

1 **Fouling is the beginning: Upcycling biopolymer-fouled substrates for**  
2 **fabricating high-permeance thin-film composite polyamide membranes**

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20 **Abstract**

21 The recycling of end-of-life water purification membranes is of great significance for  
22 environmental sustainability. However, only techniques for downcycling end-of-life high-  
23 pressure membranes are available. Here, we propose to upcycle fouled microfiltration  
24 membranes for fabricating new high-pressure polyamide (PA) thin-film composite membranes  
25 via interfacial polymerization (IP). A cross-linked, defect-free, and ultrathin PA active layer  
26 was formed on biopolymer-fouled substrates. In contrast to the decreased pure water permeance  
27 of substrates caused by biopolymer fouling, the upcycled membranes show excellent water  
28 permeance ( $\sim 30 \text{ L m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$ ) and  $\text{Na}_2\text{SO}_4$  rejection ( $\sim 95\%$ ) in nanofiltration tests for water  
29 purification. The biopolymer foulants regulate the IP process and the formation of the PA layer.  
30 Furthermore, the foulants between the PA layer and substrate can create additional channels for  
31 water transport. The PA layer formation could also be achieved on real fouled microfiltration  
32 substrates. This proof-of-concept study paves the way for upcycling fouled/end-of-life low-  
33 pressure membranes to fabricate new high-pressure membranes for water purification, forming  
34 a closed eco-loop of membrane recycling.

35 **Keywords:** Membrane upcycling; closed loop; interfacial polymeriaztion; end-of-life  
36 membrane; polyamide.

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## 38 **1. Introduction**

39 Synthetic membranes, largely made of polymers <sup>1</sup>, are widely used in various industries, such  
40 as water purification <sup>2,3</sup>, bioprocessing <sup>4</sup>, and food processing <sup>5</sup>. Membrane separation is favored  
41 over other processes in many applications, due to its energy efficiency, simplicity,  
42 manufacturing scalability and small footprint <sup>1</sup>. However, commercial polymeric membranes  
43 are generally recognized to have low sustainability as membranes need to be replaced and  
44 abandoned after reaching the end of their life <sup>6,7</sup>, mainly resulting from irreversible membrane  
45 fouling <sup>8,9</sup>. By 2020, the global market for membrane components is estimated to reach \$20  
46 billion<sup>10</sup>, while nearly 30,000 tons of plastic waste will be correspondingly discarded in landfills  
47 every year worldwide <sup>11</sup>. With the increasing popularity of membranes in global industries (with  
48 an annual growth rate of 10.5%)<sup>10</sup>, the severely negative environmental impacts of the disposal  
49 of fouled/end-of-life membranes should be addressed. Sustainable fabrication approaches for  
50 membrane and support materials have been developed in recent years<sup>12-16</sup>. However, the recycle  
51 of the membranes to go after reaching end-of-life still remains a critical issue. Environmental  
52 engineers and material scientists have attempted downcycling end-of-life high pressure reverse  
53 osmosis/nanofiltration (RO/NF) membranes into NF/ultrafiltration (UF) membranes after  
54 appropriate treatment.<sup>17,18</sup> Nevertheless, for fouled/end-of-life low pressure membranes  
55 (MF/UF), how to recycle these membranes in an environmentally friendly and technically  
56 feasible way remains a great challenge.

57 For fouled/end-of-life membranes, biopolymers are one of the most important foulants.<sup>19,20</sup>  
58 Biopolymers such as polysaccharides, proteins, and humic acids cause fouling during the  
59 treatment of surface water by MF/UF processes.<sup>21,22</sup> Microbial extracellular polymeric  
60 substances (EPS) are the major contributor to membrane fouling in engineered membrane  
61 bioreactors.<sup>23,24</sup> In membrane separation of bioprocessing or food processing, those  
62 biopolymers, being the target products in the feed liquid, are also responsible for membrane

63 fouling<sup>25,26</sup>. In these typical scenarios, the biopolymers are thought to be unfavorable foulants  
64 causing a loss of permeance or inducing the end of life of a membrane. However, biopolymers  
65 are often also raw materials or natural modifiers used to fabricate environmentally friendly  
66 membranes.<sup>27-30</sup> Accordingly, can we utilize biopolymer foulants on a membrane surface (or in  
67 pores) as a possible novel biomaterial platform to further fabricate NF/RO membranes?

68 The gold standard for fabricating commercial RO and NF membranes is forming a polyamide  
69 (PA) layer on a porous substrate via interfacial polymerization (IP) and constructing a thin-film  
70 composite (TFC) structure.<sup>31-33</sup> Interestingly, recent studies have progressively highlighted the  
71 prospect of modifying substrates to fabricate high-performance TFC membranes.<sup>34,35</sup> The  
72 reported coatings on substrates (*e.g.*, tannic acid-Fe<sup>3+</sup> complexes<sup>36</sup>, polydopamine<sup>37</sup>, carbon  
73 nanotubes<sup>38,39</sup>, and graphene oxide<sup>40,41</sup>) have been proven effective for improving the quality  
74 of the PA layer and the separation performance of the TFC membranes. Inspired by this, we  
75 postulate that a biopolymer fouled MF/UF membrane can be a possible substrate for fabricating  
76 high-permeance NF/RO membranes.

77 Herein, for the first time, we upcycled various biopolymer-fouled MF substrates to directly  
78 fabricate TFC PA membranes via IP. The as-prepared TFC membranes on the biopolymer-  
79 fouled substrate showed a higher rejection rate and greater membrane water permeance  
80 compared to that on the control substrate. The mechanisms of biopolymer-fouled substrate-  
81 based PA membranes in enhancing NF performance were further elucidated. The feasibility of  
82 the upcycling strategy was evaluated on the real fouled MF substrates. This proof-of-concept  
83 study will pave the way for forming a closed eco-loop of membrane material recycling.

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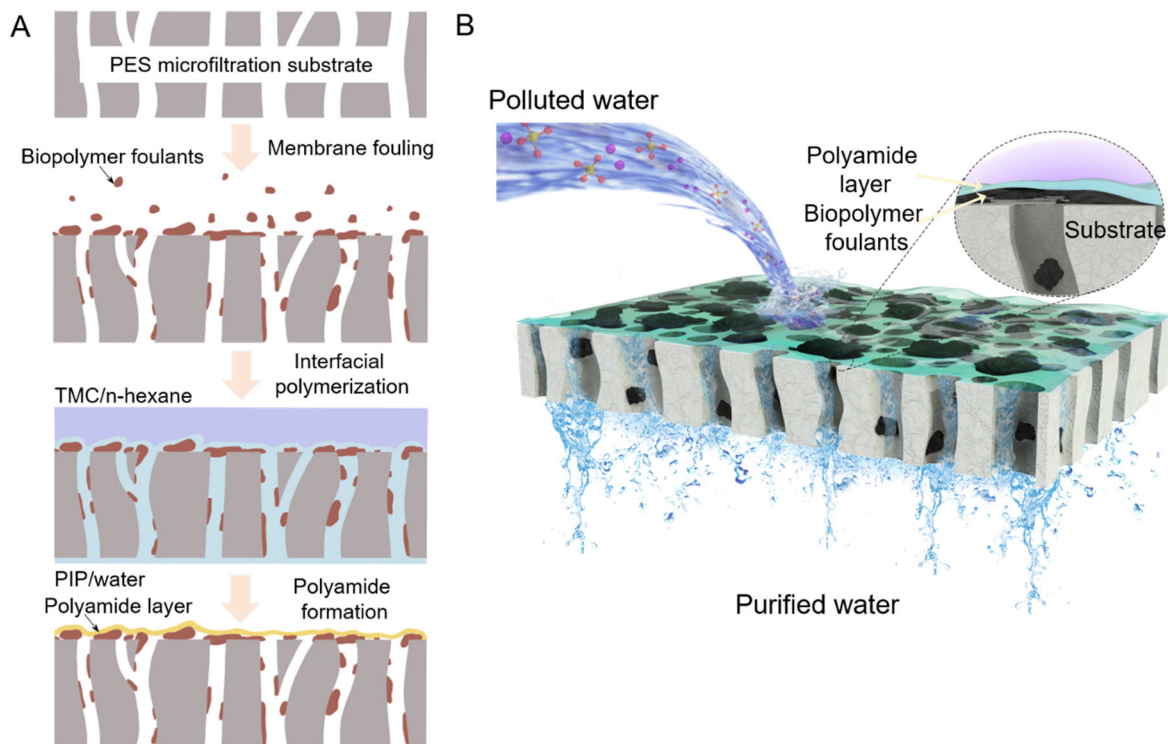
## 85 2. Experimental section

