

Journal of Endocrinological Investigation

Potential Role of Fibroblast Growth Factor 21 in the Deterioration of Bone Quality in Impaired Glucose Tolerance --Manuscript Draft--

Manuscript Number:	JENI-D-20-00116R1	
Full Title:	Potential Role of Fibroblast Growth Factor 21 in the Deterioration of Bone Quality in Impaired Glucose Tolerance	
Article Type:	Original Article	
Funding Information:	Queen Mary Hospital Charitable Trust (Training and Research Assistance Scheme)	Dr David Tak-wai Lui
	Ng Teng Fong Charitable Foundation (NA)	Prof Karen S.L. Lam
Abstract:	<p>Purpose: Findings on trabecular bone score (TBS), an index of bone quality, have been reported in prediabetes defined by impaired fasting glucose or HbA1c. Here we assessed the bone mineral density (BMD) and TBS in prediabetes individuals with impaired glucose tolerance (IGT), and investigated the association of these bone parameters with serum levels of fibroblast growth factor 21 (FGF21), a hormone implicated in bone metabolism and with higher levels in IGT.</p> <p>Methods: Chinese postmenopausal women aged 55–80, without diabetes, were recruited from the Hong Kong Cardiovascular Risk Factor Prevalence Study in 2016–2018. Normal glucose tolerance (NGT) was defined by fasting glucose <5.6mmol/L and 2-hour plasma glucose (2hG) <7.8mmol/L, while IGT by 2hG 7.8–11mmol/L. Serum levels of FGF21 and other bone metabolism regulators were measured. Insulin sensitivity was assessed by the Matsuda index. Independent determinants of TBS were evaluated using multivariable stepwise linear regression.</p> <p>Results: 173 individuals with NGT and 73 with IGT were included. TBS was lower in those with IGT compared to those with NGT, while BMD was comparable. Individuals with IGT had significantly higher serum FGF21 levels, which in turn showed an independent inverse relationship with TBS, attenuated after inclusion of the Matsuda index. Serum FGF21 levels, however, did not correlate with BMD.</p> <p>Conclusion: Among Chinese postmenopausal women, bone quality was worse in IGT, despite comparable bone density. FGF21 levels showed a significant independent inverse relationship with TBS, partly attributed to insulin resistance. Whether FGF21 contributes to the impaired bone quality in IGT remains speculative.</p>	
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Response to Reviewers:	Please refer to the "Responses to reviewers' comments.docx" in the Supplementary Material.
Suggested Reviewers:	

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1 **Full Title: Potential Role of Fibroblast Growth Factor 21 in the Deterioration of Bone**

2 **Quality in Impaired Glucose Tolerance**

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4 **Running Title: Fibroblast Growth Factor 21 and Bone Quality**

5

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12 Authors confirm that this manuscript has not been published elsewhere and is not under

13 consideration by another journal. All authors declare that they have no conflict of

14 interest. All authors have approved the manuscript and agreed with its submission.

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29 **Word Count**

30 Abstract: 249 words

31 Main text: 3267 words

32

33 Number of figures: 0

34 Number of tables: 3

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2 35 **SUMMARY**
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8 37 **Purpose:** Findings on trabecular bone score (TBS), an index of bone quality, have been
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11 38 reported in prediabetes defined by impaired fasting glucose or HbA1c. Here we assessed
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14 39 the bone mineral density (BMD) and TBS in prediabetes individuals with impaired
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18 40 glucose tolerance (IGT), and investigated the association of these bone parameters with
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21 41 serum levels of fibroblast growth factor 21 (FGF21), a hormone implicated in bone
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24 42 metabolism and with higher levels in IGT.
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27 43 **Methods:** Chinese postmenopausal women aged 55–80, without diabetes, were
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30 44 recruited from the Hong Kong Cardiovascular Risk Factor Prevalence Study in 2016–2018.
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33 45 Normal glucose tolerance (NGT) was defined by fasting glucose <5.6mmol/L and 2-hour
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36 46 plasma glucose (2hG) <7.8mmol/L, while IGT by 2hG 7.8-11mmol/L. Serum levels of
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40 47 FGF21 and other bone metabolism regulators were measured. Insulin sensitivity was
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43 48 assessed by the Matsuda index. Independent determinants of TBS were evaluated using
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46 49 multivariable stepwise linear regression.
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50 50 **Results:** 173 individuals with NGT and 73 with IGT were included. TBS was lower in those
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53 51 with IGT compared to those with NGT, while BMD was comparable. Individuals with IGT
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56 52 had significantly higher serum FGF21 levels, which in turn showed an independent
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53 inverse relationship with TBS, attenuated after inclusion of the Matsuda index. Serum

54 FGF21 levels, however, did not correlate with BMD.

55 **Conclusion:** Among Chinese postmenopausal women, bone quality was worse in IGT,

56 despite comparable bone density. FGF21 levels showed a significant independent inverse

57 relationship with TBS, partly attributed to insulin resistance. Whether FGF21 contributes

58 to the impaired bone quality in IGT remains speculative.

