

1 **Framework to optimise two-dimensional DIC measurements at**
2 **different orders of accuracy for concrete structures**

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12
13 **Abstract:** Despite the widespread application of digital image correlation (DIC)
14 method in concrete structures, there is no standard procedure to systematically
15 optimising the parameters of DIC. A framework is thus proposed in this paper to
16 optimise two-dimensional (2D) measurements with DIC at different orders of accuracy
17 required in concrete structure models. An accuracy analysis method acting as the core
18 of the framework is introduced and illustrated through specific case studies on
19 reinforcement corrosion, concrete crack and seismic performance of concrete structures,
20 as well as an example of a specific subset size. The parameters presented in the case
21 studies and the example can act as a sound reference for selections of parameters in
22 using DIC for concrete structures. The framework can be used as a guideline for
23 structural engineering researchers who use DIC to measure displacement and strain at
24 different orders of accuracy required for concrete structures.

25 **Keywords:** Digital image correlation; Reinforcement corrosion; Crack; Seismic
26 performance; Concrete structures.

27

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28 1. Introduction

29 Displacement and strain of the concrete surface are key for understanding load-
30 resistance mechanisms and failure modes through the formation of cracks. The use of
31 conventional point-contact techniques, for example, with displacement sensors such as
32 linear variable differential transformers (LVDTs) and strain sensors such as strain
33 gauges (SGs), present challenges in collecting full field deformation information. This
34 is due to the limited discrete point data that can be gathered from a single test, even
35 though these techniques are commonly used. Alternatively, digital image correlation
36 (DIC) technique, a non-contact optical technique, can determine the full-field surface
37 displacement by post-processing of digital images in which the photographed surface
38 is covered with artificial speckle patterns and then compared before and after
39 deformation [1, 2]. Its good precision and the ability to monitor real-time full-field
40 displacement have resulted in extensive applications of DIC in studies on the fracture
41 behaviour [3-6], static/seismic performance [7-13] and durability [14, 15] for concrete
42 structures.

43 The accuracy of DIC measurements essentially depends on the speckle pattern,
44 image quality and input parameters for data processing [16-18]. The input parameters
45 of processed data such as subset size (a small subsection of the reference image) and
46 step size (the spacing between subsets) in turn depend on the speckle pattern and image
47 quality [19]. Despite the prevalence of using DIC to examine concrete structures,
48 minimal research has been done to detail the specification of image acquisition system
49 and selection of parameters for data processing, except for Lin et al. [5] and Michel et
50 al. [14]. Although the effects of subset size, size of zone of interest (ZOI) and image
51 quality on DIC measurement have been investigated [19-23], there is no standard
52 procedure for systematically designing image acquisition system and optimising input
53 parameters of DIC. Consequently, it remains a challenging task to design image

54 acquisition system and speckle pattern to attain high-quality image and select
55 appropriate parameters for data processing, especially in examining concrete samples
56 for different orders of accuracy.

57 To be specific, in some cases, displacement needs to be accurate in the order of
58 micrometres for small-scale concrete samples [14, 15], which is a huge challenge to the
59 image acquisition system and data processing algorithm. Although the required
60 accuracy of displacement to be measured for large-scale or full-scale concrete structures
61 only needs to be in the order of millimetres or centimetres [13], difficulties arise from
62 the huge size of ZOI to be balanced with accuracy of measurement. In addition,
63 disturbances due to vibration and fluctuation in illumination are always difficult to be
64 eliminated in larger-scale or full-scale experiments. This will result in noises of
65 recorded images and increase difficulties of parameter selection to attain the required
66 accuracy. Importantly, it is usually expensive and time-consuming to conduct trial tests
67 for long-term experiments or large and full-scale experiments. Although real images
68 with available camera and setup are the best way to evaluate measurement accuracy,
69 they are generally unavailable at the stage of experimental design before
70 implementation. Hence, how to design and select the image acquisition system, speckle
71 pattern and parameters for data processing to attain the required accuracy, may create
72 confusions to researchers who are not familiar with DIC.

73 Therefore, this study intends to address the research gap to use DIC at different
74 orders of accuracy required in concrete structure experiments and providing a
75 framework that optimises the design of image acquisition system and parameters of
76 DIC with an accuracy analysis. The proposed accuracy analysis method can estimate
77 the theoretical accuracy for selection of camera, fabrication of speckles on samples and
78 evaluation of the feasibility of experimental scheme, which is useful and worthy in
79 designing for experiment which requires contactless full field displacement

80 measurement. The framework can be used as a guideline for structural
81 researchers/engineers who use DIC to measure displacement and strain across different
82 scales of concrete structures. An example of a subset size of 45×45 pixels is presented
83 with different target mean speckle size (m) and target average speckle spacing (ρ) to
84 determine the accuracy of the DIC measurements through the mean error and standard
85 uncertainty. The presented specification of camera and lens, as well as selected speckle
86 size, subset size and step size of case studies can be taken as rational estimation when
87 applying DIC for reinforcement corrosion induced concrete crack, crack measurement
88 of pre-notched concrete beam and seismic performance of RC shear walls. This study
89 can benefit the universal application of DIC in displacement and strain measurement
90 for concrete structures.

91

92 **2. Basic principles and parameters of DIC method**

93 As schematically shown in Fig. 1, a reference subset of $(2M+1) \times (2M+1)$ pixels
94 (where M is an integer as defined in Eq. (1)) centred at point $C(x_0, y_0)$ in the reference
95 image is found in the deformation image. Prior to the matching process, a correlation
96 criterion is predefined to evaluate the similarity between the reference and the target
97 subsets. Once the position and shape of the target subset with the most similarities are
98 found, the displacement vector (u, v) and the gradients from $C(x_0, y_0)$ to $C'(x'_0, y'_0)$
99 can be determined, where u and v are the displacements in accordance with the X- and
100 Y-axes as shown in Fig. 1, respectively. The full-field deformation of the ZOI is
101 obtained by repeating this correlation process with a prescribed step size for the entire
102 ZOI [19].

103 As an optical method based on image matching, the accuracy of DIC relies on the
104 correlation criteria and optimisation algorithms to correctly determine the

105 correspondence between subsets [24]. The zero-normalised sum of squared differences
 106 (ZNSSDs) correlation criteria combined with a Newton-Raphson (NR) optimisation
 107 algorithm is widely used for detecting displacement with the DIC method due to their
 108 exceptional noise resistance and ability to correct for changes in the greyscale resultant
 109 of fluctuations in illumination [24]. The equation for the ZNSSD is expressed as [24,
 110 25]:

$$111 \quad C_{ZNSSD} = \sum_{i=-M}^M \sum_{j=-M}^M \left[\frac{f(x_i, y_j) - f_m}{\Delta f} - \frac{g(x'_i, y'_j) - g_m}{\Delta g} \right]^2 \quad (1)$$

112 where $f(x_i, y_j)$ and $g(x'_i, y'_j)$ are the greyscale intensity value at point $P(x_i, y_j)$ in
 113 the reference image and $Q(x'_i, y'_j)$ in the image of the deformation, respectively. The
 114 average greyscale intensity value of the reference image and deformation image are,
 115 f_m and g_m , respectively. Correspondingly, the standard deviation of the greyscale
 116 intensity value in the reference image and deformation image are Δf and Δg ,
 117 respectively.

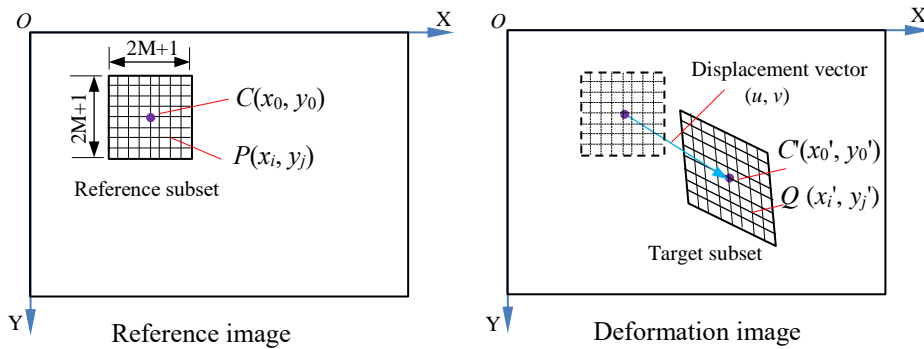


Fig. 1. Schematic of reference and target subsets in 2D DIC.

118
 119 In 2D DIC method, artificial speckle pattern is commonly applied to the surface
 120 of a sample to create a distribution of random greyscale intensity [23, 25], which may
 121 influence the accuracy and precision of the DIC measurement. The production of non-

122 periodic and non-repetitive patterns with high contrast is among the top priorities for
 123 accurate results in DIC measurements. Hence, related parameters, i.e. speckle size and
 124 speckle spacing, should be carefully considered.

125 The subset shape functions (ξ and η) are always introduced to transform pixel
 126 coordinates in the reference subset into coordinates in the target subset after
 127 deformation [19], i.e.,

$$128 \quad x'_i = x_i + \xi(x_i, y_j); \quad y'_j = y_j + \eta(x_i, y_j); \quad i, j = -M : M \quad (2)$$

129 By using first-order shape function, the matching algorithm is not limited to
 130 finding a pure translation, but includes other typical deformation configurations
 131 including rotation, tensile, and shear which can be extended. The first-order shape
 132 function $\xi_1(x_i, y_j)$ and $\eta_1(x_i, y_j)$ can be expressed as [26]:

$$133 \quad \xi_1(x_i, y_j) = u + u_x \Delta x + u_y \Delta y \quad (3)$$

$$134 \quad \eta_1(x_i, y_j) = v + v_x \Delta x + v_y \Delta y \quad (4)$$

135 where $\Delta x = x_i - x_0$, $\Delta y = y_j - y_0$, (u, v) is the displacement vector at the reference
 136 subset center, and u_x , u_y , v_x , v_y are the first-order displacement gradients of the
 137 reference subset in the respective x and y-axes.

