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## DNA methylation in the pathology of Alzheimer's disease: From gene to cognition

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#### Abstract

Alzheimer's disease (AD) is a debilitating disorder that manifests with AB plaque deposition, neurofibrillary tangles, and neuronal loss, leading to severe cognitive impairment. Although much effort has been made to decipher the pathogenesis of this disease, the mechanisms causing these detrimental outcomes still remain obscure. In past decades, neuroepigenetics has emerged as an important field that explores how reversible modifications can change gene expression to control behavior and cognitive abilities. Among epigenetic modifications, DNA methylation requires further elucidation for the conflicting observations from AD research and has attracted the most attention due to its pivotal role in learning and memory. In this review, we focus on the essential components of DNA methylation, the effects of aberrant methylation on gene expressions in the amyloidogenic pathway and neurochemical processes, as well as memory epigenetics in AD. 

#### Introduction

Alzheimer's disease (AD) is a neurodegenerative disorder characterized by neurofibrillary tangles (NFT), amyloid-beta (A $\beta$ ) plaques, and neuronal loss, leading to impaired memory and cognition <sup>1</sup>. Despite decades of research on the wide spectrum of pathologies in AD, we are still no closer to understanding the causes. Although some genes are known to increase the risk of AD, few causative genetic factors have so far been reported. The sporadic nature of AD was demonstrated in a study conducted on monozygotic twins, only one of whom was diagnosed with AD despite both sharing the same genetic code <sup>2</sup>. This finding further substantiates the role of non-genetic components in AD pathophysiology. Considering that manifestations of AD predominantly appear in aged subjects, this complex disease is likely contributed by environmental factors during the course of aging. Epigenetics has been regarded as the genetic response that<sup>3</sup>This suggests the involvement of epigenetics, which links environmental influences with altered gene expression and phenotype <sup>3</sup>. Indeed, collective studies in the field of neuroepigenetics have suggested an association between dysregulated epigenetic modifications and AD <sup>4</sup>. Among the epigenetic mechanisms, histone modifications are relatively more established in terms of their role in AD. Treatments based on histone deacetylase inhibition have shown promising progress in drug development 5. On the other hand, the observations of DNA methylation of disease target genes (see below) in AD is rather contradictory, and hence require an in-depth inspection to further dissect the molecular pathology of AD. In particular, DNA methylation has been extensively investigated regarding its association with aging, memory, cognition, and AD <sup>6-8</sup>. This review briefly discusses the mechanisms of DNA methylation. Next, we discuss the contribution of DNA methylation in AD pathology by outlining features across brain regions. Lastly, we examine how altered DNA methylation in the amyloidogenic pathway and neurochemical processes affect memory. 

#### Mechanism of DNA methylation 90

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3 DNA methylation refers to the covalent addition of methyl groups to the 5' position of 91 4 cytosines on DNA to produce 5-methylcytosine (5mC). This process takes place mainly in 92 6 genomic regions abundant in CpG dinucleotides called CpG islands, which are found in 8 93 9 10 94 both promotors and gene bodies <sup>4, 9, 10</sup>. DNA methylation in the promoter results in the 11 12 outward projection of the bulky additional methyl group, leading to spatial hindrance that 95 13 14 prevents the binding of RNA polymerase <sup>11</sup>. These structural changes perturb the 96 15 16 attachment of transcription factors to the transcription start site (TSS) suppressing gene 17 97 18 19 transcription. In contrast, methylation of CpG in gene bodies is reported to be associated 98 20 21 99 with transcription promotion <sup>1,11</sup>. In addition to its major roles in regulating gene expression, 22 23 24 100 the role of DNA methylation in directing cell differentiation has also been extensively 25 <sup>26</sup> 101 studied. It was previously believed that the DNA methylation profile remains static after 27 28 29<sup>102</sup>29 embryonic development <sup>12</sup>, but there is growing evidence that demonstrates the DNA 30 methylation pattern in the brain continues to change with age <sup>6</sup>. Studies have also 31 103 32 33 104 demonstrated that DNA methylation has essential roles in memory <sup>13, 14</sup>. Besides 34 <sup>35</sup> 36 105 mediating cognitive behavior, DNA methylation is also believed to be an integral 37 component in many neurodegenerative disorders such as Alzheimer's disease <sup>15, 16</sup>. The 38 106 39 40 107 underlying DNA methylation machinery consists mainly of a class of enzymes known as 41 42 43 108 DNA methyltransferases (DNMTs). These DNMTs generally catalyze the conjugation of 44 45 109 methyl groups to cytosines, but different subtypes have different roles in cellular processes. 46 47 110 The maintenance methyltransferase DNMT1 mediates the methylation of hemimethylated 48 <sup>49</sup>111 daughter strands during DNA replication to ensure conservation of the DNA methylation 50 51 52<sup>1</sup>112 pattern and to maintain DNA methylation already present in quiescent and post-mitotic 53 54 113 cells <sup>17</sup>. On the other hand, DNMT3A and 3B methyltransferases are responsible for *de* 55 <sup>56</sup> 114 novo DNA methylation <sup>2</sup>, which are directly counteracted by TET1 and GADD45B that 57 <sup>58</sup> 59 115 mediate DNA demethylation <sup>7, 18</sup>. Together, these molecular players control the dynamic 60 nature of DNA methylation. 116

