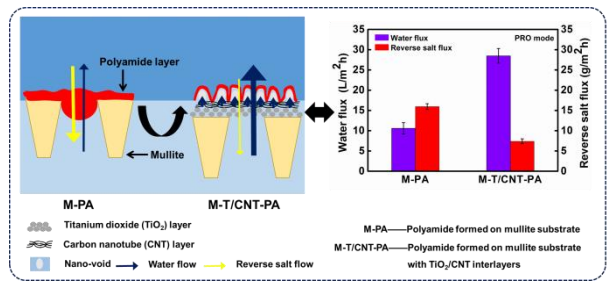




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## Graphical abstract

57 **ABSTRACT**

58 Rational design of high-performance defect-free polyamide (PA) layer on robust  
59 ceramic substrate is challenging for forward osmosis (FO) water treatment  
60 applications. In this study, we first demonstrated a robust ceramic-based thin-film  
61 composite (TFC) FO membrane by engineering a novel nano-composite interlayer of  
62 titanium dioxide and carbon-nanotube (TiO<sub>2</sub>/CNT). The structural morphologies and  
63 properties were systematically characterized for different substrates (without  
64 interlayer, with TiO<sub>2</sub> interlayer, or with TiO<sub>2</sub>/CNT interlayer) and the corresponding  
65 ceramic-based TFC-FO membranes. Introduction of low roughness nano-composite  
66 interlayers with decreased pore size created an interface with improved surface  
67 characteristics, favoring the formation of a defect-free nano-voids-containing PA layer  
68 with high cross-linking degree. The resulting ceramic-based FO membrane had a  
69 water permeability of approximately 2 L/(m<sup>2</sup> h bar) and a NaCl rejection of 98%,  
70 showing simultaneous enhancements in both compared to the control membrane  
71 without interlayer. Mechanism analysis indicates that such a special nano-composite  
72 interlayer not only provided more active sites for the formation of thinner defect-free  
73 nano-voids-containing PA layer without penetration into substrate, but acted as a  
74 highly porous three dimension network structure for rapid water transport. This work  
75 provides a novel protocol for rational design and fabrication of high performance  
76 multi-layered inorganic FO membrane as well as extended applications in water  
77 treatment with enhanced performance.

78

## 79 INTRODUCTION

80 Desalination is a reliable option to obtain freshwater from unconventional sources  
81 such as seawater, brackish groundwater, and wastewater for mitigating the challenge  
82 of water scarcity that is expected to affect approximately 4 billion people globally in  
83 the coming decades.<sup>1</sup> Compared with other desalination technologies such as reverse  
84 osmosis (RO)<sup>2</sup> and membrane distillation,<sup>3</sup> osmotically-driven forward osmosis (FO)  
85 offers potential advantages of greater tolerance to membrane fouling, higher available  
86 water recovery and low energy consumption, in addition to its nearly complete  
87 rejection to a wide range of contaminants.<sup>4-6</sup> These features make FO particularly  
88 interesting for challenging applications with high fouling/scaling propensity, such as  
89 zero liquid discharge,<sup>7</sup> produced water treatment,<sup>6</sup> FO-membrane bioreactor<sup>8</sup> etc.  
90 However, development of FO desalination technology requires high performance FO  
91 membranes.

92 Literature on FO membrane development in the last decade has largely focused on  
93 strategies of mitigating internal concentration polarization (ICP), i.e., the  
94 concentration polarization inside membrane substrate that causes a substantial loss of  
95 effective osmotic driving force.<sup>9-12</sup> Meanwhile, the development of thin-film  
96 composite (TFC) polyamide (PA) FO membranes has resulted in significant  
97 enhancement in membrane water permeability and selectivity.<sup>13</sup> For example,  
98 polyamide FO membranes have been prepared on various polymeric substrates, such  
99 as cellulose acetate,<sup>14</sup> polysulfone,<sup>15</sup> polyethersulfone,<sup>16</sup> and polybenzimidazole.<sup>17</sup>  
100 Nevertheless, these polymeric substrates often suffer from their low mechanical

101 strength, insufficient thermal and chemical stability, and/or poor hydrophilicity,<sup>18</sup>  
102 which prevents their uses for challenging applications for which FO would otherwise  
103 offer competitive advantages. The limitation of existing FO membranes prompts us to  
104 design more robust membranes.

105 Presumably, inorganic ceramic substrates have a great potential for the preparation  
106 of high-performance FO membranes, thanks to their excellent mechanical, chemical  
107 and thermal stability, hydrophilicity and anti-fouling properties.<sup>19-22</sup> To date, however,  
108 ceramic-based FO membranes have not yet been reported in the literature. The  
109 relatively low porosity of conventional ceramic substrates<sup>23</sup> will likely cause serious  
110 ICP for FO applications. The relatively coarse surfaces of ceramic substrates present  
111 another critical challenge to the formation of high-quality PA rejection layer with high  
112 water permeability and salt rejection, since the interfacial polymerization reaction  
113 used for PA synthesis is highly sensitive to the surface characteristics of the  
114 substrates.<sup>24-28</sup>

115 In this study, we report a novel high performance PA-ceramic FO membrane  
116 featuring a nano-composite interlayer. A dry-wet spinning technique followed by  
117 sintering was used to create a highly porous mullite substrate structure (Figure 1). A  
118 nano-composite TiO<sub>2</sub>/CNT interlayer was prepared on this ceramic substrate to  
119 optimize its surface morphology, pore size and roughness for improved subsequent  
120 growth of the PA rejection layer. We demonstrate that an enhanced roughness  
121 structure of the resulting PA layer, combined with a highly porous structural feature of  
122 the interlayer, resulted in higher water flux and lower reverse salt flux, outperforming

123 the control membrane without interlayer as well as other state-of-the-art FO  
124 membranes. A membrane formation and transportation mechanism was proposed to  
125 understand on how high-quality PA layer can be constructed on coarse ceramic  
126 substrate to finally form ceramic-based FO membranes featuring excellent application  
127 performance such as water permeability and salt rejection.

