

1                   **Mechanistic insights into the role of polydopamine**  
2                   **interlayer towards improved separation performance of**  
3                   **polyamide nanofiltration membranes**

4                   Zhe Yang,<sup>a</sup> Fei Wang,<sup>a</sup> Hao Guo,<sup>a</sup> Lu Elfa Peng,<sup>a</sup> Xiao-hua Ma,<sup>b</sup> Xiao-xiao Song,<sup>c\*</sup>

5                                           Zhiwei Wang,<sup>d</sup> and Chuyang Y. Tang<sup>a\*</sup>

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7                   <sup>a</sup> Department of Civil Engineering, the University of Hong Kong, Pokfulam, Hong Kong, SAR, P. R. China

8                   <sup>b</sup> School of Chemical Engineering, East China University of Science and Technology, Meilong Road 130, Shanghai  
9                   200237, P. R. China

10                   <sup>c</sup> Center for Membrane and Water Science & Technology, Ocean College, Zhejiang University of Technology,  
11                   Hangzhou, 310014, P. R. China

12                   <sup>d</sup> State Key Laboratory of Pollution Control and Resource Reuse, Shanghai Institute of Pollution Control and  
13                   Ecological Security, School of Environmental Science and Engineering, Tongji University, Shanghai 200092,  
14                   China

15

16                   \* Corresponding Author.

17                   Phone: +852 2859 1976, Fax: +852 2559 5337, E-mail address: [tangc@hku.hk](mailto:tangc@hku.hk)

18                   Phone: +86 (0571) 8832 4135; Email: [songxiaoxiao@zjut.edu.cn](mailto:songxiaoxiao@zjut.edu.cn)

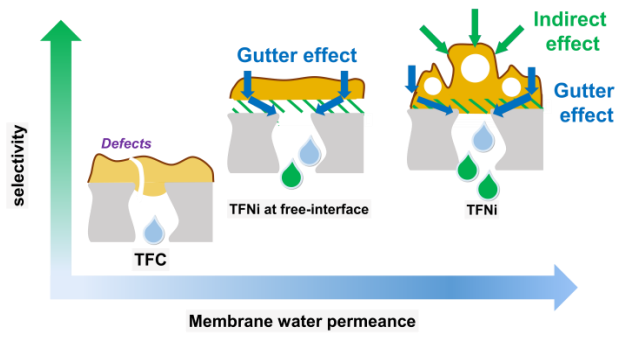
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21 **ABSTRACT**

22 Interlayered thin-film nanocomposite membranes (TFNi) are an emerging type of  
23 membranes with great potential to overcome the permeability-selectivity upper bound  
24 of conventional thin-film composite (TFC) nanofiltration and reverse osmosis  
25 membranes. However, the exact roles of the interlayer and the corresponding  
26 mechanisms leading to enhanced separation performance of TFNi membranes remain  
27 poorly understood. This study reports a polydopamine (PDA) intercalated TFNi  
28 nanofiltration membrane (PA-PSF2, PDA coating time at 2hr) that possessed nearly  
29 an order of magnitude higher water permeance of  $14.8 \pm 0.4 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$  compared to  
30 the control TFC membrane (PA-PFS0) of  $2.4 \pm 0.5 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$ . The TFNi membrane  
31 further showed enhanced rejection towards a wide range of inorganic salts and small  
32 organic molecules (including antibiotics and endocrine disruptors). Detailed  
33 mechanistic investigation reveals that the membrane separation performance was  
34 enhanced due to both the direct “gutter” effect of the PDA interlayer and its indirect  
35 effects resulting from enhanced polyamide formation on the PDA-coated substrate,  
36 with the “gutter” effect playing a more dominant role. This study provides a  
37 mechanistic and comprehensive framework for the future development of TFNi  
38 membranes.  
39

40 TOC art



41

42

## 43 INTRODUCTION

44 Thin-film composite (TFC) reverse osmosis (RO) and nanofiltration (NF) membranes,  
45 consisting of an ultra-thin (~100 nm) polyamide selective layer on an ultrafiltration  
46 substrate, are the core for membrane-based desalination and water reuse.<sup>1, 2</sup> However,  
47 conventional TFC membranes are strongly constrained by the “upper bound” trade-off  
48 between their water permeance and selectivity.<sup>3</sup> Recently, a new class of thin-film  
49 nanocomposite membranes with an interlayer structure (TFNi) have been reported.<sup>4-6</sup>  
50 Up to date, a wide range of interfacial coatings have been applied (e.g.,  
51 polyelectrolytes,<sup>5</sup> polydopamine (PDA),<sup>7-11</sup> polyphenols,<sup>12</sup> poly(ether ether ketone),<sup>13</sup>  
52 halloysite nanotubes,<sup>14</sup> carbon nanotubes,<sup>6, 15-17</sup> and their derivatives,<sup>18, 19</sup>), with some  
53 studies showing an order of magnitude higher water permeance and simultaneously  
54 enhanced water/solute selectivity for TFNi membranes.<sup>15, 19, 20</sup>

55

56 Despite the great potential of TFNi membranes to overcome the longstanding  
57 permeability-selectivity upper bound,<sup>3</sup> experimental results often show contradictory  
58 trends. For example, PDA has been one of the most commonly applied coating  
59 materials due to its simplicity, strong adhesion, hydrophilicity, and ease for thickness  
60 control.<sup>21, 22</sup> Many studies have reported PDA as an interlayer coating for the  
61 preparation of TFNi membranes.<sup>7, 8, 23, 24</sup> While some studies observed enhanced  
62 membrane permeance for PDA intercalated membranes,<sup>8, 10, 18</sup> others reported a slight  
63 reduction in permeance.<sup>7, 24</sup> Systematic studies are needed to better resolve the

64 fundamental mechanisms governing the role of interlayers. In addition, the existing  
65 literature on TFNi membranes focuses primarily on salt removal efficiency in the  
66 context of desalination.<sup>7, 10, 23, 25</sup> On the other hand, the removal of trace organic  
67 contaminants (TrOCs) can be far more important than the removal of simple salts for  
68 some important applications such as potable water reuse.<sup>2, 26</sup> These literature gaps  
69 prompt us to perform a systematic mechanistic investigation on the fundamental roles  
70 of interlayers in membrane formation and in the transport of water, salts, and organic  
71 micropollutants through TFNi membranes.

72

73 In this study, we use PDA as a model interlayer to investigate its impact on the  
74 formation of polyamide NF membranes and their separation performance. We  
75 hypothesize that the enhanced water permeance of TFNi membranes is contributed by  
76 the combined effects of (1) the shortened transport pathway through the rejection  
77 layer upon the inclusion of a highly permeable interlayer (similar to the gutter effect  
78 in the context of gas separation<sup>27</sup>) and (2) the improved formation of the rejection  
79 layer itself. Polyamide rejection layers were formed on PDA-coated substrates by  
80 interfacial polymerization (IP) of piperazine (PIP) and trimesoyl chloride (TMC). We  
81 reveal the critical roles of the PDA interlayer on the gutter effect and on the  
82 physiochemical properties of the polyamide layers, thereby elucidating the governing  
83 mechanisms responsible for enhanced water permeance, salt rejection, and TrOCs  
84 removal of the TFNi membranes. For the first time, the relative contributions of the

85 direct gutter effect and indirect effect through enhanced membrane formation are  
86 resolved. This study provides critical mechanistic insights to the design and  
87 fabrication of next-generation high-performance NF membranes.  
88

