

1 **Title:** Leaf surface water, not plant water stress, drives diurnal variation in tropical forest canopy
2 water content

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21 Notes S1 Additional model description for ED-2.2-hydro

22 Notes S2 Supplementary Figures (Fig. S1-S13)

23 **Summary:**

- 24
- Variation in canopy water content (CWC) that can be detected from microwave remote sensing of vegetation optical depth (VOD) has been proposed as an important measure of vegetation water stress. However, the contribution of leaf surface water (LW_s), arising from dew formation and rainfall interception, to CWC is largely unknown, particularly in tropical forests and other high-humidity ecosystems.
 - We compared the AMSR-E VOD and CWC predicted by a plant hydro-dynamics model at four tropical sites in Brazil spanning a rainfall gradient. We assessed how LW_s influenced the relationship between VOD and CWC.
 - The analysis indicates that while CWC is strongly correlated with VOD ($R^2=0.62$ across all sites), LW_s accounts for 61-76% of the diurnal variation in CWC despite being less than 10% of CWC. Ignoring LW_s weakens the near-linear relationship between CWC and VOD and reduces the consistency in diurnal variation. The contribution of LW_s to CWC variation, however, decreases at longer, seasonal to interannual, time scales.
 - Our results demonstrate that diurnal patterns of dew formation and rainfall interception can be an important driver of diurnal variation in CWC and VOD over tropical ecosystems and therefore should be accounted for when inferring plant diurnal water stress from VOD measurements.
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46 **Introduction**

47 Climate change and the accompanying intensification of hydrological cycles are imposing strong
48 and chronic stress on terrestrial ecosystems with higher atmospheric water demand and more
49 frequent drought events (Novick *et al.*, 2016; McDowell *et al.*, 2018). Enhancing our
50 understanding of vegetation water dynamics is therefore critical to predictions of ecosystem
51 sensitivity to global change (Fatichi *et al.*, 2016; Schimel & Schneider, 2019). Recent work has
52 shown that vegetation optical depth (VOD) estimated from microwave remote sensing
53 observations is a reliable proxy for the canopy water content (CWC) and a promising source of
54 data for monitoring and understanding vegetation water dynamics (Konings *et al.*, 2019;
55 Feldman *et al.*, 2020). Changes in VOD can reflect vegetation diurnal water stress patterns
56 (Konings & Gentine, 2017; Li *et al.*, 2017; Anderegg *et al.*, 2018; Zhang *et al.*, 2019),
57 seasonality in plant water potential and leaf area (Guan *et al.*, 2014; Momen *et al.*, 2017), and
58 vegetation biomass changes at longer time scales (Liu *et al.*, 2015; Fan *et al.*, 2019). However,
59 accurate and robust interpretation of VOD variability remains challenging because of the
60 complex physiological and biophysical processes impacting vegetation water dynamics at a wide
61 range of time scales (Grossiord *et al.*, 2017). Variation in VOD can be driven by canopy water
62 interception due to rainfall and dew formation, plant hydraulics, phenology, and structural
63 changes from growth and mortality (Konings *et al.*, 2019). These challenges have hindered direct
64 use of VOD in understanding vegetation water dynamics together with the limited availability of
65 relevant ground measurements of vegetation hydrodynamics.

66 Spatio-temporal variation in VOD have mostly been linked to changes in leaf and wood internal
67 water content (Jackson & Schmugge, 1991; Cosh *et al.*, 2010; Tian *et al.*, 2016), but theoretically
68 they are also sensitive to surface water arising from dew formation and intercepted
69 rainfall. While a previous study at a temperate agricultural site found relatively little effect of
70 dew on airborne X-band (10.7 GHz) measurements (Du *et al.*, 2012), diurnal changes in leaf
71 surface water were found to modulate tower-based VOD measurements collected at a similar
72 microwave frequency (11.4 GHz) in a tropical canopy in Panama (Schneebeili *et al.*, 2011). This
73 latter study was performed at the scale of a few meters, however, which may show sensitivities
74 not detectable at the ecosystem-scales (Wigneron *et al.*, 2017).

75 At the ecosystem-scale, the contribution of leaf surface water to VOD signals remains largely
76 unknown despite leaf surface water being an important component of the moisture budget,
77 particularly in rainforest ecosystems where significant diurnal and seasonal variation in CWC
78 occurs because of frequent rainfall interception and dew formation (Junqueira Junior *et al.*, 2019;
79 Binks *et al.*, 2020) and where measurements of leaf surface water beyond qualitative leaf
80 wetness data (Binks *et al.* 2019) do not exist. Therefore, ignoring the contribution of leaf surface
81 water to VOD can lead to overestimation of changes in leaf internal water, which potentially
82 biases the interpretation of VOD data as a measure of vegetation water stress. On the other hand,
83 the ability to separate leaf surface water from canopy water content in VOD data may provide
84 additional information about plant water dynamics. Through its effects on stomatal conductance,
85 leaf surface water influences key aspects of plant metabolism including carbon assimilation
86 (Aparecido *et al.*, 2017; Gerlein-Safdi *et al.*, 2018a,b) and support several important, yet
87 relatively unknown, eco-physiological processes such as leaf foliar water uptake (Eller *et al.*,
88 2013; Binks *et al.*, 2019) and epiphyte water use and survival (Lakatos *et al.*, 2012).

89 Recent advances in mechanistic representation of plant hydrodynamics in terrestrial biosphere
90 models (Mencuccini *et al.*, 2019) provide a new avenue for to interpreting VOD data: these
91 models are now capable of explicit simulation of CWC dynamics from a set of biophysical
92 descriptions and field-based plant functional traits. In turn, VOD data can provide valuable
93 ecosystem scale evaluation data to hydrodynamic models, which are usually benchmarked by
94 individual-level plant hydraulic measurements within forest plots (Xu *et al.*, 2016; Christoffersen
95 *et al.*, 2016; Kennedy *et al.*, 2019; De Kauwe *et al.*, 2020). However, no studies to date have
96 compared simulated CWC from terrestrial biosphere models with satellite VOD data.

97 In this study, we compare terrestrial biosphere model predictions of CWC and satellite VOD, and
98 quantify the contribution of leaf surface water to VOD variation across diurnal to seasonal and
99 inter-annual time scales. Specifically, we hypothesize:

100 (H1) CWC, summed over the representative penetration depth of VOD observations,
101 scales linearly with VOD.

102 (H2) The contribution of leaf surface water to VOD is higher than leaf and wood internal
103 water at diurnal time scale because leaf surface water usually accumulates at night and

104 evaporates during the day while VOD at longer time scales is more likely controlled by changes
105 in plant water stress and canopy biomass.