138 As integer pixel displacement with an accuracy of one pixel can be readily
 139 computed for digital images, a sub-pixel registration algorithm [24, 27] can actually be
 140 the key for improving displacement measurements to a sub-pixel accuracy in the DIC
 141 method. The one-pixel accuracy has been determined by the image acquisition system,
 142 whilst the sub-pixel accuracy is highly dependent on the speckle size, speckle spacing,
 143 subset size and step size [21, 28].

144

145 3. Framework on optimisation of 2D DIC measurements

146 A flowchart that shows the process for optimising 2D DIC measurements for
147 concrete structures at different scales and order of accuracy is presented in Fig. 2. The
148 procedures are briefly described as follows:

149 (1) Determination of order of displacement approximation to be measured

150 The accuracy of the displacement is required at different orders for small-scale, large-
151 scale and full-scale concrete structures. Estimating the displacement is the crucial first
152 step in optimising 2D DIC displacement measurements.

153 (2) Selection of appropriate cameras

154 Based on the estimated order of displacement and the area of the ZOI, the required
155 spatial resolution of the recorded images can be preliminarily determined by
156 considering a displacement to the accuracy of one-pixel. To reduce the noise in the
157 image, high performance cameras are recommended.

158 (3) Selection of DIC parameters

159 There are two types of parameters that need to be selected: (i) image related parameters
160 and (ii) algorithm related parameters. The former includes speckle size and speckle
161 spacing. Algorithm related parameters mainly consist of subset size and step size. To
162 obtain the full field displacement, the step size should not exceed half of the subset size.
163 As speckle patterns are randomly produced on the surface of the sample, the speckle
164 distribution is quantified by the average spacing ρ of the speckles as:

165
$$\rho = \sqrt{N^2/S} \quad (5)$$

166 where S is the total number of speckles in a defined area of $N \times N$ pixels.

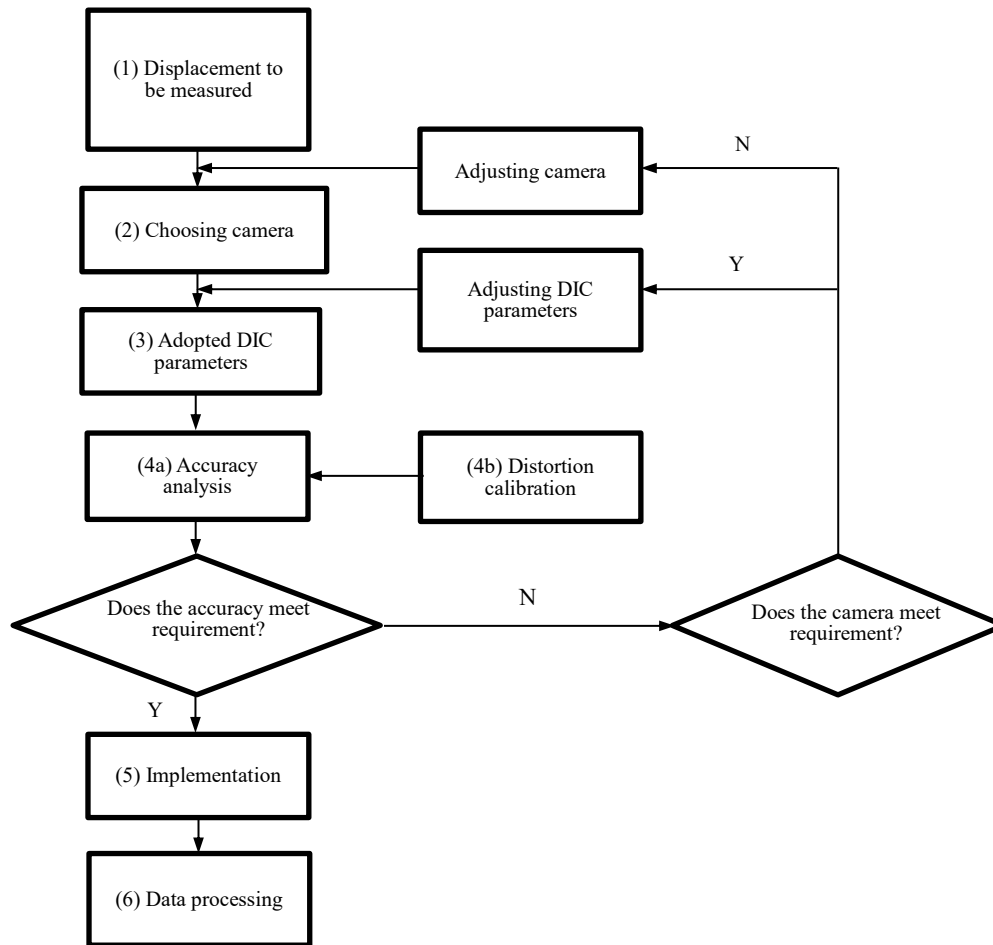


Fig. 2. Flowchart: Optimising displacement measurement with 2D DIC.

167

168 (4a) Implementation of accuracy analysis

169 An accuracy analysis can be carried out using one of two methods depending on the
 170 scale of displacement. Method (A) is an a priori analysis procedure, which is generally
 171 applicable for any scale of displacement but exceptionally useful for measurements in
 172 concrete structures targeted at micrometre scale. This is simply because there are no
 173 commonly available point-contact measurement devices (i.e. LVDTs and SGs) which
 174 have reliable enough sensitivity to measure the scale of displacement in the order of
 175 micrometres. Method (B) is a rational procedure for a scale beyond the order of
 176 millimetres, which can be adequately calibrated by LVDTs and SGs.

177 Method (A):

178 A priori analysis which uses simulated speckle images is proposed to estimate the
 179 accuracy of measured displacement with the selected parameters. The simulated images
 180 before and after deformation are produced with the method proposed by Zhou and
 181 Goodson [28], assuming that the speckle patterns before and after deformation $I_1(\mathbf{r})$
 182 and $I_2(\mathbf{r})$ are the sum of the individual speckles approximated by a Gaussian function:

$$183 \quad I_1(\mathbf{r}) = \sum_{k=1}^S I_0 \exp\left(-\frac{|\mathbf{r}-\mathbf{r}_k|^2}{m^2}\right) \quad (6)$$

$$184 \quad I_2(\mathbf{r}) = \sum_{k=1}^S I_0 \exp\left(-\frac{|\mathbf{r}-\mathbf{U}(\mathbf{r})-\mathbf{r}_k|^2}{m^2}\right) \quad (7)$$

185 where I_0 is the peak intensity of each speckle, m is the speckle size, and $\mathbf{r}_k = (x_k, y_k)^T$
 186 is the randomly distributed position of each speckle. The displacement $\mathbf{U}(\mathbf{r})$ is defined
 187 as:

$$188 \quad \mathbf{U}(\mathbf{r}) = (u_0 + u_x x + u_y y, v_0 + v_x x + v_y y)^T \quad (8)$$

189 where $\mathbf{U}_0 = (u_0, v_0)^T$ is the displacement at $\mathbf{r} = (x, y)^T$, and $\nabla \mathbf{U}_0 = \begin{pmatrix} u_x & u_y \\ v_x & v_y \end{pmatrix}$ is the
 190 deformation gradient.

191 Three typical deformation configurations can be conveniently used to generate
 192 speckle image pairs before and after deformation, i.e., (a) rigid body translation with
 193 $\mathbf{U}(\mathbf{r}) = (u_0, 0)^T$, (b) rigid body rotation with $\mathbf{U}(\mathbf{r}) = (\varepsilon \cdot y, -\varepsilon \cdot x)^T$ and (c) uniaxial
 194 tensile with $\mathbf{U}(\mathbf{r}) = (\varepsilon \cdot x, 0)^T$, where ε is a nonnegative value.

195 The measurement accuracy of DIC can be validated by comparing the processed
 196 results with the preassigned deformation. It is virtually impossible to obtain the desired
 197 accuracy with the selected parameters with a single step. Hence, Steps 2 to 4 need to be

198 iterated to reach a set of optimal parameters.

199 Method (B):

200 This method does not require artificial preassigned image deformations. The accuracy
201 analysis can be simply carried out by comparing the DIC results with those carried out
202 by a point-contact measurement device such as an LVDT or SG. However, the
203 drawback of this method requires the generation of speckle patterns on a real concrete
204 sample subjected to deformation, which can be uneconomical and time consuming.

205 (4b) Camera lens calibration

206 When carrying out DIC measurements, distortion from the camera lens needs to be
207 corrected. Given that the potential of lens distortion may create substantial
208 measurement errors (deviation of several pixels) when using the pinhole model to
209 predict image location, it is essential to remove distortions from image-based
210 measurements [24]. The most widespread approach to circumvent distortion is to use
211 the parametric distortion model by adding a distortion vector term to the pinhole
212 prediction model. Alternative approaches are, for example, the planar target grid
213 approach and the a priori distortion model. Details on image calibration and distortion
214 correction can be found in [24].

215 (5) Implementing DIC

216 After selecting the appropriate parameters, measurement can be carried out through
217 DIC, with preparation of speckled samples, image acquisition and image analysis.

218 (6) Processing data

219 By conducting post-processing analysis such as displacement smoothing and strain de-
220 noising, displacement and strain can be extracted from the acquired images.