## 86 **2.1 Materials and chemicals**

87 Commercially available polyether sulfone (PES) MF membranes with a pore size of 0.22  $\mu\text{m}$   
88 as substrates were supplied by YiBo Co., Ltd. (China). The PES membranes were directly used  
89 without any pretreatment. All working solutions were prepared using Milli-Q water throughout  
90 the study, unless otherwise stated. Sodium alginate (SA) as the model polysaccharide foulant  
91 was purchased from Aladdin Chemical Co. Ltd. (China), while bovine serum albumin (BSA)  
92 as the model protein foulant and humic acid (HA) were obtained from Sigma-Aldrich (Figure  
93 S1). Piperazine (PIP, 99%), trimesoyl chloride (TMC, 98%), and *n*-hexane ( $\geq 98\%$ ) from  
94 Aladdin were used for interfacial polymerization to form the PA selective layer. Inorganic salts  
95 (NaCl, MgCl<sub>2</sub>, CaCl<sub>2</sub>, MgSO<sub>4</sub> and Na<sub>2</sub>SO<sub>4</sub>) and DMF for PES dissolution were also supplied  
96 by Aladdin. Citrate-stabilized GNP solution (average particle size of 5 nm) was purchased from  
97 BBI Solutions (UK). All chemicals were used as received.

## 98 **2.2 Preparation of biopolymer fouled substrates**

99 Microbial EPS was extracted from activated sludge collected from a municipal wastewater  
100 treatment plant (Figure S2). Each model biopolymer solution was prepared by dissolving 10  
101 mg/L SA, BSA, HA and EPS in Milli-Q water, respectively. PES MF membranes (12.56 cm<sup>2</sup>)  
102 were fouled by vacuum filtration of 80 mL solution of each model biopolymer foulant at 0.02  
103 MPa vacuum pressure (Figure 1A), followed by compaction using 100 mL water. For example,  
104 the SA fouled PES microfiltration membrane was fouled by solution of SA and denoted as SA-  
105 F (Table S1). The resulting density of each foulant on the PES MF membrane was measured  
106 by the total organic carbon (TOC) of the feed and permeate. The composition of EPS in the  
107 permeate was also determined by the anthrone method and modified Lowry method for the  
108 corresponding permeate.<sup>42</sup>



109

110 **Figure 1.** (A) Schematic illustrating TFC PA membrane fabrication on a biopolymer-fouled  
 111 substrate via interfacial polymerization; (B) Schematic of water purification using the upcycled  
 112 TFC PA membrane.

113

### 114 2.3 Fabrication of PA membrane on fouled PES substrates

115 The fabrication process of TFC PA membranes on fouled or control PES substrates is illustrated  
 116 in Figure 1A. TFC NF membranes were fabricated by forming a PA selective layer on top of  
 117 the control or fouled PES membrane via IP. The control or fouled PES substrates were first  
 118 framed and immersed in 0.05 wt/v % PIP aqueous solution for 2 min. After removal of excess  
 119 PIP/water solution, the surface of the substrate was exposed to 0.04 wt/v % TMC in hexane for  
 120 a 30 s IP reaction. After removing the excess TMC solution, the membranes were vertically  
 121 dried for 1 min, washed with *n*-hexane and then oven-cured at 60°C for 5 min to obtain TFC  
 122 PA membranes. The resulting membranes were stored in water at 4°C for further use. The

123 abbreviations of as-fabricated TFC PA membranes upcycled from biopolymer fouled substrates  
124 can be found in Table S1.

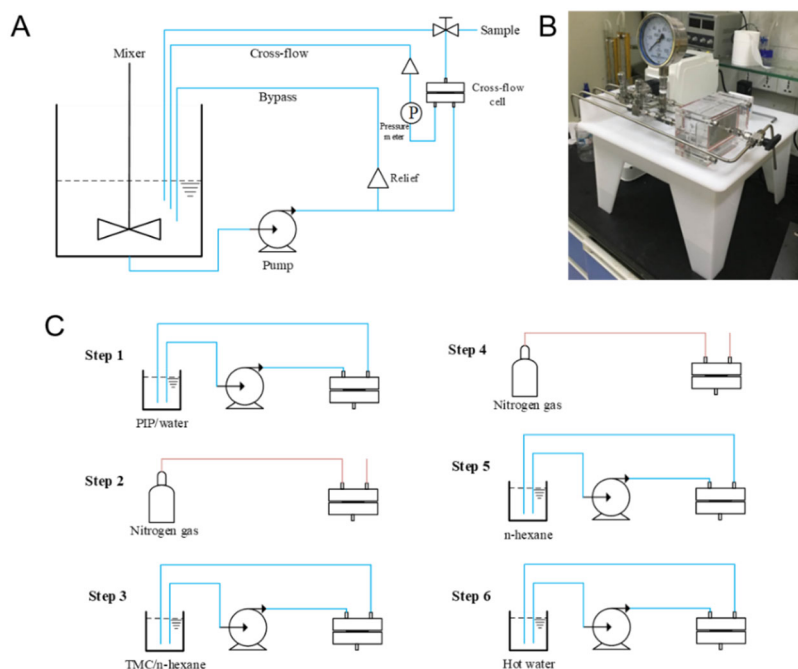
#### 125 **2.4 PA layer formation on real fouled MF substrates**

126 Real fouled MF membranes (TP7 in Figure S3, Shanghai Zizheng Environmental Technology  
127 Co., Ltd.), which were used as substrates for PA layer formation, were obtained from a large-  
128 scale membrane bioreactor in Langxia municipal wastewater treatment plant, Shanghai, China.  
129 The membranes were used for the treatment of municipal wastewater for nearly 4 years and  
130 exposed to several cleaning cycles during the operation. Before exposure to IP, the membranes  
131 were gently scoured by water and cut into membrane disks with diameters of 50 mm. The IP  
132 process on the real fouled substrates was nearly the same as those on artificially fouled  
133 substrates, except that three monomer concentrations (*i.e.*, 0.05 wt/v%, 0.20 wt/v% and 0.40  
134 wt/v% for PIP/water; 0.04 wt/v%, 0.16 wt/v% and 0.32 wt/v% for TMC/*n*-hexane, respectively,  
135 named as NF-real-hexane-1, -2 and -3) were applied. To modify the strategy based on green  
136 chemistry perspective, additional tests were conducted using *n*-heptane to replace *n*-hexane  
137 solvent while each monomer concentration for PA membrane fabrication was unchanged  
138 (corresponding to NF-real-heptane-1, -2 and -3, respectively).

#### 139 **2.5 In-situ preparation of upcycled TFC PA membranes in a cross-flow cell**

140 The in-situ preparation of upcycled TFC PA membranes was conducted in a cross-flow cell  
141 (Figure 2) throughout the whole fouling and IP process, during which only pipeline connection  
142 was changed. The PES substrate with an effective area of 50 cm<sup>2</sup> was fouled by 10 mg/L EPS  
143 in the experimental cross-flow set-up as illustrated in Figures 2A, B, until the water flux reached  
144 the value equal to that of the EPS-F. The cross-flow cell containing fouled substrate was then  
145 removed from the experimental set-up. The clean or cross-flow fouled PES substrates were  
146 exposed to 0.05 wt/v% PIP/water (20 mL) for 2 min (Step 1 in Figure 2C). After removal of  
147 excess PIP/water solution by nitrogen flushing (Step 2), the surface of the substrate was then

148 exposed to 0.04 wt/v% TMC/hexane (20 mL) for a 30 s IP reaction (Step 3). The excess TMC  
 149 solution was then removed by flushing of nitrogen gas again (Step 4). The membranes were  
 150 washed with 40 mL (8 L/m<sup>2</sup>) *n*-hexane (Step 5) and then treated by 20 mL (4 L/m<sup>2</sup>) 60°C hot  
 151 water for 5 min (Step 6) to obtain *in-situ* formed TFC PA membranes. The resulting membranes  
 152 were stored in water at 4°C for further use.



153  
 154 **Figure 2.** (A) Illustration and (B) photo of laboratory cross-flow filtration setup used for  
 155 membrane fouling; (C) Illustration of the in-situ formation process of upcycled TFC PA  
 156 membranes. Note that the substrate/membrane was fixed in the cross-flow cell throughout the  
 157 whole fouling and in-situ IP process, during which only pipeline connection was changed.