106 (H3) The contribution of leaf surface water to VOD is higher at moist sites than at dry
107 sites because there is more rainfall interception and dew formation under humid conditions.

108 To evaluate these hypotheses, we compare VOD data derived from X-band (10.7 GHz)
109 measurements by the Advanced Microwave Scanning Radiometer for EOS (AMSR-E) (Du *et al.*,
110 2017b) to predictions of CWC from a terrestrial biosphere model incorporating plant
111 hydrodynamics, at four tropical forest and savannah sites in Brazil across a large rainfall
112 gradient. AMSR-E VOD has relatively long temporal coverage (full annual cycles from 2003 to
113 2010) and local bypassing times at 1:30AM and 1:30PM that can reasonably capture diurnal
114 changes (Konings & Gentine, 2017; Li *et al.*, 2017) in addition to seasonal and inter-annual
115 variation in CWC. The terrestrial biosphere model used in the study is the Ecosystem
116 Demography version 2 (ED2). It is an ideal model platform to evaluate the relationship between
117 canopy water content and leaf surface water with VOD because the model explicitly incorporates
118 plant hydraulics and leaf energy budget (Xu *et al.*, 2016; Longo *et al.*, 2019) enabling it to
119 simulate the dynamics of all of leaf surface water, leaf internal water, and wood internal water,
120 as well as their vertical and horizontal heterogeneity within canopy.

121 **Materials and Methods**

122 *Model description*

123 ED2 (Medvigy *et al.*, 2009) is an individual-based terrestrial biosphere model that represents the
124 dynamics of structurally and functionally-diverse plant canopies. The recent version of the model
125 (ED-2.2, Longo *et al.*, 2019) has explicit representation of the leaf water and energy budget at
126 sub-hourly resolution for each plant cohort, which allows for explicit simulation of leaf surface
127 water following straightforward thermodynamic laws (Gerlein-Safdi *et al.*, 2018b). The model
128 calculates changes of leaf surface water for each plant cohort as the balance of dew formation,
129 evaporation, rainfall interception, and water shedding if total leaf surface water exceeds
130 maximum retention capacity. Detailed description of the water fluxes that contribute to dynamics
131 of leaf surface water in the model can be found in **SI Notes 1**.

132 ED2 is also one of the first models to couple trait-based plant hydraulics with explicit
133 demography-based vegetation dynamics (Xu *et al.*, 2016). The hydraulics-enabled version (ED2-
134 hydro) separates plant internal water pools into leaf and stem water pools at the cohort-level, and
135 estimates sub-hourly water exchanges between these two pools using water potential gradient
136 and cohort-specific stem water conductance following Darcy's law. The integration of plant
137 hydraulics with stomatal conductance and rhizosphere water uptake enables cohort-level
138 simulation of the dynamics of plant internal water content (see **SI Notes 1** for details). ED2-
139 hydro has been calibrated and evaluated in several neotropical forests across a large precipitation
140 gradient (Xu *et al.*, 2016; Powell *et al.*, 2017, 2018).

141 In this study, we used the functionality of ED-2.2-hydro to conduct mechanistic simulations of
142 all major components of vegetation CWC. We updated key plant hydraulic parameters for
143 tropical plant functional types (PFTs) based on a meta-analysis over tropical species
144 (Christoffersen *et al.*, 2016) to incorporate the effects of plant functional diversity. Since vertical
145 structure of vegetation biomass can influence interpretation of VOD data due to the limited
146 penetration depth of microwave signals (Chaparro *et al.*, 2019), we also made several model
147 updates in allometry, trait phenoplasticity, and mortality to improve simulated vegetation
148 structure in tropical forests (details in **SI Notes S1**). The model parameterization used in this
149 study are archived at <https://github.com/xiangtaoxu/ED2/tree/VOD>.

150 *Model configuration and simulation setup*

151 We conducted simulations for two tropical moist forests (Manaus K34 and Reserva Jaru) that
152 both receive more than 2000 mm yr⁻¹ mean annual rainfall and two tropical savannah sites
153 (Brasília and Pé-de-Gigante) that both receive less than 1500 mm yr⁻¹ mean annual rainfall
154 (**Table 1**). These sites were selected based on the quality of AMSR-E VOD data available for
155 these locations (in particular, minimal contamination from nearby rivers or other large water
156 bodies), and the availability of in-situ meteorological data (Brasília: SONDA-INPE (2020); other
157 sites: de Gonçalves *et al* (2013)).

158 The available site-level meteorological data necessary to drive the biosphere model simulations
159 (incoming shortwave and longwave radiation, temperature, humidity, pressure, precipitation, and
160 wind speed), however, the available does not encompass the full length of AMSR-E VOD time

161 series (2003-2010). We therefore integrated the in-situ meteorological data with climate
162 reanalysis data from Modern-Era Retrospective analysis for Research and Applications, Version
163 2 (MERRA2) (Gelaro *et al.*, 2017). To avoid the known biases in MERRA2 precipitation over
164 tropical regions (Beck *et al.*, 2019), we used precipitation data from Climate Hazards Group
165 InfraRed Precipitation with Station data (CHIRPS) (Funk *et al.*, 2015). To minimize the
166 systematic biases in the reanalysis meteorology relative to local climate, and preserve monthly
167 values, we calculated the difference between the monthly average of the reanalysis data and in-
168 situ data for each variable over the years when in-situ data is available. The dates of the in-situ
169 data range from 1999 to 2012 depending on the site (see **Table 1** for details). We then applied
170 the difference to modify the whole reanalysis time series to get the meteorological forcing (**Fig.**
171 **S1**). The difference for precipitation is in logarithm space so that no rainfall was added to dry
172 days when we applied the difference.

173 Simulations at each site consisted of a 400-year model spin-up to attain steady state vegetation
174 structure and composition followed by a 30-year contemporary simulation (1981 to 2010)
175 encompassing the AMSR-E measurements. For the spin-up simulation, we initialized the model
176 with a small number of seedlings (0.1 individuals per m²) of all four PFTs and ran the model
177 with a cycling meteorological forcing from 1981 to 2000. Following up the spin-up simulations,
178 we ran the model forced by meteorology from 1981 to 2010. For both sets of simulations, we
179 used a constant rate of 1% of forest area experiencing windthrow disturbance (i.e 0.01 ha ha⁻¹ yr⁻¹)
180 and a constant atmospheric CO₂ at 380 ppm.

181 *VOD retrievals*

182 We used X-band (10.7 GHz) VOD retrieved from observations of the Japanese Aerospace
183 Exploration Agency (JAXA) Advanced Microwave Scanning Radiometer for EOS (AMSR-E)
184 instrument. Specifically, the VOD data were those retrieved by the Land Parameter Data Record
185 (LPDR) version 2 (Du *et al.*, 2017a,b). The LPDR uses a multi-step procedure to disentangle the
186 contributions of VOD, vegetation scattering, soil moisture, temperature, atmospheric humidity,
187 and open water bodies to the observed radiometric brightness temperatures (Jones *et al.*, 2010).