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60 **KEYWORDS:** osteoporosis; bone density; prediabetes; fibroblast growth factor 21;

61 Chinese; insulin resistance; hyperglycaemia

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2 **62 Introduction**
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8 **64** Trabecular bone score (TBS) is an indirect index of bone quality which has been found to
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11 **65** improve fracture risk assessment by clinical risk factors and bone mineral density (BMD),
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14 **66** and has recently been incorporated into FRAX, a well-known fracture risk assessment
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18 **67** tool [1]. Type 2 diabetes is associated with a lower TBS [2] despite a comparable or even
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21 **68** higher BMD, compared to individuals without diabetes [3]. On the other hand, studies
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24 **69** on TBS in prediabetes have yielded conflicting results.
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30 **71** Prediabetes can be identified by the presence of impaired fasting glucose (IFG; FG 5.6-
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34 **72** 6.9 mmol/L), impaired glucose tolerance (IGT; the 2-hour plasma glucose [2hG] during a
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37 **73** 75g OGTT 7.8-11.0 mmol/L), or HbA1c 5.7–6.4% (39–47 mmol/mol) [4]. Individuals may
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40 **74** be classified as having prediabetes by one diagnostic criterion but not by one or more of
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43 **75** the others [5]. Notably, in a study of obese and overweight Caucasians, IGT diagnosed
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46 **76** more individuals with prediabetes and diabetes [6]. Among studies of TBS in prediabetes,
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49 **77** the Geelong Osteoporosis Study revealed no significant difference in the TBS between
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53 **78** individuals with normal FG and those with prediabetes defined by IFG [7], whereas the
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56 **79** Vietnam Osteoporosis Study revealed lower TBS values in prediabetes defined by HbA1c
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80 5.7-6.4% compared with normal individuals among women, but not in men [8]. The
81 difference in the results may be explained by the different diagnostic criteria for
82 prediabetes employed. The impact of IGT on TBS has yet to be evaluated.

83

84 Circulating levels of fibroblast growth factor 21 (FGF21), an insulin-sensitizing metabolic
85 hormone predominantly secreted from the liver and adipocytes, are raised in insulin-
86 resistant states, including obesity and type 2 diabetes [9], which may represent a
87 compensatory response to FGF21 resistance or metabolic changes associated with
88 insulin resistance, such as raised free fatty acid levels [10]. Serum FGF21 levels were also
89 reported to be higher in prediabetes, particularly in IGT [11,12]. Despite the beneficial
90 effects on glucose and lipid metabolism of FGF21 in animals and in humans treated with
91 FGF21 analogues [13], its potential adverse effect on bone homeostasis was a concern
92 [14,15], in view of the enthusiasm on FGF21 as a therapeutic target for metabolic
93 diseases [13]. Studies in humans on the association of FGF21 levels with BMD have
94 yielded inconsistent results, ranging from a positive correlation [16,17], but no
95 relationship to bone turnover markers or fragility fractures [17], to no correlation [18],
96 to an inverse correlation (over hip region only) [19]. However, glycaemia and insulin
97 resistance, parameters closely related to circulating FGF21 levels, were not explicitly

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98 addressed in these studies. The relationship between serum FGF21 levels and TBS also
99 has not been investigated.

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101 Hence, we carried out this study to examine the effect of IGT on BMD and TBS in Chinese
102 postmenopausal women, and the association of circulating FGF21 levels with BMD and
103 TBS.

104
105 **Methods**

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107 ***Participants***

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109 We conducted a cross-sectional case-control study of Chinese postmenopausal women
110 aged 55 to 80 years. Participants were recruited between November 2016 and October
111 2018 from the Hong Kong Cardiovascular Risk Factor Prevalence Study (CRISPS) cohort.
112 CRISPS was a long-term, community-based cohort study on cardiovascular risk factors in
113 Hong Kong. 2900 unrelated Chinese individuals, aged between 25 and 74, were
114 randomly recruited from the community in Hong Kong in the year 1995-1996 [20]. The
115 cohort had been followed up prospectively to assess for the development of type 2

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116 diabetes and other cardiovascular risk factors, during reassessment visits in 2000-2004,
117 2005-2008, 2010-2012, and 2016-2018. All individuals without known diabetes
118 underwent a 75g OGTT. During the 2016-2018 visit, those without diabetes were
119 recruited to this study.

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121 Individuals were excluded if they (i) were already on anti-osteoporosis therapy, (ii) had
122 secondary causes of osteoporosis, (iii) had BMI <15 or >37 kg/m² (when TBS
123 measurement may not be accurate), (iv) had estimated glomerular filtration rate (eGFR)
124 <30 mL/min, or (v) were on fibrate therapy.

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126 The study followed the principles in the Declaration of Helsinki and was approved by the
127 Institutional Review Board of the University of Hong Kong/Hospital Authority Hong Kong
128 West Cluster (IRB Ref.: UW 16-510). All participants gave informed consent.

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130 ***Clinical and biochemical assessments***

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132 Participants attended a clinical assessment session after an overnight fast for at least 8
133 hours. Demographic data and medical history were obtained using a standardized