221 The proposed framework and a priori analysis method provide guidelines on
222 setting up the system for speckle pattern production and image acquisition, including
223 estimating measurement accuracy. The framework has substantial significance when

224 experimental testing is costly and time consuming.

225

226 **4. Case study of RC structures**

227 Three case studies are presented in this paper to show the necessity of optimising
228 the input parameters and the application of the proposed framework towards different
229 orders of measurement accuracy required in concrete structures. It is noted that the
230 selection and setup of the image acquisition system in the case studies (Step 2 of the
231 framework) and the optimisation of DIC parameters (Step 3 of the framework), were
232 not determined with one single step but through trial and error by conducting accuracy
233 analysis (Step 4a of the framework), in which lens distortion was corrected (Step 4b of
234 the framework). To present the application procedures of the framework in a concise
235 way, the iterative process from Steps 2 to 4 is disregarded. Nonetheless, the necessity
236 to optimise DIC measurement and the selected camera and parameters will be discussed
237 for each case study.

238

239 *4.1. Introduction of the adopted camera and DIC software*

240 Digital single-lens reflex (DSLR) camera was adopted in the case studies for the
241 following reasons: (i) The monochrome camera is preferred over the DSLR camera of
242 the same resolution because the light intensity of each pixel is accurately registered [29].
243 However, the price of a monochrome camera is too much more expensive than that of
244 a DSLR camera, which is always the limitation of its practical application. (ii) The
245 DSLR camera uses a colour filter array (CFA) to separate colour channels. As a result,
246 the colour information must be converted into a monochrome signal by using
247 demosaicing algorithms which may have effects on eventual result. The single integer
248 bias of DSLR camera is of the same order of magnitude as that of monochrome camera,
249 whereas bi-integer bias was observed in the DSLR camera but not in the monochrome

250 camera which may cause a larger error of DIC results [29]. However, with additional
251 resolution, the more affordable DSLR camera appears to be attractive for long term
252 testing and crack detection as demonstrated in the case studies in this paper [14, 30, 31],
253 provided that the user has good understanding of performance and accuracy feature of
254 DSLR camera.

255 A DIC software, Optecal [32], which is programmed based on the Levenberg-
256 Marquardt (LM) subpixel registration technique [24] and ZNSSD correlation criterion,
257 was applied in the case studies. Fig. 3 shows an example of the deformation contours
258 of the three case studies of concrete structure, which were produced using Optecal.
259 Optecal enables lens distortion calibration by using a built-in database of lens distortion
260 parameters to correct the RAW images. Alternatively, engineers can also make use of
261 Hugin software [33] and an open source Camera Calibration Toolbox for MATLAB
262 [34], to calibrate camera lens and input the parameters in Optecal for distortion
263 correction. The comparison between displacement calibrated by the two approaches
264 show a difference of about 0.05 pixel. In this paper, the Camera Calibration Toolbox
265 for MATLAB was utilised for case study 1, whilst the Hugin software was adopted for
266 case studies 2 and 3. The calibration process of Camera Calibration Toolbox for
267 MATLAB is automated which may reduce the error of manual selection when using
268 Hugin software. However, the Toolbox for MATLAB needs dozens of input images of
269 a standard checkerboard captured at different directions with fixed focal length, focus
270 position and aperture (see Fig. 4a), whilst only one picture with captured man-made line
271 is necessary in Hugin software for given focal length, focus position and aperture (see
272 Fig. 4b). Readers can choose one of the methods for their convenience. It is noted that
273 various DIC software calibrates lens distortion with different approaches. Since the
274 proposed DIC framework in this paper is generic, readers have the option to choose any
275 other DIC software. Nonetheless, it is recommended to compare the results of different

276 DIC software for verification purposes.

277

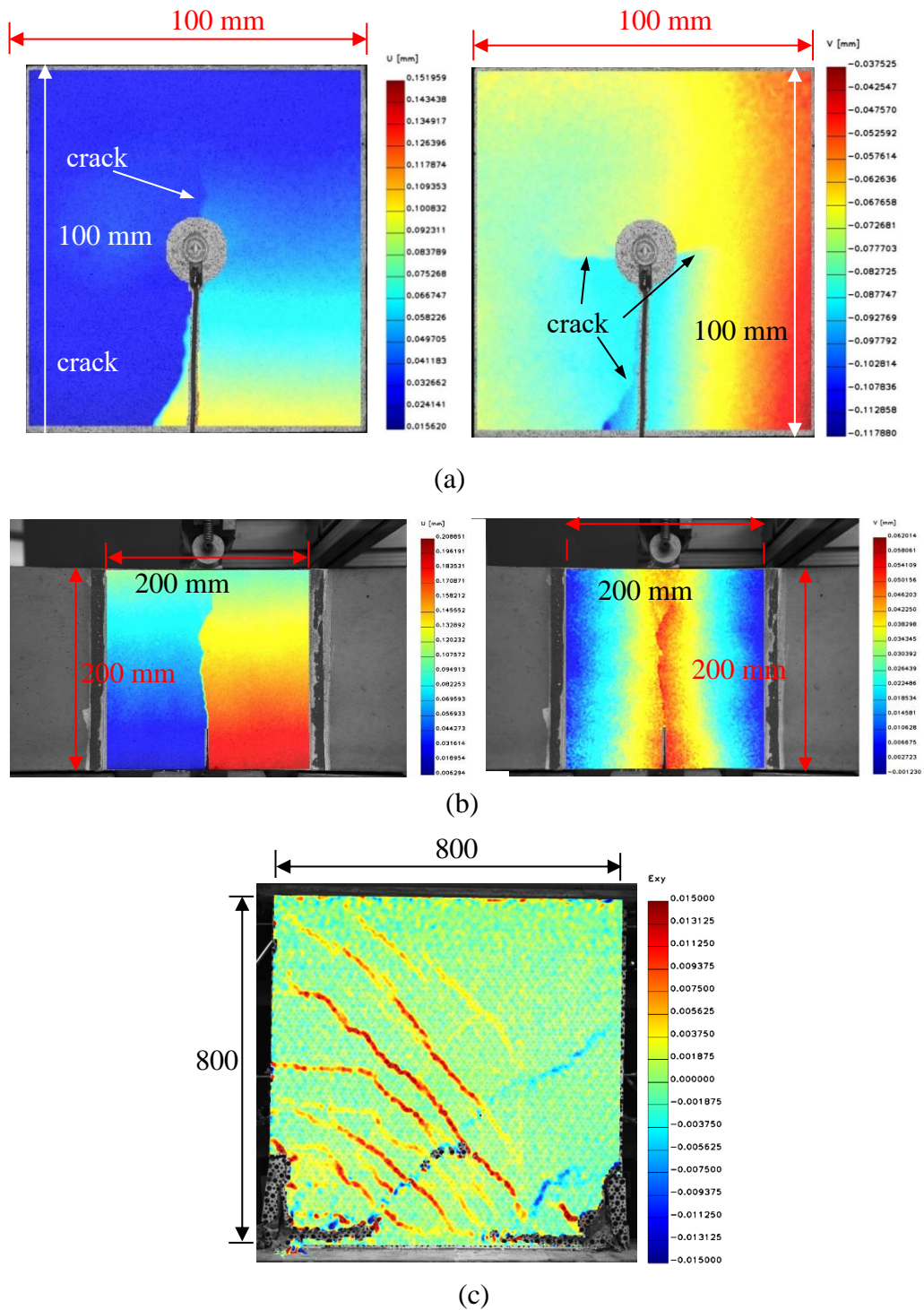


Fig. 3. Deformation or strain contours from DIC analysis for three case studies at different orders of scales: (a) crack from accelerated corrosion in concrete test (b) pre-notched concrete beam test (c) cyclic RC shear wall test (shear strain).

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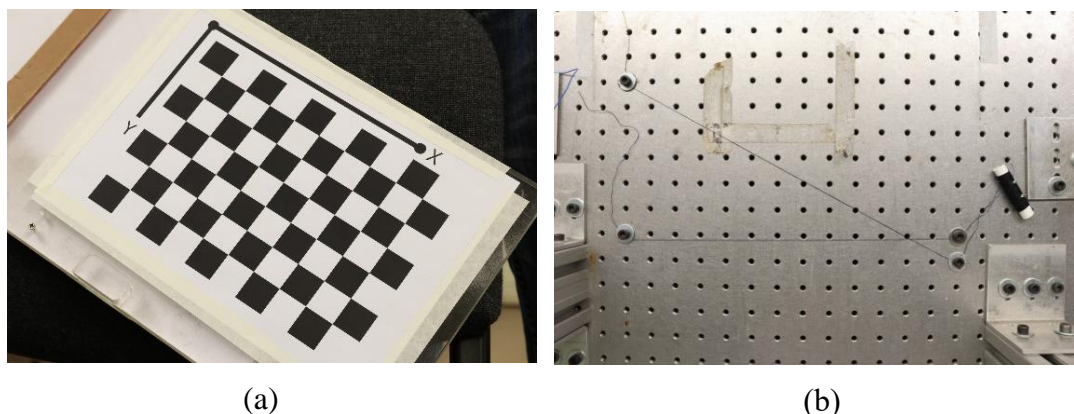


Fig. 4. Lens distortion calibration methods: (a) Image of a standard checkerboard captured at different directions for the Camera Calibration Toolbox in MATLAB and (b) Input image of man-made lines utilised in Hugin software.