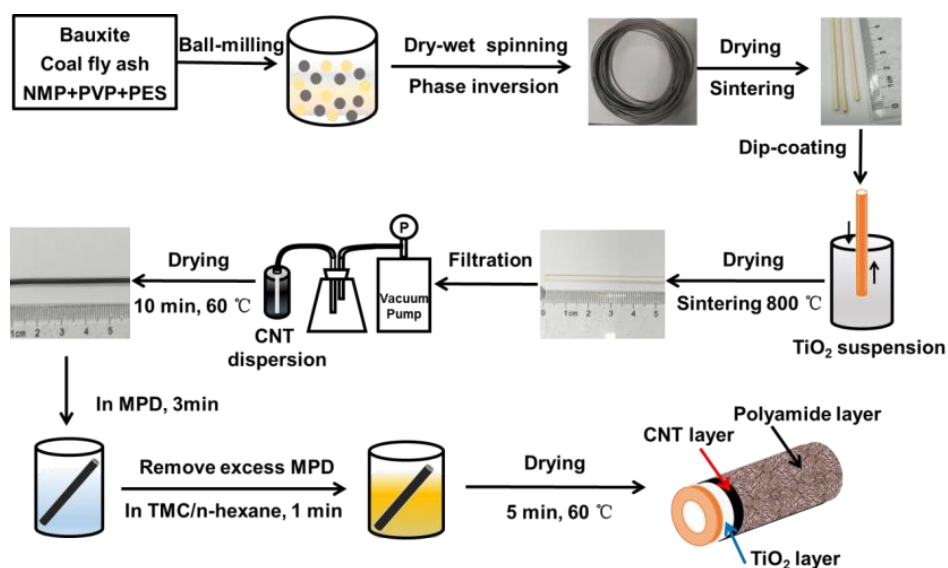
## 128 **MATERIALS AND METHODS**

129 **Fabrication of Mullite Substrate.** The mullite hollow fiber substrate (labeled as  
130 M) was prepared through a dry-wet-spinning technique with the immersion-induced  
131 phase inversion process (Figure 1) based on optimized dope compositions and  
132 spinning conditions (Supporting Information Section S1, Figures S1 and S2, Tables  
133 S1 and S2).

134 **Fabrication of Nano-composite TiO<sub>2</sub>/CNT Interlayers.** The preparation  
135 processes of TiO<sub>2</sub> and CNT suspension are given in Figure 1 and Supporting  
136 Information S1.3. The mullite substrate was dipped into the suspension for 5 s and  
137 taken out immediately and carefully, followed by complete drying at ambient  
138 temperature. Subsequently, the mullite substrate coated with TiO<sub>2</sub> was sintered at  
139 800 °C for 2 h (heating rate of 1 °C/min), which was labeled as M-T. In our work,  
140 rutile-phase TiO<sub>2</sub> was formed as an interlayer when sintered at 800 °C for 2 h. Such a  
141 sintering temperature was required to assure good adhesion between the TiO<sub>2</sub> layer  
142 and the mullite substrate as well as the long-term mechanical stability of the TiO<sub>2</sub>  
143 layer.<sup>21</sup> The key function of this TiO<sub>2</sub> interlayer was to modify surface pore size and  
144 roughness, providing a substrate featuring better surface characteristics (i.e., smoother

145 surface with smaller pores) for the formation of a high-quality CNT layer. The CNT  
146 interlayer was fabricated by vacuum filtration method, whose thicknesses were  
147 determined by controlling filtration time (10 s, 20 s, 40 s, 60 s). To enhance the  
148 stability of the CNT layer, surface modification by polydopamine was applied  
149 ([Supporting Information S1.3.2](#)). The prepared MWCNTs suspension was  
150 vacuum-filtered onto the M-T substrate to form a CNT layer by applying a vacuum of  
151 0.8 bar at the lumen side of the hollow fibers. Finally, to increase the binding between  
152 substrate and CNTs, the mullite substrates coated with TiO<sub>2</sub>/CNT interlayers were  
153 cured in an oven at 60 °C for 10 min.<sup>29</sup> During drying-curing process, highly adhesive  
154 cross-linked polydopamine was formed via polymerization reaction of dopamine at  
155 weakly basic conditions, efficiently enhancing both CNT/TiO<sub>2</sub> interfacial bonding and  
156 cross-linking between CNTs.<sup>30,31</sup> The mullite substrate coated with TiO<sub>2</sub>/CNT  
157 interlayers was labeled as M-T/CNT.

158 **Fabrication of Ceramic-based TFC-FO Membranes.** The polyamide (PA)  
159 selective layer was fabricated on the outer surface of the ceramic-based substrates (M,  
160 M-T and M-T/CNT) via interfacial polymerization (IP, [Figure 1](#)). Detailed  
161 optimization of monomer compositions is shown in [Figures S7 and S8](#), where a 5 wt%  
162 MPD and 0.4 wt/v% TMC/n-hexane solution were used in all subsequent experiments.  
163 The final TFC-FO membranes are denoted as M-PA, M-T-PA and M-T/CNT-PA,  
164 respectively. More details about the formation of polyamide selective layer are given  
165 in the [Supporting Information S1.4](#).



166

167 **Figure 1.** Schematic diagram of the preparation process of ceramic-based FO membrane with  
 168 TiO<sub>2</sub>/CNT nano-composite interlayers.

169 **Membrane Characterization.** The surface and cross-sectional morphologies of  
 170 the prepared substrates and TFC-FO membranes were observed using scanning  
 171 electron microscopy (SEM, QUANTA 450, FEI, America) and field emission  
 172 scanning electron microscope (FESEM, S-4800, Hitachi, Japan) equipped with energy  
 173 dispersive spectrometer (EDS) mapping analysis. X-ray photoelectron spectroscopy  
 174 (XPS, K-Alpha+) was used to determine the elemental composition and cross-linking  
 175 degree of the PA selective layers. To characterize the surface hydrophilicity of the  
 176 substrates and TFC-FO membranes, water contact angle (WCA) measurements were  
 177 performed using a dynamic contact angle goniometer (PT-705, Guangdong  
 178 Zhongcheng Pussett Equipment Co., Ltd., China). Atomic force microscopy (AFM,  
 179 Dimension Icon, Bruker, America) was used to measure the membrane surface  
 180 roughness. The mean pore size and pore size distribution of the substrates with and  
 181 without interlayer were measured by a porometer (PSDA-20, Nanjing GaoQ



182 Functional Material Co., Ltd., China). The surface porosity of the substrates was  
183 quantified from their SEM images using ImageJ software (National Institutes of  
184 Health, America). The mechanical properties of the membrane substrates were  
185 measured by electronic universal testing machine (AGS-X, Shimadzu (Suzhou)  
186 Instruments Manufacturing Co., Ltd., China).

187 **Membrane Performance Evaluation.** A dead-end permeation cell in the RO mode  
188 was employed to measure the water permeability coefficient ( $A$ ), salt permeability  
189 coefficient ( $B$ ) and salt rejection ( $R_s$ ) of the ceramic-based TFC-FO membranes. The  
190 FO performance of ceramic-based TFC-FO membranes (M-PA, M-T-PA and  
191 M-T/CNT-PA) was conducted with a lab-scale FO setup (Figure S3). DI water was  
192 used as feed solution (FS) while NaCl aqueous solutions with various concentrations  
193 (0.5–2.0 M) were used as draw solutions (DS). The membrane performance test was  
194 conducted in two different operational modes: FO mode (where PA layer faced to FS)  
195 and pressure retarded osmosis mode (PRO, where draw solution flowed against PA  
196 layer). More details about the performance evaluation of ceramic-based TFC-FO  
197 membranes can be found in the [Supporting Information S1.5](#).