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134 questionnaire. Personal and family history of fragility fractures (spine, hip, humerus,
135 wrist and ankle) was recorded. Personal history of cardiovascular diseases, including
136 ischaemic heart disease and stroke, was recorded. Important clinical risk factors of
137 osteoporosis were evaluated, including smoking, drinking, family history of fragility
138 fractures, parental history of hip fractures, prior use and duration of hormonal
139 replacement therapy (<1 year, 1-5 years, 6-10 years, >10 years), and levels of physical
140 activity. Daily calcium intake was assessed using a semi-quantitative questionnaire [21].
141 In a subgroup of the cohort, detailed dietary history was taken using a food frequency
142 questionnaire (FFQ) with 7-day recall [22]. Using food composition tables for Hong Kong,
143 quantification of each nutrient intake was derived by summation of the nutrients
144 obtained from all food items in the FFQ. Body weight, body height and blood pressure
145 (BP) were measured. Hypertension was defined as BP \geq 140/90 mmHg or the use of
146 antihypertensive medications. Fasting blood was drawn for plasma glucose, HbA1c, lipid
147 profile, albumin, calcium, and creatinine levels. eGFR was calculated by the Chronic
148 Kidney Disease Epidemiology Collaboration (CKD-EPI) equation. Dyslipidaemia was
149 defined as fasting triglycerides (TG) \geq 1.69 mmol/L, high-density lipoprotein cholesterol
150 (HDL-C) <1.04 mmol/L in men and <1.29 mmol/L in women, low-density lipoprotein
151 cholesterol (LDL-C) \geq 3.4 mmol/L, or the use of lipid-lowering agents. Blood was stored

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152 in aliquots at -70°C for assays of biomarkers. Serum 25-hydroxyvitamin D (25OHD) levels
153 were measured with enzyme immunoassays (Immunodiagnostic Systems) with a
154 sensitivity of 17.0nmol/L, and intra- and inter-assay coefficients of variation (CV) of 1.9-
155 3.7% and 3.7-11.6% respectively. Serum FGF21 levels were measured with an enzyme-
156 linked immunosorbent assay (ELISA) kits (Antibody and Immunoassay Services,
157 University of Hong Kong, Hong Kong, China) [23]. The intra- and inter-assay CV of the
158 FGF21 ELISA were 4-5% and 3.5-10.2%, respectively [24]. Serum levels of three bone
159 metabolism regulators, osteoprotegerin (OPG), receptor activator of nuclear factor
160 kappa-B ligand (RANKL) and parathyroid hormone (PTH), were measured with ELISA kits
161 (BioVendor Research and Diagnostic Products). The intra- and inter-assay CV of the OPG
162 ELISA were 2.5-4.9% and 1.7-9.0% respectively. The intra- and inter-assay CV of the
163 RANKL ELISA were 7.25-11.51% and 11.21-12.77% respectively. The intra- and inter-
164 assay CV of the PTH ELISA were 1.1-2.0% and 2.9-7.1% respectively.

165

166 ***Definitions of glycaemic status and Matsuda index of insulin sensitivity***

167

168 IGT was defined by 2hG 7.8-11.0 mmol/L during OGTT [4]. Normal glucose tolerance
169 (NGT) was defined by FG <5.6 mmol/L and 2hG <7.8 mmol/L. Insulin sensitivity was

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2 170 represented by the Matsuda index calculated by the formula

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$$\frac{10000}{\sqrt{\text{fasting glucose (mg/dL)} \times \text{fasting insulin (mIU/L)} \times 120 \text{ min glucose (mg/dL)} \times 120 \text{ min insulin (mIU/L)}}$$

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8 172 which highly correlated with the insulin sensitivity obtained with euglycaemic clamp [25].

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14 174 ***BMD and TBS measurements and vertebral fracture assessment (VFA)***

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21 176 BMD at the lumbar spine, femoral neck, and total hip, as well as VFA, were measured

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24 177 with a dual-energy x-ray absorptiometry (DXA) machine (Hologic QDR 4500, Waltham,

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27 178 MA, USA). TBS was measured with TBS iNsite™ version 3.0.2.0. BMD T-scores adjusted

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30 179 for TBS were calculated with the equations derived by Leslie et al [26]. All VFA images

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33 180 were evaluated using the Genant semi-quantitative approach according to the

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36 181 recommendations from the International Society for Clinical Densitometry (ISCD).

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39 182 Vertebral fractures were diagnosed when vertebral height was reduced by >20%, i.e.,

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49 185 ***FRAX and TBS-adjusted FRAX***

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56 187 The Hong Kong version of FRAX was used in this study, with the online tool [27]. For each

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188 participant, four 10-year probability scores were generated: (i) major osteoporosis
189 fracture (MOF) with BMD, (ii) MOF with BMD, adjusted for TBS (FRAX_{adj}); (iii) hip fracture
190 with BMD, and (iv) hip fracture with BMD, adjusted for TBS (FRAX_{adj}). FRAX score ratios,
191 both for MOF and hip fracture, were calculated as FRAX_{adj}/FRAX, to reflect the impact of
192 TBS on fracture risk assessment.

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194 ***Statistical analyses***

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196 Results were reported as means ± standard deviations, medians with interquartile
197 ranges (IQR) for skewed data, or percentages as appropriate. Comparisons of clinical,
198 laboratory, DXA-based parameters (BMD and TBS), and FRAX ratios between individuals
199 with IGT and NGT were performed with t-test and Chi-square test as appropriate. Partial
200 correlations between BMD/TBS and clinical and laboratory parameters were assessed,
201 with adjustment for age and BMI. Variables which showed significant correlations with
202 TBS were included in the subsequent multivariable model, where multivariable stepwise
203 linear regression analysis was used to identify the independent determinants of TBS.
204 Two-sided p-values <0.05 were considered statistically significant. All statistical analyses
205 were performed with IBM® SPSS® Statistics version 25 for Windows.

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Results

246 individuals were included in this analysis, 173 with NGT and 73 with IGT. This cohort had a mean age of 61.4±5.1 years, BMI of 24.2±3.7 kg/m², HbA1c of 5.6±0.3 %, and 25OHD level of 48.6±12.1 nmol/L.