158  
 159 **2.6 Membrane characterization**

160 SEM images were taken with a Hitachi S-4800 field emission scanning electron microscope  
 161 (FESEM) at 5.0 kV. The pore size distribution on the surface of the substrates was evaluated  
 162 by analyzing the SEM images using Nano Measurer software. At least six SEM images (50-  
 163 100 counts for each SEM image) from three individual samples were analyzed to obtain the



164 pore size distribution and the average value. The water contact angle of the membrane surface  
165 was determined through sessile drop contact angle measurements at room temperature (OCA  
166 15 Plus, Data Physics GmbH, Germany). At least eight random locations were measured for  
167 each membrane coupon. The PIP uptake by clean or fouled substrates was measured using the  
168 weight change after immersion in an aqueous solution of 0.05 wt/v % PIP for 2 min.

169 AFM (Veeco NanoScope MultiMode III) was used to analyze the membrane surface  
170 roughness in peak force tapping mode, as well as the thickness of the PA active layer by imaging  
171 the isolated PA film. To isolate the PA thin film, a membrane coupon of  $\sim 1 \text{ cm} \times 1 \text{ cm}$  in size  
172 was placed onto a silicon wafer and the PES substrate and foulant (if any) were dissolved by a  
173 few drops of DMF. The specimen was further washed with DMF and dried in a 40 °C vacuum  
174 oven. Prior to AFM imaging, multiple parallel dents were scratched on the isolated PA films  
175 using a needle without damaging the silicon wafer. The hard native silicon surface required  
176 only minimal care to prevent scratching of the substrate<sup>43</sup>. In practice, it is therefore easy to  
177 ensure that the silicon wafer was not damaged after scratching, and the absence of scratches  
178 can be confirmed during AFM test. The thickness of the PA thin film was then determined by  
179 scanning the border region between the PA film and the silicon wafer. AFM images were  
180 processed using Nanoscope Analysis software.

181 X-ray photoelectron spectroscopy (XPS, PHI 5000 C ESCA System) analyses and attenuated  
182 total reflection Fourier transform infrared spectroscopy (ATR-FTIR, Thermo Scientific Nicolet  
183 iS5) were conducted to investigate the surface composition of PA TFC membranes. The zeta  
184 potential of the membrane surface was evaluated by an electrokinetic analyzer (SurPASS 3,  
185 Anton Paar) for solid surface analysis at pH=7 and 10.

## 186 **2.7 Nanofiltration performance test**

187 A laboratory-scale cross-flow membrane filtration apparatus with an effective area of 9.1 cm<sup>2</sup>  
188 was used to test the nanofiltration performance of upcycled TFC PA membranes.<sup>44</sup> A 10 L DI

189 water sample was recirculated for 4 h for membrane precompaction at 7 bar with a cross-flow  
190 velocity of 20.0 cm/s to stabilize the permeate flux. The feed solution temperature was kept  
191 constant at  $25.0 \pm 0.5^\circ\text{C}$ . The water flux and rejection rate of salts were measured at 6 bar. The  
192 water flux ( $J_w$ ), rejection of multiple salts ( $R$ ) and water permeance were evaluated according  
193 to the following procedures.

194 The water flux  $J_w$  is determined by Eq. (1).

$$195 \quad J_w = \frac{\Delta w}{\rho A \Delta t} \quad (1)$$

196 where  $\Delta w$  is the weight change of permeate during filtration time  $\Delta t$ ,  $A$  is the effective area of  
197 each cross-flow cell, and  $\rho$  is the density of the permeate. The rejection of multiple salts ( $R$ )  
198 was calculated based on the conductivity of the feed ( $C_f$ ) and permeate ( $C_p$ ) solutions (Eq. (2)).

$$199 \quad R = \left(1 - \frac{C_p}{C_f}\right) \times 100\% \quad (2)$$

200 Water permeance refers to the water flux per unit applied pressure, as shown in Eq. (3),  
201 where  $\Delta P$  is the applied pressure for the cross-flow filtration experiment.

$$202 \quad \text{Water permeance} = \frac{J_w}{\Delta P} \quad (3)$$

## 203 **2.8 Gold nanoparticle filtration tests**

204 GNPs are expected to closely follow streamlines and are useful markers for water transport  
205 pathways.<sup>31,45</sup> Since both the GNP and membrane surface were negatively charged in this study,  
206 the deposition of GNPs onto PA must be induced by the drag force. In the GNP filtration  
207 experiment, equal volume of GNP solution was used for filtration through membranes, which  
208 means that the final deposition amount of GNP on the membrane surface is equal. The only  
209 difference of GNP deposition on the membrane surfaces is the uniformity which depends on  
210 the water permeable sites on the membrane surfaces. Therefore, in this case, although the initial

211 water fluxes of various membranes are different, the distribution of GNP can effectively reflect  
212 the water permeable sites on the membrane surface.

213 GNP filtration tests were performed in a dead-end filtration Amicon cell (type 8050, effective  
214 area 13.4 cm<sup>2</sup>) without stirring. The applied pressure for precompaction (using pure water for  
215 30 min) was controlled at 5.0 bar, and the temperature was maintained at 25°C. Then, 40 mL  
216 of a dilute solution of GNPs in pure water ( $1.0 \times 10^{12}$  particles/mL) was carefully added into  
217 the test cell for filtration experiments until 10 mL of the solution was left. All tested membranes  
218 were dried at 45°C for 3 h under vacuum before preparing samples for projected area TEM.

219 DMF was used to dissolve the PES substrate and foulants, and the PA film was carefully  
220 transferred onto copper grids. Projected area transmission electronic microscope (TEM) images  
221 were acquired with a TF20 TEM (FEI, USA) at an accelerating voltage of 200 kV.