## 89 MATERIALS AND METHODS

### 90 Materials and chemicals.

91 Unless described otherwise, all solutions were prepared from analytical-grade  
92 chemicals and Millipore ultrapure water. Polysulfone (PSF, Mw. 35,000), N,  
93 N-dimethylformamide (DMF, anhydrous 99.8%), piperazine (PIP, ReagentPlus®,  
94 99%), trimesoyl chloride (TMC, 98%), and hexane (HPLC grade, 95%) were all  
95 purchased from Sigma-Aldrich for the fabrication of PSF substrates and TFC NF  
96 membranes. Sodium chloride (NaCl), sodium sulfate anhydrous (Na<sub>2</sub>SO<sub>4</sub>),  
97 magnesium chloride (MgCl<sub>2</sub> 6-hydrate), magnesium sulfate (MgSO<sub>4</sub>) and calcium  
98 chloride anhydrous (CaCl<sub>2</sub>) were all purchased from Uni-Chem chemical reagents.  
99 D-(+)Glucose (Mw. 180.16, Diekmann), D-Raffinose (Mw 504.44, Macklin) and  
100 Dextran (Mw 1000 and 2000, D-chem) were used for the evaluation of the pores size  
101 of the fabricated TFC membranes. Dopamine hydrochloride (J&K Scientific Ltd.,  
102 China), tris (hydroxymethyl, Acros Organics, Geel, Belgium) and hydrochloric acid  
103 (HCl, 37 wt%, VWR, Dorset, U.K) were obtained for preparing PDA coating.  
104 Chloroform (VWR, Dorset, U.K) was used as a solvent for isolating polyamide  
105 rejection layers. Two endocrine-disrupting compounds (ethylparaben (MW = 166.2  
106 Da) and propylparaben (180.2 Da)) and four antibiotics (sulfamethoxazole (253.3 Da),  
107 sulfamethazine (278.3 Da), norfloxacin (319.3 Da), and ofloxacin (361.4 Da)) were  
108 used as model TrOCs, and all these compounds were purchased from Sigma-Aldrich.

109 **Synthesis of TFNi membranes.**

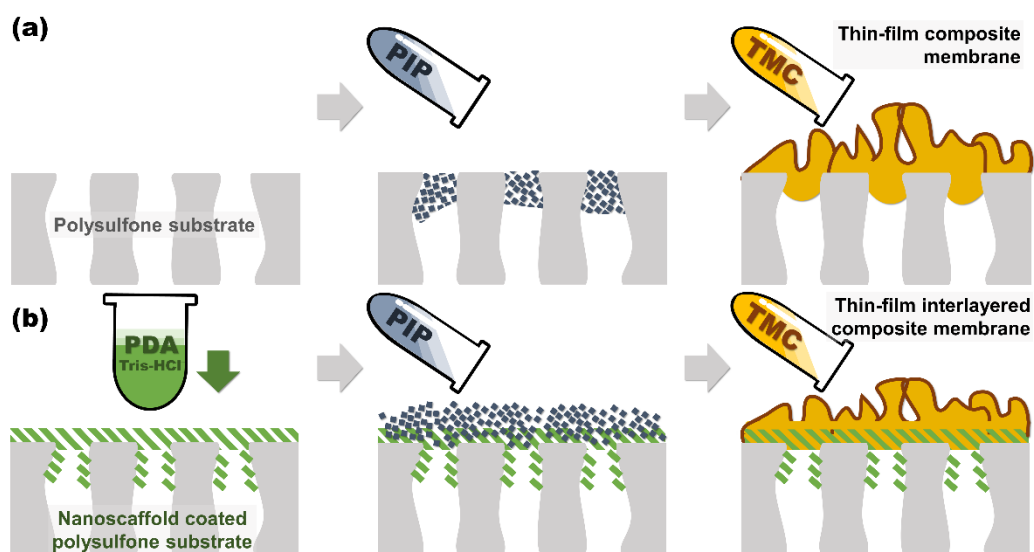
110 A PSF substrate was first fabricated by the phase inversion method using a polymer  
111 dope of 15 wt% PSF dissolved in DMF following our previous study.<sup>19</sup> PDA coating  
112 was performed by pouring a 2 g/L dopamine solution containing 10 mM Tris-HCl  
113 buffer at pH 8.5 to a membrane coupon placed in a home-made container in such a  
114 way that only its front side was exposed to the coating solution over predetermined  
115 time duration (1, 2 or 24 hr) under continuous shaking. The PDA-coated PSF  
116 substrate was denoted as PSFn, where n represents the PDA coating duration (with n  
117 = 0 indicating no PDA coating).

118

119 To synthesize the TFNi membrane, an IP reaction was performed on the pristine or  
120 PDA-modified PSF (Figure 1). Briefly, a 0.2 wt% PIP/H<sub>2</sub>O solution was gently  
121 poured onto the substrate for 3 min. The excess solution was removed by a rubber  
122 roller. Then, a 0.15 wt% TMC/hexane solution was introduced to the PIP-impregnated  
123 substrate, and the IP reaction was continued for 1 min. Subsequently, the fabricated  
124 membrane was rinsed by hexane to remove the unreacted TMC and was further  
125 post-treated in an oven for 10 min at 60 °C. The resultant TFNi membrane, denoted as  
126 PA-PSFn in accordance to its substrate, was stored in deionized water before further  
127 use. In this study, PA-PSF0 stands for the control TFC membrane prepared on the  
128 pristine PSF substrate without PDA coating.

129





130

131 Figure 1. Schematic diagram on the fabrication of TFC (a) and TFNi membranes (b). To  
 132 prepare the PDA interlayer, a dopamine solution (2g/L in 10 mM Tris-HCl buffer at pH 8.5)  
 133 was used to coat the substrate for a predetermined duration (1, 2 or 24 hr). Interfacial  
 134 polymerization was performed on the substrates with or without PDA coating to obtain TFNi  
 135 and TFC membranes, respectively. The concentration of PIP was 0.2 wt% and that of TMC  
 136 was 0.15 wt%.

137

138 **Synthesis of polyamide nanofilms at free aqueous-organic interface.**

139 In order to resolve the role of the interlayer on membrane transport properties (e.g., its  
 140 direct effect as a high-permeability gutter layer<sup>27</sup> vs. its indirect effect on the  
 141 formation of the polyamide layer<sup>19, 28</sup>), we further synthesized polyamide nanofilms at  
 142 a free interface (PAfi) between an aqueous solution (0.2 wt% PIP/H<sub>2</sub>O) and an organic  
 143 solution (0.15 wt% TMC/hexane).<sup>29</sup> Formation of polyamide nanofilms at free  
 144 interfaces has been well documented in the literature.<sup>5, 25, 29</sup> The properties of the  
 145 generated polyamide nanofilms depend only on the composition monomer solutions  
 146 and the reaction time, while the interference of the substrate is prevented. In the  
 147 current study, the use of identical IP reaction conditions (monomer solutions and

148 reaction time) led to identical polyamide nanofilms. The nanofilms were  
149 vacuum-filtrated (filtration time = 1 min) onto the pristine PSF0 substrate and a  
150 PDA-coated PSF2 substrate, and the resulting membranes PAfi-PSF0 and PAfi-PSF2  
151 were then washed by hexane and heat-treated for 10 min at 60°C. In the current study,  
152 PAfi-PSF0 and PAfi-PSF2 have identical rejection layers. Therefore, the difference  
153 between their transport properties is attributed to the direct effect of interlayer on the  
154 substrate. In contrast, the difference between PA-PSF0 and PA-PSF2 can be caused by  
155 both the direct effect of the substrate and additional indirect effects, noting that the  
156 morphology and chemistry of the polyamide layers could be potentially affected by  
157 the presence of the interlayer.

158

### 159 **Membrane characterization.**

160 Surface morphology of the membranes and substrates was examined by scanning  
161 electron microscope (SEM, LEO 1530, FEG UK) using an accelerating voltage of 5  
162 kV. Prior to the characterization, the membranes samples were coated by platinum and  
163 gold for 40 seconds. In addition to the front surfaces, the back surfaces of the  
164 rejection layers of PA-PSFn membranes were also examined by SEM after dissolving  
165 PSF using chloroform following our previous study.<sup>19</sup> Specifically, a piece of  
166 membrane specimen (0.5 cm × 0.5 cm) was attached onto a silicon wafer, with its  
167 polyamide side facing the silicon wafer. Chloroform, a solvent frequently reported in  
168 the literature<sup>19, 30</sup> for dissolving PSF to isolate the polyamide layer, was applied to

169 rinse the PSF substrate until the white precipitations completely disappeared from  
170 the sample. According to our previous study,<sup>19</sup> this procedure ensures the complete  
171 removal of the PSF substrate.