We compared the BMD and TBS between individuals with NGT and those with IGT. (Table 1) Age was comparable between the two groups. Both hypertension and dyslipidaemia were more common in the IGT group. Clinical risk factors of osteoporosis were otherwise comparable between the two groups. Among the participants who had prior use of hormonal replacement therapy, the duration was comparable between the two groups (p for trend = 0.246). The carbohydrate and protein intake in the sub-cohort of individuals who had completed the FFQ (n=34 in NGT and n=12 in IGT) was comparable between the two groups (carbohydrate: 217 [IQR: 155-261] in NGT, vs 211 g/day [IQR: 177-261] in IGT, p=0.617; protein: 80.9 [IQR: 62.7-121] in NGT, vs 82.8 g/day [64.0-110] in IGT, p=0.635). Compared with individuals with NGT, individuals with IGT had a higher BMI (25.3±3.66 vs 23.7±3.65 kg/m², p=0.002), FG (5.2±0.46 vs 4.8±0.36 mmol/L,

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224 p<0.001), HbA1c (5.8±0.33 vs 5.6±0.31 %, p<0.001), fasting insulin, and 2-hour insulin,
225 and a lower Matsuda index. Serum FGF21 levels were higher in the group with IGT (130.2
226 vs 104.2 pg/mL, p=0.025). Among the bone metabolism regulators, only PTH levels were
227 different between the two groups, being higher in IGT.

228
229 BMD was not different between the two groups at any site. TBS was lower in the group
230 with IGT (1.27±0.08 vs 1.29±0.07, p=0.007). Comparison of the FRAX ratios between NGT
231 and IGT groups showed that FRAX ratio (MOF) was higher in the IGT group than the NGT
232 group (1.16±0.14 vs 1.11±0.14, p=0.016), whereas FRAX ratio (hip fracture) was not
233 significantly different between NGT and IGT groups. BMD T-scores adjusted for TBS were
234 not different between the groups at any site.

235
236 We evaluated the partial correlations between BMD/TBS and clinical or laboratory
237 parameters, adjusted for age and BMI. (Table 2) 2hG, fasting insulin, and 2-hour insulin
238 showed significant inverse correlations with TBS. Serum FGF21 and PTH levels inversely
239 correlated with TBS, while the Matsuda index showed a significant positive correlation
240 with TBS. On the other hand, none of the metabolic and biochemical parameters, nor
241 serum FGF21 levels correlated with BMD at any site, except OPG which positively

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242 correlated with LS BMD. Nonetheless, both serum OPG levels and BMD were comparable
243 between NGT and IGT groups. TBS was lower among individuals with hypertension than
244 those without (1.27 ± 0.07 vs 1.29 ± 0.07 , $p=0.028$), while TBS was comparable between
245 individuals with and without dyslipidaemia (1.28 ± 0.07 vs 1.29 ± 0.07 , $p=0.159$).

246
247 We further examined the independent determinants of TBS in the multivariable linear
248 regression analyses. (**Table 3**) In **Model 1** which included clinical variables (age, BMI,
249 hypertension and IGT) and FGF21, the IGT state remained an independent determinant
250 of TBS, in addition to age. Moreover, serum FGF21 levels showed an independent inverse
251 correlation with TBS. In the subsequent **Model 2**, we examined the potential
252 mechanisms mediating the relationship between serum FGF21 levels and TBS. Hence,
253 we further included the Matsuda index (the insulin sensitivity index) and PTH (the bone
254 metabolism regulator) into the model. In this final model, only age, serum FGF21 levels
255 and the Matsuda index remained significant, while PTH was not significant ($p=0.086$).

256 Although inclusion of the Matsuda index into the model attenuated the correlation
257 between serum FGF21 levels and TBS, serum FGF21 levels remained independently and
258 inversely associated with TBS.

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260 **Discussion**

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262 Our study revealed that bone quality was worse in IGT despite comparable bone density
263 among Chinese postmenopausal women, which added to the existing findings regarding
264 TBS in prediabetes. Furthermore, we reported for the first time an independent inverse
265 relationship between serum FGF21 levels and TBS, suggesting a potential role of FGF21
266 in the deterioration of bone quality in IGT.

267

268 Two studies have addressed the association between prediabetes and TBS. The Geelong
269 Osteoporosis Study found no difference in TBS between individuals with normal FG and
270 those with IFG [7], while the Vietnam Osteoporosis Study demonstrated a lower TBS
271 among those with HbA1c 5.7-6.4% compared with those with normal HbA1c only in
272 women [8]. Given the advantage in our cohort that every participant had an OGTT, we
273 were able to demonstrate specifically the presence of reduced TBS values among the
274 individuals with IGT, compared to those with NGT, thus expanding the current
275 understanding on TBS changes in prediabetes. As the HbA1c approaches normal levels,
276 postprandial glucose levels contribute more to the HbA1c [28]. Thus, our findings are
277 also in line with those of the Vietnam Osteoporosis Study, and in fact, suggest that the

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278 deterioration in bone quality in prediabetes may be particularly relevant in the IGT state.

279 The postulated mechanism for the association between lower TBS values and IGT is the

280 accumulation of advanced glycation end-products (AGEs) associated with chronic

281 hyperglycaemia [29]. The difference in TBS values between IGT and NGT groups is of

282 potential clinical relevance since we demonstrated a higher FRAX ratio for MOF in the

283 IGT group than the NGT group. This finding suggested that TBS adjustment increased the

284 FRAX scores in individuals with IGT to a greater extent than NGT, which in turn may

285 translate to a more accurate reflection of the fracture risks in different glycaemic status.