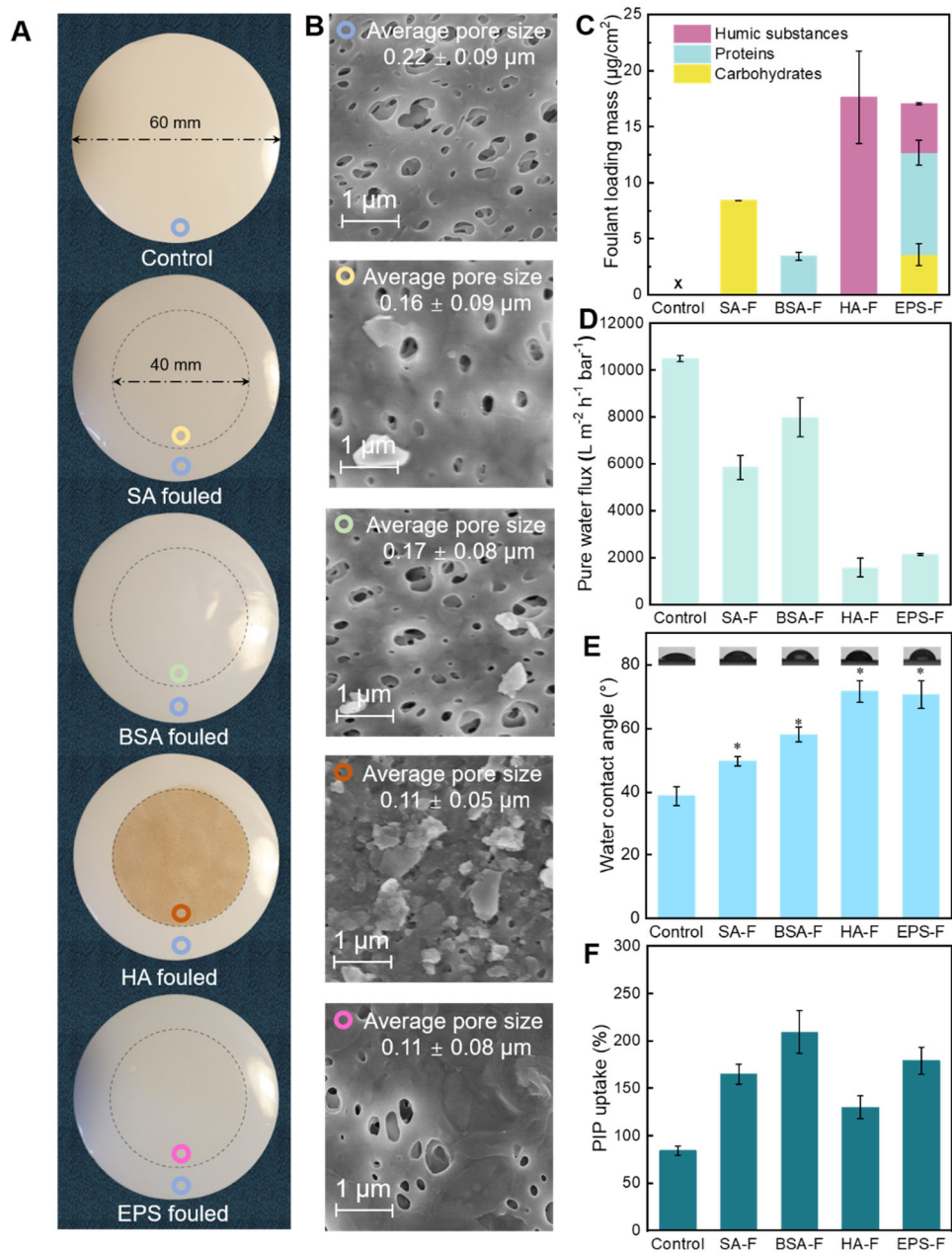
## 222 **3. Results & discussion**

### 223 **3.1 Microfiltration substrates fouled by different biopolymers**

224 The biopolymer-fouled substrates were prepared by fouling PES microfiltration membranes via  
225 vacuum filtration of solutions of different biopolymers, including SA, BSA, HA and microbial  
226 EPS. The microbial EPS were used to represent the real fouling conditions induced by the  
227 combined effects of various biopolymer foulants, e.g., carbohydrates, proteins, and humic  
228 substances<sup>28</sup>. The impact of membrane fouling on the surface morphology and properties of  
229 PES microfiltration membrane was investigated, since it could significantly affect the formation  
230 of the PA active layer via IP and the properties of TFC PA membranes.<sup>34,35</sup> SA and BSA fouling,  
231 which was not obvious by visual observation (Figure 3A), could be revealed by SEM with a  
232 typical gel-like structure (Figure 3B and Figure S4). The lowest loading mass was observed for  
233 the BSA-fouled membrane (Figure 3C,  $3.44 \pm 0.35 \mu\text{g}/\text{cm}^2$ ), which was ascribed to the  
234 relatively weak interaction between the functional groups of PES and BSA.<sup>46,47</sup> The weak

235 interaction, which may lead to delamination, can be neutralized by other adhesive foulants (e.g.,  
236 SA) in the real membrane fouling conditions. The quantity of HA deposited on the membrane  
237 surface was the largest ( $17.61 \pm 4.13 \mu\text{g}/\text{cm}^2$ ) compared with those of BSA and SA, resulting  
238 in stacking of HA particles on the membrane surface as observed by SEM. For microbial EPS  
239 that are primarily composed of carbohydrates, proteins and humic substances (rather than the  
240 single component of SA, BSA and HA)<sup>28</sup>, the fouling manner depends strongly on the dominant  
241 foulant component, which was protein (53.49%) in this case (Figure 3C). Therefore, a gel-like  
242 fouling structure was also observed for the EPS fouled substrate. The stability of biopolymer  
243 fouled substrates was evaluated by immersing membrane coupons into *n*-hexane (Figure S5),  
244 with results showing evidenced that the foulants on substrates were not removed during IP  
245 process.

246 The decrease in surface pore size (Figure 3B and Figure S6) for fouled substrates was  
247 inversely proportional to the increased loading mass. As expected, the pure water permeance  
248 (PWP) decreased significantly ( $p < 0.05$ ) for all fouled membranes (Figure 3D and Figure S7),  
249 especially for HA- and EPS-fouled membranes with a high foulant loading mass. Accompanied  
250 by fouling by HA or EPS solutions, the PWP through the HA- and EPS-fouled membranes  
251 decreased from  $\sim 10000$  to  $\sim 1500$  and  $\sim 2100 \text{ L m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$ , respectively. Interestingly, although  
252 the PWP of the HA- and EPS-fouled membranes were quite low compared with that of the  
253 control PES membrane and fell within the typical range of real fouled/end-of-life microfiltration  
254 membranes, they were still comparable with those of the UF membranes (typically  $200\text{-}2000 \text{ L}$   
255  $\text{m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$ ) widely used as typical supports for forming TFC PA membranes<sup>39</sup>.



256

257 **Figure 3.** Characterization of the biopolymer fouled microfiltration substrates. (A) Photo of the  
 258 biopolymer fouled substrates; (B) SEM images of the control and biopolymer fouled substrates;  
 259 (C) Loading mass of foulants on the biopolymer fouled substrates; (D) Pure water permeance  
 260 of microfiltration substrates before (control) and after biopolymer fouling, which was  
 261 determined at pressure of 0.9 bar; (E) Water contact angle characterization of surfaces of  
 262 different substrates; (F) PIP uptake by different substrates. The blue circle represents the clean

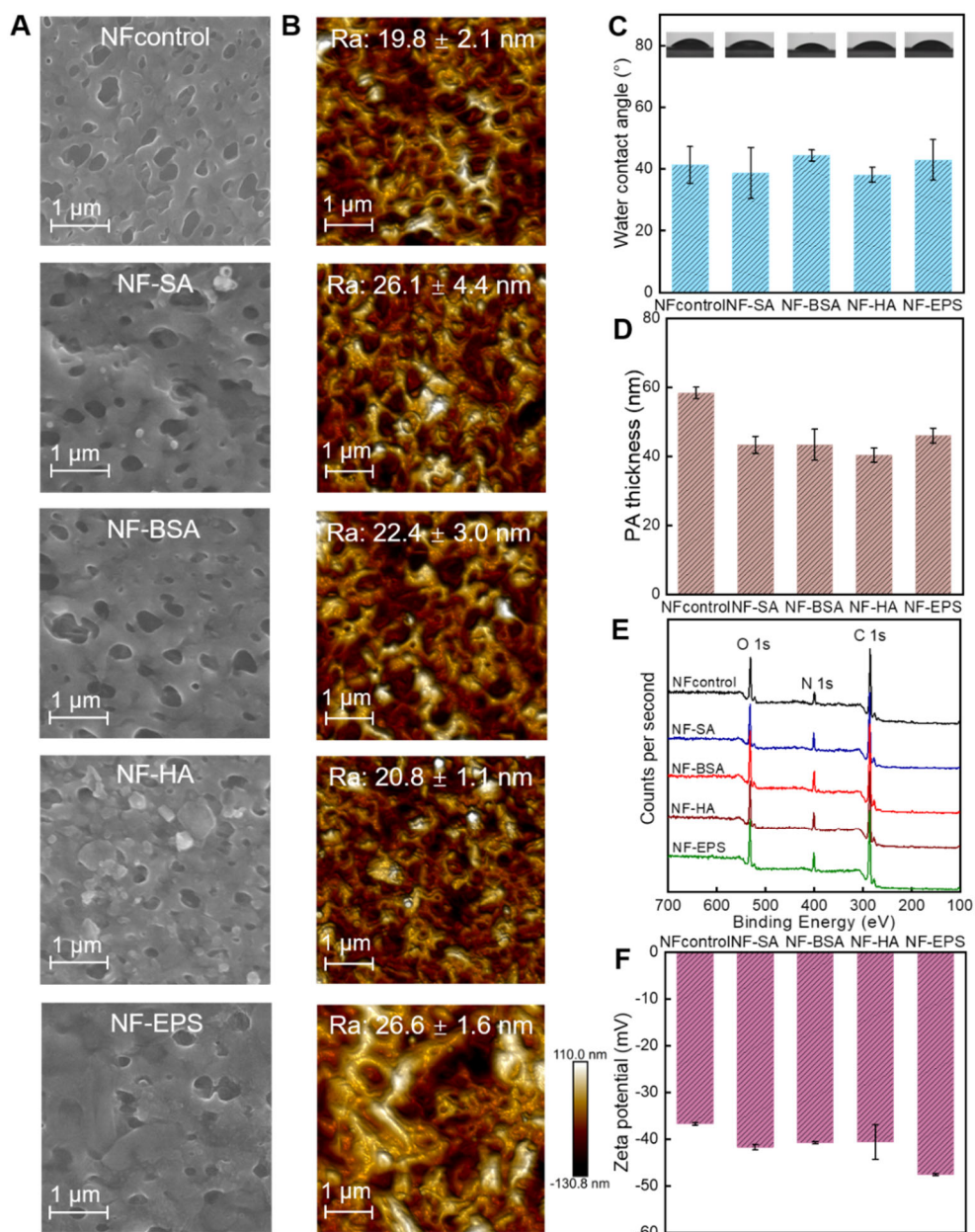
263 substrate, while the yellow, cyan, red and pink circles indicate the substrates fouled by SA,  
264 BSA, HA and EPS, respectively.