172

173 Membrane cross-sectional images were obtained using a transmission electron  
174 microscope (TEM, Philips CM100, Eindhoven, Netherlands) at an accelerating  
175 voltage of 100 kV.<sup>31</sup> The thickness of the rejection layer was determined from TEM  
176 micrographs using image software (MediaCybernetics, Inc.). Membrane roughness of  
177 the front and back surface of the rejection layers was measured by atomic force  
178 microscopy (AFM, Multimode 8, Bruker, MA) with a scanning size of  $5 \times 5 \mu\text{m}$ . The  
179 value of root-mean-square roughness ( $R_q$ ) and maximum roughness ( $R_{\text{max}}$ ) was  
180 obtained using the Nanoscope Analysis software (Bruker, MA). Membrane water  
181 contact angle was measured using an automatic contact angle meter (Attension Theta,  
182 Biolin Scientific, Sweden) equipped with a video capture device. A membrane  
183 specimen was vacuum-dried at room temperature for 24 hr before the measurement. A  
184 water droplet of approximately  $3 \mu\text{L}$  was placed on the membrane surface and the  
185 contact angle was recorded after a stabilizing time of 10 s. For each membrane type,  
186 the contact angle measurements were repeated at 10 different locations for two  
187 different membrane samples, and the average value was reported. Membrane  
188 functional groups and surface elemental compositions were characterized using  
189 attenuated total reflection Fourier transform infrared (ATR-FTIR) spectroscopy and

190 X-ray photoelectron spectroscopy (XPS), respectively.<sup>31-33</sup> A quartz crystal  
191 microbalance with dissipation (QCM-D, E4, Q-Sense Biolin Scientific, Sweden) was  
192 used to quantify the amount of PIP uptake by the control PSF0 and PDA-coated PSFn  
193 substrates following our previous study.<sup>19</sup>

194

### 195 **Separation performance testing.**

196 Membrane separation performance was tested using a cross-flow filtration setup at 24  
197 °C. A membrane coupon (20.6 cm<sup>2</sup>) was subjected to an applied pressure of 50 psi  
198 (i.e., 3.45 bar) for 1 hr before the measurement of water flux and salt rejection under  
199 the same pressure. Additional tests were also included to study the effect of applied  
200 pressure on membrane separation performance (see Supporting Information S3).  
201 Water flux was determined by weighing the mass of the permeate over a designated  
202 time interval:

$$203 \quad J_v = \frac{\Delta m}{\Delta t \times A \times \rho} \quad (1)$$

204 where  $J_v$  (L m<sup>-2</sup> h<sup>-1</sup>) is the water flux,  $\Delta m$  (kg) is the mass of the permeate collected  
205 over a duration of  $\Delta t$  (h),  $A$  (m<sup>2</sup>) is the effective membrane area, and  $\rho$  (kg L<sup>-1</sup>) is the  
206 density of the permeate water. The membrane water permeance was determined as the  
207 ratio of pure water flux over the applied pressure.

208

209 The salt rejection was measured using a feed solution containing a single type of salt  
210 (1000 ppm Na<sub>2</sub>SO<sub>4</sub>, MgSO<sub>4</sub>, MgCl<sub>2</sub>, CaCl<sub>2</sub>, or NaCl). For each test, a new membrane

211 coupon was pre-compacted for 1 hr before the collection of permeate samples. The  
212 salt rejection  $R$  was determined based on the conductivity of the feed ( $C_f$ ) and that of  
213 the permeate ( $C_p$ ) measured by a conductivity meter (Ultrameter II, Myron L company,  
214 Carlsbad, CA) using Equation (2). The reported rejection is the average value of three  
215 membrane samples from different batches. The separation factor ( $\alpha$ ) of NaCl to  
216  $MgSO_4$  was calculated based on Equation (3).

$$217 \quad R = \left(1 - \frac{C_p}{C_f}\right) \times 100\% \quad (2)$$

$$218 \quad \alpha = \frac{(C_{NaCl} / C_{MgSO_4})_p}{(C_{NaCl} / C_{MgSO_4})_f} = \frac{1 - R_{NaCl}}{1 - R_{MgSO_4}} \quad (3)$$

219  
220 The rejection of TrOCs (ethylparaben, propylparaben, sulfamethoxazole,  
221 sulfamethazine, norfloxacin and ofloxacin) were also evaluated based on our previous  
222 work.<sup>34, 35</sup> Briefly, a feed solution containing a cocktail of TrOCs (200  $\mu$ g/L for each  
223 compound) was prepared by introducing 1 mL stock solution of each TrOC (1g/L in  
224 methanol) to the feed tank (5 L). An equilibrium time of 12 h was allowed, before  
225 feed and permeate samples were collected for further analysis using an  
226 ultraperformance liquid chromatograph with double mass spectra (UPLC-MS/MS,  
227 Waters ACQUITY).<sup>36</sup> According to the literature, the equilibrium time ensures stable  
228 rejection performance (e.g., by eliminating the potential effect of TrOC sorption by  
229 the membrane).<sup>36-38</sup> The rejection of each TrOC was determined based on the  
230 measured feed ( $C_f$ ) and permeate ( $C_p$ ) concentrations (Equation (2)) using three

231 different membrane samples.

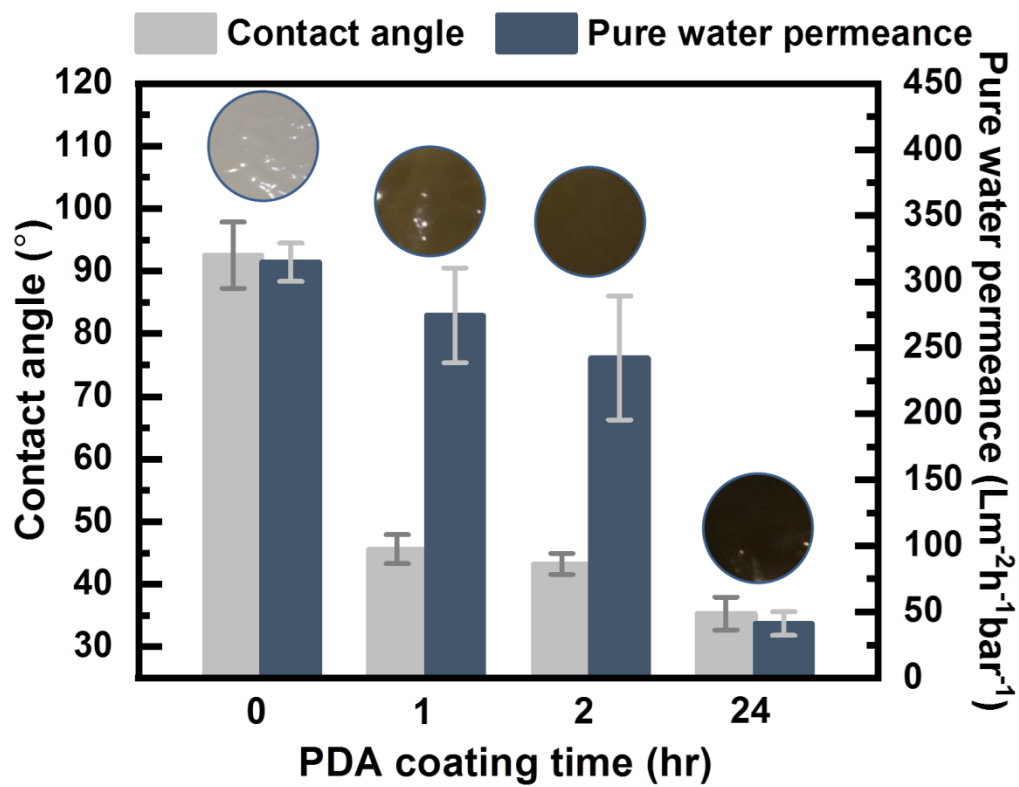
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## 233 RESULTS AND DISCUSSIONS