286

287 Our study generated an interesting finding of an inverse correlation between serum

288 FGF21 levels and TBS, independent of age and BMI, the well-described clinical factors

289 affecting TBS [30]. Further inclusion of the Matsuda index into the multivariable linear

290 regression model attenuated the association between FGF21 levels and TBS, which

291 remained significant. These findings suggested that the inverse relationship between

292 serum FGF21 and TBS may in part be attributed to the high FGF21 levels in insulin

293 resistance [11], an established risk factor of reduced TBS [31,32]. Indeed, our study

294 demonstrated a positive independent relationship between the Matsuda index of insulin

295 sensitivity and TBS, suggesting an adverse effect of insulin resistance on the bone

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296 microarchitecture consistent with previous studies [31,32]. In a Korean study, including
297 individuals with and without diabetes, HOMA-IR inversely correlated with TBS in the age-
298 and BMI-adjusted model [32]. Similar findings were reported for non-diabetes
299 individuals in the FORMEN study which included men only. In the FORMEN study, the
300 inclusion of bone turnover markers and pentosidine levels into the model did not change
301 the association of TBS with HOMA-IR. Hence, it was postulated that hyperglycaemia in
302 the insulin-resistant state could lead to the deterioration in bone microarchitecture
303 through mechanisms other than bone turnover and AGEs [31]. Our findings showed that
304 the inverse relationship between insulin resistance and bone quality applied to non-
305 diabetes women as well.

306

307 The inverse correlation between serum FGF21 levels and TBS, independent of age, BMI,
308 IGT state, insulin resistance and PTH suggested a direct adverse effect of FGF21 on bone.

309 The potential pathophysiology has been elucidated in studies on rodents. High
310 expression levels of FGF21 in mouse liver induced the secretion of IGFBP1, which bound
311 with integrin beta-1 on osteoclast precursors, potentiated RANKL-stimulated Ark-
312 phosphorylation and NFATc1 activation and consequently promoted osteoclastogenesis
313 and bone loss [15]. FGF21 may also promote bone loss and potentiate the adverse bone

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314 effect of PPAR-gamma agonists in mice [14], although this was not replicated in a more
315 recent study [33]. In humans, serum FGF21 levels were shown to be associated with a
316 worsened radial trabecular bone microarchitecture and decreased radial bone strength
317 among women with anorexia nervosa, using high-resolution peripheral quantitative
318 computed tomography (HRpQCT) [34], suggesting that FGF21 may play a role in the
319 deterioration of bone quality in clinical conditions without increased insulin resistance,
320 through alternative mechanisms. All these preclinical and clinical studies supported the
321 deleterious role of FGF21 on bone. The absence of significant correlations between
322 serum FGF21 levels and BMD in our study may suggest that the FGF21 affects the bone
323 quality more than the bone density in prediabetes individuals and thus a significant
324 effect of FGF21 on the bone may not be observed on BMD measurements.

325

326 The finding of an inverse correlation between serum FGF21 levels and TBS is of clinical
327 relevance and importance in view of on-going clinical trials on FGF21 agonists in the
328 treatment of metabolic disorders characterized by insulin resistance such as non-
329 alcoholic fatty liver disease (NAFLD) and diabetes [13]. Furthermore, the metabolic
330 benefits of co-agonists of glucagon-like peptide 1 (GLP-1) and glucagon receptors,
331 another new class of medications being explored for the treatment of diabetes and

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332 related metabolic diseases, were mediated in part through the potentiation of FGF21
secretion [35,36]. Two FGF21 agonists, pegbelfermin and an IgG1-Fc-fused FGF21, are
currently under investigations for the treatment of NAFLD [37]. In a phase 2 study with
pegbelfermin, BMD was not reduced in the pegbelfermin-treated participants at up to 6
months [38]. Our findings would call for the need to evaluate the effect of these FGF-21
based therapies on both bone density and quality.

338

339 Our study had the strength that every participant underwent a 75-gram OGTT to allow
340 the evaluation of the differences in BMD and TBS between individuals with NGT and IGT.
341 Furthermore, we were able to study the relationship between serum FGF21 levels and
342 bone density and quality in an exclusively non-diabetes cohort. However, our study was
343 cross-sectional, which allowed the demonstration of associations but not causal
344 relationships. Besides, the bone quality was indirectly assessed with TBS. Lastly, the
345 sample size of the cohort was relatively small to study the difference in fracture events
between individuals with different glycaemic status. Hence, although there was a
statistically significant difference in TBS between NGT and IGT, the TBS values of both
groups belonged to the range of 'partially degraded microarchitecture' (TBS ≤ 1.2 , 1.20-
1.35 and ≥ 1.35 defining degraded, partially degraded, and normal microarchitecture,

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350 respectively) [39], whether it is clinically relevant warrants further studies.

351

352 In conclusion, among Chinese postmenopausal women, the bone quality was worse in
353 IGT despite a comparable bone density. Serum FGF21 levels showed a significant
354 independent inverse association with TBS, which could be partly attributed to the effect
355 of insulin resistance on circulating FGF21 levels. Whether FGF21 plays any causal role on
356 the deterioration of the bone microarchitecture in individuals with IGT remains to be
357 further investigated and should receive attention when developing FGF21-based
358 therapeutics.