265 The change in surface properties by deposition of foulants was further supported by the larger  
266 water contact angles of the fouled membranes over that of the control PES substrate (Figure  
267 3E), suggesting a change in surface energy (polarity). This change was attributed to both the  
268 narrowed surface pore size, the increased surface roughness (Figure S8) and the functional  
269 groups of biopolymers deposited. Based on the results of the water contact angle, pore density  
270 and pore size, we could conclude that the low water flux of the biopolymer fouled membranes  
271 was caused by combination of these factors. We further measured the PIP uptake capacities of  
272 the control and fouled membranes (Figure 3F), which governed the actual quantity of PIP for  
273 reaction and the diffusion rate of PIP into *n*-hexane<sup>31</sup>. The PIP uptake increased with the  
274 presence of biopolymer foulants on/in the membranes (Figure 3F). The pH of 0.05 wt/v %  
275 PIP/water is measured to be 9.7, at which -COOH and -OH of biopolymer foulants are fully  
276 and partially dissociated, respectively, presenting a negative charge. They can easily attract the  
277 PIP with positively charged >NH group (at pH=9.7) by electrostatic interaction. The foulants  
278 in real cases are typically abundant with various functional groups including the above  
279 negatively charged groups<sup>19</sup>, which implies that the increased PIP uptake can also be achieved  
280 via electrostatic interaction or other forces<sup>48,49</sup>. The higher PIP uptake by biopolymers over PES  
281 substrates influenced the subsequent IP reaction due to the increased available monomer  
282 quantity and reduced diffusion rate of PIP, which resulted in formation of a more uniform,  
283 thinner and denser PA rejection layer<sup>36</sup>.

### 284 **3.2 Characterization of polyamide membranes upcycled from biopolymer fouled** 285 **substrates**

286 Typical IP processes were induced on the surface of the control and biopolymer fouled  
287 membranes via the reaction of PIP and TMC at the water-hexane interface. SEM

288 characterization of membranes after IP indicated the formation of ultrathin and smooth surfaces  
289 of PA for both control and upcycled NF membranes (Figure 4A and Figure S9). By comparison  
290 between cross-sectional SEM images of different substrates (Figure S4) and various TFC  
291 membranes (Figure S9), we concluded that the PA layer was formed on the substrates. The  
292 magnified SEM images (Figure S10) focusing on the pore-like structure further confirms that  
293 the PA layer covered and floated on the pores of the substrates. Despite the apparent difference  
294 in the surface properties of the substrate membranes, the roughness and water contact angle of  
295 the PA layer of the control and upcycled NF membranes were nearly identical (Figures 4B and  
296 C), even though the surface morphology underneath the PA layer of the NF membranes seemed  
297 to be different (Figures 3A and B). The average PA layer thicknesses of the NFcontrol, NF-SA,  
298 NF-BSA, NF-HA and NF-EPS, measured by an AFM probe (Figure S11 and 4D)<sup>50</sup>, were  $58 \pm$   
299  $2$ ,  $43 \pm 2$ ,  $43 \pm 4$ ,  $40 \pm 2$ , and  $46 \pm 2$  nm, respectively. The TFC membranes upcycled from  
300 fouled substrates had a thinner ( $p < 0.05$ ) PA layer than the membrane based on the control  
301 substrate. This suggested a decreased resistance to water transport, benefiting from the IP  
302 condition regulated by the biopolymer fouled substrates, which can be reflected by the  
303 previously observed changes of PIP uptake.



304

305 **Figure 4.** Characterizations of upcycled TFC PA membranes based on clean and biopolymer-  
 306 fouled substrates. (A) SEM images that exhibit thin PA layer formation on different substrates;  
 307 (B) AFM images of surfaces of TFC PA membranes, which were calibrated with a same color  
 308 scale; (C) Water contact angle characterization of surfaces of TFC PA membranes; (D) PA  
 309 thickness profile of different TFC membranes measured by AFM; (E) XPS survey spectra; (F)  
 310 Surface zeta potential of TFC PA membranes.

311



312 The XPS spectra of the five PA active layers, one formed on the control substrate and the  
313 others on the fouled substrates, suggested that they have the same chemical elements C, N, and  
314 O as an indication of the PA chemistry (Figure 4E and Table S2). No S (characteristic element  
315 of the PES substrate) was detected (the S2p peak is usually centered at approximately 154.0 eV)  
316 in these spectra, confirming a defect-free PA layer covering on the membrane surfaces. To  
317 quantify the chemical species within the structure, the O 1s and N 1s peaks of the XPS spectra  
318 were deconvoluted (Figure S12), and the detailed information on the chemical compositions of  
319 the PA active layer is summarized in Table 1. The core level O 1s spectrum demonstrated the  
320 dominance of the amide bond at ~530.5 eV (N-C=O) and the presence of the remaining  
321 unreacted acyl chloride groups on TMC which were eventually hydrolyzed into the carboxylic  
322 acid group at ~532.5 eV (O-C=O). The core level N 1s spectrum also confirmed the amide bond  
323 at ~399.5 eV (N-C=O) with a small contribution of unreacted imino group at ~398.0 eV (>NH).  
324 The density of carboxylic acid groups and unreacted imino groups in the PA active layer  
325 prepared on the fouled substrates was lower than that on the control substrate.

326 ATR-FTIR characterization (Figure S13) also confirmed the formation of amide group (C=O  
327 stretching by amide I at 1658 cm<sup>-1</sup>) in various membranes. The ATR-FTIR characterization can  
328 detect functional groups (several hundreds of nm) deeper than XPS characterization (several  
329 nm). Therefore, the amide group detected by ATR-FTIR can be attributed to the polyamide  
330 layer and possibly amides reacted from carboxyl groups of the foulant molecules and imino  
331 groups of PIP, which help stabilize the interaction between PA layer and foulant layers.

332

333 **Table 1.** Surface chemical components of polyamide NF membranes prepared from clean and  
334 fouled substrates.

Samples	O1s	N1s
---------	-----	-----

	Energy (eV)	Species	(%)	Energy (eV)	Species	(%)	Degree of network cross-linking (%)
NFcontrol	531.0	N-C=O	78.9	398.0	R>NH	10.0	12.1
	532.8	O-C=O	21.1	399.5	N-C=O	90.0	
NF-SA	530.7	N-C=O	86.3	397.5	R>NH	4.6	48.0
	532.6	O-C=O	13.7	399.5	N-C=O	95.4	
NF-BSA	530.5	N-C=O	83.8	398.0	R>NH	5.2	38.1
	532.3	O-C=O	16.2	399.7	N-C=O	94.8	
NF-HA	530.5	N-C=O	82.5	398.0	R>NH	8.1	28.1
	532.5	O-C=O	17.5	399.5	N-C=O	91.9	
NF-EPS	530.5	N-C=O	82.5	397.9	R>NH	8.8	24.3
	532.3	O-C=O	17.5	399.3	N-C=O	91.2	

335

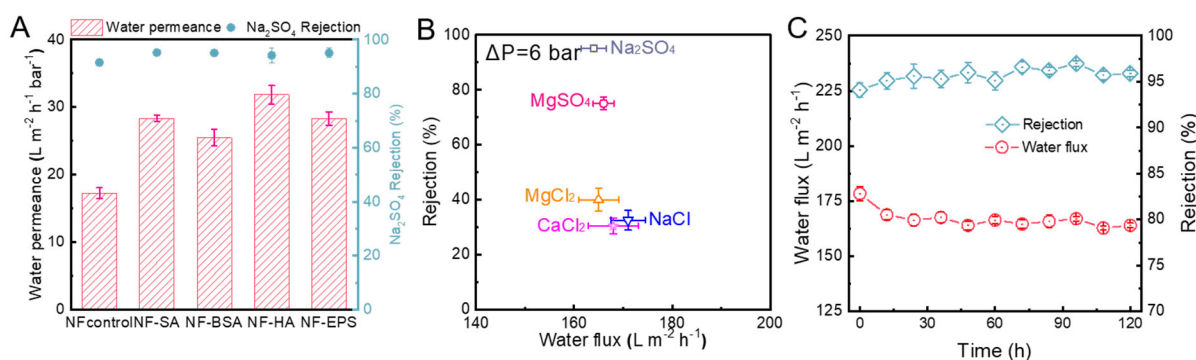
336 Although the use of very diluted monomers (*i.e.*, 0.05 wt/v% PIP/water and 0.04 wt/v%  
337 TMC/*n*-hexane) resulted in a relatively low cross-linking degree for the control membrane  
338 (Table 1, 12.1%), the presence of foulants on the membrane surface substantially increased  
339 ( $p < 0.05$ ) the degree of cross-linking of the PA layer, *e.g.*, 48.0% for the NF-SA membrane.  
340 This change was ascribed to the combined effect of optimization of the substrate surface  
341 properties and PIP uptake, which substantially affected the IP process<sup>36,37</sup>.