281

282 *4.2. Case Study 1: Crack measurement of reinforced concrete in accelerated corrosion*
 283 *test – displacement accuracy at micrometre (μm) scale.*

284 Due to the convenience and effectiveness of measuring displacement over a long
 285 period of time, the DIC technique has been used in the study of reinforcement corrosion
 286 in concrete [15]. A setup for steel corrosion induced cracks in reinforced concrete (RC)
 287 is shown in Fig. 5. The concrete sample was a 100 mm \times 100 mm \times 50 mm block with
 288 a rebar cast in the centre. The ultimate compressive strength of the concrete was 67
 289 MPa, and the rebar diameter was 12 mm. The accelerated corrosion process was
 290 achieved by submerging the concrete block into a sodium chloride (NaCl) solution and
 291 applying a constant current.

292 Due to the volume expansion of corrosion products of steel, expansive pressure is
 293 produced at the concrete/steel interface and induces deformation and cracks of the
 294 surrounding concrete [35]. The surface displacement is monitored by using DIC, with
 295 the aim to determine the critical threshold for displacement due to expansion when
 296 cracks develop at the surface cover of the concrete.

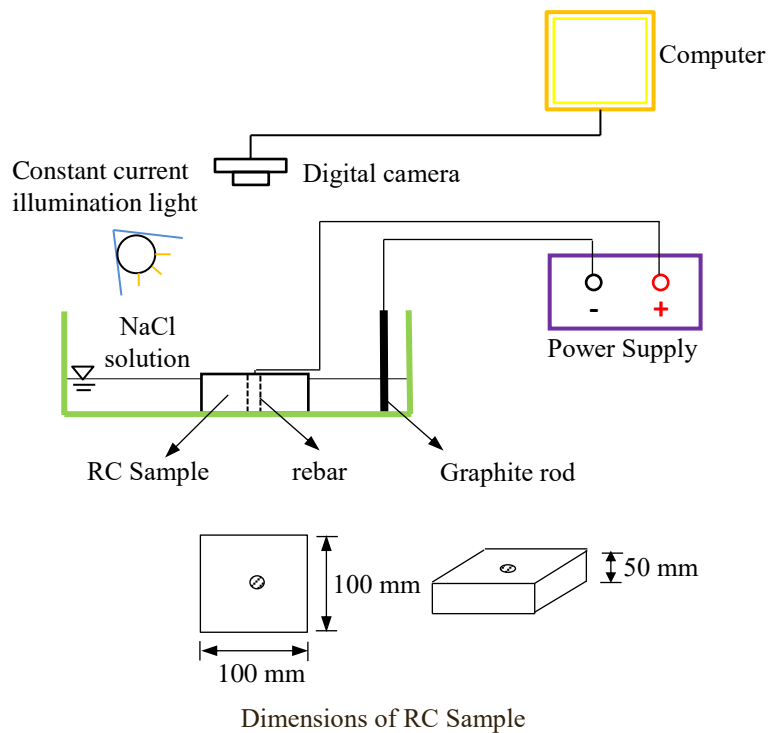


Fig. 5. Schematic setup of displacement measurement with 2D DIC for reinforcement corrosion in concrete.

297

298 The critical threshold for displacement due to expansion can be approximated with
 299 a smeared crack model [15], which is found to be about 12.5 μm . This is indicated as
 300 the first step of the proposed framework. As shown in Fig. 5, a digital camera (Canon
 301 EOS-80D) with 24 megapixels (6000 \times 4000 pixels) was placed directly over the
 302 sample. The captured images were saved as Canon RAW CR2 format. The camera lens
 303 used was a Canon EF-S 18-55 mm f/3.5-5.6 IS STM lens. The desired full field image
 304 of the sample was acquired by applying a maximum focal length of 55 mm with the
 305 lens placed at 32 cm away from the sample. The resultant scale is one pixel equals to
 306 25 μm . Two LED lights powered by a constant current were installed to reduce the
 307 fluctuations from illumination lighting. As the integer pixel accuracy can only reach a
 308 maximum of 25 μm , it is necessary to optimise the parameters based on an a priori
 309 accuracy analysis to achieve higher sub-pixel accuracy.

310 4.2.1. Subset size and step size

311 To select the optimal subset size and step size, simulated images before and after
312 deformation were produced with the method proposed in Eqs. (6)-(8). To simulate the
313 image noise from the environment and the camera itself, a random noise with a signal-
314 to-noise ratio (SNR) of 20 dB [36] for acceptable image quality was added. The average
315 speckle spacing in an image of 500×500 pixels was set as $\rho = 10$ pixels. The speckle
316 size was $m = 5$ pixels. The preassigned rigid displacement and uniaxial tensile strain
317 were 0.1 pixel and $1000 \mu\epsilon$, respectively. As the applicability of the measurement
318 results is determined by their uncertainty component [37], the mean error and standard
319 uncertainty [19, 21] which characterise the bias and precision of the measured
320 displacement and strain were analysed, and the results are shown in Figs. 6 and 7. The
321 standard uncertainty can be accounted by the standard deviation (STD), σ [37]:

$$322 \quad \sigma = \sqrt{\frac{1}{n-1} \sum (\chi - \bar{\chi})^2} \quad (9)$$

323 with

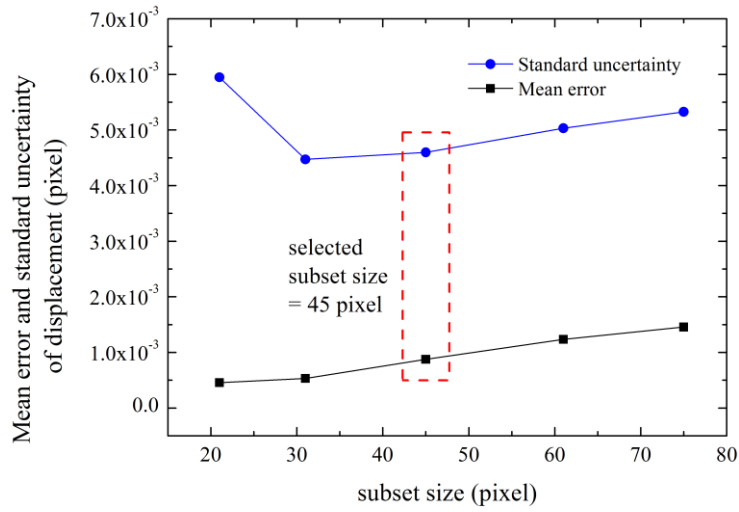
$$324 \quad \bar{\chi} = \frac{1}{n} \sum \chi \quad (10)$$

325 where n is the number of observations, and χ is the observed value of the measurand.

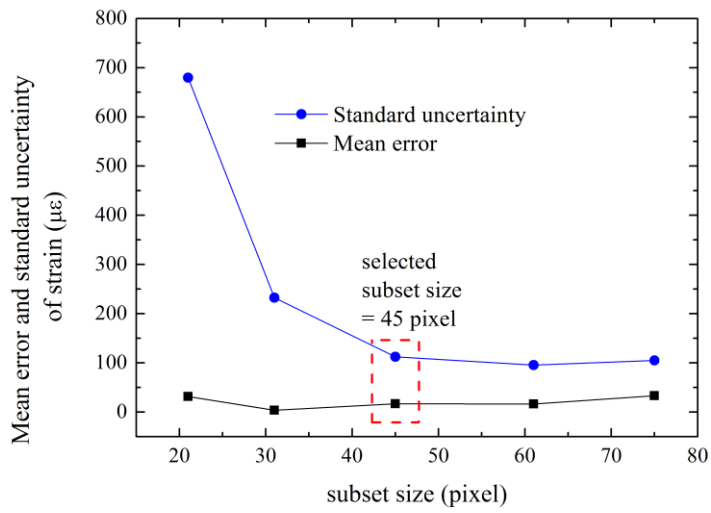
326 Fig. 6 shows the effect of the subset size on the measurements. The step size is set
327 to be 0.375 times that of the subset size (see discussion in Fig. 7). The increasing subset
328 size as shown in Fig. 6(a) implies that the mean displacement error slightly increases;
329 however, the standard uncertainty of the displacement initially decreases followed by a
330 slight increase. When the subset size is less than or equal to 45×45 pixels, the
331 increasing subset size can significantly reduce the standard uncertainty of strain, as
332 shown in Fig. 6(b), but has a negligible effect on the mean strain error. This is similar
333 to the observations reported by Pan et al. [19] and Sun and Pang [38]. The highest
334 standard uncertainties of displacement and strain were recorded when the subset size is

335 21×21 pixels. The optimal subset size is thus 45×45 pixels.

336 Fig. 7(a) shows that the mean error and standard uncertainty of displacement in a
337 pixel unit span across the step size. The graph shows an initial increase, followed by
338 decrease with step size increments. The mean error and standard uncertainty of
339 displacement peak at a step size of 0.25 times the subset size. In Fig. 7(b), the mean
340 error and standard uncertainty of strain in general show an increase with step size
341 increments. The minimum mean error and standard uncertainty of strain are
342 consistently achieved when the minimum step size (the spacing between the subsets,
343 taken as 0.1 times the subset size) is selected. In contrast, both the mean strain error and
344 standard strain uncertainty reach their maximum at the maximum step size (which is
345 0.5 times the subset size). This is because increasing the step size reduces the number
346 of calculated points, which results in a larger mean error and greater uncertainty of
347 strain. It should be noted that the number of calculated points and computational time
348 increase with a smaller step size. An appropriate step size that is 0.375 times the subset
349 size (which is less than half of the subset size and equals to 16 pixels in this case) is
350 selected by considering both accuracy and the computational cost of calculation.