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Characteristics of regional DNA methylation changes in AD brain 118

The intricate cytoarchitecture and complex structure of the brain allows the division of 119 labor among different brain regions. In the case of memory, the hippocampus is 120 <sup>10</sup> 121 responsible for memory formation, whereas a range of structures including the basal 11 12 13 122 amygdala and prefrontal cortex are responsible for memory consolidation and storage 14 processes <sup>19</sup>. This implies memory is influenced by complicated processes involving 15 123 16 17 124 various regions of the brain. However, whether these processes are mediated by DNA 18 20<sup>19</sup>125 methylation remains unclear <sup>20</sup>. Given the aforementioned observations that support DNA 21 <sub>22</sub> 126 methylation as a major mechanism involved in memory, the pathology behind memory loss 23 24 127 in AD might also be related to regional dysfunctions in the brain, as DNA methylation 25 <sup>26</sup> 128 27 changes in AD brain can differ from region to region.

30 <sup>31</sup> 130 In an attempt to find such patterns in AD, efforts have been made to map out DNA 32 33 33 34 131 methylation changes across brain regions. The DNA methylation status in the 35 hippocampus is of particular interest due to its established role in cognitive functions. 36 132 37 <sup>38</sup> 133 Chouliaras et al.<sup>21</sup> conducted an immunohistochemistry study of DNA methylation in the 39 40 41 134 hippocampus. They quantified the amount of 5mC staining in the CA1, CA3, and dentate 42 43 135 gyrus (DG), and further divided the results across neuronal and glial cells. Overall, the 44 45 136 hippocampus of AD patients had reduced levels of 5mC compared to the controls <sup>21</sup>. More 46 47 48 137 specifically, there was decreased 5mC in both cell types in CA1 and in glial cells in CA3<sup>21</sup>. 49 <sub>50</sub> 138 In agreement with Mastroeni et al. <sup>15</sup>, Chouliaras et al. also observed the DNA methylation 51 52 139 level was negatively correlated to both the amyloid plaque and NFT loads in the 53 <sup>54</sup> 140 hippocampus, which further validates the role of DNA methylation in AD pathology <sup>21</sup>. A 55 <sup>56</sup> 57 141 later study also reported global DNA hypomethylation accompanied by decreased DNMT1 58 59 1**42** and DNMT3Aa expression levels in the hippocampus from postmortem AD samples <sup>16</sup>. 60

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The hippocampus is not the only brain region exhibiting DNA methylation changes in AD. 144 1 2 3 145 Regions that are functionally or structurally connected to the hippocampus, such as the 4 entorhinal cortex, temporal cortex and frontal cortex, were also observed to have altered 146 147 DNA methylation in AD. Hypomethylation was found in the entorhinal cortex of AD patients 8 together with significantly lower levels of both 5mC and 5-methylcytidine compared to 10148 11 <sup>12</sup> 149 cognitively intact individuals <sup>15</sup>. The entorhinal cortex is spatially close to the hippocampus 13 14 15<sup>1</sup>150 and functionally connected to it by neuronal projections. It is involved in relaying 16 information between the hippocampus and other cortical and subcortical regions <sup>22</sup>. 17 151 18 <sup>19</sup> 152 Moreover, atrophy of the entorhinal cortex is one of the earliest structural changes in AD 20 21 22 153 that precedes atrophy of the hippocampus <sup>23</sup>. Considering its involvement in memory and 23 24 154 AD progression, it is not surprising that DNA methylation changes are observed in the 25 26 155 entorhinal cortex in AD. 27

The temporal cortex is a more general region of interest that encompasses both the 31 157 32 <sup>33</sup> 158 hippocampus and entorhinal cortex. In a study investigating DNA methylation differences 34 35 <sub>36</sub> 159 in postmortem brains of a pair of monozygotic twins with discordant AD status, they found 37 significantly decreased expression levels of DNA methylation markers in the anterior 38 160 39 <sup>40</sup> 161 temporal cortex of the twin suffering from AD compared to the control twin<sup>2</sup>. These 41 42 43 162 differences were not just in neurons, but also in astrocytes and microglia, which is 44 45 163 consistent with the findings in the hippocampus. On the contrary, there have also been 46 <sup>47</sup> 164 reports of DNA hypermethylation in the medial temporal gyrus in AD samples <sup>24</sup>. The 48 50<sup>165</sup> 49 reason behind such contradictory results is not entirely clear, but may be due in part to 51 <sub>52</sub> 166 slight differences in the sampled regions. Besides, it remains unclear whether the 53 54 167 hypomethylation in the hippocampus and entorhinal cortex extends to the whole temporal 55 <sup>56</sup> 168 57 cortex.