188 Although the Amazon rainforest remains among the most challenging ecosystems for accurate
189 VOD retrieval due to the large heterogeneity in canopy structure and the associated biophysical

190 properties, interpretation of microwave radiometry has proven feasible even in highly complex
191 canopies: for example, Calvet *et al* (1994) used a site-specific model to determine the
192 relationship between Ka-band radiometry and stomatal resistance at Manaus. Nevertheless, the
193 VOD retrievals are expected to be more accurate at the savannah sites than at the densely
194 forested sites.

195 *Model evaluation and comparison with VOD*

196 We first evaluated the terrestrial biosphere model's predictions of vegetation structure and plant
197 hydraulics because both of these characteristics directly affect CWC. We compared the
198 simulated vertical profiles of leaf area index (LAI) with LAI profiles derived from the
199 Geoscience Laser Altimeter System (GLAS) aboard the Ice, Cloud, and the Elevation Satellite
200 (ICESat). GLAS data has previously been shown to capture variation in tropical forest structure
201 (Tang & Dubayah, 2017; Yang *et al.*, 2018). Site-specific average LAI profiles were calculated
202 from GLAS measurements collected between 2003 to 2008 (Zwally *et al.*, 2014) within a 50 km
203 grid box centered around each study site. The LAI profiles were derived from GLAS waveforms
204 using a light-extinction model based on the MacArthur and Horn (1969) approach (Ni-Meister *et*
205 *al.*, 2001; Tang *et al.*, 2014). We extracted simulated average LAI profiles using model outputs
206 from the same period of time for comparison. Both the GLAS and simulated LAI profiles are
207 aggregated to a vertical resolution of 5 meters. LAI can show large seasonal changes especially
208 in the two savannah sites. Therefore, we also compared the average seasonality of total LAI with
209 Moderate Resolution Imaging Spectroradiometer (MODIS) LAI (Didan, 2015).

210 Unlike vegetation structure, there are no high-resolution and long-term measurements of plant
211 hydraulic properties (e.g. leaf water potential) over tropical forests. Limited field measurements
212 suggest leaf water potential for tropical canopy trees normally varies between 0 and -1 MPa
213 within a day at moist sites (Fontes *et al.*, 2018) and can drop below -2 MPa at seasonally dry
214 forest (Wu *et al.*, 2020) and cerrado sites (Bucci *et al.*, 2005). We therefore tested whether the
215 simulated diurnal variation showed a similar range of variation.

216 For leaf surface water, there are no direct measurements on its diurnal and seasonal cycles in the
217 tropics to the best of our knowledge. Limited measurements report predawn values for top
218 canopy leaves ranging from 0.02 to 0.11 kg H₂O m⁻² leaf in a tropical moist forest at Caxiuanã

219 (personal communication with O. Binks) and from 0.02 to 0.08 kg H₂O m² leaf for five species
220 in a tropical moist forest in Costa Rica (Aparecido *et al.*, 2017). Our simulated leaf surface water
221 at predawn (6:00AM) in top canopy leaves showed a consistent range at a similarly wet forest
222 site and predicted top canopy leaves are frequently (>70% of days with leaf surface water
223 exceeding half of the saturated surface water retention) wet at predawn (**Fig. S2**), which is close
224 to a recent report of top canopy leaves being wet for 50-70% of the time at Caxiuanã using leaf
225 wetness sensors (Binks *et al.*, 2020). Altogether, these consistencies suggest the model
226 predictions on leaf surface water dynamics are realistic.

227 Following the model evaluation, we used daily AMSR-E VOD data at both 1:30AM and
228 1:30PM, and extracted the hourly average values of simulated leaf surface water (LW_s), leaf
229 internal water (LW_i), and wood internal water (WW_i), the three components of CWC in ED-2.2-
230 hydro, at the same time of VOD observations. Although the AMSR-E record partially extends to
231 2002 and 2011, we only included 2003-2010 to ensure an equal number of datapoints across
232 seasons. We averaged both VOD and simulated CWC values into bi-weekly values to reduce
233 high-frequency variation and noise in VOD (Konings *et al.*, 2016). In forests, X-band VOD is
234 mostly sensitive to top canopy layers due to its high electromagnetic frequency (Macelloni *et al.*,
235 2001; Guglielmetti *et al.*, 2007). The depth at which significant canopy attenuation occurs,
236 commonly referred to as the penetration depth, depends on both canopy structure and water
237 status, and thus is variable in both space and in time. Spatial and temporal variation in
238 penetration depth is generally not accounted for in retrieval algorithms (Konings *et al.*, 2016; Du
239 *et al.*, 2017b). Recently, Chaparro *et al.* (2019) showed that X-band VOD values saturate when
240 aboveground biomass (AGB) is higher than 1 kgC m⁻². Therefore, we chose a conservative
241 average penetration depth by only including LW_s, LW_i, and WW_i for the top 1 kgC m⁻² of
242 biomass (leaf and wood, which corresponds to 2-10 meters depending on forest biomass vertical
243 profiles) for each forest patch within site-level simulation results (**Fig. S3**) when comparing
244 simulated CWC and AMSR-E VOD. Additionally, we also evaluated how VOD and CWC
245 relationships vary with different assumptions of penetration depth.

246 We conducted analyses using the corresponding VOD data and CWC simulations across diurnal
247 and bi-weekly time scales. First, we extracted the predicted diurnal cycle of LW_s, LW_i, and WW_i
248 to investigate the roles of each water pool in determining CWC dynamics that emerge from ED-

249 2.2-hydro. Specifically, we derived the contribution of LW_s , LW_i , and WW_i to the variations in
250 total CWC from the model at both diurnal and biweekly time scales by calculating the fractional
251 contributions of each sub-component variance to the total CWC variance. For the diurnal-scale
252 analysis, we calculated the long-term average LW_s , LW_i , and WW_i at both 1:30AM and 1:30PM.
253 Since there are only two observations within each diurnal cycle, we quantified the variance as the
254 value difference between 1:30AM and 1:30PM. For the biweekly-scale analysis, we calculated
255 the variance of the mean of 1:30AM and 1:30PM for each biweekly (14 day) period.

256 Second, we compared the VOD measurements and CWC and assessed the role of leaf surface
257 water in their relationships. To test our first hypothesis on the scaling between VOD and CWC
258 (H1), we quantified the linear relationship between VOD and CWC using ordinary least squares
259 (OLS) regression for each site and all sites combined. To test our second and third hypothesis on
260 the contribution of leaf surface water to CWC and VOD dynamics and its variation across sites
261 (H2 and H3), we compared VOD and two metrics of CWC: (1) CWC_{int} that only includes the
262 internal water content of leaf and wood; and (2) CWC_{all} that includes both leaf and wood internal
263 water and leaf surface water.