359

360 **AUTHORS' CONTRIBUTIONS**

361 D.T.W.L. researched the data and wrote the manuscript. C.H.L., J.K.Y.L. and A.C.H.L.
362 researched the data. V.W.K.C., C.H.Y.F. and K.M.Y.Y. performed statistical analyses. W.S.C.,
363 K.C.B.T., Y.C.W. and K.S.L.L. critically reviewed and edited the manuscript. Y.C.W. and
364 K.S.L.L. initiated and supervised the study, are the guarantors of this work and as such
365 had full access to all the data in the study and take responsibility for the integrity of the
366 data and the accuracy of the data analysis.

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368 **CONFLICTS OF INTEREST**

369 All authors declare that they have no competing interest.

370

371 **ACKNOWLEDGEMENTS**

372 We would like to acknowledge Queen Mary Hospital Charitable Trust for Training and

373 Research Assistance Scheme, which supported the funding for the 25-hydroxyvitamin D

374 and fibroblast growth factor 21 assays, and the Ng Teng Fong Charitable Foundation for

375 supporting the latest CRISPS assessment.

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TABLES

Table 1 Baseline characteristics of the participants

	NGT	IGT	p-value
Number (%)	173 (70.3)	73 (29.7)	—
Age, years	61.2±5.05	62.0±5.35	0.282
Ever smoker, %	4 (2.3)	3 (4.1)	0.439
Ever drinker, %	146 (84.4)	58 (79.5)	0.347
Hypertension, %	48 (27.7)	38 (52.1)	<0.001
Dyslipidaemia, %	95 (54.9)	53 (72.6)	0.010
Cardiovascular diseases (ischaemic heart disease and/or stroke), %	11 (6.4)	4 (5.5)	0.792
Hormonal replacement therapy, %	11 (6.4)	3 (4.1)	0.487
Personal history of fragility fracture, %	15 (8.7)	10 (13.7)	0.233
Personal history of vertebral fracture, %	7 (4.0)	7 (9.6)	0.086
Family history of fragility fracture, %	27 (15.6)	8 (11.0)	0.340
Parental history of hip fracture, %	14 (8.1)	4 (5.5)	0.472
Physical Activity			0.274*
Inactive, %	79 (45.7)	37 (50.7)	
Low, %	38 (22.0)	18 (24.7)	
Medium, %	31 (17.9)	11 (15.1)	
High, %	25 (14.5)	7 (9.6)	
Body mass index, kg/m ²	23.7±3.65	25.3±3.66	0.002
Fasting glucose, mmol/L	4.8±0.36	5.2±0.46	<0.001
2-hour glucose, mmol/L	5.8±1.06	9.0±0.88	<0.001
HbA1c, %	5.6±0.31	5.8±0.33	<0.001
Fasting insulin ^a , mIU/L	6.1 (4.4-8.0)	8.9 (6.1-12.0)	<0.001
2-hour insulin ^a , mIU/L	48.0 (32.4-64.5)	90.0 (64.5-130.5)	<0.001
eGFR, mL/min	90.0±10.90	90.9±10.51	0.543
Albumin-corrected calcium, mmol/L	2.3±0.06	2.3±0.06	0.863
25(OH)D, nmol/L	49.2±11.61	47.1±13.20	0.216
Matsuda index ^a	6.4 (4.81-8.99)	2.8 (2.16-3.69)	<0.001
FGF21 ^a , pg/mL	104.2 (54.5-165.9)	130.2 (71.9-197.1)	0.025
OPG ^a , pmol/L	5.7 (4.99-6.50)	5.9 (5.01-6.62)	0.532
PTH ^a , pg/mL	36.1 (19.13-51.73)	39.7 (25.75-67.54)	0.007
RANKL ^a , pmol/L	178.7 (99.58-301.57)	201.6 (111.00-353.51)	0.779

BMD lumbar spine, g/cm ²	0.85±0.12	0.86±0.12	0.631
BMD femoral neck, g/cm ²	0.65±0.09	0.66±0.11	0.837
BMD total hip, g/cm ²	0.85±0.10	0.87±0.12	0.366
Trabecular bone score	1.29±0.07	1.27±0.08	0.007
FRAX ratio (MOF)	1.11±0.14	1.16±0.14	0.016
FRAX ratio (hip fracture)	1.05±0.22	1.08±0.24	0.318
BMD T-score adjusted for TBS			
Lumbar spine	-1.57±1.34	-1.70±1.41	0.483
Femoral neck	-1.41±1.01	-1.43±1.19	0.784
Total hip	-0.21±1.08	-0.18±1.25	0.838

Data presented as mean ± standard deviation, median (25th – 75th percentile) or percentages as appropriate

^a log-transformed before analysis

*p for trend

Abbreviations: NGT, normal glucose tolerance; IGT, impaired glucose tolerance; eGFR, estimated glomerular filtration rate; 25(OH)D, 25-hydroxyvitamin D; FGF21, fibroblast growth factor 21; BMD, bone mineral density; MOF, major osteoporosis fracture; OPG, osteoprotegerin; PTH, parathyroid hormone; RANKL, receptor activator of nuclear factor kappa-B ligand; TBS, trabecular bone score

In both groups, the proportions of participants using thiazide diuretics, loop diuretics, statins, beta-blockers, and nitrates were comparable

Table 2 Partial correlation of bone mineral density and trabecular bone score with clinical and laboratory parameters, adjusted for age and body mass index