### 234 Properties of PSFn substrates.

235 Figure 2 presents the properties of the substrates. Compared to the pristine PSF0, the  
236 PDA-coated substrates became increasingly darker at longer coating time, which is in  
237 good agreement with the literature.<sup>22, 39</sup> The contact angle decreased from  $92.6 \pm 5.3^\circ$   
238 for PSF0 to  $35.3 \pm 2.6^\circ$  for PSF24, which can be attributed to the hydrophilic amine  
239 and catechol groups of PDA.<sup>21, 40</sup> The water permeance of the substrate decreased  
240 mildly after short-duration coating ( $274.5 \pm 35.9$  and  $242.3 \pm 46.8 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$  for  
241 PSF1 and PSF2, respectively, compared to  $314.8 \pm 14.6 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$  for PSF0). This  
242 trend is consistent with the decreased substrate pore size after PDA coating (Figure  
243 3a). This decrease in pore size was likely due to the penetration of PDA into substrate  
244 pores.<sup>8, 23</sup> At a coating duration of 24 hr, a large number of PDA aggregates appeared  
245 (Figure 3a) – a phenomenon also reported in prior studies.<sup>7</sup> Although the PSF24  
246 substrate with the extended coating duration had the lowest water contact angle, its  
247 surface pores were extensively covered by PDA, resulting in a dramatically reduced  
248 water permeance of  $41.3 \pm 9.0 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$  for PSF24.

249



250

251 Figure. 2. Contact angle and water permeance of the substrates. Digital photos of the  
 252 substrates are also shown.

253



254 **Properties of PA-PSF<sub>n</sub> membranes.**

255 ATR-FTIR results (Supporting Information S1) confirm the formation of polyamide  
256 layers after the IP reaction.<sup>41,42</sup> Figure 3b-e present the morphological features of the  
257 PA-PSF<sub>n</sub> membranes. Both SEM top views (Figure 3b) and TEM cross-sectional  
258 views (Figure 3d) show much rougher polyamide surfaces for PA-PSF2 and  
259 PA-PSF24, which is further confirmed by AFM results (Figure 3e and Table 1). In  
260 contrast, the bottom surface of the rejection layers was smoother for the TFN<sub>i</sub>  
261 membranes (PA-PSF1, PA-PSF2, and PA-PSF24) compared to the control PA-PSF0  
262 (Figure 3c and Table 1). Indeed, the bottom surface of PA-PSF0 had numerous  
263 protuberances of several tens to hundreds of nm in size (Figure 3c), which was  
264 formed by the intrusion of polyamide into the large-sized pores of its substrate.<sup>15, 19, 43</sup>  
265 The much smoother bottom surfaces of the TFN<sub>i</sub> rejection layers revealed that PDA  
266 interlayers were able to prevent such undesirable intrusion of polyamide as a result of  
267 reduced pore size for coated substrates.<sup>19</sup>

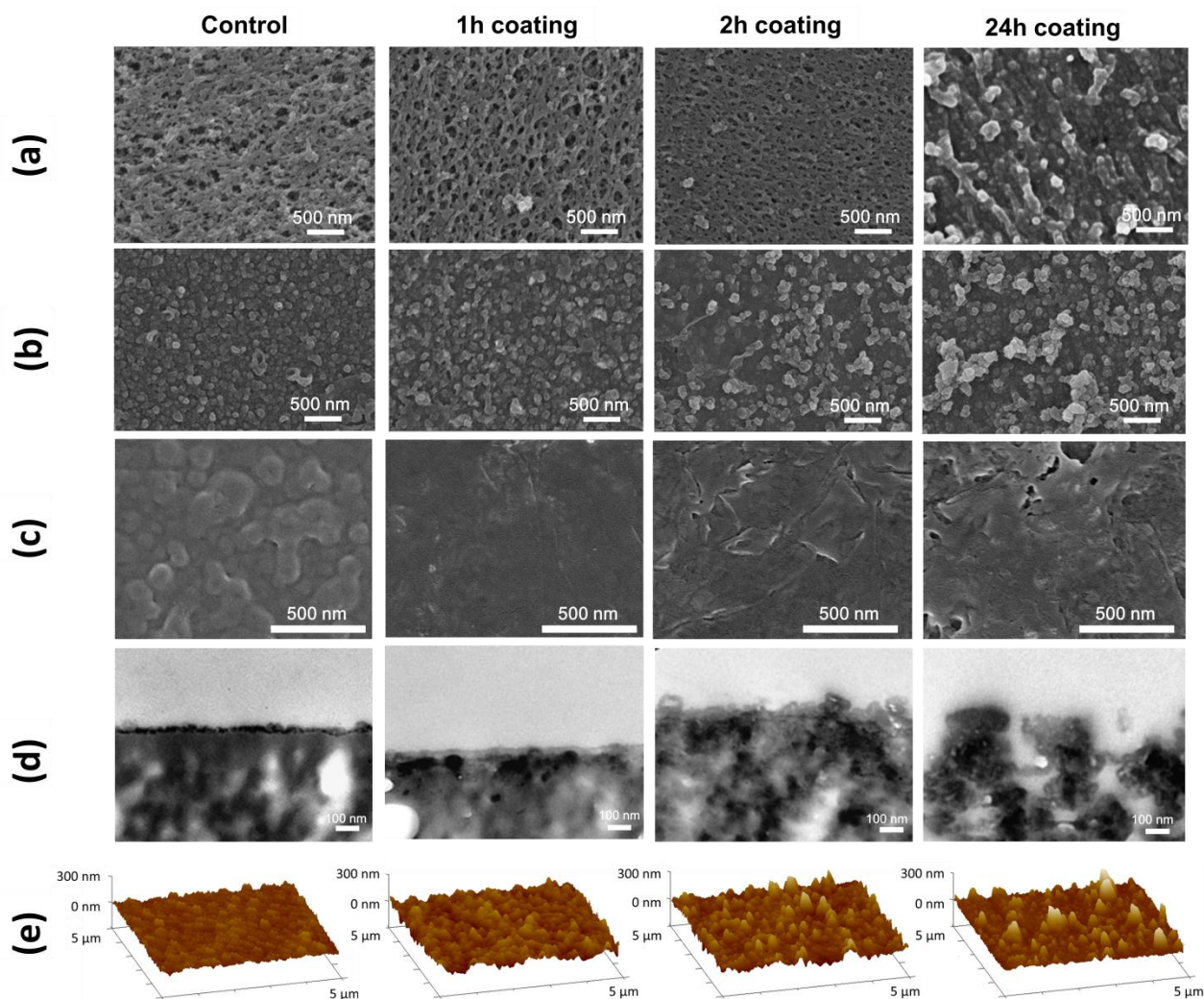
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269 The apparent thickness of the rejection layer of PA-PSF1 was comparable to that of  
270 the control membrane PA-PSF0 (60 - 70 nm, see TEM cross-sections in Figure 3c). In  
271 contrast, those of PA-PSF2 and PA-PSF24 were significantly thicker ( $116 \pm 24$  and  
272  $201 \pm 37$  nm, respectively), which agree well with their much greater surface  
273 roughness. Interestingly, the rejection layers of these two membranes contained  
274 discrete nanovoids that are not observed for PA-PSF0 and PA-PSF1 (magnified TEM