264 Specifically, we assessed the cross-site variation in isohydricity index, a widely-used metric to
265 describe the diurnal behavior of plant water use (Martínez-Vilalta *et al.*, 2014; Konings &
266 Gentine, 2017). This metric (σ) is calculated from the following regression equation:

$$267 \quad X_{1:30PM} = \sigma \times X_{1:30AM} + \Lambda, \quad (\text{eq 1})$$

268 where σ is the isohydricity index, Λ is the regression intercept, and X is a state variable
269 describing canopy water status. Low σ implies vegetation is more isohydric because daytime
270 water status is relatively insensitively to nighttime water status due to stomatal control while
271 higher σ implies vegetation is more anisohydric. We calculated σ values for observed VOD,
272 simulated CWC, and leaf water potential to investigate whether and how VOD-based
273 isohydricity (generally assumed to reflect leaf internal water stress) is affected by leaf surface
274 water dynamics.

275 We then contrasted the average seasonality and deseasonalized multi-year variation of VOD and
276 simulated CWC for each study site in terms of (1) absolute values at 1:30AM and (2) relative

277 diurnal range ($100\% - X_{1:30PM} / X_{1:30AM} \times 100\%$). Together with variance decomposition of the
278 simulated CWC, the evaluation of these two metrics enables quantification of the impacts of leaf
279 and wood water content and leaf surface water on VOD.

280 **Results**

281 *Predictions of vegetation structure and plant water potentials*

282 The long-term equilibrium simulations of the terrestrial biosphere model at the four evaluation
283 sites yielded LAI profiles that were generally consistent with GLAS estimates for each site (**Fig.**
284 **1a-d**). Individual-level competition in the model led to a general demographic size structure of a
285 few big trees and many small trees, yielding decreasing leaf area density (LAD) from forest
286 understory to canopy top that largely fall into the uncertainty of lidar-based estimates. At the two
287 forest sites (M34 and RJA), top canopy height reached 35-40 meters while LAD became very
288 small ($<0.01 \text{ m}^2/\text{m}^3$) above 20 meters at the two savannah sites (PDG and BSB). However, the
289 model tended to overestimate the total LAI at the sites by $0.3\text{-}0.5 \text{ m}^2 \text{ m}^{-2}$ (**Fig. 1a-d**), with the
290 excess LAI arising mainly from overestimates of LAD in upper canopy layers. The model
291 simulations also tended to underestimate LAD in the lowest ($<5\text{m}$) canopy layer at the two forest
292 sites.

293 Seasonal changes of predawn leaf water potential govern the seasonal dynamics of canopy leaf
294 phenology the model. As a result, seasonality of total leaf area was minimal at M34 where total
295 rainfall is high and rainfall seasonality is mild. There were slight decreases of LAI at RJA (~ 0.2
296 $\text{m}^2 \text{ m}^{-2}$), and larger ($0.5\text{-}1 \text{ m}^2 \text{ m}^{-2}$) decreases at PDG and BSB toward the end of the dry season
297 (**Fig. 1e-f**). MODIS LAI exhibited qualitatively similar patterns of LAI seasonality between the
298 wet and dry sites. However, at M34, the MODIS LAI estimates exhibit increases in LAI during
299 the wet season, and earlier onset of leaf shedding around the start of dry season at PDG and BSB,
300 compared to the model simulations. Overall, ED-2.2-hydro generated canopy vertical structure
301 and increasing seasonal magnitude in canopy phenology from wet sites to dry sites, which are
302 largely consistent with remote sensing observations.

303 The biosphere model simulations imply significant spatio-temporal variation in leaf water
304 potential (Ψ_{leaf}) across all four sites (**Fig. 2**). For upper canopy leaves, the average maximum

305 Ψ_{leaf} was close to zero for wet sites and for the wet season at dry sites (**Fig. 2e-f**), implying a full
306 recharge of daytime water loss in the model. In the dry season at PDG and BSB, maximum
307 Ψ_{leaf} dropped below -1 MPa, triggering leaf shedding. The daily minimum Ψ_{leaf} of canopy leaves
308 were generally 1-1.5 MPa lower than maximum values depending on moisture supply. These
309 average patterns in leaf hydrodynamics are consistent with observed variation in leaf water
310 potentials over tropical forests (Bucci *et al.*, 2005; Fontes *et al.*, 2018; Wu *et al.*, 2020). Wood
311 water potential at the base of stems (Ψ_{stem}) had similar diurnal cycles and seasonality as Ψ_{leaf}
312 (**Fig. S4**). However, the simulated Ψ_{stem} was always close to zero at M34, the wettest site in our
313 study (**Fig. S4a**), whereas at the two drier sites Ψ_{stem} showed reduced diurnal variation during the
314 wet season (**Fig. S4c-d**), but similar seasonal variation as Ψ_{leaf} .

315 While observations of diurnal and seasonal variation in plant water potential were not available,
316 the model's predictions of evapotranspiration (ET) matched observed patterns of ET seasonality
317 that were available from flux tower measurements at M34, RJA, and PDG (**Fig. S5**), providing
318 additional support for the model's ability to capture key characteristics of vegetation
319 hydrodynamics in our study sites.

320 *Spatio-temporal variation in simulated CWC and VOD observations*

321 The model simulations indicate that LW_s dominates the diurnal cycles of CWC, despite being
322 less than 10% of total CWC of upper canopy layers on average (**Fig. 3**). Generally, LW_s
323 accumulated from late afternoon, reached peak values in early morning, then declined to near
324 zero by midday. In contrast, LW_i varied by only 10-15% within a day and WW_i had even smaller
325 diurnal variation (**Fig. 3a-d**). As a result, LW_s showed substantial contribution to CWC diurnal
326 variability (**Fig. 3e-h**), accounting for 76% of CWC differences between 1:30AM and 1:30PM at
327 M34 (wettest site) and 61% at BSB (driest site). LW_i generally accounted for more of the
328 remaining CWC diurnal variances than WW_i . At the biweekly timescale, the contribution of LW_s
329 was considerably lower (18%-36% for RJA, PDG, and BSB), except for M34 where LW_s still
330 drove seasonal and inter-annual variations in the simulated CWC. In addition, at this time scale,
331 WW_i became the dominant driver of CWC variation except for the wettest site (M34). Increasing
332 the penetration depth to 10 kgC m⁻² of AGB did not qualitatively change these general cross-site

333 and cross-time-scale patterns; it did however, increase the contribution of wood internal water
334 pools to patterns of diurnal and seasonal patterns of CWC variability (**Fig. S6**).