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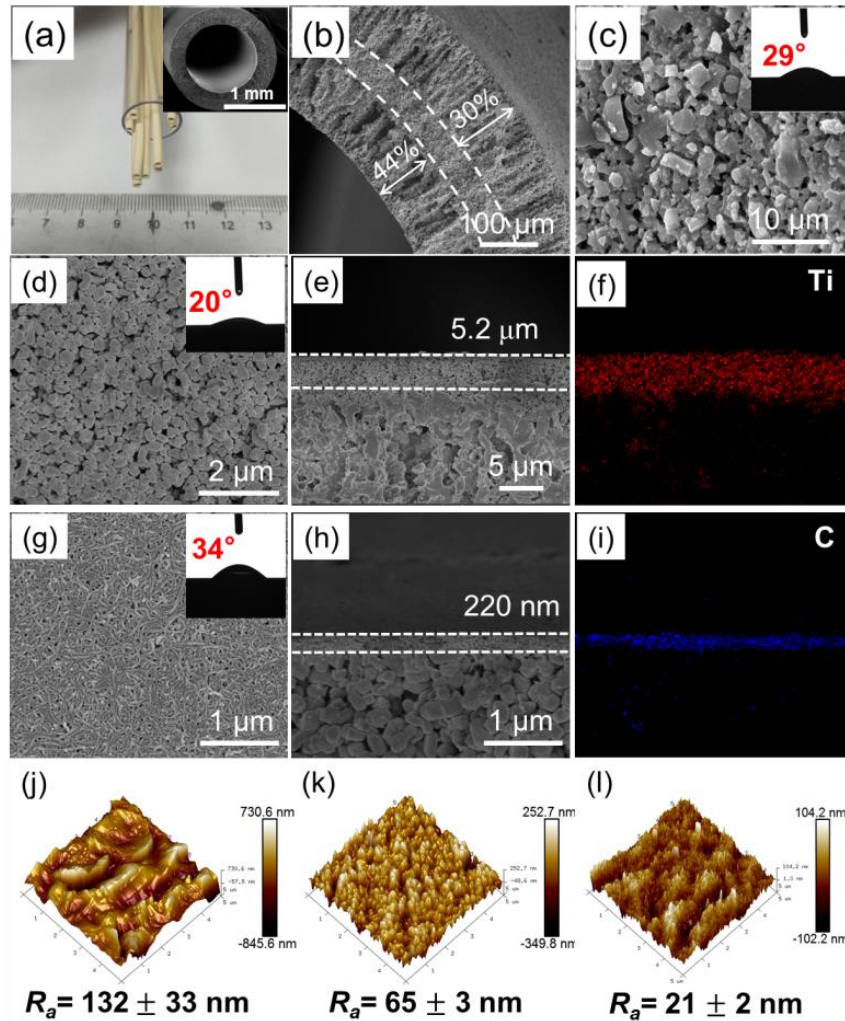
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## 205 Design of Nano-composite Interlayer



206

207 **Figure 2.** (a) Photograph (with cross-sectional SEM image inserted), (b) locally enlarged

208 cross-sectional SEM and (c) outer surface SEM images of mullite membrane substrates (M);

209 (d) surface SEM, (e) cross sectional SEM and (f) EDS mapping image (red, Ti element) of the

210 mullite substrate with TiO<sub>2</sub> interlayer (M-T); (g) surface SEM, (h) cross sectional SEM and (i)

211 EDS mapping image (blue, C element) of the mullite substrate with nano-composite

212 TiO<sub>2</sub>/CNT interlayers (M-T/CNT); and surface AFM images of various substrates: (j) M, (k)

213 M-T and (l) M-T/CNT. The insets of (c), (d) and (g) present the contact angle results of M,

214 M-T, and M-T/CNT, respectively.

215 The dry-wet spinning technique was used to prepare a mullite substrate of a highly  
216 asymmetric structure (Figure 2),<sup>32</sup> whose structure morphology, surface porosity and  
217 mechanical properties can be finetuned using different sintering temperatures (Figures  
218 S4 and S5). Compared to commercial alumina ceramic membranes, the mullite  
219 substrates are more cost competitive due to the use of less expensive ceramic powders  
220 and lower sintering temperature (Supporting Information S3). After sintering, hollow  
221 fiber mullite substrates have pale-yellow color (Figure 2a) due to the presence of  
222 Fe<sub>2</sub>O<sub>3</sub> (3.81 wt%) in the starting material (Table S1). The substrates exhibited a  
223 finger-sponge-finger asymmetric structure consisting of long inner and outer  
224 finger-like macro-voids (74% of the overall thickness of  $260 \pm 2 \mu\text{m}$ ) and an  
225 intermediate sponge-like layer (26%) sandwiched in between (Figure 2b).  
226 Hydrodynamically unstable viscous fingering phenomenon played a key role on the  
227 formation of such an asymmetric structure in hollow fiber ceramic membranes  
228 (Supporting Information S4).<sup>33</sup> Uniform porous structure was formed for outer surface  
229 with a surface porosity of  $22 \pm 1.2\%$  (Figure 2c). These structural features (thin  
230 thickness, straight finger-like macro-voids and high surface porosity) are beneficial to  
231 reduce ICP by lowering the structure parameter. At the same time, the sandwiched  
232 sponge-like layer provides excellent mechanical stability of the substrate.<sup>21</sup> However,  
233 due to their large surface pores (mean pore size  $\sim 475 \pm 24 \text{ nm}$ , Figure S6a) and high  
234 roughness ( $R_a \sim 132 \pm 33 \text{ nm}$ , Figure 2j), it was difficult to form a thin PA layer on the  
235 coarse mullite ceramic substrate. To address this issue, TiO<sub>2</sub> ( $\sim 5.2 \mu\text{m}$  thickness,  
236 Figures 2d-f) and CNT ( $\sim 0.22 \mu\text{m}$  thickness, Figures 2g-i) interlayers were

237 successively coated on coarse mullite substrate to tune its surface properties, with the  
238 TiO<sub>2</sub> interlayer used to prevent the penetration of CNT into mullite substrate.  
239 Compared mullite substrate, such a nano-composite TiO<sub>2</sub>/CNT interlayer exhibits a  
240 smoother surface ( $R_a \sim 65 \pm 3$  nm for M-T and  $21 \pm 2$  nm for M-T/CNT, [Figures 2k-l](#))  
241 with smaller pore size ( $\sim 375 \pm 14$  nm for M-T and  $150 \pm 10$  nm for M-T/CNT, [Figure](#)  
242 [S6a](#)), respectively. Moreover, coating of TiO<sub>2</sub>/CNT interlayers on the coarse mullite  
243 substrate had no major adverse impact on its surface porosity, mechanical and  
244 hydrophilic properties ([Figures S6b-d](#)). Consequently, introduction of TiO<sub>2</sub>/CNT  
245 nano-composite interlayers on mechanically strong ceramic substrates was able to  
246 finely tailor desirable properties such as surface pore size and surface roughness to  
247 provide a versatile platform for polyamide formation via IP reaction.