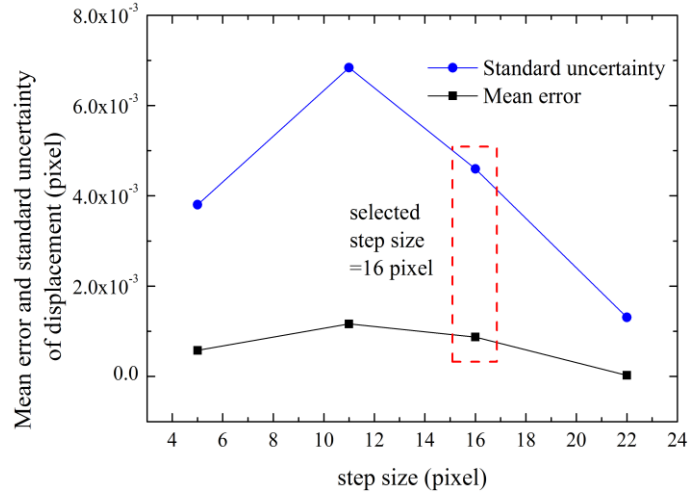


(a)

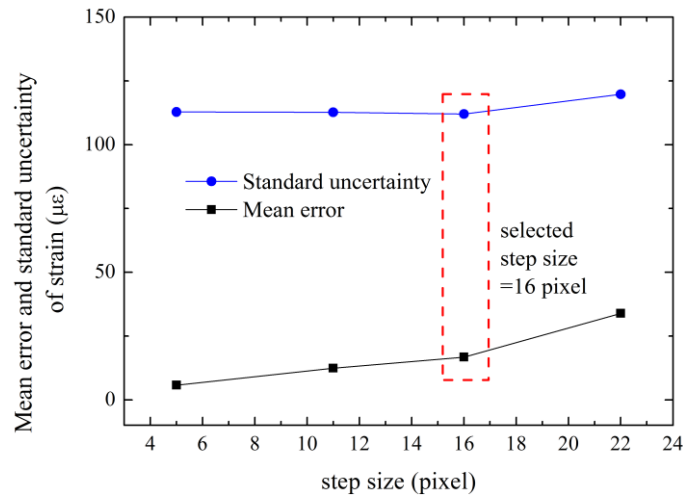


(b)

Fig. 6. Mean error and standard uncertainty of (a) displacement and (b) strain variations with different subset size. (Note: Step size: 0.375 times subset size.)



(a)



(b)

Fig. 7. Mean error and standard uncertainty of (a) displacement and (b) strain variations with different step size (0.1, 0.25, 0.375, and 0.5 times subset size). (Note: Subset size set at 45×45 pixels.)

352

353 4.2.2. Speckle size and spacing

354 A similar procedure as that for determining the optimal subset size and step size
 355 was conducted for mean speckle size m and average speckle spacing ρ , to investigate
 356 their effects on measurement accuracy and precision. A subset size of 45×45 pixels
 357 and step size of 16 pixels (which is 0.375 times the 45 pixels) were applied based on
 358 the findings in previous section. The rigid displacement and uniaxial tensile strain were
 359 preassigned as 0.1 pixel and 1000 $\mu\epsilon$, respectively. The results are shown in Table 1. It

360 can be observed that reducing the speckle size or speckle spacing does not guarantee a
 361 lower mean error and standard uncertainty of the displacement and strain. However, the
 362 mean displacement and strain error, and the standard uncertainty of strain generally
 363 decrease when the speckle size is reduced (i.e., when $1 \leq \rho/m \leq 3$). The mean
 364 displacement and strain error of $m = 15$ pixels are much larger than that of $m = 10, 5$
 365 pixels.

366 **Table 1** Mean error and standard uncertainty of displacement and strain for different
 367 spacing ρ and speckle size m with subset size = 45×45 pixels, and step size = 16 pixel.

ρ (pixel)	m (pixel)	Mean error of displacement (pixel)	STD of displacement (pixel)	Mean error of strain ($\mu\epsilon$)	STD of strain ($\mu\epsilon$)
15	15	2.46×10^{-3}	8.82×10^{-3}	104.59	588.09
20	15	2.87×10^{-3}	8.06×10^{-3}	52.08	390.48
10	10	9.79×10^{-4}	6.48×10^{-3}	14.30	234.11
15	10	1.93×10^{-3}	6.43×10^{-3}	9.97	215.91
20	10	7.14×10^{-4}	5.44×10^{-3}	1.35	251.42
5	5	7.80×10^{-4}	5.13×10^{-3}	16.76	129.68
10	5	8.73×10^{-4}	4.60×10^{-3}	16.73	112.03
15	5	7.13×10^{-4}	4.58×10^{-3}	15.65	160.56
20	5	7.89×10^{-4}	7.11×10^{-3}	2.36	602.56

368

369 In addition, for the same speckle size ($m = 5$), smaller spacing ρ (i.e. higher speckle
 370 density) does not necessarily improve accuracy and precision. As shown in Table 1, the
 371 mean error and standard uncertainty of the displacement and strain for $\rho = 5, 10, 15$ and
 372 20 have insignificant differences. However, when $\rho/m \geq 3$, i.e. $\rho = 20$, the standard
 373 uncertainty of strain drastically increases while the mean error of strain is reduced. The
 374 speckle images with different speckle spacing in Fig. 8 show that when $\rho / m = 2 \sim 3$, the
 375 images have the best contrast performance. It should be noted that in practice, the
 376 generated speckles may not be easily nor perfectly controlled so that they are of the
 377 same size. Therefore, a range of speckle size of $m = 3 \sim 8$ pixels and $\rho = 10 \sim 15$ pixels
 378 were selected to produce averaging effects in the reinforcement corrosion experiment.
 379 The surface of the concrete sample with artificially generated speckles is shown in Fig.

380 9.

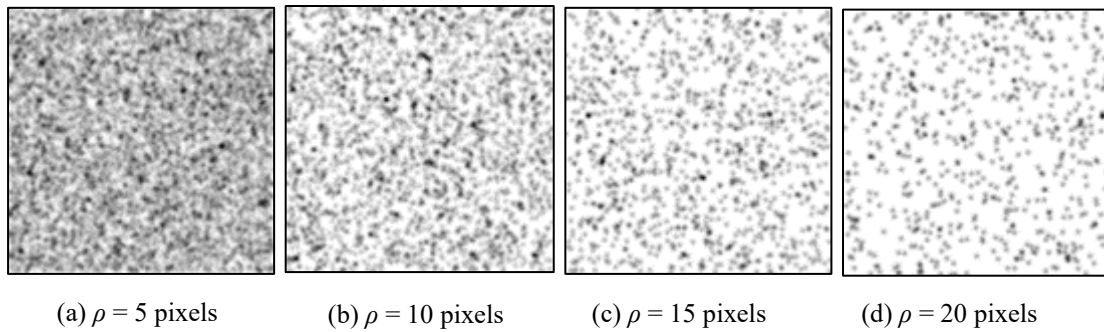


Fig. 8. Images of speckles with different densities.

381

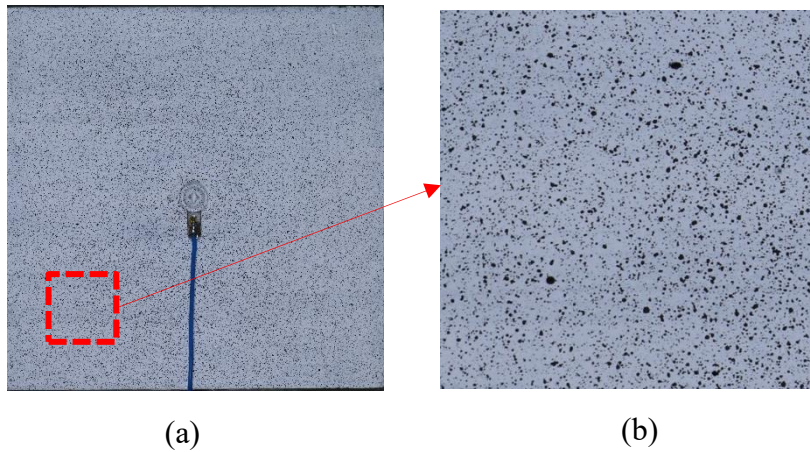


Fig. 9. Concrete sample with artificially generated speckles. (a) On sample surface. (b) Enlarged sub-region of sample surface: 500×500 pixels.

382

383 4.2.3. Measurand

384 Very often, LVDTs or SGs cannot be used for smaller concrete samples in which
385 the accuracy of the displacement value is at the micrometre scale. The performance of
386 DIC with the selected parameters that measure different scales of measurands is
387 evaluated. Fig. 10 shows the STD of each mean observation of the DIC for different
388 preassigned rigid body translations and uniaxial tensile strains. As shown in Fig. 10, the
389 mean observation of the measurand is accurately captured, while the precision increases
390 with increases in the preassigned deformation.

391 Fig. 10(a) shows rigid body translations greater than 0.05 pixel can be captured

392 accurately and precisely. When the preassigned rigid body translation is 0.01 pixel, the
 393 STD of the mean observation of 0.0097 pixel is 1×10^{-3} pixel. Therefore, with a scale of
 394 1 mm = 40 pixels, displacement over 1.25 μm can be accurately and precisely measured.
 395 Fig. 10(b) shows that the STD of tensile strain greater than 300 $\mu\epsilon$ is 43 $\mu\epsilon$ (coefficient
 396 of variation = 0.14). However, the STD of strain less than 100 $\mu\epsilon$ is at most 35 $\mu\epsilon$
 397 (coefficient of variation = 0.35), which means that it is difficult to precisely capture
 398 strain in the order of less than 100 $\mu\epsilon$.