335 We found a strong linear relationship between VOD and simulated CWC_{all} (top 1 kgC m⁻² of
336 AGB) with an R^2 of 0.62 (**Fig. 4a**). The relationship remained significant at site-level, but the
337 regression R^2 and slopes varied: simulated CWC_{all} explained less than 20% of variance in VOD
338 at the two moist forest sites M34 and RJA, but accounted for about 50% of variance at the two
339 savannah sites PDG and BSB (**Fig. 4c,d**). At the same time, the sensitivity of VOD to CWC_{all}
340 (indicated by the slope of the VOD regressed against CWC_{all}) increased by approximately 300%
341 from the wettest site (M34; slope = 0.55) to the driest site (BSB; slope = 2.15), whereas the
342 regression slope of data from all sites combined fell in-between these values (slope = 0.86). The
343 relationship between CWC_{int} (CWC excluding leaf surface water) and VOD was weaker (R^2 =
344 0.60 for all data combined) and the site-specific R^2 values declined by 5-10% for M34, BSB, and
345 PDG while RJA showed little change (**Fig. 4b,c**). The site-specific regression slopes of the
346 VOD- CWC_{int} relationship all steepened due to increasing nonlinearity of the relationship while
347 the cross-site variations did not change much (**Fig. 4b**). As a result, the VOD- CWC_{int} regression
348 slope using data from all sites combined (0.96, black line in **Fig. 4**) became lower than site-
349 specific regression slopes (1.2 – 2.8, colored lines in **Fig. 4**). Using a much deeper penetration
350 depth that included the top 10 kgC m⁻² of AGB yielded similarly high R^2 values (0.61 for both
351 CWC_{all} and CWC_{int}), but the R^2 values were far lower (<10%) for the two moist forest sites, and
352 the cross-site regression slope was much lower than all site-level regression slopes regardless of
353 whether or not LW_s was included (**Fig. S7**). Overall, the model predictions of CWC that includes
354 all forms of canopy water showed robust linear relationships with VOD, but the relationships
355 were stronger at drier sites and across sites along a rainfall gradient.

356 We calculated isohydricity (σ) values from the variability in biweekly VOD estimates and
357 calculated a similar metric from model simulations of bi-weekly variability in CWC_{all} , CWC_{int} ,
358 and canopy leaf water potential (Ψ). The VOD estimates of isohydricity were comparable to the
359 values estimated by Konings & Gentine, (2017) and Li *et al.*, (2017) from daily VOD
360 observations. As seen in **Fig. 5a-d**, the VOD-based σ was low at the two wet sites (0.44 for M34
361 and 0.59 for RJA respectively) and higher at two dry sites (0.71 for PDG and 0.72 for BSB
362 respectively). The largest difference between VOD-based and model-based isohydricity occurred

363 at M34, where the simulated isohydricity was considerably lower than the VOD-derived estimate
364 ($\sigma = 0.18$ and 0.44 respectively; see **Fig. 5a,e**). However, the isohydricity values from the model
365 predictions of CWC_{all} and VOD observations were very close at the other three sites (**Fig. 5b-**
366 **d,f-h**) implying that upper canopy CWC_{all} reliably captures the cross-site variations in σ . In
367 contrast, dynamics of CWC_{int} and Ψ implied almost perfect to extreme anisohydric behavior
368 across all sites with σ values very close to or larger than one (**Fig. 5i-p**), highlighting the
369 significant contribution of LW_s to the diurnal variation in simulated CWC, and, by inference, to
370 VOD measures of isohydricity.

371 We also compared the average seasonality of simulated CWC and observed VOD with respect to
372 both their values at 1:30AM and their diurnal ranges (**Fig. 6**). At the two moist forest sites,
373 1:30AM VOD showed seasonal patterns that peaked in the middle of the dry season with a
374 seasonal amplitude of $\sim 10\%$ at M34 and 20% at RJA (black lines in **Fig. 6**, panels **a** and **b**,
375 respectively). Simulated CWC_{all} did not reproduce these patterns, however, showing minimal
376 seasonality at M34 and a small and short decline in late dry season at RJA (green lines in **Fig. 6**,
377 panels **a** and **b**, respectively). At the two savannah sites, 1:30AM VOD showed 20-25% seasonal
378 variations, peaking in the late wet season, and reaching its lowest values in the late dry season
379 (black lines in **Fig. 6**, panels **c** and **d** respectively). The simulated 1:30AM CWC_{all} showed
380 similar seasonal patterns and amplitude (green lines in **Fig. 6c** and **d** respectively). As a result,
381 the correlation between VOD and simulated CWC_{all} increased from around zero at wet sites to
382 ~ 0.8 at the dry sites. Interestingly, CWC_{int} , which excludes the highly seasonal (vary by 30%-
383 100%) LW_s that follows the seasonality of rainfall (**Fig. S8a-d**), exhibited a stronger correlation
384 with VOD seasonality particularly at the two wet sites (Pearson's r increased from ~ 0 to $0.4-0.5$),
385 but with a reduction of seasonal amplitude by 5-10% at all sites.

386 The comparison of the seasonality in the diurnal range showed similar patterns with the model-
387 data correlation increasing from wetter sites to drier sites (**Fig. 6e-h**). However, the contribution
388 of LW_s was more prominent at the two drier (savannah sites) despite that diurnal range in LW_s
389 increased from 70-80% in wet season to $\sim 100\%$ at all sites (**Fig. S8e-h**): The simulated diurnal
390 range of CWC_{int} peaks in mid to late dry season when daytime atmospheric water demand was
391 high and soil water supply was low but inclusion of LW_s resulted in shifts of the peak to late wet
392 season for CWC_{all} , which is consistent with VOD seasonality and resulted in comparable average

393 diurnal range values (5-10%) as VOD data. At the two forest sites, the inclusion of LW_s reduced
394 the temporal correlation of the diurnal range between VOD and CWC_{all} at M34 and reversed the
395 correlation at RJA; however, it increased the average diurnal range to be closer with the VOD
396 observations. Because the model-based estimate of wood internal water content is calculated
397 from its value at the base of the stem, which might have smaller diurnal range than branch water
398 pool in nature, we calculated another estimate of WW_i by assuming wood water potential is the
399 same as leaf water potential. This post-hoc correction increased the average diurnal range in
400 CWC for 2-3% but did not change the seasonal patterns and the impact of LW_s (**Fig. S9**).
401 Overall, these results suggest the ED-2.2-hydro did not capture the seasonality in canopy
402 hydrodynamics and phenology at the forest sites, but performed well at the two savannah sites,
403 where consideration of LW_s improved the agreement between simulated CWC and VOD
404 observations.