#### 248 **Properties of Ceramic-based TFC-FO Membranes**

249 After the formation of the PA layer, the M-PA, M-T-PA and M-T/CNT-PA  
250 membranes exhibited different surface and structure morphologies ([Figure 3](#)). For the  
251 coarse mullite substrate without interlayer, the PA layer had greater thickness and  
252 larger pores (possibly defects) in its surface, and the commonly reported  
253 ridge-and-valley structure could not be observed clearly ([Figure 3a,d](#)). Furthermore,  
254 the cross section of this membrane did not show the typical presence of nanovoids  
255 reported for MPD-TMC based polyamide layers.<sup>34-38</sup> According to Tang and  
256 co-workers,<sup>27,34,38-40</sup> the formation of ridge-and-valley structure and the nanovoids  
257 inside the polyamide layer was driven by the degassing of nanosized CO<sub>2</sub> bubbles as a  
258 result of the reduced CO<sub>2</sub> solubility in the aqueous MPD solution since HCl and heat

259 were released during the IP reaction. The subsequent encapsulation of these CO<sub>2</sub>  
260 nanobubbles between the substrate and the polyamide film results in the  
261 nanovoids-containing surface protuberances that are known as the ridge-and-valley  
262 features.<sup>27</sup> In the current study, the mullite substrate with very large surface pores  
263 (mean pore size  $\sim 475 \pm 24$  nm, [Figure S6a](#)) may not be able to effectively retain the  
264 nanobubbles<sup>27</sup> and therefore could not effectively develop the ridge-and-valley  
265 structure.

266 After coating with TiO<sub>2</sub> or TiO<sub>2</sub>/CNT interlayers, the resulting M-T-PA and  
267 M-T/CNT-PA membranes featured nanovoid-containing ridge-and-valley features for  
268 their PA layers ([Figure 3b,e](#) and [Figure 3c,f](#), respectively), which can be explained by  
269 the smaller surface pores and lower surface roughness of their substrates. Compared  
270 to M-T-PA, M-T/CNT-PA had greater presence of nanovoids inside its PA layer  
271 ([Figure 3e,f](#)) together with slightly higher surface roughness ( $55 \pm 2$  nm vs.  $61 \pm 3$  nm,  
272 see [Figure 3h,i](#)) despite the use of a much smoother substrate ([Figure 2l](#)), thanks to the  
273 greater confinement effect<sup>27</sup> on the degassed nanobubbles due to the reduced surface  
274 pore size. According to literature,<sup>27,34,37-40</sup> the effective creation of nanovoids would  
275 be beneficial for enhancing membrane permeability by significantly increasing the  
276 effective filtration area of the PA film. In the current study, the M-T-PA and  
277 M-T/CNT-PA membrane possessed a clear interface between PA layer and TiO<sub>2</sub> or  
278 CNT interlayer, respectively, while there is no obvious boundary between PA layer  
279 and mullite substrate ([Figures 3d-f](#)). This was possibly due to the penetration and  
280 growth of the PA layer inside the pores of coarse mullite substrate. Our results are

281 consistent with a prior study reporting the effectiveness of interlayer for preventing  
 282 the intrusion of polyamide into the substrate.<sup>41</sup>

283 The XPS results show only the presence of O, N, and C elements on the three PA  
 284 membrane surfaces, confirming the formation of polyamide layer. The M-T/CNT-PA  
 285 membrane exhibited the lowest O/N ratio among the three membranes, suggesting a  
 286 higher cross-linking degree compared to M-PA and M-T-PA membranes (Figures 3j-l).  
 287 This beneficial effect can be explained by the greater sorption of MPD with the  
 288 presence of additional interlayers.<sup>41</sup>

289 **Table 1.** Transport and structural properties of ceramic-based TFC-FO membranes.

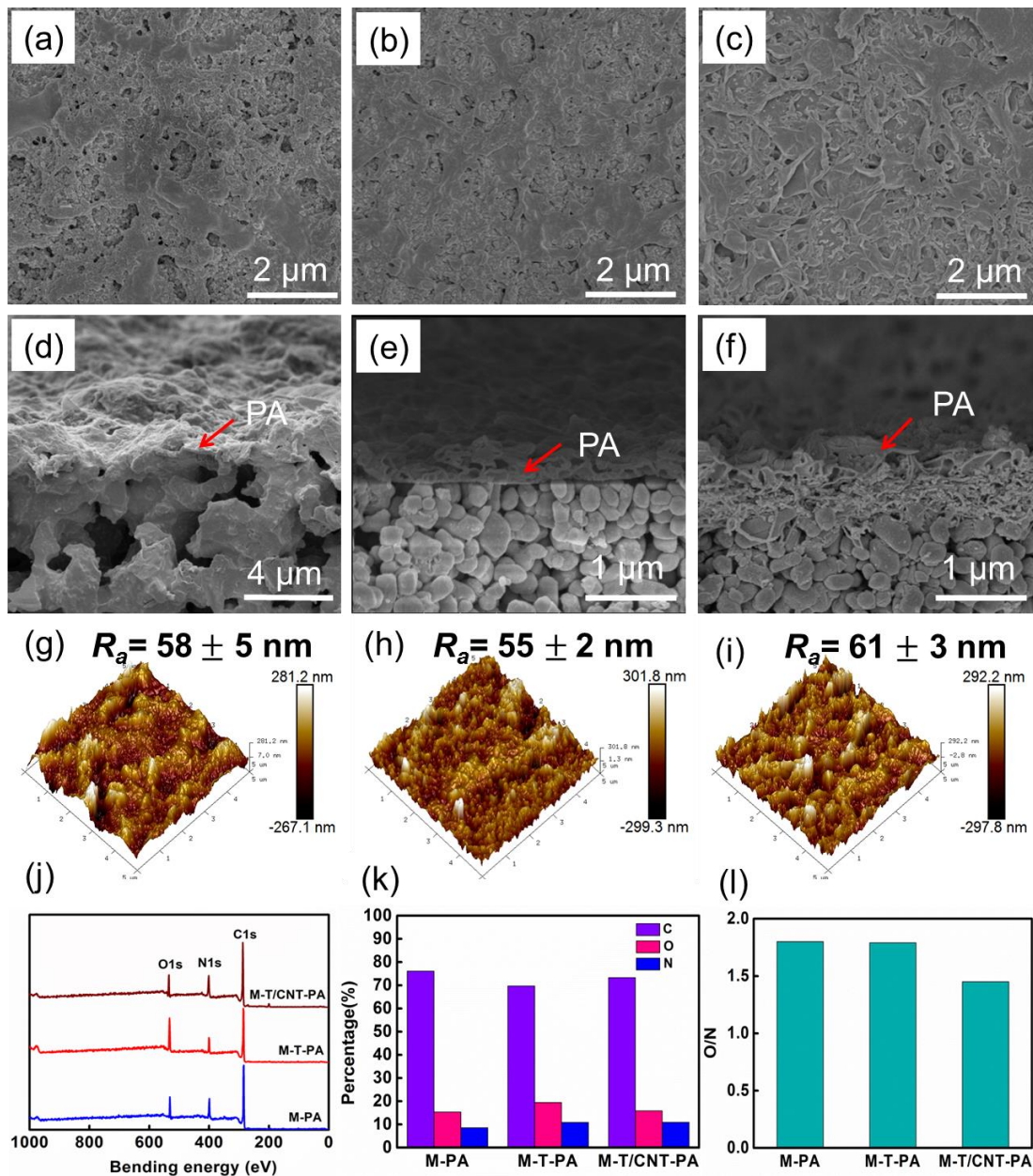
Membranes	Water permeability <sup>a</sup>	Salt permeability	<i>B/A</i>	Salt rejection <sup>b</sup>	Structural parameter <sup>c</sup>
	( <i>A</i> , L/(m <sup>2</sup> h bar))	( <i>B</i> , L/(m <sup>2</sup> h))	(bar <sup>-1</sup> )	<i>R<sub>s</sub></i> (%)	( <i>S</i> , μm)
M-PA	1.3 ± 0.3	0.92 ± 0.12	0.70	92.2 ± 3.6	568 ± 45
M-T-PA	1.2 ± 0.1	0.77 ± 0.08	0.64	96.0 ± 1.9	317 ± 23
M-T/CNT-PA	2.0 ± 0.1	0.58 ± 0.03	0.29	98.0 ± 1.7	248 ± 20

