cations (Mg²⁺ and Ca²⁺) and divalent anions (SO₄²⁻) but low rejection to monovalent salts (LiCl and NaCl). Mg²⁺ has bigger hydrated radius than

Ca²⁺, so the rejection of MgCl₂ is higher than CaCl₂ [42]. The salts rejection results reflect the dually charged characteristic of MD0.01. The rejection of NF membrane to different PEG is often used to assess its pore size [43]. **Fig. 9b** showed the rejection of M0, MD0.005, and MD0.01 to PEGs. The rejection of MD0.005 and MD0.01 was higher than M0. The DETA reacted with acyl chloride groups and made a more cross-linked polymer structure, causing tighter membrane pores and thus increased rejection. The rejection of 90% is generally defined as the MWCO of NF membranes [28, 44]. The MWCO of M0, MD0.005, and MD0.01 are 357, 283, and 212 Da, respectively. The pore size is consistent with the result of crosslinking degree analyzed by XPS.

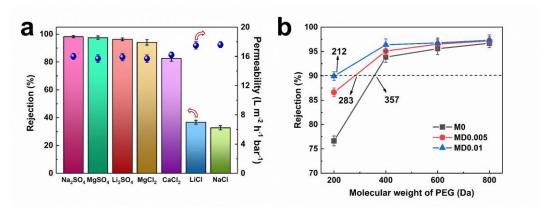


Fig. 9. (a) Rejection and permeability to different salts solution of MD0.01 (2000 ppm, 25 °C, 5.0 bar). (b) Rejection to PEGs with different molecular weight of M0, MD0.005, and MD0.01 (300 ppm, 25 °C, 5.0 bar).

3.6. Separation of heavy metals

The separation performance of M0 and MD0.01 to heavy metals was tested with 2000 ppm salts solutions. The rejection to 2000 ppm heavy metal are shown in **Fig. 10a**. M0 showed high rejection to NiSO₄(96.6%), ZnSO₄(96.8%), and CuSO₄(94.7%). The surface of M0 was negatively charged which had high rejection to divalent anions but low rejection to cations. So the rejection of Cu(NO₃)₂ and Pb(NO₃)₂ was low (53.2% and 44.7%, respectively). The rejection of MD0.01 to NiSO₄, ZnSO₄, CuSO₄, Cu(NO₃)₂, and Pb(NO₃)₂ were 94.8%, 94.5%, 92.9%, 89.0%, and 85.1%, respectively. The MD0.01 is dually charged, thus has high rejection to divalent cations, showing good separation performance for heavy metals. The long term test of MD0.01 with

- 1 different heavy metals were carried out and the results are shown in Fig. 10b. The
- 2 rejection remained stable during the 7-day test, demonstrating the stability of MD0.01.
- 3 Fig. 10c shows the PWP and rejection to heavy metals of MD0.01 and some NF
- 4 membranes in literature. The detailed testing conditions were listed in **Table S4**. The
- 5 dually charged MD0.01 has a high permeance and acceptable rejection to heavy
- 6 metals compared with the NF membranes in literature.

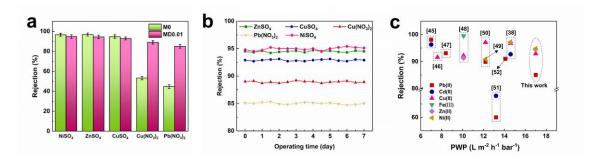


Fig. 10. (a) Rejection to different heavy metal salts of M0 and MD0.01 (2000 ppm, 25 °C, 5.0 bar). (b) The rejection to heavy metals of MD0.01 in long term running (2000 ppm, 25 °C, 5.0 bar). (c) The PWP and rejection to heavy metals of membranes

in literature and this work [38, 45-52].

4. Conclusion

In conclusion, the dually charged PA NF membranes were facilely fabricated via microwave-assisted DETA modification after IP process. The modification constructed a positively charged layer on the negatively charged PA layer by introducing more amine groups, resulting in higher hydrophilicity and IEP. After grafting, the as-prepared membranes had a good PWP (17.0 L m⁻² h⁻¹ bar⁻¹) and high rejection to both Na₂SO₄ (98.2%) and MgCl₂ (94.1%), which cannot be achieved by the conventional negatively charged PA NF membranes. In addition, the dually charged NF membranes had high rejection to a series of heavy metals, showing application potential in heavy metals removal.