275 cross-sections images are available in Supporting Information S1). The presence of  
276 nanovoids has been frequently reported for fully aromatic polyamide prepared from  
277 m-phenylenediamine (MPD) and TMC.<sup>30, 44, 45</sup> Our prior studies suggest that CO<sub>2</sub>  
278 dissolved in the high-pH aqueous amine solution plays a critical role in forming such  
279 nanovoids: (1) the acid and heat produced during the IP reaction cause the interfacial  
280 degassing of CO<sub>2</sub> nanobubbles ( $\text{HCO}_3^- + \text{H}^+ \xrightarrow{\Delta} \text{CO}_2\uparrow + \text{H}_2\text{O}$ );<sup>46, 47</sup> (2) these  
281 nanobubbles are retained between the substrate and the polyamide layer, resulting in  
282 the formation of nanovoids accompanied with increased surface roughness.<sup>46-48</sup>  
283 Although such nanovoids are less frequently observed for semi-aromatic polyamide  
284 prepared from PIP and TMC due to the slower reaction and less reaction heat  
285 generated,<sup>46</sup> the current study show the feasibility to enhance nanovoid formation by  
286 introducing an interlayer. This enhanced nanovoid formation is likely due to the  
287 combined effects of (1) greater PIP sorption at longer PDA coating duration (Figure  
288 4a) and thus greater interfacial heating effect;<sup>29, 49</sup> and (2) the improved gas  
289 confinement as a result of smaller surface pore size of the PDA-coated substrates.<sup>28, 48</sup>  
290 The presence of nanovoids in PA-PSF2 and PA-PSF24 partially explains their greater  
291 surface roughness, although that of PA-PSF24 could also be affected by the rough  
292 surface of its substrate (Figure 3a). According to the literature, these nanovoids are  
293 beneficial to provide thinner intrinsic polyamide thickness (i.e., the true thickness  
294 excluding nanovoids), increased effective filtration area, and enhanced water transport  
295 pathways.<sup>44, 45, 50, 51</sup> In current study, the contact angle (Table 1) was not significantly

296 affected by the PDA interlayer.

297



298

299 Figure 3. Microscopic characterization of PSFn substrates and PA-PSFn membranes: (a) SEM  
300 micrographs of substrate surfaces; (b) SEM micrographs of the top surfaces of PA-PSFn; (c)  
301 SEM micrographs of the bottom surfaces of the rejection layers isolated from PA-PSFn; (d)  
302 TEM micrographs of the cross-sections of PA-PSFn; and (e) AFM micrographs of the surface  
303 morphology of PA-PSFn. The scale bar for SEM is 500 nm and the scale bar for TEM is 100  
304 nm.

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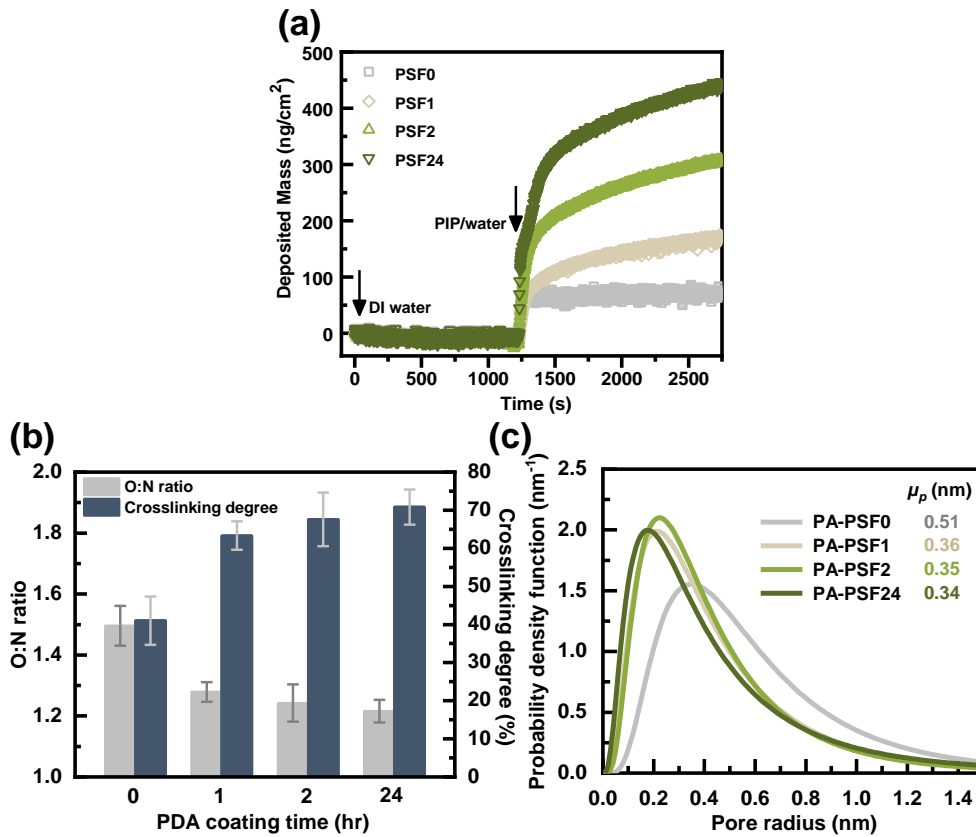
306 Table 1. Roughness and water contact angle results of the PA-PSF membranes.

	Top surface of rejection layer		Bottom surface of rejection layer		Contact angle of membrane top surface (°)
	$R_q$ (nm)	$R_{max}$ (nm)	$R_q$ (nm)	$R_{max}$ (nm)	
PA-PSF0	$18.4 \pm 1.5$	$150.7 \pm 10.4$	$44.2 \pm 8.9$	$244.7 \pm 19.1$	$53 \pm 4$
PA-PSF1	$30.8 \pm 2.4$	$221.0 \pm 20.2$	$13.7 \pm 2.6$	$111.1 \pm 18.1$	$55 \pm 4$
PA-PSF2	$36.2 \pm 1.1$	$283.3 \pm 14.7$	$18.3 \pm 2.7$	$122.8 \pm 12.8$	$54 \pm 2$
PA-PSF24	$32.6 \pm 0.8$	$318.7 \pm 18.2$	$20.3 \pm 1.4$	$134.3 \pm 17.3$	$49 \pm 2$

307

308 Figure 4b presents the O:N ratio and crosslinking degree, both calculated from the  
 309 XPS results (Supporting Information S1). The O:N ratio of the PA-PSF1 ( $1.28 \pm 0.03$ )  
 310 was significantly lower than that of the control PA-PSF0 membrane ( $1.50 \pm 0.07$ ),  
 311 revealing an enhanced crosslinking degree<sup>34</sup> with the introduction of the PDA  
 312 interlayer ( $63.3 \pm 3.7\%$  for PA-PSF1 vs.  $41.0 \pm 5.2\%$  for PA-PSF0). At longer PDA  
 313 coating duration, the crosslinking degree was further improved. This result can be  
 314 well explained by the enhanced PIP sorption by the PDA-coated substrates (Figure  
 315 4a), with a greater effective PIP concentration in the IP reaction leading to a more  
 316 crosslinked polyamide.<sup>7</sup> Consistent with the XPS-based crosslinking analysis, pore  
 317 size analysis based on the rejection of neutral solutes (Figure 4c and Supporting  
 318 Information S2) shows that the TFNi membranes with PDA-coated substrates had  
 319 significantly smaller effective mean pore sizes ( $\mu_p \sim 0.34\text{-}0.36$  nm) compared to that  
 320 of the control PA-PSF0 membrane ( $\mu_p \sim 0.51$  nm).

321



322

323 Figure 4. Membrane characterization by QCM-D, XPS and pore size analysis. (a) QCM-D  
 324 measurements of PIP sorption (0.2 wt% PIP aqueous solution) onto quartz sensors coated with  
 325 PSF or PDA-modified PSF following our previous study;<sup>19</sup> (b) oxygen/nitrogen ratio ( $r_{O/N}$ )  
 326 based XPS results (see Supporting Information S1) and the corresponding crosslinking degree  
 327 calculated by  $(4-2r_{O/N})/(1+r_{O/N})$ ;<sup>34</sup> and (c) pore size distribution and effective mean pore  
 328 radius ( $\mu_p$ ) of PA-PSF membranes based on rejection results of glucose, raffinose, and dextran  
 329 (Mw = 1k and 2k). See detailed calculations in Supporting Information S2.