290 <sup>a</sup> Evaluated in a RO setup at 5 bar trans-membrane pressure against deionized water.

291 <sup>b</sup> Evaluated in a RO setup at 5 bar trans-membrane pressure with 200 ppm NaCl as feed.

292 <sup>c</sup> Calculated under PRO mode using 1 M NaCl as draw solution and DI water as feed.

293



294

295 **Figure 3.** Surface SEM images of (a) M-PA membrane (b) M-T-PA membrane and (c)  
 296 M-T/CNT-PA membrane; cross sections SEM images of (d) M-PA membrane and (e) M-T-PA  
 297 membrane (f) M-T/CNT-PA membrane; AFM images of (g) M-PA, (h) M-T-PA and (i)  
 298 M-T/CNT-PA membrane; (j) surface XPS spectra, (k) elemental composition and (l) O/N  
 299 ratio of M-PA, M-T-PA and M-T/CNT-PA membranes.

300 Compared with M-PA and M-T-PA membrane, M-T/CNT-PA membrane exhibited  
 301 higher water permeability ( $A$ ), higher salt rejection and lower salt permeability (Table

302 1). The ratio of solute permeability to water permeability ( $B/A$ ) is a direct indicator of  
303 membrane selectivity. The M-T/CNT-PA membrane has a lower  $B/A$  ratio of only  
304  $\sim 0.29 \text{ bar}^{-1}$ , indicating a higher selectivity of water over salt due to the existence of  
305 less defects (Figure 3c) and higher cross-linking degree of the PA layer (Figure 3l).  
306 Structure parameter ( $S$ ) value is an important parameter for FO performance to reflect  
307 ICP inside FO membrane, which depends strongly on the properties of membrane  
308 substrate. The  $S$  value of M-T/CNT-PA membrane was only  $248 \pm 20 \text{ }\mu\text{m}$ , which is  
309 lower than those of M-T-PA and M-PA membrane ( $317 \pm 23$  and  $568 \pm 45 \text{ }\mu\text{m}$ ,  
310 respectively). This value is also considerably lower than that of commercial CTA FO  
311 membranes ( $950 \text{ }\mu\text{m}$ ) and most of the state-of-the-art TFC-FO membranes (Table S4).  
312 Although freestanding GO-based FO membranes possess lower  $S$  values,<sup>42</sup> these  
313 membranes without support suffered from low mechanical stability and are difficult to  
314 scale up. Our results may suggest that the polyamide formed in the pores reduced  
315 effective porosity that hindered solute diffusion while the presence of  $\text{TiO}_2$  and  
316  $\text{TiO}_2/\text{CNT}$  interlayer accelerated the solute diffusion.<sup>29</sup>

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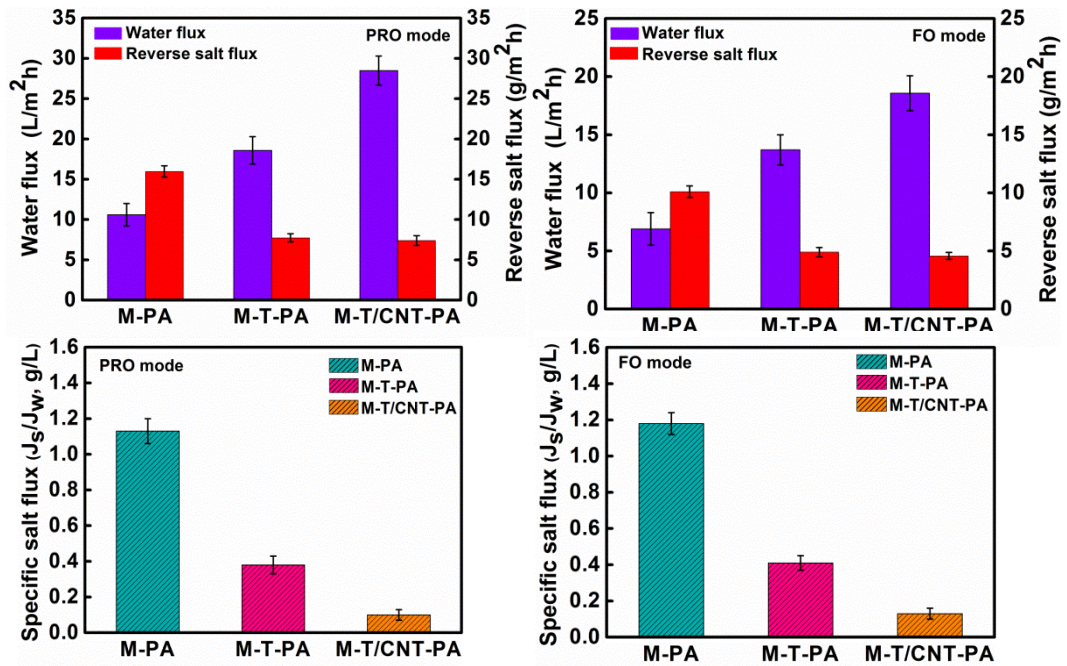
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324 **Enhanced FO Performance of Ceramic-based TFC-FO Membranes**