405 At the inter-annual timescale, VOD showed substantial variability relative to its average
406 seasonality in both 1:30AM values and diurnal ranges (**Fig. 7**) due to changes in hydroclimatic
407 conditions. Simulated anomalies of both CWC_{all} and CWC_{int} at 1:30AM were more correlated
408 with anomalies of 1:30AM VOD at the drier sites (significant positive correlation with Pearson's
409 r ranging from 0.36 to 0.53 for PDG and BSB) than at the wet sites (no significant correlations).
410 While including LW_s increased the correlation coefficients by 0.05 to 0.2, it did not change the
411 general cross-site pattern. The simulated diurnal range anomalies in CWC were not correlated
412 with the diurnal range anomalies in VOD at inter-annual time scales no matter whether LW_s was
413 included or not (**Fig. 7e-h**). The simulated diurnal range in CWC generally showed less inter-
414 annual variability with standard deviation of 1.0-1.7% (CWC_{all}) and 0.19-0.37% (CWC_{int}) than
415 the diurnal range in VOD, which had standard deviations ranging from 1.9% to 2.2%. Similar to
416 the seasonal scale analysis, correcting for wood internal water did not change the simulated
417 patterns of inter-annual variations in CWC (**Fig. S10**).

418 **Discussion**

419 *Predicted Canopy Water Content (CWC) and its relationship with Vegetation Optical Depth*
420 *(VOD)*

421 The increasing use of Vegetation Optical Depth (VOD) to infer large-scale patterns of vegetation
422 water stress builds on the theoretical mechanistic proportionality between VOD and Canopy
423 Water Content (CWC) (Konings *et al.*, 2019). However, quantitative assessments of this
424 relationship have been lacking at the ecosystem scale – the scale at which remote sensing VOD
425 measurements are made (tens of kilometers) – particularly in humid, high-biomass ecosystems
426 such as tropical forests. This is mostly because ground-based measurements of CWC are
427 generally made at the level of leaves or tree branches (Powers & Tiffin, 2010; Chavana-Bryant *et*
428 *al.*, 2016; Martin *et al.*, 2018). Consequently, previous VOD field evaluation studies (Liu *et al.*,
429 2015; Fan *et al.*, 2019; Chaparro *et al.*, 2019) only examined the statistical associations between
430 spatial variation in VOD and above-ground biomass, a quantity that is easier to measure at larger
431 spatial scales via forest inventory and LiDAR measurements.

432 Our study evaluates, for the first time, the VOD-CWC relationship in both the spatial and
433 temporal domains through novel application of a terrestrial biosphere model. Our analyses
434 support the first hypothesis (H1) that VOD scales approximately linearly with CWC across space
435 and time; however, it also reveals important sources of complexity in this relationship: the
436 sensitivity of VOD-CWC relationship (inferred from the slopes of the relationship between
437 terrestrial biosphere model’s predictions of CWC and the VOD measurements) varied across
438 sites with different moisture conditions and vegetation structures (**Fig. 4**). While some variation
439 in the slope of VOD-CWC relationship with vegetation type is expected, a three-fold increase in
440 the slope from savannah to forest sites (**Fig. 4d**) is far greater than previously estimated from
441 radiometric experiments in non-forested ecosystems (Van De Griend & Wigneron, 2004) and
442 leads to a relatively sigmoidal or saturating VOD-CWC relationship for cross-site variations.

443 VOD saturation at high aboveground biomass density (Chaparro *et al.*, 2019) should not be the
444 primary factor driving variation in the VOD-CWC slopes because cross-site variation in
445 penetration depth is explicitly considered in our analysis (**Fig. S3**) although our approach might
446 not fully capture small seasonal changes of penetration depth within each site. The larger-than-
447 expected variation in the VOD-CWC slope may reflect deficiencies in the model formulation:
448 most notably, the model’s drought-driven phenology scheme generated smaller-than-observed
449 seasonal amplitudes in CWC at the two wet sites, compared to the seasonality in VOD (**Fig. 6**),
450 which may explain the low regression R^2 and slope at M34 and RJA. The cross-site variation in

451 the slopes of the VOD-CWC relationships could also be due to uncertainty in the VOD
452 retrievals, particularly the uncertainty associated with surface temperature and single-scattering
453 albedo in the densely forested M34 and RJA sites (Du *et al.*, 2017b) or due to multiple scattering
454 (Schwank *et al.*, 2018). Both explanations call for additional calibration of VOD with in-situ
455 measurements of CWC, especially in moist, high-humidity ecosystems such as tropical forests.

456 *The role of leaf surface water (LW_s) in CWC and VOD variation across different time scales*

457 Our simulations explicitly consider dew formation, rainfall interception, and the resulting
458 dynamics of LW_s . While no direct measurements of canopy LW_s temporal dynamics are
459 available to evaluate the model's predictions, the simulated range of LW_s is consistent with
460 sparse sampling from an Amazon moist forest (**Fig. S2**). In addition, a rare ground-based
461 radiometer study in a Panamanian tropical moist forest (Schneebeil *et al.*, 2011) estimated that
462 whole canopy LW_s could regularly reach $0.17 \text{ kgH}_2\text{O m}^{-2}$ (ground) at pre-dawn from dew
463 formation and intensive rainfall events occasionally increased LW_s to $0.4\text{-}1 \text{ kgH}_2\text{O m}^{-2}$. The
464 model generated comparable average predawn LW_s values of $0.21\text{-}0.23 \text{ kgH}_2\text{O m}^{-2}$ at the two
465 tropical forest sites (**Fig. S6**). The simulated average predawn LW_s is close to the observed dew-
466 driven value, but lower than the observed rainfall-driven values likely because reanalysis rainfall
467 underestimates the diurnal cycle (**Fig. S11**).

468 In our model simulations, LW_s accounts for more than 50% of diurnal variation in CWC at all
469 four of the study sites (**Fig. 3**). The large diurnal contribution from the relatively small LW_s pool
470 ($< 10\%$ of total CWC) stems from its fast turn-over rate: by midday almost all LW_s accumulated
471 during the night evaporates away (**Fig. 3**). In contrast, simulated LW_i varied by only 10-15%
472 within a day and WW_i by even less. In nature and in the model, this occurs because plant
473 stomatal control constrains daily minimum leaf water potential to be above, or not far below, the
474 leaf turgor loss point (Brodribb & Holbrook, 2003; Fontes *et al.*, 2018), whose corresponding
475 relative water content is approximately 90% for tropical wet forests (Bartlett *et al.*, 2012).