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> The frontal cortex is another region of interest, but the findings are less certain. On the one 170

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hand, DNA hypomethylation was observed in the superior frontal gyrus in AD<sup>2</sup>. However, 1 171 2 <sup>3</sup> 172 DNA methylation levels were increased in the medial frontal gyrus. The DNA methylation 4 5 173 level was positively correlated with AD pathology, as seen from parallel increases in DNA 6 methylation with NFT and Aβ loads <sup>24</sup>. The complexity of the DNA methylation changes in 174 8 9 10 175 the AD frontal cortex was investigated by a genome-wide methylation analysis. The result 11 <sup>12</sup> 176 revealed that DNA methylation changes occurred in both directions in a gene-specific 13 14 15<sup>1</sup>177 manner, i.e., hypermethylated genes were mainly related to the regulation of transcription 16 and gene expression, whereas hypomethylated genes were largely related to protein 17 178 18 <sup>19</sup> 179 metabolism and membrane transport <sup>25</sup>. Given the functional differences in these genes, it 20 21 22<sup>1</sup>180 is important to consider the genomic distribution of the DNA methylation changes, aside 23 24 181 from the regional features, in order to decipher the effects in AD (Table 1).

28 29 29 183 Alzheimer's disease encompasses a wide spectrum of pathologies and is thus too 30 complex a disease to limit the discussion of altered DNA methylation to any specific 31 184 32 <sup>33</sup> 185 disease pathway. Therefore, the following sections will examine the contribution of 34 <sup>35</sup> 36 186 aberrant DNA methylation in the pathological pathways and neurochemical processes in SCIO AD. 38 187

42 43 189 Genetic components in AD

#### <sub>45</sub> 190 Amyloidogenic pathway

47 191 The main etiological hypothesis of AD is the amyloidogenic pathway. This pathway 48 <sup>49</sup> 192 involves the cleavage of the amyloid precursor protein (APP) at two sites by  $\beta$ -secretase 50 51 52 193 and  $\gamma$ -secretase, respectively, resulting in the release of A $\beta$ 42, the principal deposit 53 54 194 observed Aß plagues in the brains of AD patients (see Figure 1). Accumulation of Aß 55 <sup>56</sup> 195 plaques is a prominent pathological hallmark of AD. Aberrant processing of the APP 57 <sup>58</sup> 59 196 protein is directly linked to the development of AD. Early studies observed increased APP 60 expression in familial Alzheimer's disease and Down's syndrome patients, which are both 197

caused by mutations of their genes on chromosome 21 <sup>26, 27</sup>. Coincidently, Down's 198 1 2 3 199 syndrome patients are predisposed to AD and tend to develop AD-like dementia in middle 4 5 age <sup>28</sup>. To establish age as a factor that influences the pathology of AD from an epigenetic 200 6 7 perspective, Tohgi et al. <sup>29</sup> compared the degree of methylation in the promoter regions of 201 8 9 10 202 APP in the cerebral cortex from aged (>70 years) and normal (<70 years) AD samples. 11 <sup>12</sup> 203 They found significant hypomethylation at APP promoter regions in the aged samples. In 13 14 15 204 line with this finding, another study assessed AD-related gene methylation in peripheral 16 blood leucocytes of diagnosed AD patients, which revealed decreased DNA methylation at 17 205 18 <sup>19</sup> 206 the APP promoter regions accompanied by upregulated APP transcripts <sup>30</sup>. West and 20 <sup>21</sup> 22 207 colleagues <sup>31</sup> detected hypomethylation in the CpG-rich promotor region of the APP gene 23 24 208 in AD temporal cortex. A later study found increased methylation within the exonic region 25 26 209 of APP <sup>32</sup>. Although the alterations may be in opposition, both hypomethylation and 27 <sup>28</sup> 29</sub>210 hypermethylation collectively pointed to increased APP expressions in AD. These results 30 <sub>31</sub> 211 suggest that CpG islands upstream of APP gene could be manipulated as a possible 32 33 212 therapeutic strategy. Nonetheless, whether DNA methylation of the promoter region of the 34 <sup>35</sup> 213 APP gene accurately reflects disease state remains controversial, as some recent studies 36 37 <sub>38</sub> 214 found no significant differences in the methylation state of normal control and AD patient 39 40 2 1 5 samples <sup>33, 34</sup>. Interestingly, the Aβ deposit itself was demonstrated to have an influence 41 <sup>42</sup> 216 on epigenetic regulation. Murine cerebral endothelial cells exposed to Aβ peptides showed 43 44 45 217 a significant reduction of the global DNA methylation level <sup>35</sup>. Such an observation was 46 also reported in an in vivo study. The McGill-Thy1-APP mouse model, a commonly used 47 218 48 <sup>49</sup>219 AD mouse model, displays early AB deposition in the subiculum that spreads into the 50 <sup>51</sup> 220 hippocampus and cortex <sup>36</sup>. Screening of global DNA methylation level in different brain 53 <sub>54</sub> 221 regions in this mouse model corresponded with the spatial pattern of AB pathology <sup>37</sup>. 55 56 222 Immunofluorescence co-labeling was used to compare 5mC levels in regions affected by 57 <sup>58</sup> 223 AB, which further confirmed a severe reduction of neurons compared to the total cell 59 60 224 population, implying that Aβ primarily acts on neurons to induce hypomethylation <sup>37</sup>. Taken