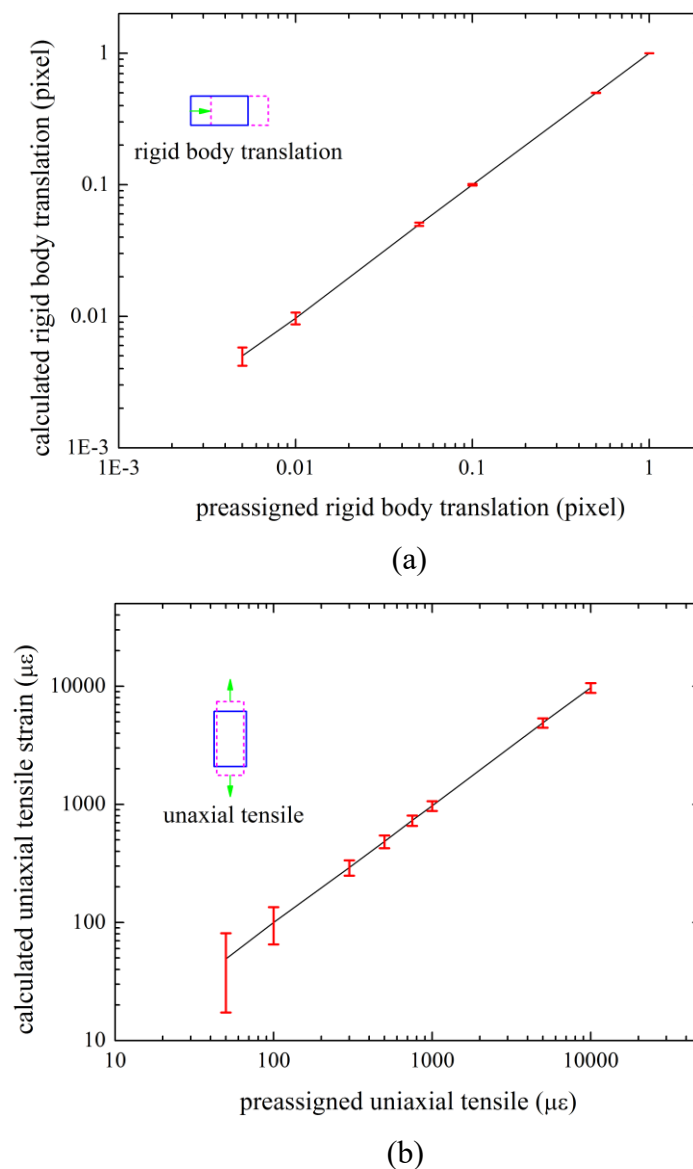


Fig. 10. Validation of accuracy of DIC algorithm under (a) rigid body translation and (b) uniaxial tensile.

399

400 It is noted that the simulated image analysis is presented as a theoretical
401 approximation of the real levels of accuracy, which is useful and more convenient when
402 checking feasibility of experimental scheme. However, real images produced by
403 moving the specimen or camera using a micrometer stage will be complementary to
404 accuracy analysis with simulated images, which is worthy to be extended in future work.

405

406 *4.3. Case Study 2: Crack measurement of pre-notched concrete beam for non-linear*
407 *fracture mechanics study – displacement accuracy at micrometre (μm) to millimetre*
408 *(mm)*

409 Non-contact optical techniques are effective means to investigate nonlinear fracture
410 behaviour of quasi-brittle materials, e.g. concrete, due to their capacity to accurately
411 record crack development and full-field displacement in the fracture process zone (FPZ),
412 which is shown in Fig. 11. Electronic speckle pattern interferometry (ESPI) is a non-
413 destructive optical technique which enables measurement of surface displacement by
414 analyzing the variation of fringe pattern. Chen and Su [39] demonstrated the use of
415 ESPI to evaluate crack characteristics including complete crack opening displacement
416 (COD) profiles, width of the FPZ and crack length. The results were used to estimate
417 the tension-softening of concrete. Although DIC is similar to ESPI, it is however
418 relatively simpler and more convenient in terms of experimental setup and data
419 processing. Both methods have high level of accuracy that can be achieved with less
420 strain sensitivity on vibration. Therefore, DIC is a good alternative for investigating the
421 nonlinear fracture behaviour of concrete.

422 As shown in Fig. 11, a three-point bending test of a pre-notched beam is conducted
423 to investigate the fracture mechanical properties of concrete in accordance with RILEM
424 recommendations [40]. The ultimate compressive strength of the pre-notched concrete

425 beam is 65.1 MPa. The beam size is 900 mm × 200 mm × 50 mm, and the span is 800
 426 mm. The notch length is 60 mm, and the width of the notch is 2 mm. LVDTs and clip
 427 gauge were installed at the bottom surface (soffit) of the concrete beam. The mid-span
 428 deflection and crack mouth opening displacement (CMOD) were measured by LVDTs
 429 and a clip gauge, respectively. CMOD is essential for demonstrating the nonlinear
 430 fracture behaviour of concrete. By using a very stiff servo-controlled MTS testing
 431 machine to carry out the three-point bending tests, the growth of the primary crack was
 432 well controlled. The displacement-controlled loading rate was set at 0.01 mm/min. The
 433 complete load-deflection and load-CMOD curves were recorded by using a data logger.

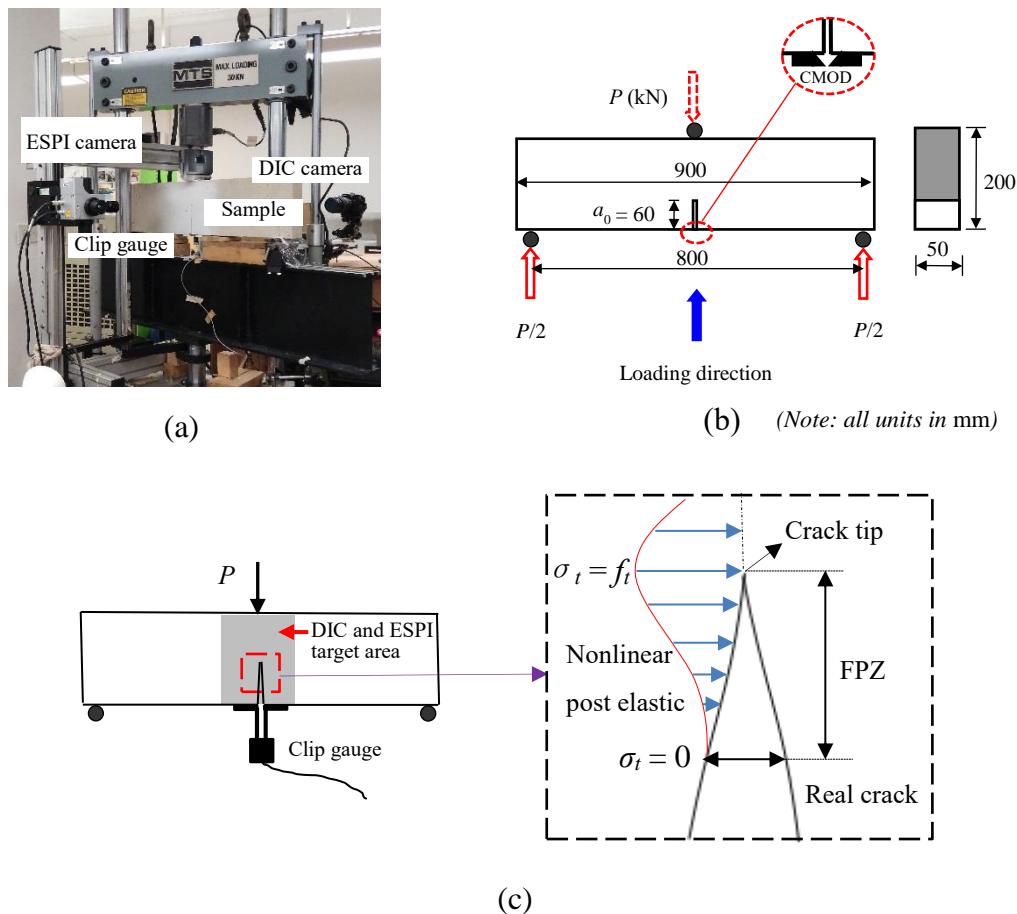


Fig. 11. Measurement of cracking in pre-notched concrete beam. (a) Experimental setup (b) Diagram of three-point bending test and (c) Sketch of FPZ (where σ_t is the tensile strength of concrete).

434

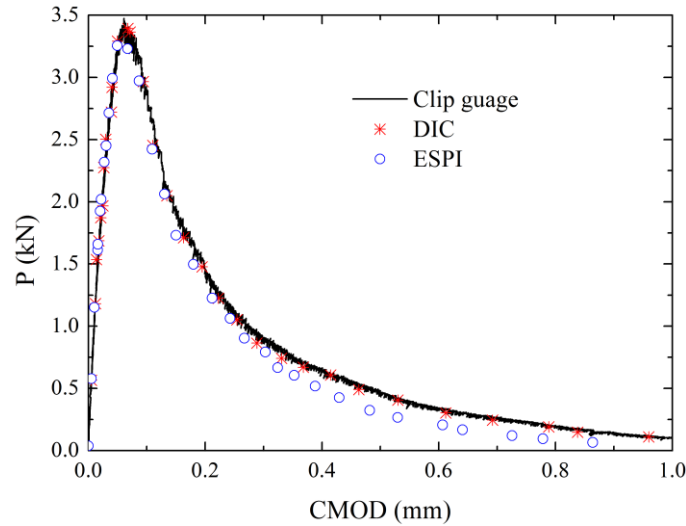
435 As the first step to optimise DIC measurement (see Fig. 2), the order of CMOD to

436 be measured should be approximated. The critical value of the CMOD at peak load is
437 about 70 μm , whilst the CMOD is expected to be as large as 1 mm or more at the end of
438 post-peak stage. Measurement accuracy realistically should meet this requirement at
439 the crack tip, which should be in the order of micrometres to millimetres.