342 To further understand the layered structure of upcycled PA membranes, etching and XPS  
343 analysis were performed for obtaining the XPS depth profile at the atomic level (Figure S14).  
344 The NF-SA membrane was selected as the model upcycled PA membrane. We observed a  
345 decrease in the intensity of O 1s and N 1s with an increase of etching depth from 0 nm to 380

346 nm, while the sulfur peak showed a gradual increase (Figure S14A). The trends are more clearly  
 347 shown in the high-resolution O 1s spectra (Figure S14B), N 1s spectra (Figure S14C), and S 2p  
 348 spectra (Figure S14D). Analysis on the atomic concentration (O, N, S) and its differential with  
 349 depth further indicated a triple-layered structure for the NF-SA membrane (Figure S15).  
 350 Furthermore, the nitrogen can be detected even at foulant layer and skin of PES substrate, which  
 351 suggest a possible anchorage of PA layer into foulant layer and pores of PES substrates. This  
 352 ensures the structural strength of upcycled PA membranes.

353 The upcycled NF membranes showed a more negatively charged surface than the NFcontrol  
 354 (Figure 4F), not in accordance with the tendency of the results for the cross-linking degrees  
 355 (Table 1). This can be explained by the fact that the biopolymer foulants underneath the PA  
 356 layer are also negatively charged at pH = 7, possibly contributing to an increased overall net  
 357 negative charge. This means that the biopolymer foulant interlayer may also contribute, in the  
 358 aspect of Donnan exclusion, to the transport properties of the whole NF membrane, which is  
 359 beneficial for the rejection of divalent or multivalent ions.<sup>51</sup>

360



361 **Figure 5.** NF performance of the control and upcycled TFC PA membranes. (A) Water  
 362 permeance and  $\text{Na}_2\text{SO}_4$  rejection (salt concentration: 10 mM; applied pressure: 6 bar); (B)  
 363 Water flux and rejection of the NF-EPS membrane with respect to different salt solutions (salt  
 364

365 concentration: 10 mM; applied pressure: 6 bar); (C) Variation of flux and rejection of the NF-  
366 EPS membrane as a function of time (Na<sub>2</sub>SO<sub>4</sub> concentration: 10 mM; applied pressure: 6 bar).

367

### 368 **3.3 Efficient nanofiltration of upcycled TFC PA membranes**

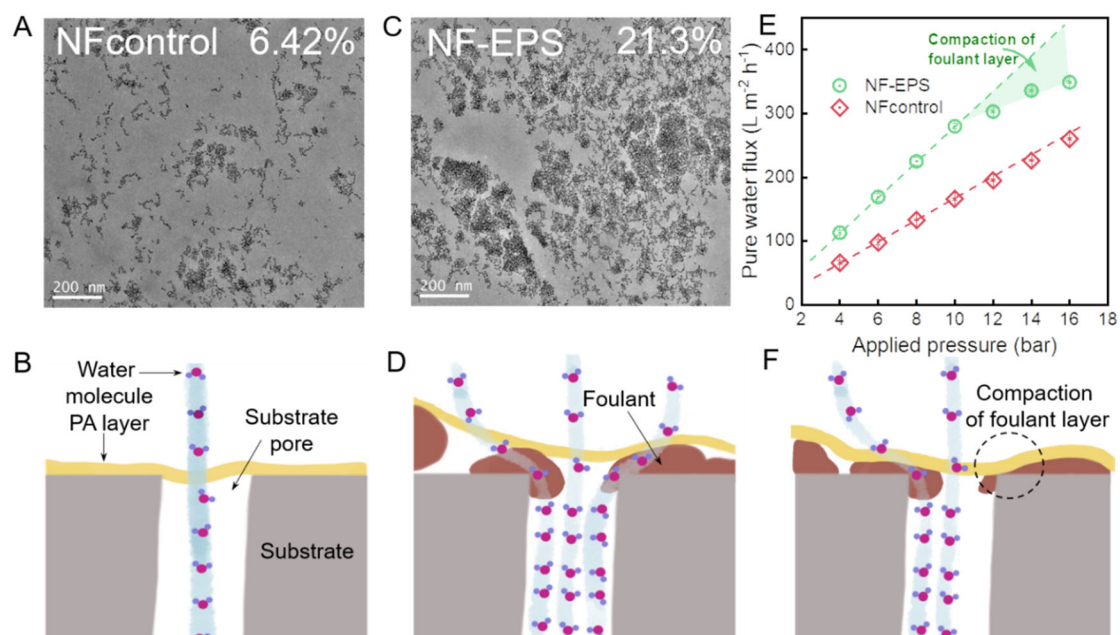
369 The NF performance of control and upcycled TFC PA membranes was tested in a laboratory  
370 cross-filtration system. Surprisingly, the upcycled PA TFC membrane exhibited higher ( $p < 0.05$ )  
371 water fluxes and Na<sub>2</sub>SO<sub>4</sub> rejection rates than the membrane based on a control substrate (Figure  
372 5A), in contrast to the decreased PWP of substrates with biopolymer fouling (Figure 3E).  
373 Specifically, the NF-HA membrane showed the highest water permeance of  $31.9 \pm 1.4 \text{ L m}^{-2} \text{ h}^{-1}$   
374  $\text{bar}^{-1}$ , which was approximately 2.0 times that of the NFcontrol membrane, even though the  
375 HA-fouled substrate had 85% decrease in PWP compared with that of the control substrate. The  
376 Na<sub>2</sub>SO<sub>4</sub> rejection rate of the HA-NF membrane (~94%) was also higher than that of the  
377 NFcontrol membrane (~91%). The NF-EPS membrane, as a practical verification case, also had  
378 improved water permeance ( $28.3 \pm 1.0 \text{ L m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$ ) and Na<sub>2</sub>SO<sub>4</sub> rejection (~95%). Combined  
379 with the improved NF performance of upcycled membranes based on SA-, BSA-, HA-fouled  
380 substrates, we can confirm the important roles of carbohydrates, proteins and humic substances  
381 in membrane formation and associated performance for NF-EPS membrane. These results  
382 evidently show that even fouled/end-of-life membranes with deteriorated water flux could be  
383 upcycled as substrates for fabricating high permeance PA TFC membranes.

384 The filtration performance of the NF-EPS membrane was evaluated for rejecting other salts  
385 (Figure 5B). While the NF-EPS membrane exhibited nearly similar permeating fluxes for all  
386 salts tested, the salt rejection rates for Na<sub>2</sub>SO<sub>4</sub> and MgSO<sub>4</sub> were much higher than those for  
387 MgCl<sub>2</sub>, CaCl<sub>2</sub> and NaCl due to the synergistic effect of strong Donnan exclusion and size  
388 sieving with the sulfate salts. We further evaluated the stability of the NF-EPS membrane

389 during a long-term cross-filtration test. In experiments with 10 mM Na<sub>2</sub>SO<sub>4</sub> feed solution and  
390 an applied pressure of 6 bar, no appreciable change in the permeating flux and salt rejection  
391 was observed after membrane compaction in continuous cross-filtration up to 120 h (Figure  
392 5C).

### 393 3.4 Mechanistic insights

394 The improved Na<sub>2</sub>SO<sub>4</sub> rejection rate of the TFC membranes based on fouled substrates should  
395 be mainly attributed to the increased cross-linking degree (narrowed pore size) and surface net  
396 negative charge (Figure 4F and Table 1). The larger pores and much higher permeance of the  
397 MF substrates compared to conventionally utilized UF membrane was one of the origins of the  
398 high permeance of prepared NF membranes. Moreover, for the increased water permeance of  
399 upcycled membranes over that of NFcontrol, the thinner PA layers formed on the biopolymer-  
400 fouled substrates could be another reason. We further hypothesized that the biopolymer foulants  
401 might also benefit the water transport through membranes by other mechanisms, *e.g.*, possibly  
402 via providing additional channels for water transport.