together, the above findings provide growing evidence to support the involvement of A<sup>β</sup> in 1 225 reducing global DNA methylation in the initial disease stage, and Aβ accumulation in later 226 stages then further promotes neuronal DNA demethylation. 227

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10 2 2 9 Besides upregulation of the APP gene, *BACE1*, the major form of  $\beta$ -secretase expressed 11 <sup>12</sup> 230 in the brain, was also shown to have a direct effect on A<sup>β</sup> deposition in the brain. Cortical 13 14 15 231 neurons cultured in BACE1-deficient medium successfully abolished Aß secretion. This 16 finding was further validated by an *in vivo* experiment, in which knockout of **BACE1** Bace1 17 232 18 <sup>19</sup> 233 in a mouse model overexpressing human APP significantly reduced Aß generation <sup>38</sup>. A 20 <sup>21</sup> 22 234 similar observation was reported in monkeys, in which infantile monkeys exposed to lead 23 24 **2**35 metal, a risk factor for AD, showed increased expression of BACE1 mRNA in the cortex 25 26 236 with age <sup>39</sup>. This was likely mediated by DNA hypomethylation, as the lead-exposed 27 <sup>28</sup> 237 animals also exhibited a 20% decrease in DNMT1 activity <sup>39</sup>. A recent genome-wide 30 <sub>31</sub> 238 screening study of enhancer DNA methylation in the prefrontal cortex of AD patients 32 33 2 3 9 revealed a hypomethylated **BACE1** BACE1 enhancer, which was associated with 34 <sup>35</sup> 240 increased **BACE1** <u>BACE1</u> expression and amyloid plaque deposition <sup>40</sup>. In line with these 36 37 <sub>38</sub> 241 results, a correlation study demonstrated hypomethylation in the bace1 BACE1 promotor, 39 with the methylation level of one CpG negatively correlated to the AB load, whereas the 40 2 4 2 41 <sup>42</sup> 243 methylation level of another CpG was correlated to the rate of cognitive decline in AD 43 44 45 **24**4 patients <sup>37</sup>. Such findings support the reduction of bace1 BACE1 gene methylation in AD 46 may possibly contribute to the accumulation of AB and severity of disease progression. 47 245 48 <sup>49</sup> 246 Presenilin 1 (psen1PSEN1), another gene of interest in AD pathology, encodes one of the 50 51 **247** 52 core proteins of y-secretase that is responsible for the final cleavage of A $\beta$  from APP. As 53 <sub>54</sub> 248 both enzymes work in concert, it would be of interest to investigate whether *psen1* shares 55 56 249 the same methylation changes as *bace1BACE1*. Indeed, candidate gene methylation 57 <sup>58</sup> 250 analysis revealed *PSEN1* gene exhibits the strongest disease-specific effect in late-onset 59 60 AD brains among all candidates, albeit the results should be interpreted with caution due 251