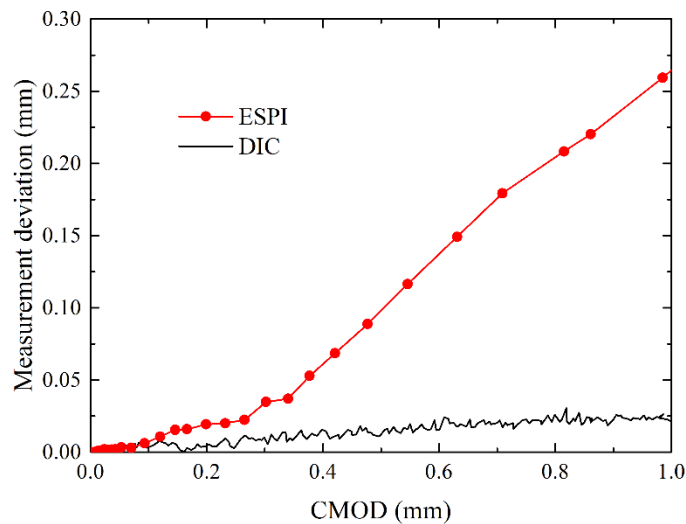
440 Secondly, appropriate camera for image acquisition should be selected. For
441 comparison purposes, the progression and displacement of the cracks in the concrete
442 beam were recorded by using both ESPI and DIC. The ESPI system (Dantec-Edtemeyer
443 Q300) and a digital camera for DIC analysis were placed in front and at the back of the
444 sample, respectively. The target areas for ESPI and DIC observation is the shaded areas
445 on the front and back surface of concrete beam as displayed in Fig.11(c), respectively.
446 The CMOD results of ESPI and DIC were evaluated at the end of the notch near to the
447 bottom surface of the concrete beam, in order to compare with the clip gauge results.
448 The camera of the ESPI was placed at about 430 mm away from the concrete beam.
449 The technical specifications of the Dantec-Edtemeyer Q300 system can be found in [41].
450 For the DIC measurement, a Nikon D7100 camera with sensor of 24 megapixels (i.e.
451 image size of 6000×4000 pixels) was adopted. The captured image format is NEF. The
452 camera lens (AF-S DX NIKKOR 18-300 mm f/3.5-6.3G ED VR lens) with a focal
453 length of 50 mm was placed at 1100 mm from the sample surface, which was calibrated
454 by using Hugin software for distortion correction. The obtained lens calibration
455 parameters were input in Optecal software to convert the captured NEF images for data
456 processing. The scale of the measurement in the ZOI area of $200 \text{ mm} \times 200 \text{ mm}$ is about
457 19 pixels/mm (1-pixel accuracy $\approx 0.05 \text{ mm}$). As a result, it becomes necessary to
458 optimise the DIC parameters (Step 3 in Fig. 2) for good sub-pixel accuracy. The
459 accuracy analysis (Step 4a) proposed in the framework was then carried out. As
460 previously mentioned, it is important to note that the selection of camera or DIC
461 parameters is not determined with a single step. Steps 2 to 4a were iterated to reach a

462 set of optimal parameters by conducting accuracy analysis, in which the distortion was
463 calibrated and corrected (Step 4b). Through trial-and-error, the speckle size and spacing
464 were determined as $m = 2\sim 6$ pixels, and $\rho = 5\sim 10$ pixels, respectively. A subset size of
465 31 pixels and step size of 15 pixels were adopted.

466 By implementing DIC measurement (Step 5) and processing the acquired data
467 (Step 6), the deviation between the load-CMOD measured by DIC with that measured
468 by ESPI and the clip gauge can be evaluated. (see Fig. 12(a)). It can be observed that
469 the load-CMOD measured by using DIC is generally consistent with that measured by
470 the clip gauge for the entire loading period. The results demonstrated the reliability of
471 DIC in capturing crack opening displacement. On the contrary, the load-CMOD
472 measured by ESPI deviates from that by the clip gauge and DIC when the CMOD is
473 greater than 0.25 mm. As shown in Fig. 12, the maximum CMOD is recorded at 1 mm.
474 When crack propagated close to the top of the beam with increasing of CMOD (larger
475 than 0.25 mm), it is expected that rigid rotation occurs with the sink of midspan because
476 the bearing capacity of concrete beam decreases. This may cause severe decorrelation
477 in ESPI laser speckle patterns [25, 42, 43] and thus produce deviation compared to clip
478 gauge and DIC. As opposed to ESPI, most DIC algorithms have the option of retrieving
479 displacement with the frame-compared-with-preceding-frame approach (besides the
480 standard option of referencing the initial image), which enables DIC performs better
481 when decorrelation occurs between the current frame and reference frame. The frame-
482 compared-with-preceding-frame approach was adopted only at later stages of crack
483 propagation when the CMOD is larger than 0.25 mm. Prior to 0.25 mm, the standard
484 option of referencing the initial image was adopted.



(a)



(b)

Fig. 12. Pre-notched concrete beam test results: (a) load-CMOD results from different measurement methods, and (b) measurement deviation of DIC and ESPI compared to that of clip gauge.

485

486 It is noted that when the CMOD is small (less than 0.25 mm), the measurement
 487 deviation of the DIC is less than 6 μm (see Fig. 12(b)). This may be caused by the minor
 488 difference between the evaluated location of CMOD by using DIC and clip gauge. The
 489 captured full-field displacement by DIC was on the back surface of concrete beam,
 490 whilst the clip gauge was installed at the bottom surface of concrete beam where is closer
 491 to the front surface.

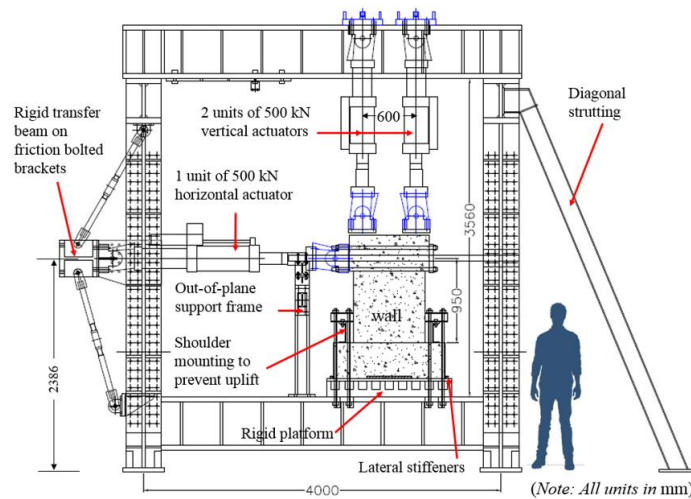
492

493 *4.4. Case Study 3: Full displacement field measurement of RC shear walls –*
494 *displacement accuracy at millimetre (mm) to centimetre (cm)*

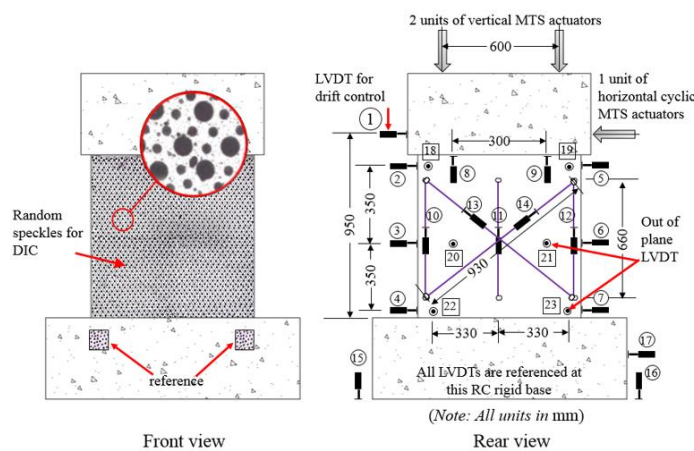
495 A series of RC shear wall tests were conducted in Looi et al. [13] to investigate
496 the structural behaviour of RC walls with short shear span (a special class of RC shear
497 walls that are found in many low-to-moderate seismic regions) under constant vertical
498 load and cyclic lateral loading. Fig. 13(a) shows the setup of the RC shear wall test. The
499 shear wall has dimensions of 800 mm × 800 mm × 80 mm (height × length × thickness),
500 cast with concrete of about 30 MPa cube strength and loaded with three servo-
501 controlled MTS actuators. DIC was used on the front face of the wall to capture the full
502 deformation field. In this study, the lateral displacement profile of a shear wall,
503 codenamed C30-N-ALR01 and measured by LVDT-2 and LVDT-5 will be referenced,
504 to corroborate with the processed data of the DIC results (i.e., DIC-L and DIC-R) from
505 a previous study [13]. It should be noted that the LVDTs were placed above the rigid
506 RC base of the walls to automatically eliminate unwanted base sliding between the
507 sample and the rigid platform (the frame that supports the LVDT stand is shown in Fig.
508 13(b).