403

404 **Figure 6.** Mechanistic insights into the enhanced NF performance of the upcycled TFC PA  
405 membranes. (A, C) Projected area TEM images showing GNP deposition on the surfaces of the  
406 NFcontrol and NF-EPS membranes after filtration tests ( $1.0 \times 10^{12}$  particles  $\text{ml}^{-1}$ , 25 °C, 5.0  
407 bar), GNP percent surface area coverage is given in the upper right corner of each image; (E)  
408 Water flux of NFcontrol and NF-EPS membranes as a function of applied pressure; (B, D, F)  
409 Schematics of the water transport through PA layer of a clean substrate-based, an upcycled TFC  
410 and a compacted upcycled TFC membranes.

411

412 To verify this hypothesis, we adopted GNPs as probes in combination with TEM to visualize  
413 the spatial distribution of sites for water permeation in the PA layers of NFcontrol and NF-EPS  
414 (Figs. 6A and C). GNPs are expected to closely follow streamlines and are useful markers for  
415 water transport pathways<sup>31,45</sup>. Since both the GNP and membrane surface were negatively  
416 charged in this study, the deposition of GNPs onto PA must be induced by the drag force.  
417 Projected area TEM micrographs suggested that the deposition of GNPs was not uniform across  
418 the PA surface for both membranes. Nevertheless, the deposition of GNPs on the surface of the  
419 NF-EPS membrane was significantly different (more uniform,  $p < 0.05$ ) from that on the  
420 NFcontrol (Figures 6A and C, **Figure S16**). This provides visual evidence supporting the  
421 enhanced uniformity of water permeable sites and hence increased water transport channels in  
422 the PA layer of the upcycled NF membrane. The additional channels created by biopolymer  
423 foulants facilitated water transport from the PA film formed on the nonporous domain of the  
424 PES substrate to neighboring pores (Figure 6B and D). The effective area of the PA active layer  
425 for water transport was therefore increased due to the mediation by the foulant. Further, we  
426 confirmed that the surface foulants contributed positively to the improved water flux compared  
427 to the inner foulants (**Figure S17**).

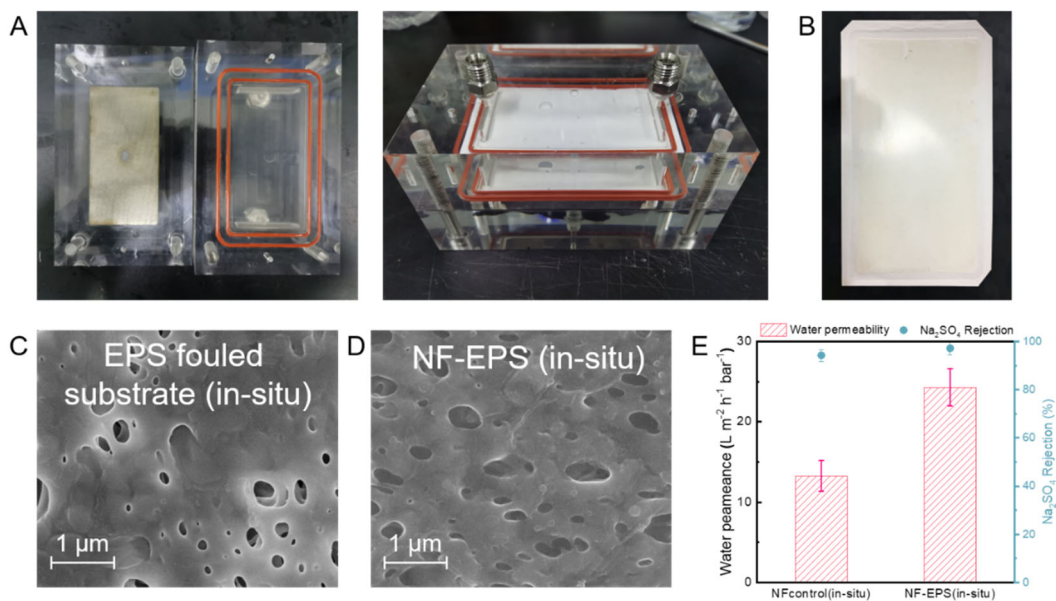
428 We then tested the pure water flux of NFcontrol and NF-EPS membranes as a function of  
429 applied pressure (Figure 6E). The water flux of NFcontrol increased linearly with the applied  
430 pressure, and similar trend was observed for NF-EPS membrane within the pressure range of  
431 4-10 bar. However, the increase rate of water flux of NF-EPS membrane decreased apparently  
432 as applied pressure exceeded 12 bar, inducing a green region in Figure 6E, which should be  
433 ascribed to the compaction of foulant layer by high pressure<sup>52,53</sup>. The compaction effect was  
434 further visually evidenced by SEM characterizations (Figure S18). These results further  
435 confirmed the mediation role of foulants (Figure 6F). The compaction can stabilize the  
436 composite structure of upcycled membranes, and the interaction between the polyamide layer  
437 and the foulant layer (mainly involves van der Waals force,  $\pi$ - $\pi$  conjugation and possible  
438 covalent bonds) also help ensure the interlayer adhesion. The compaction effect also implies  
439 that the rigidity of foulants should be considered in the future fabrication of upcycled TFC PA  
440 membrane.

441

### 442 **3.5 In-situ formation of the upcycled PA TFC membrane.**

443 To further highlight the feasibility of upcycling biopolymer-fouled MF membranes, in-situ  
444 formation of the upcycled PA TFC membranes was carried out in a laboratory cross-flow cell  
445 (Figures 7A, B). The cross-flow cell containing substrate/membrane was not deconstructed  
446 during entire fouling and IP process, only with change of pipeline connections. The SEM  
447 characterizations (Figure 7C, D) indicated that the membrane surface for in-situ (online)  
448 prepared NF-EPS showed no significant difference compared with the NF-EPS prepared in  
449 typical offline IP process. The separation properties of in-situ NFcontrol and NF-EPS  
450 membranes were evaluated in terms of water permeance and Na<sub>2</sub>SO<sub>4</sub> rejection (Figure 7E). The  
451 in-situ NF-EPS membrane showed a water permeance of 24 L m<sup>-2</sup> h<sup>-1</sup> bar<sup>-1</sup> and a 97% rejection  
452 for Na<sub>2</sub>SO<sub>4</sub>, which was also higher than those of in-situ NFcontrol (13 L m<sup>-2</sup>h<sup>-1</sup>bar<sup>-1</sup> and a 94%

453 Na<sub>2</sub>SO<sub>4</sub> rejection). In contrast to the membranes fabricated using typical offline IP process  
 454 (Figure 5A), the slightly lower water permeance and higher Na<sub>2</sub>SO<sub>4</sub> rejection for in-situ formed  
 455 NFcontrol and NF-EPS membranes can be ascribed to the changed IP conditions. Nevertheless,  
 456 this result well demonstrates the practical feasibility of upcycling biopolymer-fouled substrates  
 457 to fabricate high-permeance TFC PA membranes.



458  
 459 **Figure 7.** In-situ formation of the PA TFC membrane upcycled from clean or EPS fouled  
 460 substrates in a cross-flow cell. (A) Cross-flow cell used for in-situ formation of upcycled PA  
 461 TFC membranes; (B) Photo of the in-situ EPS fouled substrate; (C) SEM image of the in-situ  
 462 EPS fouled substrate; (D) SEM image of in-situ formed NF-EPS membrane; (E) Water  
 463 permeance and Na<sub>2</sub>SO<sub>4</sub> rejection of in-situ formed NFcontrol and NF-EPS membranes (salt  
 464 concentration: 10 mM; applied pressure: 6 bar).