	TBS		BMD lumbar spine		BMD femoral neck		BMD total hip	
	Adjusted r	p-value ^b	Adjusted r	p-value ^b	Adjusted r	p-value ^b	Adjusted r	p-value ^b
Fasting glucose (mmol/L)	-0.06	0.348	-0.10	0.876	-0.04	0.515	-0.01	0.882
2-hour glucose (mmol/L)	-0.17	0.008	-0.60	0.349	-0.07	0.305	-0.02	0.766
HbA1c (%)	-0.05	0.459	0.01	0.910	0.03	0.618	0.09	0.166
Fasting insulin ^a (mIU/L)	-0.15	0.016	-0.06	0.373	-0.07	0.266	0.02	0.715
2-hour insulin ^a (mIU/L)	-0.21	0.001	-0.05	0.467	-0.04	0.498	0.06	0.346
Albumin-corrected calcium (mmol/L)	-0.03	0.645	-0.003	0.960	-0.004	0.952	0.003	0.966
eGFR (mL/min)	0.04	0.519	-0.03	0.702	0.06	0.371	0.04	0.590
25(OH)D (nmol/L)	0.07	0.283	0.02	0.794	-0.03	0.599	-0.04	0.589
FGF21 ^a (pg/mL)	-0.15	0.023	-0.05	0.416	-0.10	0.107	-0.06	0.356
Matsuda index ^a	0.22	0.001	0.06	0.367	0.06	0.316	-0.04	0.512
OPG ^a (pmol/L)	-0.01	0.845	0.15	0.020	-0.04	0.507	-0.01	0.854
PTH ^a (pg/mL)	-0.15	0.022	-0.09	0.156	-0.06	0.339	-0.05	0.480
RANKL ^a (pmol/L)	0.06	0.371	-0.01	0.927	0.09	0.171	0.06	0.354

^a log-transformed before analysis; ^b Age and BMI adjusted p-value

Abbreviations: TBS, trabecular bone score; BMD, bone mineral density; BMI, body mass index; eGFR, estimated glomerular filtration rate; 25(OH)D, 25-hydroxyvitamin D; FGF21, fibroblast growth factor 21; OPG, osteoprotegerin; PTH, parathyroid hormone; RANKL, receptor activator of nuclear factor kappa-B ligand

Table 3 Multivariable stepwise linear regression models showing the independent determinants of the trabecular bone score

	Model 1		Model 2	
	Standardized beta (95% CI)	p-value	Standardized beta (95% CI)	p-value
Age, years	-0.16 (-0.29, -0.04)	0.009	-0.16 (-0.28, -0.04)	0.011
Impaired glucose tolerance (Yes/No)	-0.31 (-0.58, -0.04)	0.025	-0.04 (-0.36, 0.29)	0.833
FGF21 ^a , pg/mL	-0.14 (-0.26, -0.02)	0.027	-0.13 (-0.25, -0.004)	0.044
Matsuda index ^a			0.22 (0.07, 0.37)	0.004

^a log-transformed before analysis

Model 1: age, body mass index, hypertension, impaired glucose tolerance and FGF21

Model 2: Model 1 + (Matsuda index + parathyroid hormone)

Abbreviation: CI, confidence interval; FGF21, fibroblast growth factor 21

Responses to Reviewers' Comments

REVIEWER #1

Main limitations

1. Have you evaluated the personal history of fractures (in particular, vertebral fractures)?

TBS could be affected by the presence of fractures.

*We have evaluated the personal history of fractures among NGT and IGT groups (Page 31, **Table 1**). No significant difference was observed between the groups for personal history of all fragility fractures as well as morphometric evidence of vertebral fractures. TBS was not significantly different between those with history of any fragility fractures and those without (1.28 ± 0.09 vs 1.29 ± 0.07 , $p=0.702$). Specifically, there was no significant difference in TBS between those with and without vertebral fractures (1.26 ± 0.08 vs 1.29 ± 0.07 , $p=0.133$).*

2. Did the authors exclude all the variables that may potentially affected the bone quality (family history of fragility fractures, cigarettes, drugs...)?

*We have evaluated a variety of important clinical risk factors of osteoporosis, including smoking, drinking, family history of fragility fractures, parental history of hip fractures, prior use of hormonal replacement therapy and levels of physical activity. These variables were comparable between NGT and IGT groups. (Page 31, **Table 1**)*

3. Recent evidence confirmed that "Circulating FGF21 levels are robustly increased by diets that are high in carbohydrate but low in protein" (Hill Cm et al. Endocrinology. 2020).

What kind of diet were the study population followed immediately before the blood sampling?

Thank you for your insightful comment. Participants in our study were recruited from the 2016-2018 reassessment visit in CRISPS. 273 women in CRISPS had their detailed dietary history taken using a food frequency questionnaire (FFQ) with 7-day recall. Using food composition tables for Hong Kong, quantification of each nutrient intake was derived by summation of the nutrients obtained from all food items in the FFQ. Among the 273 women, 46 were included in our study. The following table showed that the carbohydrate and protein intake was comparable between the 46 participants and the 227 women not included in our study.