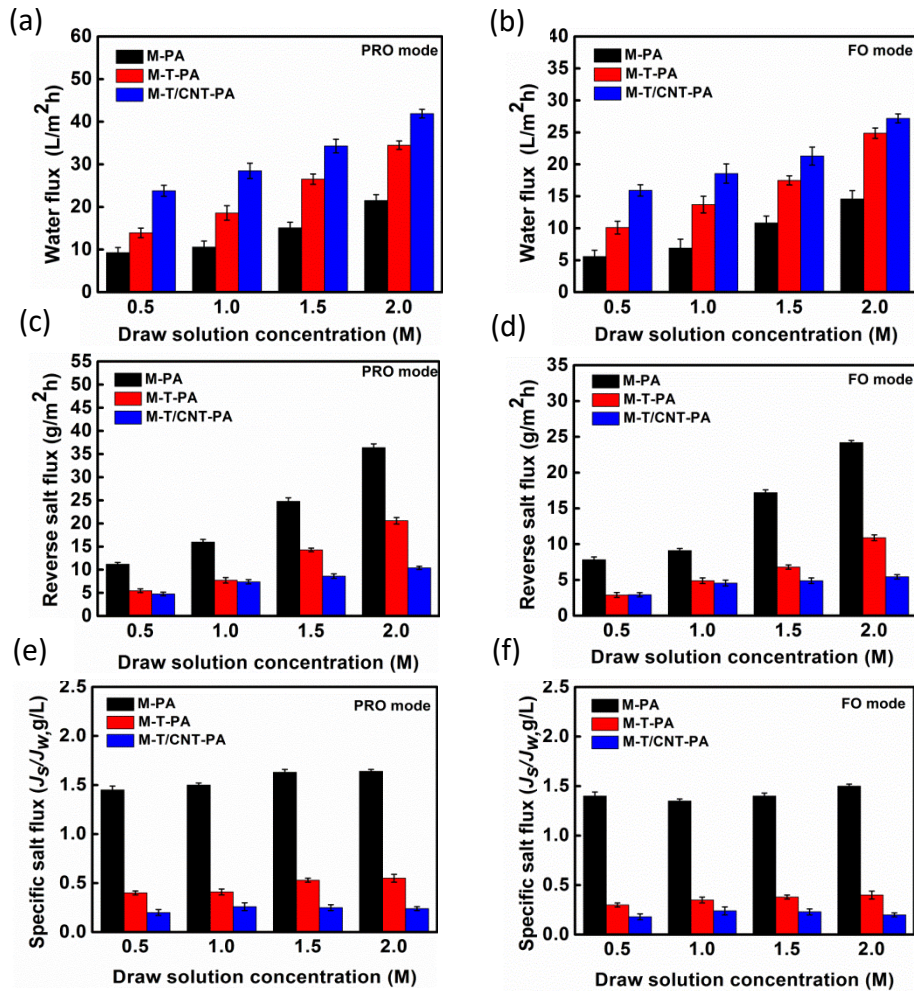
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326 **Figure 4.** FO performance (water flux and reverse salt flux) of M-PA, M-T-PA and  
 327 M-T/CNT-PA membranes: (a) under PRO mode, (b) under FO mode; specific salt flux ( $J_s/J_w$ )  
 328 of M-PA, M-T-PA and M-T/CNT-PA membranes: (c) under PRO mode, (d) under FO mode.  
 329 Feed: DI water. Draw solution: 1 M NaCl.

330 In PRO mode, compared with that of M-PA membrane ( $10.6 \pm 1.4 \text{ L/m}^2 \text{ h}$ ), coating  
 331 of  $\text{TiO}_2$  layer improved the water flux ( $18.6 \pm 1.7 \text{ L/m}^2 \text{ h}$ ) of M-T-PA membrane by ~  
 332 75% since this interlayer prevented the formation of polyamide inside macro-porous  
 333 mullite substrate and thus reduced the effective thickness of PA top-layer with  
 334 decreased water transfer resistance.<sup>25,41</sup> In comparison, coating of  $\text{TiO}_2/\text{CNT}$   
 335 interlayers resulted in a more significantly enhanced water flux ( $28.5 \pm 1.8 \text{ L/m}^2 \text{ h}$ )  
 336 (Figures 4a-b), 168% higher than that of the membrane without any interlayer. This  
 337 special CNT-constructed network layer was beneficial to the formation of PA layer

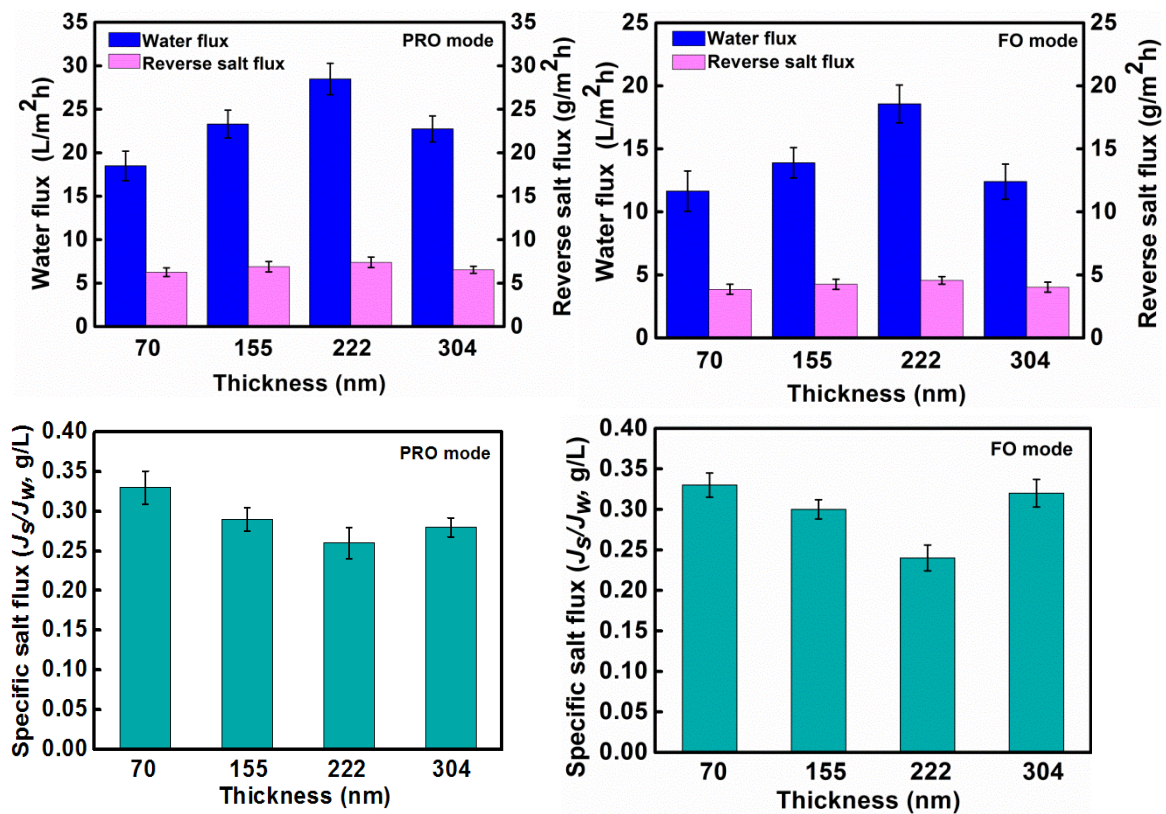
338 with a rougher surface and greater presence of nanovoids, which provided larger  
339 effective membrane surface area and more free space for rapid high-flux water  
340 transport.<sup>27,37-40,43,44</sup> In addition, the reduced  $S$  value of M-T/CNT-PA also helps to  
341 maintain a higher water flux. Similar trend was observed for the FO mode.  
342 Furthermore, the M-T/CNT-PA shows the lowest specific reverse solute flux ( $J_s/J_v$ )  
343 for both PRO and FO mode (Figure 4c,d), which is consistent with its highest  
344 rejection and lowest  $B/A$  values (Table 1). Compared to the commercial CTA  
345 membrane and most of the state-of-the-art TFC-FO polymeric membranes, the  
346 ceramic-based M-T/CNT-PA membrane developed in this work showed higher water  
347 flux and comparable reverse salt flux (Table S4).