to high interindividual variance <sup>34</sup>. Given their integral roles in the resulting Aβ pathology, 252 1 2 3 253 these genes have attracted plenty of attention in transgenic AD rodent studies and disease 4 5 profiling studies. The AD-associated changes in DNA methylation can also be indicated by 254 6 7 anomalies in the metabolite levels of AD patients, as demonstrated by increases in plasma 255 8 9 10256 levels of homocysteine (HCY) and simultaneous decreases in serum levels of folate and 11 <sup>12</sup> 257 vitamin B12<sup>41-43</sup>. Recent studies on one-carbon metabolism indicated a more causal 13 14 15<sup>1</sup>258 relationship between DNA methylation and the expression of secretases leading to the 16 production of the neurotoxic Aβ42 and AD neuropathology <sup>44</sup>. One-carbon metabolism 17 259 18 <sup>19</sup> 260 consists of the folate and methionine cycles. The former process requires the intake of 20 <sup>21</sup> 22 261 folate for the transfer of a methyl group in the synthesis of methionine in the latter cycle 23 24 262 leading to the production of S-adenosylmethionine (SAM) (see Figure 2), which is an 25 26 2 6 3 essential component for sustaining normal DNA methylation by acting as the primary 27 <sup>28</sup> 29 264 methyl donor. The downstream molecules of SAM, S-adenosylhomocysteine (SAH) and 30 <sub>31</sub> 265 HCY, are potentially cytotoxic substances and are known DNMT inhibitors that have a 32 substantial effect on inducing hypomethylation <sup>45-48</sup>. Under normal conditions, SAM will 33 266 34 <sup>35</sup> 267 donate a methyl group to the substrate before transforming to SAH, which undergoes 37 <sub>38</sub> 268 hydrolysis to give HCY<sup>49</sup>, and SAM can then be regenerated from HCY via the formation 39 40 269 of methionine by transmethylation, a metabolic cycle that requires constant supply of folate 41 <sup>42</sup> 270 and vitamin B12. In a folate and vitamin B12-deficient environment, the imbalanced one-43 44 45 271 carbon metabolism leads to disturbed transformation of HCY and reduced SAM production, 46 which in turn affects downstream DNA methylation and increases amyloidogenesis 47 272 48 <sup>49</sup> 273 possibly through the upregulation of **BACE1** and **PSEN1** transcripts <sup>50, 51</sup>. 50 51 **27**4 Such dietary deficiency can significantly exacerbate A<sup>β</sup> pathology and cognitive deficits in 53 <sub>54</sub> 275 TgCRND8 mice, a mouse model that contains multiple familial AD mutations and is 55 characterized by early disease onset <sup>51, 52</sup>. Several components in one-carbon metabolism, 56276 57 <sup>58</sup> 277 such as methylenetetrahydrofolate reductase (MTHFR) and folic acid, have been shown to 59 60 278 be associated with late-onset AD. A mutation in the MTHFR gene and low dietary intake of

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vitamin B9 and B12 may delay the clearance of HCY and eventually results in elevated 279 1 levels of HCY in the plasma <sup>53</sup>. The above dietary deficiency was also found to lower the 280 activity of DNMTs, while at the same time enhancing the activity of DNA demethylase, 281 which may exert a large-scale effect on genomic regulation <sup>54</sup>. Clinically, a reduced level of 282 10283 SAM was detected in the cerebrospinal fluid of AD patients <sup>55</sup>, further strengthening the 11 <sup>12</sup> 284 significance of the methionine cycle on AD pathology. Ultimately, all these factors impede 13 14 15 285 DNA methylation, supporting the above observations of decreased DNA methylation in AD 16 brains. In line with these observations, promoting SAM levels by exogenous administration 17 **28**6 18 <sup>19</sup> 287 was demonstrated to restore the DNA methylation level of BACE1 and cognitive deficits in 20 21 - | 288 22 vivo, as well as normalize the transcript levels of both BACE1 and PSEN1 in vitro <sup>37, 50</sup>. 23 24 289 Such an intervention is believed to counteract the adverse effects of AB on global DNA 25 26 290 methylation status by replenishing the supply of substrates in the methionine cycle and 27 <sup>28</sup> 291 restoring DNA methylase/demethylase activity 54.

33 293 Apart from inducing widespread DNA hypomethylation, it was reported that A<sup>β</sup> deposition <sup>35</sup> 294 could also simultaneously cause hypermethylation with the subsequent downregulation of <sub>38</sub> 295 specific genes, which in turn favors the accumulation of amyloid plagues. Some prominent examples are neuronal sortilin-related receptor (SORL1) and neprilysin (NEP). 40 296 <sup>42</sup> 297 NeprilysinNEP is an Aß degrading protein (thought to be a major Aß degrading protease in 45 298 *vivo*) that enhances the clearance of amyloid plaque deposits via enzymatic cleavage, whereas SORL1 plays an essential role in directing the APP holoprotein to recycling 47 299 <sup>49</sup> 300 pathways, thereby inhibiting the production of neurotoxic A<sup>β</sup> <sup>56-58</sup>. DNA methylation of <sup>51</sup> 301 SORL1, along with several other gene loci (ABCA7, HLA-DRB5, SLC24A4 and BIN1), was <sub>54</sub> 302 found to be associated with AD pathology <sup>59</sup>, although whether Aβ has a causative role in 56 303 the methylation status of these genes remains unknown. Among these genes, the <sup>58</sup> 304 expression of SORL1 in AD has been the most characterized, with levels downregulated in sporadic AD patients 60, 61, whereas overexpression of SORL1 significantly reduced AB 305