330

331 **Membrane separation properties.**

332 Among the four PA-PSF membranes prepared in the current study, PA-PSF1 had the  
333 highest water permeance of  $19.3 \pm 0.8 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$ , showing an approximately one  
334 order of magnitude improvement over that of the control PA-PSF0 membrane ( $2.4 \pm$   
335  $0.5 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$ , Figure 5a). Further increasing in PDA coating duration decreased the  
336 membrane water permeance to  $14.8 \pm 0.4 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$  for PA-PSF2 and  $10.7 \pm 1.5$   
337  $\text{Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$  for the PA-PSF24, possibly as a result of greater hydraulic resistance of  
338 the PDA coating. Nevertheless, the permeance values of both PA-PSF2 and PA-PSF24  
339 were substantially greater than that of the control PA-PSF0 membrane without the  
340 PDA interlayer. This dramatic enhancement of TFNi membranes over their TFC  
341 control can be attributed to (1) the direct role of PDA interlayer as a high-permeability  
342 gutter layer to optimize the water transport pathway,<sup>52-54</sup> and (2) its indirect effect  
343 through the influence of the interlayer on polyamide formation (e.g., elimination of  
344 polyamide intrusion, formation of nanovoids, and increased membrane surface  
345 roughness (Figure 3)).

346

347 The PDA intercalated TFNi membranes had significantly enhanced rejection of  
348  $\text{Na}_2\text{SO}_4$ ,  $\text{MgSO}_4$ ,  $\text{MgCl}_2$ , and  $\text{CaCl}_2$  over that of the TFC control though the effect on  
349  $\text{NaCl}$  was less obvious (Figure 5b). The greatly improved removal efficiency was  
350 primarily due to the formation of a more crosslinked polyamide rejection layer  
351 (Figure 4c). Nevertheless, the membrane PA-PSF24 membrane with the longest PDA

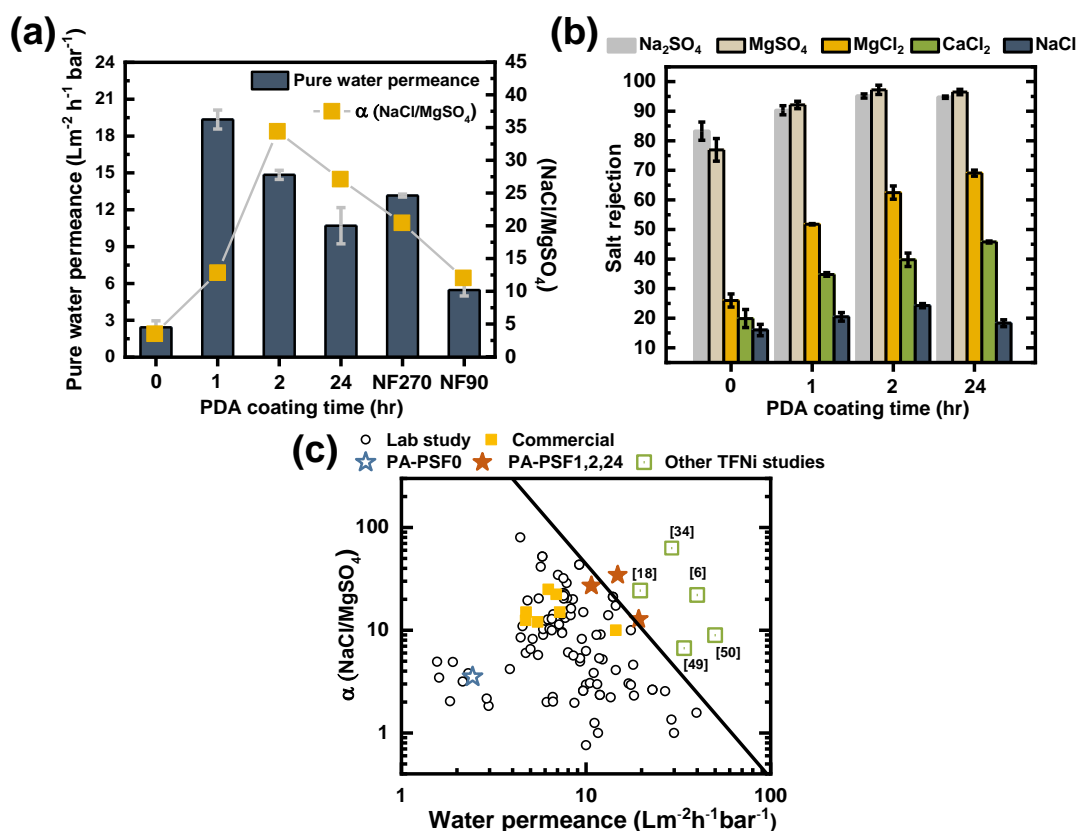
352 coating time had comparable salt rejection with PA-PSF2, even though the former had  
353 a higher crosslinking degree. This observation can be explained by the significantly  
354 lower water permeance of PA-PSF24, noting that the permeate solute concentration is  
355 given by the ratio of solute flux over the water flux.<sup>3</sup> Although the excessive PDA  
356 coating time of 24 h can cause an increase in crosslinking degree and thus reduce the  
357 solute flux through the membrane, the simultaneous decrease in water flux reduces its  
358 effectiveness in improving the permeate water quality. Our results reveal the critical  
359 importance of the coating time (and thus the thickness of the interlayer) to achieve  
360 optimized membrane separation performance.

361

362 The monovalent over divalent ion selectivity (e.g.,  $\alpha_{\text{NaCl/MgSO}_4}$ ) is of great practical  
363 interest for NF applications to allow the retaining of divalent ions without the need to  
364 pay for the osmotic pressure penalty of NaCl.<sup>19</sup> In this study, PA-PSF2 had the highest  
365  $\alpha_{\text{NaCl/MgSO}_4}$  value of  $34.4 \pm 1.0$ , far exceeding those of the control membrane PA-PSF0  
366 and the commercial membranes NF270 and NF90. Figure 5c presents an upper bound  
367 correlation between water permeance  $A$  and  $\alpha_{\text{NaCl/MgSO}_4}$  for conventional TFC  
368 membranes prepared by PIP/TMC chemistry.<sup>19</sup> The PA-PSF1 and PA-PSF2 prepared  
369 in this study, along with a number of other TFNi membranes reported in the recent  
370 years (e.g., based on polyvinyl alcohol,<sup>41</sup> cellulose nanocrystals,<sup>55</sup>  
371 metal-organic-frameworks,<sup>56</sup> carbon nanotubes<sup>6, 15</sup> and tannic acid/ $\text{Fe}^{3+}$  complexes<sup>19</sup>)  
372 successfully surpass this upper bound, revealing the huge potential of such an

373 interlayered membrane structure.

374



375

376 Figure 5. Membrane separation performance. (a) Pure water permeance and NaCl to MgSO<sub>4</sub>  
377 selectivity. Membranes NF270 and NF90 were included as commercially available  
378 benchmarks. (b) Salt rejection of Na<sub>2</sub>SO<sub>4</sub>, MgSO<sub>4</sub>, MgCl<sub>2</sub>, CaCl<sub>2</sub> and NaCl. Each rejection  
379 was measured using a 1000 ppm solution containing a single type of salt. The applied  
380 pressure was 50 psi and the temperature of the feed solution was approximately 24 °C. (c)  
381 Comparison the separation performance of TFNi membranes with the upper bound tradeoff  
382 for conventional TFC membranes. This trade-off between pure water permeance and  
383 NaCl/MgSO<sub>4</sub> selectivity was modified from Ref.<sup>19</sup> with copyright permission. The square  
384 symbols  $\square$  represent TFNi membranes published in recent literature.<sup>6, 19, 41, 55, 56</sup>

385

### 386 Mechanistic investigation of PDA interlayer.

387 To resolve the exact roles of the PDA interlayer on the separation properties of the  
388 resulting TFNi membranes, additional polyamide films were synthesized at a  
389 support-free interface between an aqueous PIP solution and a TMC/hexane solution



390 and were transferred onto PSF0 or PSF2. Therefore, the resulting membranes  
391 PAfi-PSF0 and PAfi-PSF2 had identical polyamide rejection layers but different  
392 substrates (without or with a PDA interlayer). In contrast, their counterparts PA-PSF0  
393 and PA-PSF2 (prepared directly on substrates) not only had different support  
394 structures but also different polyamide structures (Figure 3 and Figure 4).