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GRAPHIC ABSTRACT

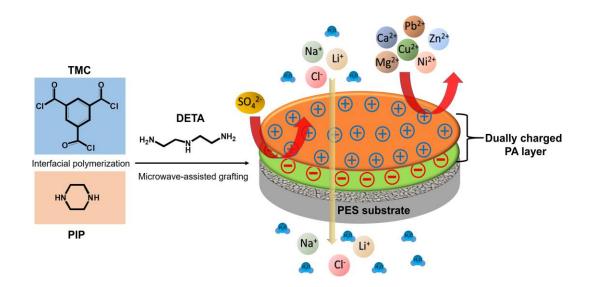


Fig. 1. Diagram and possible reactions of IP and DETA grafting process.

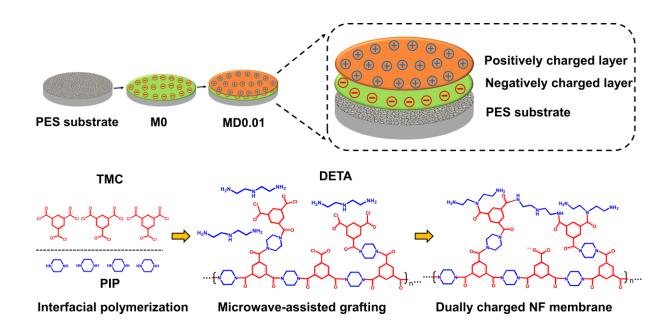


Fig. 2. FTIR spectra of NF membranes.

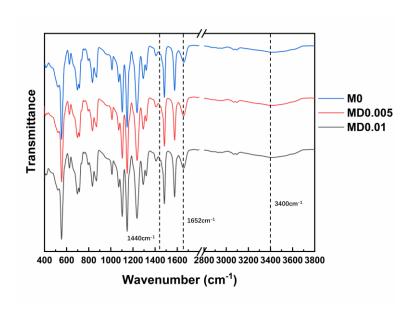


Fig. 3. (a) XPS spectra of PES UF substrate, M0, MD0.005, and MD0.01. C 1s spectra of (b) M0, (c) MD0.005, and (d) MD0.01.

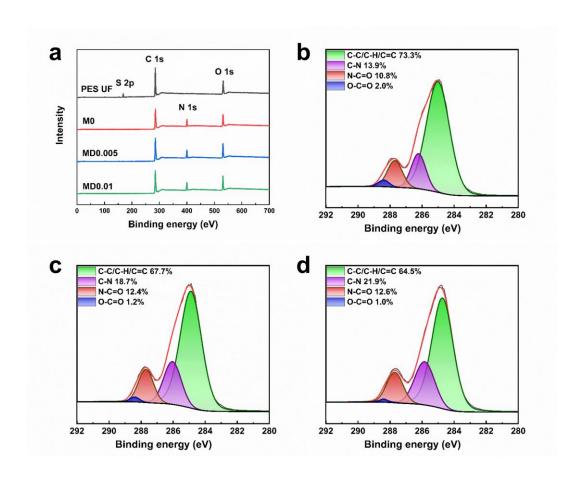


Fig. 4. SEM images of (a and e) PES UF substrate, (b and f) M0, (c and g) MD0.005, and (d and h) MD0.01.

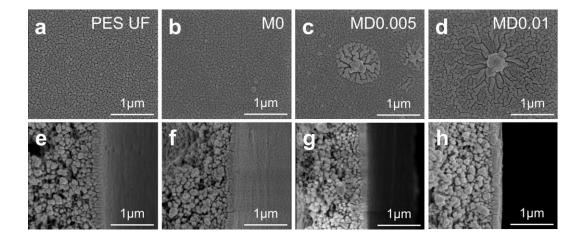


Fig. 5. AFM results of (a) PES UF substrate, (b) M0, (c) MD0.005, and (d) MD0.01 (testing area of $5\mu m \times 5\mu m$).