476 Consequently, our results call into question the ability to correctly infer spatial and temporal
477 patterns of plant water stress from diurnal measurements of VOD in humid forest ecosystems
478 such as tropical rainforests, as illustrated in our isohydricity analysis (**Fig. 5**). First, leaf surface
479 water dynamics might contribute most to the VOD-based isohydricity. Second, isohydricity

480 index based on water content is influenced by both leaf internal water stress and the seasonal
481 variation in vegetation structure, and thus can deviate from the isohydricity index based on leaf
482 water potential and converge to one (**Fig. 5i-p**). In addition, if VOD diurnal range reflects diurnal
483 water stress, it should peak in the dry season in tropical forests when plant diurnal water stress is
484 generally the highest -- as shown in both observations (Brodribb & Holbrook, 2004; Fisher *et al.*,
485 2006) and the biosphere model simulations conducted in this study (**Fig. 2**). However, at the two
486 savannah sites, VOD diurnal range peaked in late wet season, which can only be explained by
487 including LW_s (**Fig. 6**). Excluding rainy days (Konings & Gentine, 2017; Li *et al.*, 2017) is
488 likely not enough to eliminate the effects because dew formation can also significantly contribute
489 to LW_s and the simulated importance of LW_s only drops to a low level in months with both low
490 rainfall and humidity (**Fig. S12**). Hence, the influence of LW_s on VOD retrievals may also be
491 important in other humid ecosystems such as those found along the North American Pacific coast
492 (Burgess & Dawson, 2004) and montane forests (Berry *et al.*, 2014).

493 The importance of LW_s decreases, however, at the seasonal and inter-annual time scales (**Fig.**
494 **6&7**), implying that failing to consider LW_s will have less effect in VOD-based inference of
495 canopy phenology (Guan *et al.*, 2014; Wang *et al.*, 2020) and vegetation mortality (Rao *et al.*,
496 2019; Wigneron *et al.*, 2020). Therefore, our results support our second hypothesis (H2) that the
497 contribution of leaf surface water is highest at the diurnal time scale.

498 In contrast, there is only partial support for our third hypothesis (H3) that the contribution of leaf
499 surface water to diurnal VOD dynamics increases as precipitation increases: variance
500 decomposition implies an increasing contribution from LW_s along the gradient from dry to wet
501 sites (**Fig. 3**) and from wet to dry months (**Fig. S12**) is consistent with H3. However, it is
502 difficult to draw strong conclusions regarding H3 given the large uncertainties in VOD retrievals
503 and low level of seasonality in the model simulations compared to the observed seasonality of
504 VOD values and diurnal ranges at the two moist forest sites (**Fig. 6**). In addition, the simulated
505 cross-site variations in LW_s contribution might be biased because ED-2.2-hydro does not
506 represent possible leaf trait adaptation across moisture gradients such as changes in leaf texture
507 and trichome abundance that could regulate leaf surface water retention (Aparecido *et al.*, 2017)
508 and thus influence LW_s dynamics. Further in situ data collection and model improvement and

509 benchmark are necessary to accurately evaluate how LW_s contribution vary across moisture
510 gradients.

511 *Implications for tropical phenology in vegetation models*

512 Our model-data analysis also provides a useful evaluation of the plant hydrodynamics and leaf
513 phenology formulations in the ED-2.2-hydro terrestrial biosphere model. As anticipated, there
514 was better agreement between the model predictions and the VOD measurements at the two drier
515 sites where abiotic moisture conditions exhibit large variability that significantly affects canopy
516 water content. However, the predicted seasonal decline of LAI is later than in MODIS LAI
517 estimates (**Fig. 1**), and the relative magnitude of the seasonal decline in CWC was smaller than
518 VOD observations (**Fig. 6**), suggesting that the model's drought-deciduous leaf phenology
519 scheme may not be sufficiently responsive to seasonal water stress. In the current model
520 formulation, leaf-drop is triggered when pre-dawn water potential falls below turgor loss point,
521 whereas drought experiments on tropical seedlings suggest the average of pre-dawn and midday
522 water potential can best predict leaf shedding (Wolfe *et al.*, 2016). Incorporating midday water
523 potential into the drought-deciduous phenology scheme might therefore improve the seasonality
524 at drier savannah sites.

525 Similarly, at the two wet sites, the predicted seasonality in canopy water content was lower than
526 the seasonality in VOD (**Fig. 6**). This may be because the VOD seasonality is partially
527 attributable to unknown retrieval errors caused by seasonally varying properties (e.g. changes in
528 canopy structure) in densely vegetated areas (Konings *et al.*, 2016; Du *et al.*, 2017b). Another
529 possible explanation is that biotic factors, such as leaf ontogeny and phenology can be an
530 important factor influencing seasonal variation in canopy water content under moist conditions.
531 For instance, leaf relative water content can change substantially with leaf age in tropical wet
532 forests (Chavana-Bryant *et al.*, 2016) therefore seasonal changes in leaf demography at tropical
533 moist forests (Wu *et al.*, 2016) may contribute to seasonal variation in CWC and resulting VOD
534 measurements. A simple calculation of CWC changes based on published leaf demography and
535 leaf ontogeny data at Manaus (Chavana-Bryant *et al.*, 2016; Wu *et al.*, 2016) suggests
536 that seasonal variation in leaf age could explain the seasonal amplitude of VOD at M34, albeit
537 with a 1-2 month lag in timing (**Fig. S13**).

538 *Conclusions*

539 Our analyses indicate a large contribution of leaf surface water to diurnal variation in landscape-
540 scale canopy water content (CWC) and AMSR-E Vegetation Optical Depth (VOD) signals over
541 tropical forests. This is important because diurnal variation in VOD has been proposed as a
542 measure of canopy isohydricity, a metric widely used to diagnose the water status of plant
543 canopies. Our analysis shows that leaf surface water also influences seasonal variation in VOD,
544 but to a far lesser extent. In this analysis, we examined VOD measurements from X-band
545 microwave instruments that have relatively low penetration into the dense canopies of tropical
546 forests; however, our findings also apply to VOD measurements from lower (L-band)
547 electromagnetic frequencies (e.g. SMAP and SMOS) because the simulated LW_s contributions
548 remain high even when we evaluated deeper canopy penetration depth (**Fig. S6**). Therefore,
549 future applications of microwave band measurements, as well as and other imaging
550 spectroscopy-based estimates of canopy water content (Asner *et al.*, 2016) should carefully
551 consider the effects of variation in leaf surface water, particularly during moist and humid
552 periods when dew formation and rainfall interception are high. In turn, the sensitivity of VOD to
553 leaf surface water newly identified in this study provides new opportunities to understand leaf
554 surface water dynamics and its impact on plant water use.