production *in vitro* <sup>62</sup>. In one *in vitro* study, they showed that Aß indeed reduced the global 306 1 2 3 307 DNA methylation as aforementioned, but they also found that AB could induce 4 5 308 hypermethylation at <u>NEP Nep</u> promoter regions <sup>35</sup>. However, another study reported no 6 differences in NEP promoter methylation in post-mortem AD brains <sup>63</sup>. These conflicting 309 8 9 10310 results could possibly be due to the heterogeneity of the research methods (High-11 <sup>12</sup> 311 performance liquid chromatography versus pyrosequencing) or sampling (cultured cell 13 14 15 312 versus human sample). Modulation of NEP as a therapeutic target may be a promising 16 therapeutic strategy, as an *in vivo* study demonstrated the overexpression of NEP prior to 17 313 18 <sup>19</sup> 314 the onset of AB pathology partially restored memory deficits along with reducing amyloid 20 <sup>21</sup> 22 315 plaques in young transgenic AD mice <sup>64</sup>. Besides facilitating endosomal recycling of APP, 23 24 316 the clearance of toxic AB produced in the amyloidogenic pathway would be equally 25 <sup>26</sup> 317 important as a therapeutic strategy against AD. Considering transcriptions of the above 27 <sup>28</sup> 29</sub> 318 target genes were demonstrated to be governed by DNA methylation, more research 30 <sub>31</sub> 319 focusing on the methylation profile of genes responsible for APP production, processing, 32 33 320 and Aß clearance are warranted. 34

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37 <sub>38</sub> 322 In view of the above findings, it is plausible that the disruption of DNA methylation at 39 40 323 several checkpoints in the amyloidogenic pathway, possibly caused by an imbalance in 41 <sup>42</sup> 324 one-carbon metabolism, may contribute to the pathophysiology of AD. In addition to the 43 44 45 325 amyloid-related pathology, deficits in plasticity and memory-related genes are also equally 46 responsible for the disease manifestations in AD. Impairments in neurochemical processes 47 326 48 <sup>49</sup> 327 downstream of the Aβ-related pathology, such as neurogenesis <sup>65</sup>, cell survival <sup>66</sup>, and 50 <sup>51</sup> 328 synaptic plasticity <sup>67</sup>, have been consistently reported in AD (See Figure 3). In the 53 <sub>54</sub> 329 following sections, we will highlight the research on cellular components involved in 55 56 330 learning and memory and discuss their altered methylation status in the context of AD. 57

<sup>58</sup>331 59

60 332 Neurochemical processes Page 13 of 41

Genetic predisposition and epigenetic mechanisms have been consistently reported to 1 333 2 3 play critical roles in AD pathogenesis <sup>68-70</sup>. These observations were further substantiated 334 4 5 in the study by Mastroeni et al. <sup>15</sup>, which found reduced 5mC staining and decreased 335 6 7 expression of several DNA methylation factors, such as DNMT1 and MeCP1/MBD2 336 8 9 10337 complex, in the entorhinal cortex of AD post-mortem brains. Given the role of epigenetics 11 <sup>12</sup> 338 in global genomic regulation, the consequences of these epigenetic alterations in AD will 13 14 15 339 be rather diverse. Previous gene ontology studies reported hypermethylation in genes 16 associated with the cell cycle and Wnt signaling, both of which are essential in 17 340 18 <sup>19</sup> 341 neurophysiological processes that mediate cognitive functions, including neurogenesis 20 <sup>21</sup> 22 342 and synaptic plasticity <sup>71-73</sup>. Impaired neurogenesis was consistently reported in transgenic 23 AD mouse models, with the exceptions of a few mouse models harboring Thy1-APP<sub>SWE</sub> 24 343 25 26 344 and PDGF-APP<sub>SWE/Ind</sub> mutations 74. DNA methylation was found to be essential in 27 <sup>28</sup> 29 345 mediating neuronal proliferation, differentiation, and survival, as exposure to DNMT 30 <sub>31</sub> 346 inhibitor 5-aza-cytidine was able to disrupt neural stem cell migration and differentiation <sup>75</sup>. 32 33 347 Functions of the DNA methylation machinery were found to be specific to different 34 <sup>35</sup> 348 neurogenesis stages. For example, neuronal survival was demonstrated to be dependent 36 <sup>37</sup> 38 349 on DNMT1, as knockout of Dnmt1 in neural stem/precursor cells resulted in normal 39 40 350 proliferation and differentiation, but failure to reach maturation <sup>76</sup>. Genome-wide analysis 41 <sup>42</sup> 351 revealed DNMT3Aa promoted transcription of neurogenic genes by mediating methylation 43 44 45 352 on their corresponding non-proximal promoters, which was necessary for neuronal 46 differentiation <sup>77</sup>. Growing evidence points to reduced efficacy of DNA methylation in AD, 47 353 48 <sup>49</sup> 354 as reflected by downregulated DNMTs and reduced levels of 5mC in the entorhinal cortex 50 <sup>51</sup> 52 355 and hippocampus of AD brains <sup>15, 16, 21</sup>. Therefore, altered DNA methylation may be a 53 <sub>54</sub> 356 potential mediator of impaired neurogenesis in AD. Moreover, Dickey et al. 78 55 demonstrated a partially causative role of A<sup>β</sup> deposits on synaptic dysfunction in AD. They 56 357 57 <sup>58</sup> 358 observed a consistent downregulation of genes involved in long-term potentiation (LTP), 59 60 359 such as early growth response protein 1 (Egr1) and activity-regulated cytoskeleton-