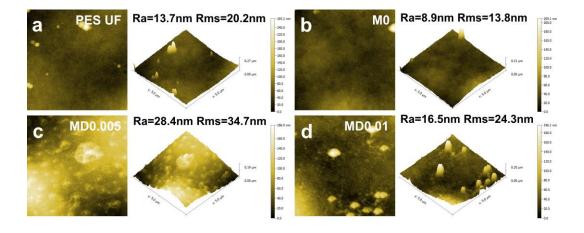


Fig. 6. (a) WCA of PES UF substrate, M0, MD0.005, and MD0.01. (b) Zeta potential of M0, MD0.005, and MD0.01 at pH value ranging of 4-10.

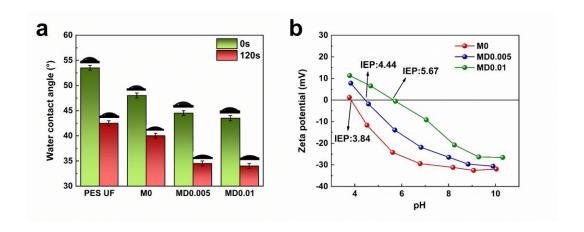


Fig. 7. (a) PWP and (b) Rejection to salts of M0 and membranes grafted with different concentrations of DETA (2000 ppm, 25 °C, 5.0 bar).

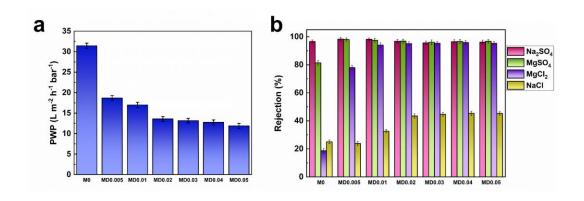


Fig. 8. Separation mechanism of the negatively and dually charged NF membranes.

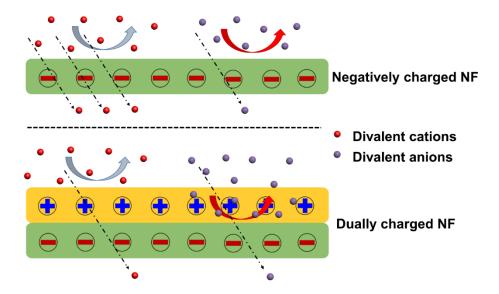


Fig. 9. (a) Rejection and permeability to different salts solution of MD0.01 (2000 ppm, 25 °C, 5.0 bar). (b) Rejection to PEGs with different molecular weight of M0, MD0.005, and MD0.01 (300 ppm, 25 °C, 5.0 bar).

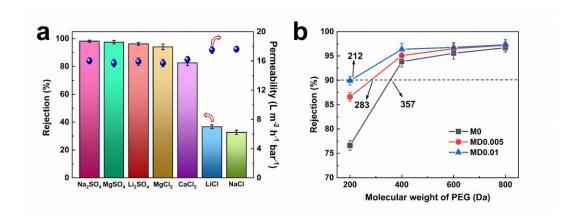
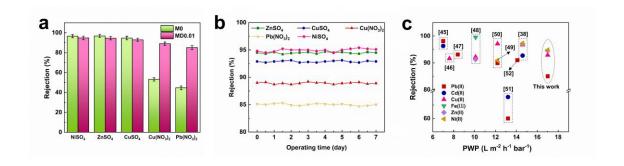


Fig. 10. (a) Rejection to different heavy metal salts of M0 and MD0.01 (2000 ppm, 25 °C, 5.0 bar). (b) The rejection to heavy metals of MD0.01 in long term running (2000 ppm, 25 °C, 5.0 bar). (c) The PWP and rejection to heavy metals of membranes in literature and this work [38, 45-52].



List of tables

Table 1 Elemental composition of PES UF substrate, M0, MD0.005, and MD0.01.

Table 1 Elemental composition of PES UF substrate, M0, MD0.005, and MD0.01.

Sample		N/O matic			
	C 1s	N 1s	O 1s	S 2p	N/O ratio
PES UF	76.7±0.7	/	18.6±0.4	4.7±0.3	/
M 0	71.1±1.0	12.1±0.5	16.8±0.5	/	0.72 ± 0.05
MD0.005	69.5±0.9	13.5±0.4	17.0±0.4	/	0.79 ± 0.04
MD0.01	69.8±1.1	13.9±0.5	16.3±0.4	/	0.85 ± 0.05