	Women who completed FFQ in CRISPS	Participants of our study	Women not included in our study	p-value	Age-adjusted p-value
Number	273	46	227	—	—
Age (years)	63.6±9.73	59.4±4.86	64.5±10.2	0.006	—
Carbohydrate intake (g/day)	209±76.9	230±87.1	205±73.9	—	0.076
Protein intake (g/day)	79.9±35.6	81.8±31.5	79.5±36.4	—	0.916

Among the participants of our study who have completed the FFQ, there was no significant difference in the carbohydrate and protein intake between the NGT and IGT groups, tabulated below. (Page 14, lines 227-231)

	All	NGT	IGT	p-value
Number of participants (%)	46 (100%)	34 (73.9%)	12 (26.1%)	—
Carbohydrate intake (g/day)	216 (167-261)	217 (155-261)	211 (177-261)	0.617
Protein intake (g/day)	80.9 (63.3-118)	80.9 (62.7-121)	82.8 (64.0-110)	0.635
FGF21 (pg/mL)	105 (67.8-189)	105 (75.5-168)	97.8 (63.9-252)	0.999

Among these 46 participants, we demonstrated, and summarized below, that circulating

FGF21 levels tended to be positively correlated with carbohydrate intake and inversely correlated with protein intake, consistent with the findings reported by Hill et al., although both did not reach statistical significance given the small sample size of this subcohort.

Spearman correlation between circulating FGF21 levels and carbohydrate/protein intake adjusted for age (n=46)

	Correlation coefficient	Age-adjusted p-value
Carbohydrate intake	0.270	0.073
Protein intake	-0.256	0.089

*As mentioned in the **Methods (Clinical and biochemical assessments)**, each participant attended the clinical assessment session for blood taking after an overnight fast for at least 8 hours. (Page 8, lines 132-133)*

4. The authors should adequately comment that even they reported a statistically significant difference in terms of TBS between NGT and IGT, both groups belong to the "partially degraded microarchitecture group" (TBS < 1.2 = degraded microarchitecture; TBS > 1.34 = "normal"). Therefore a question raises: is this difference clinically relevant?

Thank you for your comment. Although there was a statistically significant difference in TBS between NGT and IGT, due to the limited sample size, whether it is clinically relevant warrants further studies. This has been included as a limitation in the discussion. (Page 20, lines 343-348)

Minor points

1. Please show the T score BMD adjusted for TBS in table 1

*Thank you for your suggestion. We have computed the BMD T-score adjusted for TBS, using the equations derived by Leslie WD et al. (Osteoporos Int. 2018;29(3):751–8), as shown in **Table 1**. (Page 32)*

REVIEWER #2

1. Did the Authors evaluate other potential fracture risk, such as previous fractures, familiarity, physical activity, smoking habits?

*We have evaluated a variety of important clinical risk factors of osteoporosis, including smoking, drinking, personal and family history of fragility fractures, parental history of hip fractures, prior use of hormonal replacement therapy and levels of physical activity. These variables were comparable between NGT and IGT groups. (Page 31, **Table 1**)*

2. Since a postmenopausal female population has been evaluated, did the Authors evaluate whether, and in case for how long, hormone replacement therapy had been taken by the patients evaluated in the study?

*We have included the proportion of participants with history of hormonal replacement therapy in **Table 1**, which was comparable between NGT and IGT groups. (Page 31) The duration of hormonal replacement therapy was also comparable (p for trend = 0.246). (Page 14, lines 225-227)*

3. Since women were recruited from the Hong Kong Cardiovascular Risk Factor Prevalence Study, did they have any specific cardiovascular factor risks? It is now believed that

correlation between bone loss and cardiovascular risk might be mediated by vascular calcification. Did the Authors evaluate any other factors potentially involved in alteration of bone quality, such as bone morphogenetic proteins, osteoprotegerin, receptor activator of nuclear factor κ B ligand, parathyroid hormone, oxidized lipids beside FGF21? This factor by itself might not explain alteration of bone quality in these subjects.

*Cardiovascular risk factors were evaluated among our participants with the proportions in NGT and IGT groups tabulated in **Table 1**. (Page 31) Hypertension and dyslipidaemia were more prevalent among IGT group compared with NGT, while the proportion of participants with cardiovascular diseases (ischaemic heart disease and/or stroke) was comparable. TBS was lower among participants with hypertension compared with those without (1.27 ± 0.07 vs 1.29 ± 0.07 , $p=0.028$), with hypertension being a component of metabolic syndrome in which insulin resistance is the key pathophysiology. On the other hand, TBS was comparable between participants with dyslipidaemia and those without (1.28 ± 0.07 vs 1.29 ± 0.07 , $p=0.159$). (Pages 14-15, lines 240-242)*

*Following your advice, we have also evaluated the factors potentially involved in alteration of bone quality. Given the constraints of the available blood samples, we evaluated three of the five suggested bone metabolism regulators more commonly reported to be implicated in diabetic bone disease, osteoprotegerin [OPG], receptor activator of nuclear factor kappa-B ligand [RANKL] and parathyroid hormone [PTH]. The results were included in **Table 1**. (Page 31) While OPG and RANKL levels were comparable between NGT and IGT, PTH levels were higher in IGT than NGT ($p=0.007$). Among the three bone metabolism regulators, only PTH correlated with TBS (adjusted $r = -0.15$, $p=0.022$), adjusted for age and body mass index. (Page 33, **Table 2**)*

*In the multivariable stepwise linear regression analyses, circulating FGF21 levels remained significantly inversely correlated with TBS independent of IGT and insulin resistance, while hypertension and PTH were not independent determinants of TBS. (Page 34, **Table 3**)*

4. Authors stated “Whether FGF21 contributes to the impaired bone quality in IGT remains speculative”, thus title should partially modify.

Thank you for your comment. The title has been modified accordingly. (Page 1, line 1)