348 For M-PA, M-T-PA and M-T/CNT-PA membranes, the water flux exhibited  
349 similarly increasing trends with increasing NaCl concentration in both FO and PRO  
350 modes (Figures 5a-b) due to the greater osmotic driving force. Similarly, the reverse  
351 salt flux also increased when the concentration of NaCl draw solution increased  
352 (Figures 5c-d). Nevertheless, the  $J_s/J_v$  ratio remained relatively constant for any given  
353 membrane regardless of the membrane orientation (Figures 5e-f) since this ratio is  
354 determined by the inherent selectivity parameter ( $B/A$ ) of the membranes.<sup>45</sup> Among  
355 the three membranes, the M-T/CNT-PA membrane had the combination of lowest  
356  $J_s/J_w$  ratio and highest water flux under any given testing conditions.



357

358 **Figure 5.** Water flux, reverse salt flux and specific salt flux of M-PA, M-T-PA and  
 359 M-T/CNT-PA membranes with DI water as feed solution against different draw solution  
 360 concentrations (0.5–2 M): under PRO mode (a, c, e) and under FO mode(b, d, f).



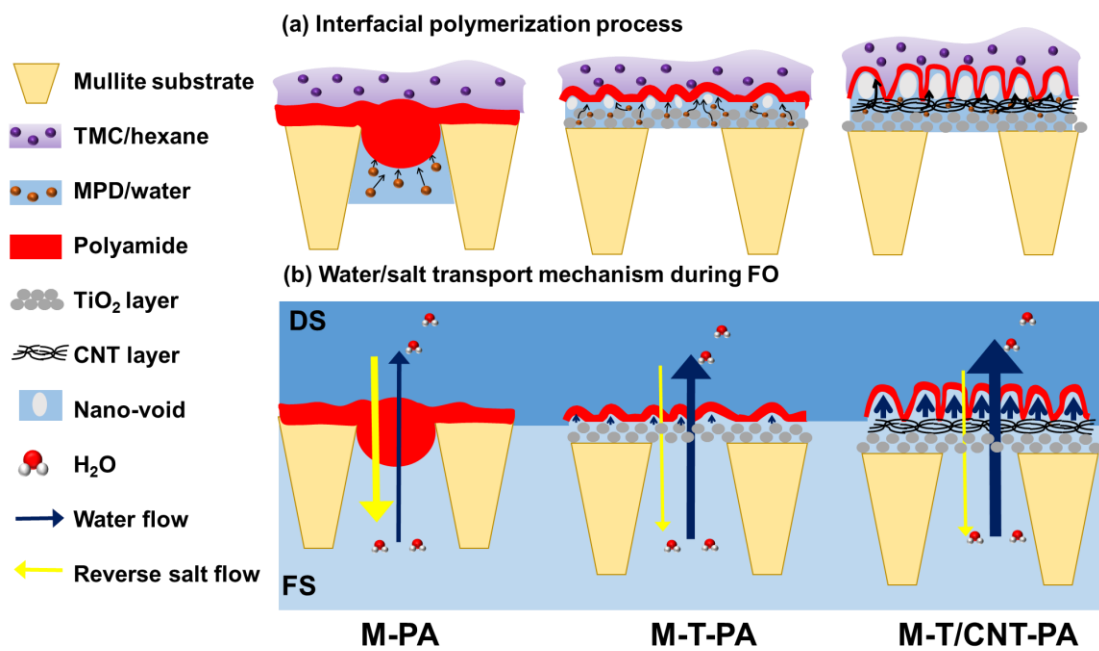
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362 **Figure 6.** FO performance (water flux, reverse salt flux and specific salt flux) of  
 363 M-T/CNT-PA membranes with different thickness of CNT interlayer: (a) under PRO mode  
 364 and (b) under FO mode. Feed: DI water. Draw solution: 1 M NaCl.

365 The thickness of CNT interlayer was crucial to affect the FO performance of the  
 366 final M-T/CNT-PA membranes in both PRO and FO modes (Figure 6). Thick CNT  
 367 interlayer provided more free space for water transport and also larger effective  
 368 membrane area of the final PA layer, consequently enhancing water flux.<sup>46</sup> A gradual  
 369 increase in water flux was observed with initial increasing CNT thickness, where a  
 370 maximum water flux ( $28.5 \pm 1.8$  L/m<sup>2</sup> h) was obtained at 222 nm thickness.  
 371 Nevertheless, further increasing the CNT layer thickness to 304 nm decreased the  
 372 water flux to  $22.7 \pm 1.5$  L/m<sup>2</sup> h, which could be due to the dominance of the hydraulic

373 resistance of the thicker CNT layer. In comparison, reverse salt flux was largely  
 374 independent of the CNT interlayer thickness due to the formation of defect-free PA  
 375 top-layers featuring their excellent cross-linking degrees.<sup>46,47</sup>

376 **Mechanism Insight into Membrane Formation and Water/Salt Transport**



377  
 378 **Figure 7.** (a) Conceptual illustration of formation mechanism of PA selective layer on  
 379 different ceramic-based substrates and (b) water and reverse salt transport mechanism across  
 380 ceramic-based TFC-FO membranes with different interlayers.