associated protein (Arc), in amyloid-containing brain regions of APPxPS1 transgenic 360 1 2 3 mouse. Although there was relatively intact synapse structure, as indicated by the normal 361 4 5 expressions of genes responsible for presynaptic vesicle transport, this study still provided 362 6 7 evidence to support impaired synaptic plasticity in AD. The Egr1 and Arc genes are 363 8 9 10364 categorized as immediate early genes (IEGs), which have a wide spectrum of functions in 11 <sup>12</sup> 365 the neural circuit due to their rapid and transient nature. Specifically, these two genes are 13 14 15 366 needed for synaptic plasticity, a process that precedes memory formation <sup>79</sup>. The 16 consequence of Egr1 downregulation is thought to be manyfold, as it is an essential 17 367 18 <sup>19</sup> 368 transcription factor. Deletion of Egr1 was reported to induce long-term memory and LTP 20 <sup>21</sup> 22 369 deficits <sup>80</sup>. Several studies showed that *Egr1* has the ability to coordinate neurochemical 23 24 370 processes through regulating expressions of genes involved in synaptic architecture, 25 26 371 neurotransmitter release, and protein trafficking <sup>81, 82</sup>. Recently, it was found that Egr1 27 <sup>28</sup> 29</sub> 372 works in concert with TET1 to facilitate neuronal activity-induced demethylation and 30 subsequent downstream gene transcription<sup>83</sup>, which further demonstrates the ability of <sub>31</sub> 373 32 33 374 Eqr1 to orchestrate gene expression. Although this finding at first appears to be 34 <sup>35</sup> 375 contradictory to the DNA hypomethylation observed in AD, several preclinical and clinical 36 37 <sub>38</sub> 376 models also reported controversial results regarding the expression level of Egr1. 39 40 377 Upregulation and gradual reduction of Egr1 transcript levels were detected in post-mortem 41 <sup>42</sup> 378 brains of patients in early and late stages of AD, respectively<sup>84</sup>. Whether the upregulation 43 44 45 379 of Eqr1 transcripts promotes TET1-mediated demethylation to pave the way for global 46 hypomethylation in AD requires further exploration. As an IEG and an essential protein in 47 380 48 <sup>49</sup> 381 cognitive functions, Arc facilitates the association between environmental cues and spatial 50 <sup>51</sup> 382 learning<sup>85,86</sup>. Generally, Arc is used as a marker for locating synaptic activation given its 53 <sub>54</sub> 383 rapid expression upon neuronal activity <sup>79, 87</sup>. Despite its well-documented role in the 55 induction of early-phase LTP via regulating AMPA receptor endocytosis and in mediating 56 384 57 <sup>58</sup> 385 synaptic plasticity, the absence of Arc abolished the transition from early-phase to late-59 60 phase LTP <sup>88, 89</sup>. As the initiation and maintenance of late-phase LTP requires gene 386