555 Our analyses also highlight the value of explicitly representing plant hydrodynamics in terrestrial
556 biosphere model formulations. The consistency between VOD and model predicted CWC across
557 diurnal, seasonal, and inter-annual timescales at the two tropical savannah sites suggests that the
558 current model structure is able to capture important processes governing plant hydrodynamics;
559 however, capturing diurnal and seasonal patterns of VOD in wet tropical forests is likely to
560 require consideration of phenological processes affecting canopy water content, such as seasonal
561 leaf demography and ontogeny.

562

563 **Author Contributions**

564 X.X., P.M., A.K., and S.S. designed the research. M.L. and X.X. processed the meteorology and
565 flux tower data. A.K. and A.F. processed AMSR-E VOD data. L.X. and S.S. provided GLAS

566 Lidar LAI. D.W. provided the MODIS data. J.W. provided the in-situ leaf trait and demography
567 data. X.X. performed model simulation, conducted analyses, and drafted the manuscript. All
568 authors contributed to writing of the manuscript.

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816 **Tables and Figure Legends**817 **Table 1** Description of climate and soil conditions used for ED-2.2-hydro simulations at the four
818 study sites.

819

Site name	Location (lon, lat)	MAT (°C)	MAP (mm)	Soil Texture (% of sand and clay)*	Temporal coverage of <i>in situ</i> meteorology
Manaus K34 (M34)	-60.21,-2.61	25.7	2673	0.2,0.68	1999-2006
Reserva Jaru (RJA)	-61.93,-10.08	25.0	2069	0.8,0.1	1999-2002
Pé-de-Gigante (PDG)	-47.65,-21.62	22.8	1453	0.85,0.03	2001-2003
Brasília (BSB)	-47.71,-15.60	21.7	1344	0.13,0.53	2010-2012

820 *We used the best estimates of soil texture following previous ED2 simulations (Longo, 2014;
821 Restrepo-Coupe *et al.*, 2017) and we used the same soil depth of 10 meters.

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826 **Figure 1** Evaluation of vegetation structure in ED-2.2-hydro across four study sites along a
827 rainfall gradient. **(a-d)** The average profile of leaf area index (LAI) within forest canopy from
828 GLAS lidar inversion (red) and model simulations (black). The x-axis represents leaf area
829 density (LAD) for each 5 meter band from 0m to 50m above ground while the y-axis represents
830 height of each band. Inset plots within each panel compare the total LAI from model and GLAS
831 data. **(e-h)** Seasonality of monthly average canopy total LAI from model simulation (black) and
832 MODIS observations (blue). Grey bars denote the average monthly rainfall in millimeters. Each
833 column displays results for a study site with site acronym and mean annual rainfall at the top of
834 each column.

835 **Figure 2** Simulated leaf hydrodynamics in ED-2.2-hydro. (a-d) vertical distribution of daily
836 maximum (blue) and minimum (red) leaf water potential. We averaged cohort-level leaf water
837 potential for every 5 meter height bands, using cohort leaf area index as weighting factors. (e-h)
838 seasonality of average daily maximum and minimum leaf water potential for upper canopy
839 leaves. We define upper canopy as the top 1kgC m^{-2} biomass.

840 **Figure 3** Contribution of leaf surface water to canopy water content in model simulations. **(a-d)**
841 Average diurnal cycles of CWC partitioned into wood internal water (WW_i , brown), leaf internal
842 water (LW_i , green), and leaf surface water (LW_s , blue) for our four study sites. The vertical
843 dashed lines represent the local bypassing time of AMSR-E VOD measurements (1:30AM and
844 1:30PM). **(e-h)** Variance decomposition of CWC temporal variations into the three sub-
845 components at both the diurnal scale (black bars) and biweekly scale (red bars). We only used
846 the simulated CWC at the same time as AMSR-E VOD measurements (dashed lines in panels a-
847 d) for this analysis.

848 **Figure 4** Relationship between VOD and **(a)** simulated CWC_{all} (including LW_s) and **(b)** CWC_{int}
849 (excluding LW_s). Each dot represents bi-weekly average of 1:30AM or 1:30PM values, with the
850 colors indicating the different study sites, M34 (brown), RJA (red), PDG (purple), and BSB
851 (blue). Solid black lines represent ordinary least square linear regression between VOD and
852 CWC using all data combined while solid color lines represent regressions for each site.
853 Regression R^2 **(c)** and slopes **(d)** are also shown for each site and all sites combined. We only
854 include CWC dynamics from the top 1kgC m^{-2} biomass in the simulations.

855

856 **Figure 5** Isohydrlicity index (σ) from VOD (**a-d**), CWC_{all} (**e-h**, including LW_s), CWC_{int} (**i-l**,
857 excluding LW_s), and Ψ (**m-p**, leaf water potential in MPa). Each column represents results from
858 one study site. Each dot represents a biweekly average of VOD, CWC_{all} , CWC_{int} or Ψ . CWC and
859 Ψ values represent water contents and average leaf water potential of the upper canopy layers
860 (top 1 $kgC\ m^{-2}$). Red lines represent linear regression results with σ values shown on top of each
861 panel. All regressions are significant.

862 **Figure 6** Comparison of average seasonality between VOD and simulated CWC across four
863 study sites. (**a-d**) seasonality of 1:30AM VOD (black), CWC_{all} (green), and CWC_{int} (purple). To
864 facilitate comparison, we normalized the seasonality by dividing the maximum seasonal values
865 for each variable. (**e-h**) similar to **a-d** but for diurnal ranges calculated as $(1 - X_{1:30PM} / X_{1:30AM}) \times$
866 100%. We calculated Pearson's r between the average seasonality in VOD and the simulated
867 CWC (with and without LW_s) and showed the correlation coefficients using the same color as
868 the different CWC lines. Significant correlation ($p < 0.05$) was marked with *. In all panels, we
869 only included water from the top 1 $kgC\ m^{-2}$ biomass within the canopy and gray bars represent
870 average monthly rainfall.

871 **Figure 7** Comparison of interannual -year variability between VOD and simulated CWC after
872 removing average seasonality across four study sites. (**a-d**) variability of 1:30AM VOD (black),
873 CWC_{all} (green), and CWC_{int} (purple). We normalized the time series by dividing the maximum
874 as in Figure 6. (**e-h**) similar to **a-d** but for diurnal ranges calculated as $(1 - X_{1:30PM} / X_{1:30AM}) \times$
875 100%. We calculated Pearson's r between the average seasonality in VOD and the simulated
876 CWC (with and without LW_s) and showed the correlation coefficients using the same color as
877 the different CWC lines. Significant correlation ($p < 0.05$) was marked with *. In all panels, we
878 only included water from the top 1 $kgC\ m^{-2}$ biomass. Due to high-frequency variation in the
879 simulated CWC, we averaged the biweekly data into bimonthly values to facilitate comparison.