395

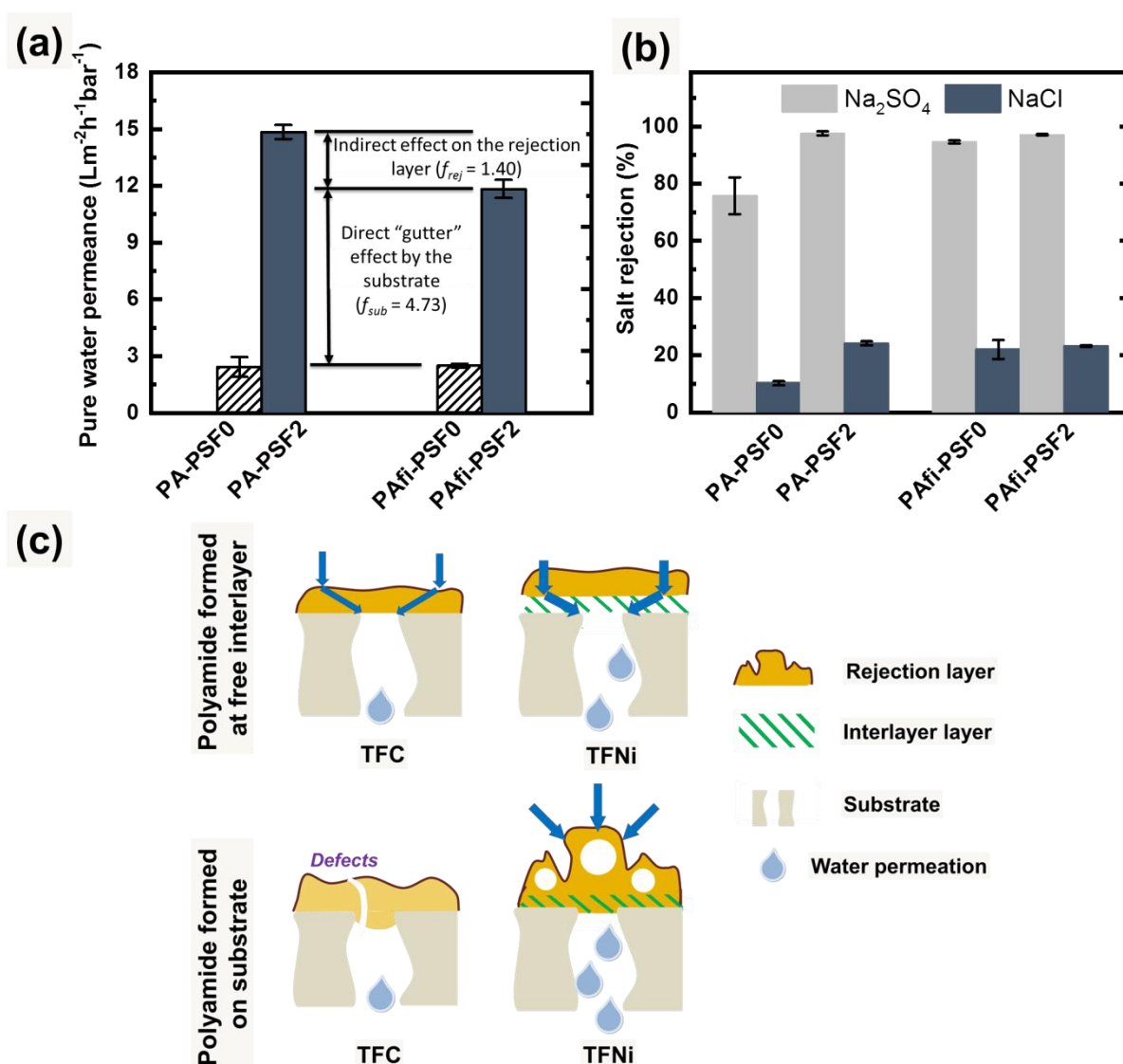
396 Figure 6a,b presents the water permeance and salt rejection of these four membranes.  
397 PA-PSF0 and PAfi-PSF0, both had identical recipes for the IP reaction and identical  
398 substrate (PSF0), show comparable water permeance of approximately 2.5  
399  $\text{Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$ . The corresponding TFNi membranes with a 2-h PDA coating (PA-PSF2  
400 and PAfi-PSF2) both had dramatically enhanced water permeance. For example, the  
401 permeance enhancement factor of PA-PSF2 ( $f_{PA-PSF2} = \text{permeance of PA-PSF2} \div$   
402  $\text{permeance of the control PA-PSF0} - 1$ ), was 6.13. As discussed in the section  
403 “*Membrane separation properties*”, this enhancement in permeance can be attributed  
404 to the improved properties of the PDA-coated substrate ( $f_{sub}$ ) and/or the improved  
405 properties of the rejection layer ( $f_{rej}$ ). In comparison, the permeance enhancement  
406 factor of PAfi-PSF2 ( $f_{PAfi-PSF2}$ ) was 4.73, which is solely attributed to the enhanced  
407 substrate ( $f_{sub}$ ) for which the PDA layer serves as a high permeability gutter layer.  
408 Although the concept of gutter layer is less discussed in the context of water filtration  
409 membranes, this concept has been well established for gas separation membranes to  
410 achieve enhanced permeance.<sup>52-54, 57</sup> A recent modeling paper<sup>27</sup> shows that the

411 inclusion of a high-permeability gutter layer allows gas molecules to take a much  
412 shorter transport path in the rejection layer, which would also be applicable to TFNi  
413 membranes (Figure 6c). In the current study, the difference between  $f_{PA-PSF2}$  and  
414  $f_{PAfi-PSF2}$  can be attributed to the indirect effect of the substrate on the formation of  
415 polyamide rejection layer ( $f_{rej} = 1.40$ ). According to recent studies, the substrate  
416 serves the important roles as a reservoir for amine monomers<sup>19, 28</sup> and for providing  
417 confinements to the interfacially degassed nanobubbles.<sup>28, 48</sup> In this respect, the  
418 interlayer can (1) simultaneously enhance the amine monomer uptake (Figure 4a) and  
419 the confinement effect (due to reduced substrate pore size<sup>17</sup>) to result in a rougher and  
420 nanovoid-containing polyamide rejection layer (Figure 3d) and (2) also eliminate its  
421 intrusion into the substrate (Figure 3c and Ref.<sup>19</sup>), both leading to improved water  
422 transport. Nevertheless, the current study reveals that this indirect effect on rejection  
423 layer ( $f_{rej} = 1.40$ ) was much weaker compared to the direct “gutter layer” effect of the  
424 substrate ( $f_{sub} = 4.73$ ), possibly due to the weaker ability of the PIP/TMC chemistry  
425 for interfacial degassing.<sup>46</sup>

426

427 Figure 6b shows the membrane salt rejection. PA-PSF2, PAfi-PSF0, and PAfi-PSF2  
428 had comparable Na<sub>2</sub>SO<sub>4</sub> and NaCl rejection values, which were significantly better  
429 than the respective values of PA-PSF0. The poorer rejection performance of PA-PSF0  
430 is consistent with its lower crosslinking degree (Figure 6c), possibly caused by the  
431 limited availability of PIP in the PSF0 substrate.<sup>28</sup> In contrast, polyamide prepared on