381 A mechanism insight is proposed here to understand the role of nano-composite  
 382 interlayer for membrane formation during IP and membrane transport during FO  
 383 process (Figure 7). As illustrated in Figure 7a, when interfacial polymerization  
 384 occurred on a coarse mullite substrate without any interlayer, polyamide grew deeper  
 385 inside the macro-pores of the rough mullite substrate due to the insufficient retention  
 386 by its large surface pores.<sup>41</sup> This led to the formation of a thicker PA layer with more

387 defects and lower cross-linking degree.<sup>24</sup> Such a thick PA layer, which was  
388 penetration-grown inside coarse mullite substrate, had higher effective membrane  
389 thickness, significantly enhancing water permeation resistance and consequently  
390 exhibiting lower water permeability during FO process (Figures 4a-b).<sup>41</sup> In addition,  
391 the defects formed in this PA layer allowed salt permeation with lower transport  
392 resistance, showing higher salt permeability. By comparison, however, coating of  
393 TiO<sub>2</sub> interlayer and especially TiO<sub>2</sub>/CNT nano-composite interlayer exhibited  
394 significantly improved surface characteristics. The resulting smaller pore size and  
395 smoother surface not only hinder the penetration of polyamide into the substrates,  
396 but also result in the formation of much thinner PA layers.<sup>15,41</sup> Such thinner PA layers  
397 also features less defects, greater effective filtration area (due to the greater presence  
398 of nanovoid-containing roughness features) and better cross-linking degree.  
399 Importantly, CNT interlayer acted as a highly porous three dimension network  
400 structure for not only allowing rapid high-flux water transport but also providing  
401 more active sites for the growth of PA roughness features.<sup>29,46</sup> Furthermore, this  
402 structure features a reduced structural parameter. The corresponding M-T/CNT-PA  
403 membrane therefore achieved the greatest improvements in water permeability, salt  
404 rejection while lower reverse salt flux.

## 405 **IMPLICATIONS**

406 In this study, with introduction of TiO<sub>2</sub>/CNT nano-composite interlayer, a rational  
407 structure design strategy was proposed to fabricate high-performance mullite

408 ceramic-based FO membrane featuring enhanced water flux and salt rejection with  
409 lowered reverse salt flux. The mullite substrate exhibited excellent hydrophilicity and  
410 water wettability, high porosity (74%-finger-like macro-voids) and high water flux.  
411 Coating of TiO<sub>2</sub>/CNT interlayers on coarse mullite substrates slightly altered surface  
412 porosity, mechanical and hydrophilic properties, but effectively decrease pore size and  
413 surface roughness, endowing better membrane surface characteristic for interface  
414 polymerization. This could not only significantly hinder the penetration growth of  
415 polyamide into the substrates, but result in the formation of much thinner PA layers  
416 featuring less defects, higher surface roughness with more nano-voids, larger effective  
417 filtration area and better cross-linking degree. As a result, the final ceramic-based  
418 TFC-FO membrane with TiO<sub>2</sub>/CNT nano-composite interlayer possessed higher water  
419 flux, salt rejection and lower reverse salt flux than TFC-FO membrane without any  
420 interlayer. In addition, the introduction of TiO<sub>2</sub>/CNT interlayers is an effective  
421 strategy to reduce the ICP of FO membrane with lower *S* value. These suggest that a  
422 high-performance ceramic-based FO membrane can be produced by applying  
423 TiO<sub>2</sub>/CNT nano-composite interlayer on ceramic substrate, showing a great potential  
424 in FO process. Although the current study employed vacuum filtration for the loading  
425 of CNTs, future studies may further explore other alternatives such as spray-coating  
426 and dip-coating.<sup>21,29,48</sup>

427 Compared to commercially available polymeric FO membranes, ceramic  
428 membranes are known to have better mechanical strength and chemical stability. Even  
429 though FO requires no applied pressure, pilot studies show that hydraulic pressures of

430 a few bar can occur at the inlet to membrane modules,<sup>49</sup> which causes membrane  
431 deformation and deterioration of separation properties.<sup>50</sup> Prior studies have also  
432 reported damage of FO membranes due to scaling formation, which is largely due to  
433 the weak mechanical properties of commercially available polymeric FO  
434 membranes.<sup>51,52</sup> The ceramic-based FO membrane with high mechanical strength is  
435 beneficial to address these issues. Furthermore, the development of mechanically  
436 strong osmotically-driven membranes will further enable additional applications such  
437 as pressure retarded osmosis and pressure assisted osmosis, for which mechanical  
438 stability is one of the critical concerns.<sup>53-57</sup> In addition to improved mechanical  
439 strength, the ceramic-based FO membranes also offer better chemical stability  
440 compared to traditional polymeric FO membranes, which allows their use for some  
441 harsh applications, e.g., organic solvent filtration.<sup>57,58</sup> Studies show that polyamide  
442 nanofiltration membranes prepared on ceramic substrates have better resistance to  
443 various organic solvents.<sup>59,60</sup> Future studies are needed to explore the use of  
444 ceramic-based FO membranes for organic solvent filtration.

## 445 **ASSOCIATED CONTENT**

### 446 **Supporting Information**

447 S1. Fabrication and characterization of ceramic-based TFC-FO membranes  
448 (Figures S1-S3, Tables S1 and S2); S2. Optimization of hollow fiber ceramic substrate  
449 (Figures S4 and S5); S3. Cost analysis (Table S3); S4. Formation mechanism of  
450 mullite substrate structure; S5. Surface properties of M, M-T and M-T/CNT



451 membrane substrates (Figure S6); S6. Optimization of synthesis conditions for PA  
452 layer (Figures S7 and S8); S7. Stability of membranes (Figure S9); S8. Comparison of  
453 FO performances (Table S4).

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#### 461 **Notes**

462 The authors declare no competing financial interest.

#### 463 **ACKNOWLEDGEMENTS**

464 This work was financially supported by the National Natural Science Foundation of  
465 China (No. 21876020), Youth Top-Notch Talent Program of Talent Project of  
466 Revitalizing Liaoning (No. XLYC1807250), National Key Research and  
467 Development Project (No. 2019YFA0705803), Key Project of Liaoning Natural  
468 Science Foundation (No. 20180510005), the Haitian Scholar Program from Dalian  
469 University of Technology, and the 111 Program of Introducing Talents of Discipline to  
470 Universities (No. B13012). We also thank Mr. Chunyi Sun for helpful discussions on

471 this work.

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