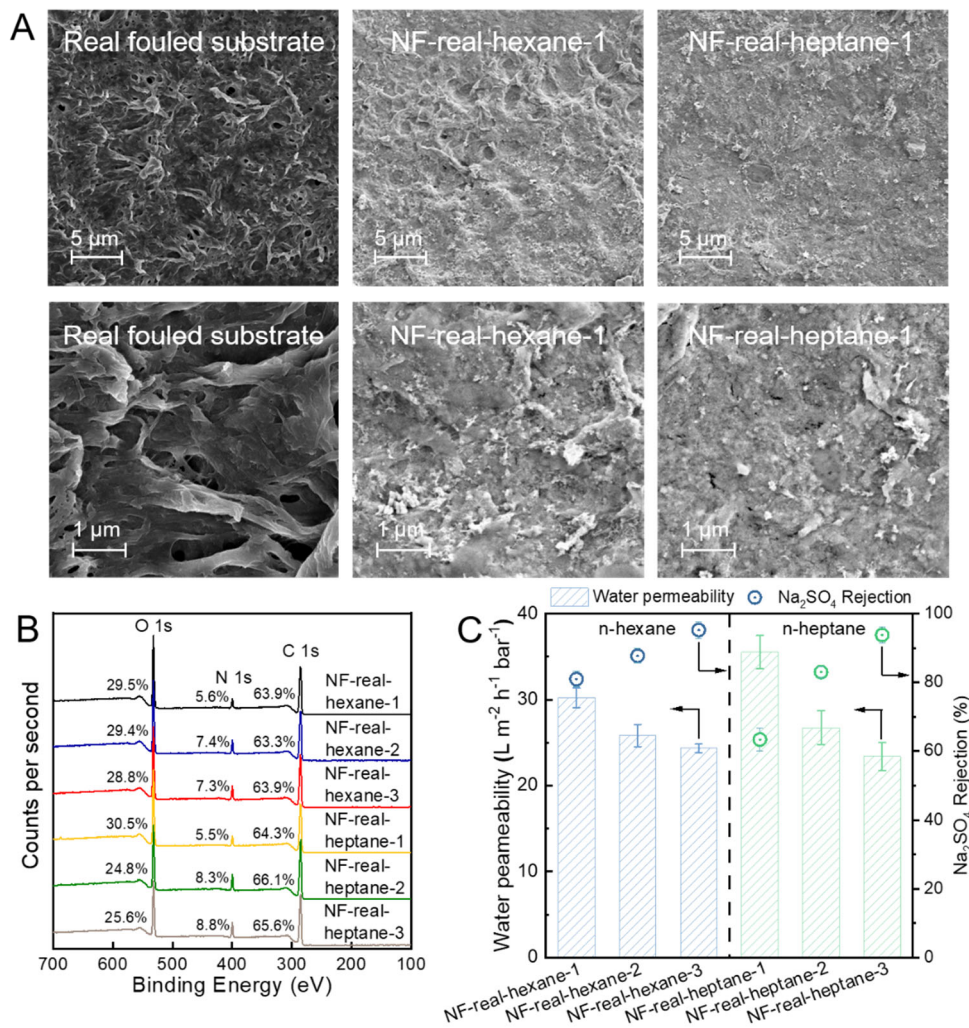
465

### 466 3.6 Upcycling real fouled MF substrates to obtain PA TFC membranes.

467 We further evaluated the feasibility of forming PA layer on the real fouled MF substrates.  
 468 Fouled PVDF MF membranes from an engineered MBR were gently washed by water (Figures  
 469 S19A and S19B) and directly used for interfacial polymerization. Although the real fouled



470 substrate possessed a significant different morphology and foulant composition (Figure 8A)  
471 compared with the artificially fouled substrates (such as SA-F, BSA-F and HA-F), a uniform  
472 and continuous PA layer could also be formed on the surface of real foulant layer, which was  
473 confirmed by SEM and XPS analyses (Figures 8A, 8B, S20 and S21). The nanofiltration  
474 performance test (Figure 8C) indicated that Na<sub>2</sub>SO<sub>4</sub> rejection rate of the real upcycled  
475 membrane was lower than those of membranes upcycled from artificially fouled substrates at  
476 the same monomer concentration (0.05 wt/v% PIP/water and 0.04 wt/v% TMC/*n*-hexane).  
477 Fortunately, the Na<sub>2</sub>SO<sub>4</sub> rejection of the real upcycled membranes could be improved by  
478 increasing monomer concentrations, suggesting the flexibility of the upcycling strategy.  
479 Moreover, introducing green solvent into membrane preparation can allow a more sustainable  
480 membrane fabrication procedure<sup>54-56</sup>. Therefore, to modify the strategy based on green  
481 chemistry perspective, we further investigated the feasibility of replacing *n*-hexane by a greener  
482 alternative, *n*-heptane, and the results demonstrated that TFC PA membranes with favorable  
483 nanofiltration performance could also be fabricated based on *n*-heptane solvents.



484

485 **Figure 8.** PA layer formation on real fouled MF substrates. (A) SEM image of the real fouled  
 486 substrate and corresponding upcycled membranes; (B) XPS spectra of various TFC PA  
 487 membranes upcycled from real fouled substrates; (C) Water permeance and Na<sub>2</sub>SO<sub>4</sub> rejection  
 488 of various TFC PA membranes upcycled from real fouled substrates (salt concentration: 10 mM;  
 489 applied pressure: 6 bar).

490

### 491 3.7 A closed eco-loop of membrane material recycling and environmental implications.

492 The strategy to downcycle end-of-life RO/NF membranes as candidates to correspondingly  
 493 prepare NF/UF membranes has been gradually validated to be practical from both economic  
 494 and waste recycling views. In our work, the high-pressure TFC membrane upcycled from a

495 fouled/end-of-life microfiltration substrate is also feasible and beneficial for forming a closed  
496 eco-loop of membrane material recycling. Ideally, in the closed eco-loop, high-pressure/low-  
497 pressure membranes after use can be correspondingly downcycled/upcycled to form new low-  
498 pressure/high-pressure membranes, which can be recycled again after another round of use. The  
499 closed eco-loop can not only effectively decrease the amount of abandoned end-of-life  
500 membranes and reduce the negative environmental impact of their disposal but also grant us  
501 the opportunity to fabricate high-performance TFC membranes in a cost-effective and  
502 environmentally friendly way. The actual life span of either low-pressure or high-pressure  
503 membranes is hence prolonged in the closed eco-loop of membrane material recycling, thus  
504 significantly decreasing their environmental footprint. Nevertheless, considering the various  
505 chemical components, thickness, adhesion and morphology of different foulants on MF  
506 substrates in real conditions, the IP process needs to be optimized/customized to guarantee its  
507 effectiveness for forming uniform and continuous PA layer.

508 In practice, the materials used for constructing the module housings, flow spacers, and  
509 interconnects are equivalent to, or even greater, in mass than the membranes themselves, and it  
510 would arouse economic concerns if the upcycling process were implemented after  
511 disassembling MF/UF modules. Therefore, the upcycling of fouled/end-of-life membranes  
512 should be completed with the membranes remaining in their modules. The *in-situ* formation of  
513 the upcycled TFC NF membrane based on biopolymer-fouled substrate has been demonstrated  
514 here in a lab-scale cross-flow cell. Nevertheless, this *in-situ* IP process needs to be further  
515 validated for membrane modules, for example, combining the IP process with traditional  
516 membrane cleaning procedure<sup>57</sup>, or possibly using concentration polarization enhanced  
517 modification technique<sup>58,59</sup>. In addition, *in-situ* upcycling of fouled/end-of-life hollow fiber  
518 membrane modules is of great interest in the future due to the high consistency in configurations  
519 between low-pressure and high-pressure hollow fiber membrane modules.

## 520 **4. Conclusions**

521 Directly fabricated on microfiltration substrates fouled by biopolymers, TFC membranes with  
522 cross-linked, defect-free, and ultrathin PA active layers demonstrated superior potential in high-  
523 performance nanofiltration. The foulants on the substrate manipulate the surface properties, PIP  
524 uptake and IP process, and subsequently regulate the structure of the formed PA layer. In  
525 contrast to the decreased PWP of substrates with biopolymer fouling, the upcycled NF  
526 membrane exhibited excellent water flux ( $\sim 30 \text{ L m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$ ) and  $\text{Na}_2\text{SO}_4$  rejection rate ( $\sim 95\%$ ).  
527 The foulants between the PA layer and substrate can construct additional channels for water  
528 transport. The PA layer formation could also be achieved on real fouled MF substrates. These  
529 prove the concept that even fouled substrates can be a favorable platform for interfacial  
530 polymerization. This proof-of-concept study also paves the way for upcycling fouled/end-of-  
531 life low-pressure membranes to fabricate new high-pressure membranes for water purification,  
532 forming a closed eco-loop of membrane recycling.

## 533 **Electronic Supplementary Information**

534 †Electronic Supplementary Information (ESI) available: Information on materials,  
535 experimental procedure and additional membrane characterizations. See DOI:  
536 10.1039/x0xx00000x

## 537 **Conflicts of interest**

538 There are no conflicts to declare.

## 539 **Acknowledgements**

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