509 The maximum lateral displacement is ± 10 mm. As the first step in the proposed
510 framework (see Fig. 2), the displacement to be measured is in the order of millimetre.
511 The second step to select the appropriate camera for image acquisition and third step to
512 optimise DIC parameters were implemented through trial-and-error by conducting
513 accuracy analysis (Step 4a of the framework). The selected digital camera for the DIC
514 analysis (Canon EOS 70D with a 20.2-megapixel sensor, installed with a Canon EF-S
515 18-55 mm IS STM lens) was used to capture the images of the random speckles in
516 Canon RAW CR2 format at 6-10 s intervals throughout the experiment. The ISO was
517 set at 400 with an aperture of $f/4$. The camera was placed in front of the test rig at about

518 2 m away from the walls with the focal length of the camera lens set at 24 mm, thus
 519 allowing a ratio of about 2 pixels/mm (1-pixel accuracy ≈ 0.5 mm). When conducting
 520 accuracy analysis, post-processing of Canon RAW CR2 conversion and lens distortion
 521 (Step 4b of the framework) were carried out using the built-in function of Optecal [32]
 522 and distortion correction parameters calibrated by Hugin software [33].



(a)



(b)

Fig. 13. RC shear wall test under cyclic loading: (a) experimental setup in testing rig (b) DIC and LVDTs.

523

524 The speckle size m was determined as 2~20 pixels with a mean value of about 10
 525 pixels. Black speckles were randomly sprayed onto the walls with the use of a stencil
 526 board. The surface of the wall was coated with a thin layer of white plaster to enhance
 527 the contrasting. Random speckles were generated with diameter sizes of 1, 2.5, 5 and

528 10 mm and spacing at approximately 2.5 times the speckle diameter ($\rho = 5\sim 50$ pixels,
 529 average spacing at about 25 pixels). The subset size of 45×45 pixels and step size of
 530 13 pixels were used.

531 By using the selected camera and optimised DIC parameters, displacement
 532 measurement was implemented and evaluated as described in Steps 5 and 6 of the
 533 framework. Fig. 14 shows the envelope of the hysteresis loop generated by using the
 534 results of LVDT-2 and LVDT-5 at a height of 720 mm from the concrete base. Two
 535 points determined by DIC (i.e. DIC-L and DIC-R) which were measured at the same
 536 height with LVDT-2 and LVDT-5, respectively, were computed and superimposed; see
 537 Fig. 14.

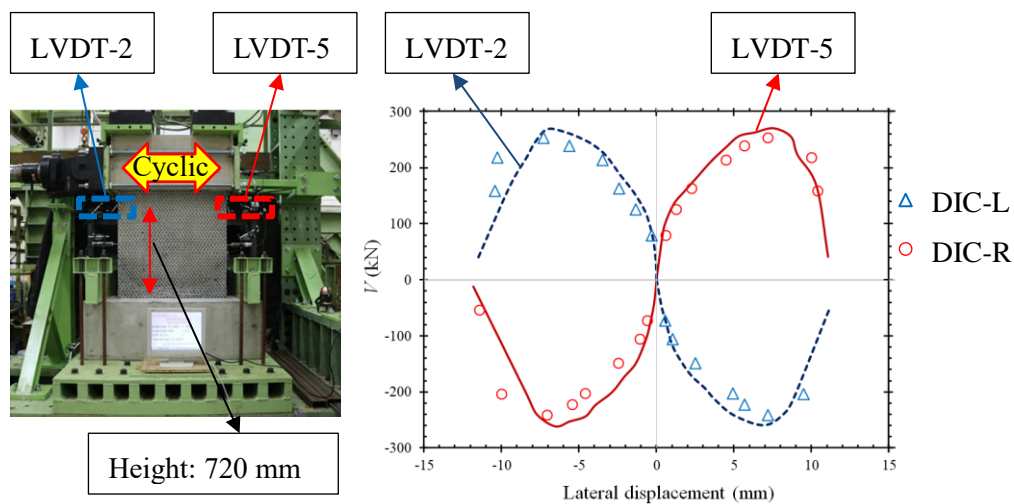


Fig. 14. Hysteresis envelope of lateral load-displacement recorded by LVDTs and DIC in RC shear wall tests under cyclic loading (Note: DIC-L compared to LVDT-2 and DIC-R compared to LVDT-5).

538

539 Fig. 15 shows the computed measurement deviation of DIC with the LVDT result.

540 The deviation is small during the initial cyclic displacement, but increased to maximum

541 of 1.6 mm at a displacement of 5 mm. The mean deviation is about 0.78 mm throughout

542 the whole experiment. The maximum displacement at collapse failure in this study is

543 recorded at about 12 mm, thus standard deviation and variation of ± 1 mm are computed.

544 The deviations could be due to the following possible reasons: (i) minor spalling of
545 concrete cover due to cracks, which resulted in movement of the speckles and (ii) the
546 large scale RC wall specimen which was subjected to significant axial load has
547 undergone non-uniform out-of-plane displacement (although the specimen has been
548 constraint with roller in the out-of-plane direction) which was recorded as 1.5 mm at
549 LVDTs 18 and 19 [13].

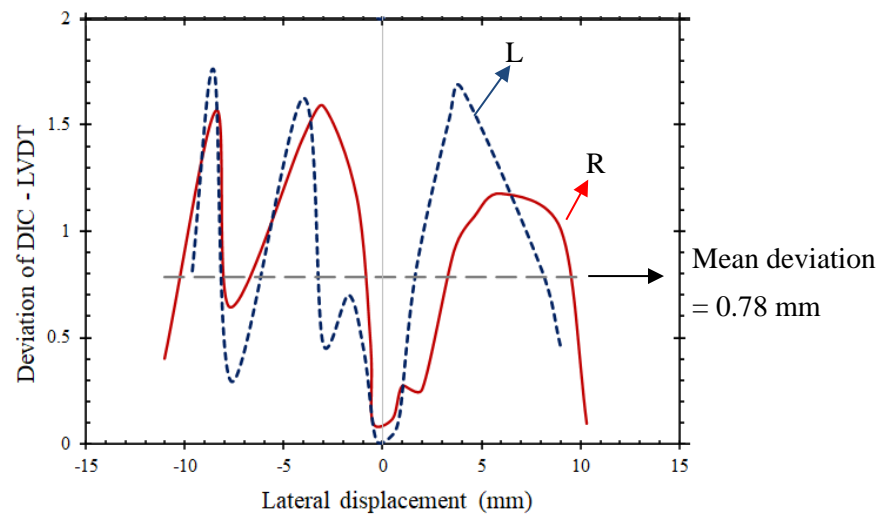


Fig. 15. Measurement deviation of DIC compared to LVDTs in RC shear wall tests under cyclic loading. (Note: L = DIC-L compared to LVDT-2 and R = DIC-R compared to LVDT-5)

550

551 4.5. Discussion of case studies

552 It is noted that the three case studies were selected based on the order of
553 displacement to be measured and the nature of deformation for concrete structures. The
554 scope is to illustrate the necessity of optimising the parameters and application of the
555 proposed framework. Therefore, the details of experiments are focused on the
556 experimental setting and selected parameters related to the quality of captured images
557 and analysis accuracy. Interested readers can find out more details on the experimental
558 setup and implementation of the case studies in the cited references 13, 15, 39 and 41.

559 The presented specifications of camera and lens, as well as the selected DIC

560 parameters of the three case studies can be taken as rational estimation when applying
561 DIC for reinforcement corrosion induced concrete crack, crack measurement of pre-
562 notched concrete beam and seismic performance of RC shear walls. However, the
563 camera and parameters should be examined to attain the required accuracy in
564 accordance with any new problem.

565 It is acknowledged that using real images with available camera and setup is the
566 best way to evaluate measurement accuracy. However, it is unlikely to be able to
567 prepare the setup and samples before checking the feasibility of experimental scheme,
568 as the fabrication of formwork and cast of samples (especially large samples as in case
569 study 3) are expensive and time-consuming. In most cases, real images are generally
570 unavailable at the stage of experimental design before implementation.

571 On the contrary, the proposed a priori analysis method (Step 4a of the framework)
572 can estimate the theoretical accuracy for selection of camera, fabrication of speckles on
573 samples and evaluation of the feasibility of experimental scheme. It is useful and
574 worthy in designing for experiment to measure displacement. However, validation of
575 accuracy analysis by using real images during implementation is still highly
576 recommended if they are available.

577

578 **5. Conclusion**

579 A systematic framework that optimises the design of image acquisition system and
580 different parameters in displacement measurement with 2D DIC for concrete structures
581 at different scales is proposed and illustrated through three case studies. Based on an
582 accuracy analysis with simulated deformation images, it is found that the standard
583 uncertainty of strain is extremely high when a small subset size (less than 45×45 pixels)
584 is used. The effects of subset size on the measurement accuracy of displacement and
585 the mean error of strain are insignificant. The optimal step size is proposed to be less

586 than half of the subset size.

587 The example of a subset size of 45×45 pixels with different mean speckle size m
588 and speckle spacing ρ demonstrates the varying accuracy of DIC measurements for
589 preassigned rigid displacement of 0.1 pixel and uniaxial tensile strain of $1000 \mu\epsilon$. It is
590 observed that when $1 \leq \rho/m \leq 3$, the mean displacement and strain error, and the STD
591 of strain generally decrease with smaller speckle size. Higher speckle density (i.e.
592 smaller speckle spacing ρ) does not necessarily improve the accuracy of displacement
593 and strain measurement. However, when $\rho/m \geq 3$, the STD of strain increases
594 drastically.

595 The measurement deviations of DIC were presented by comparing the results with
596 point-contact devices (i.e., clip gauge or LVDTs) and alternative non-contact method
597 (i.e., ESPI), to show the effectiveness of the proposed optimisation framework. The
598 proposed DIC parameters for concrete structures at different scales (and different order
599 of accuracy), demonstrated with three examples, are foreseen to be a useful reference
600 source for any structural engineer interested in exploring the use of 2D DIC
601 measurements.

602

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607

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