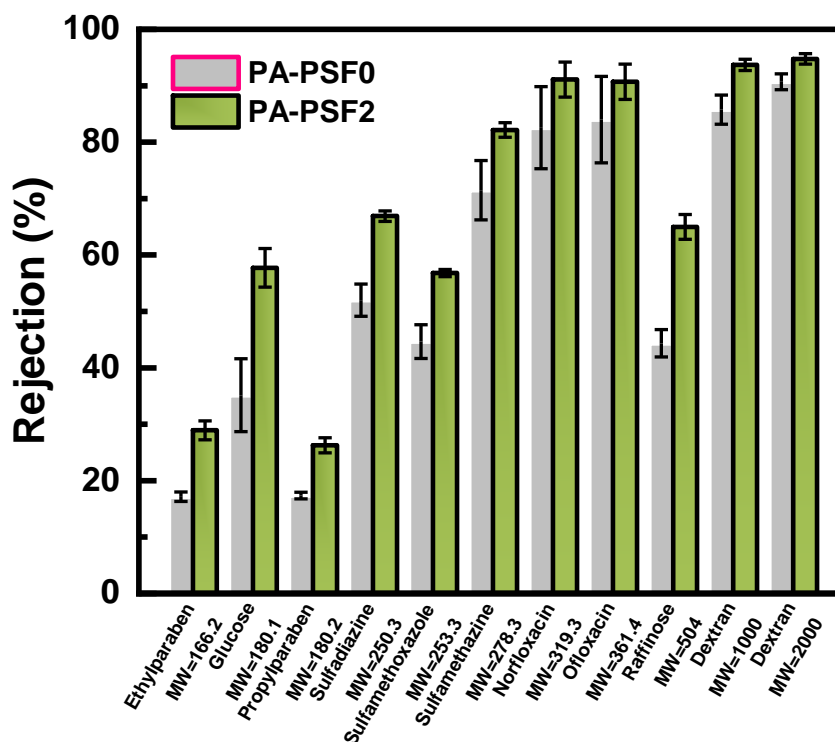
432 PDA coatings (PA-PSF2) or at free interfaces (PAfi-PSF0 and PAfi-PSF2) enjoy a  
 433 greater supply of PIP (e.g., see Figure 4a for PA-PSF2). In addition, previous studies<sup>19,</sup>  
 434 <sup>28, 58</sup> have demonstrated the greater propensity of defect formation for polyamide  
 435 rejection layers prepared over relatively large substrate pores.  
 436



437  
 438 Figure 6. Mechanistic insights on the role of the interlayer. The upper panel presents (a) pure  
 439 water permeance and (b)  $\text{Na}_2\text{SO}_4$  and  $\text{NaCl}$  rejection of membranes prepared directly on the  
 440 substrate (PA-PSF0 and PA-PSF2) and those prepared at a free interface (PAfi-PSF0 and  
 441 PAfi-PSF2). Membrane performance was tested at an applied pressure of 50 psi at 24 °C. The  
 442 lower panel presents a schematic illustration (c) of the mechanisms leading to improved

443 separation performances of the PDA intercalated TFNi membrane.

444



445

446 Figure 7. Membrane rejection results of the control PA-PSF0 and PA-PSF2 membrane on  
447 neutral solutes (glucose, raffinose and dextran) and TrOCs (sulfamethoxazole, sulfamethazine,  
448 norfloxacin, ofloxacin, ethylparaben and propylparaben). The rejection tests for neutral  
449 solutes (glucose, raffinose and dextran) was measured using a 200 ppm solution containing a  
450 single type of solute. For TrOCs, the rejection tests were measured using a solution containing  
451 a cocktail of six compounds (200 ppb for each TrOC). The applied pressure was 50 psi and  
452 the temperature of the feed solution was approximately 24 °C.

453

## 454 **IMPLICATIONS AND FUTURE PERSPECTIVES**

455 In this study, we fabricated a PDA-intercalated TFNi NF membrane. The PDA  
456 interlayer serves as a highly permeable gutter layer for optimized water transport  
457 pathways (Figure 6c). At the same time, this interlayer prevents the intrusion of  
458 polyamide into the substrate pores, promotes the formation of nanovoids within the  
459 polyamide rejection layer, and increases its crosslinking. The direct “gutter” effect of

460 the PDA interlayer together with its indirect effects resulting from enhanced  
461 polyamide layer led to an order of magnitude higher water permeance along with  
462 improved solute rejection for the PDA-intercalated TFNi membrane. We further  
463 reveal that the direct “gutter” effect played a more dominant role compared to the  
464 indirect effects through systematic comparison of PA-PSFn membranes with their  
465 counterparts synthesized at a free interface. Future studies should further investigate  
466 the separate optimization of the “gutter” effect of the interlayer (with due  
467 considerations to its permeability and geometry<sup>27</sup>) and the indirect effects (via its roles  
468 as reservoir for monomers and confinement to interfacially degassed nanobubbles<sup>28</sup>).  
469 These effects should also be further validated for the wide range of other interlayer  
470 materials such as CNTs,<sup>6, 15</sup> polyphenols,<sup>12, 19</sup> and etc.<sup>5, 56</sup>

471

472 Existing literature on NF membrane synthesis often emphasize water permeance and  
473 salt rejection. Many practical applications, however, requires high selectivity more  
474 than high permeance,<sup>59, 60</sup> particularly for the removal of TrOCs in the context of  
475 water reuse.<sup>2, 36</sup> The PA-PSF2 developed in this study was further tested for its  
476 removal efficiency against a set of neutral hydrophilic solutes (glucose, raffinose and  
477 dextran) and TrOCs (sulfamethoxazole, sulfamethazine, norfloxacin, ofloxacin,  
478 ethylparaben and propylparaben). Compared to the control TFC membrane, the  
479 PDA-intercalated TFNi membrane shows consistently improved removal of all  
480 compounds, thanks to the increased crosslinking degree (Figure 4c) and smaller

481 effective membrane pore size (Figure 4d). Indeed, the multilayered structure itself  
482 offers additional opportunities for enhanced removal of TrOCs,<sup>35, 36, 61</sup> with the  
483 possibility to design the interlayer for widened removal spectrum and better  
484 efficiency.<sup>62</sup> The current study reveals the feasibility to simultaneously enhance water  
485 permeance, salt rejection, and removal of TrOCs. Future studies on systematic  
486 modelling and experimental optimization are needed to fully unleash the potential of  
487 TFNi membranes for various environmental applications.

488

#### 489 **ASSOCIATED CONTENT**

490 The Supporting Information is available free of charge on the ACS Publications  
491 website at DOI:

492 S1. ATR-FTIR, magnified TEM images and XPS spectra of PA-PSF membranes;  
493 S2. Methods of determining pore size distribution; S3. Effect of pressure on  
494 membrane separation performance.

495

#### 496 **AUTHOR INFORMATION**

##### 497 **Corresponding Author**

498 \*Phone: (+852) 2859 1976; e-mail: [tangc@hku.hk](mailto:tangc@hku.hk)

499 \*Phone: +86 (0571) 8832 4135; e-mail: [songxiaoxiao@zjut.edu.cn](mailto:songxiaoxiao@zjut.edu.cn)

500

501 **Notes**

502 The authors declare no competing financial interest.

503

504 **ACKNOWLEDGEMENTS**

505 The work is partially supported by the Joint Research Scheme between Research

506 Grants Council of Hong Kong and National Natural Science Foundation of China (No.

507 N\_HKU706/16) as well as the Peak Discipline Construction Program in Environment

508 and Ecology of Shanghai. We appreciate the Electron Microscopic Unit (EMU) at The

509 University of Hong Kong for SEM and TEM sample preparation and analysis.

510

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