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387 transcription <sup>90</sup>, this implies that *Arc* may be involved in transcription regulation. Indeed, 1 2 3 388 RNA-sequencing combined with gene ontology revealed Arc has an inhibitory effect on 4 5 389 Aβ-related processes and NFT <sup>91</sup>. Besides, *Arc* is also thought to have significant effects 6 7 on the expression of AD susceptibility genes. The absence of Arc expression was 390 8 9 associated with the downregulation of genes highly implicated in memory such as brain-10391 11 <sup>12</sup> 392 derived neurotrophic factor (Bdnf) and calcium/calmodulin dependent protein kinase IV 13 14 15 393 (Camkiv); genes associated with tau protein pathology such as Neuronal PAS domain 16 protein 4 (Npas4) and dual specificity tyrosine-phosphorylation regulated kinase 2 (Dyrk2); 17 394 18 <sup>19</sup> 395 and genes alleviating A<sub>β</sub> pathology such as Integral membrane protein 2B (Itm2b|TM2B) 20 22 396 21 and LDL receptor related protein 1 (LRP1Lrp1) 91. These findings further support the 23 24 397 essential roles of Arc, as well as consequences of a dysregulated genomic network in AD. 25 26 398 In spite of its apparent importance, studies of *Arc* methylation in AD are surprisingly scarce. 27 <sup>28</sup> 29</sub> 399 Clinically, hippocampal CA1 neurons bearing NFT have more than a three-fold decrease in 30 31 400 Arc expression in AD <sup>92</sup>. Interestingly, another study reported upregulated Arc expression 32 in the medial frontal cortex in post-mortem AD patients <sup>93</sup>, which indicates possible 33 401 34 <sup>35</sup> 402 temporal differences in Arc expressions in AD. However, what mediates such changes is 36 37 <sub>38</sub> 403 unclear, and it would be of interest to determine if DNA methylation plays a role. The 39 methylation status of Arc in cognition has been studied in relatively more detail. Regulation 40 404 41 <sup>42</sup> 405 of Arc by DNA methylation was reported in aged mice, in which aberrant methylation of 43 44 45 406 Arc in hippocampal subregions led to decreased Arc mRNA and following depressive-like 46 behavior, with corresponding deficits in spatial memory in behavioral tasks <sup>94</sup>. The 47 407 48 49 408 presence of Arc appears to be indispensable in mediating memory functions, and 50 <sup>51</sup> 409 knockdown of Arc in the lateral amygdala, hippocampus, or anterior cingulate cortex 52 53 <sub>54</sub> 410 impaired long-term memory <sup>95-97</sup>. The dynamics of Arc expression was shown to be 55 regulated by time-dependent DNA methylation and demethylation orchestrated by 56 4 1 1 57 <sup>58</sup> 412 DNMT3A and Gadd45y, respectively, and the latter was required for long-term memory 59 60 consolidation <sup>98</sup>. In a study by Wu et al. <sup>93</sup> using cultured neurons and transgenic  $APP_{SWE}$ ; 413

414  $PS1\Delta$  E9 mice that lack the expression of Arc, they confirmed that Arc facilitated A $\beta$  plague <sup>3</sup> 415 formation and deposition via binding to PS1 in vitro and in vivo. In line with the above 416 result, Arc was also found to be upregulated in close proximity to the amyloid plague, further reinforcing its association with the pathophysiology of AD <sup>99</sup>. However, some *in vivo* 417 10418 research employing transgenic mouse lines have reported controversial findings on Arc, 11 <sup>12</sup>419 with upregulation of Arc in some studies <sup>93, 100</sup> and reduced Arc transcripts in other studies 13 14 15 420 <sup>101</sup>. These inconsistent observations on the role of *Arc* in AD-associated pathology could 16 be attributed to differences in methodologies employed in individual studies, such as 17 421 18 <sup>19</sup> 422 biochemical assays, strains of transgenic animals, and the disease stage represented by 20 21 --22 423 different animal models. Nonetheless, although the tight association between these two 23 24 424 IEGs and DNA methylation has consistently been shown to play a critical role in memory, 25 26 4 2 5 more investigations are warranted to examine the disease-modifying nature of these IEGs 27 <sup>28</sup> 29</sub>426 in AD.

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Besides these IEGs, BDNF has also recently gained attention as a potential therapeutic 33 428 34 <sup>35</sup> 429 target in AD. As a member of the neurotrophin family, BDNF binds to tropomyosin-related 36 37 <sub>38</sub> 430 kinase receptor type B (TrkB) to promote neuronal survival and mediate several essential 39 functions including dendritic spine modulation, LTP, and synaptic plasticity. The BDNF 40 4 3 1 41 <sup>42</sup> 432 protein is highly expressed in the hippocampus and cortex following excitatory signals, 43 44 45</sub>433 where it promotes survival of neurons, such as cholinergic neurons, which is highly 46 implicated in AD <sup>102</sup>. The importance of BDNF-TrkB has been well documented. Chronic 47 434 48 <sup>49</sup> 435 exogenous BDNF delivery in the hippocampus significantly promoted neurogenesis in the 50 <sup>51</sup> 436 granule cell layer <sup>103</sup>, whereas mutant mouse expressing a truncated form of *Bdnf* mRNA 53 <sub>54</sub> 437 exhibited deficits in the differentiation of new neurons in the subgranular zone <sup>104</sup>. In AD, 55 56 4 38 gradual synapse loss affects brain areas essential to memory such as the entorhinal 57 <sup>58</sup> 439 cortex and hippocampus <sup>105, 106</sup>, and deficits in BDNF levels have also been reported in 59 60 these regions <sup>107-109</sup>. Replenishing BDNF in the entorhinal cortex showed promising results 440

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in restoring synaptic markers in AD transgenic animal models, indicating a potential 1 441 2 3 reversal of synapse loss induced by Aβ and tau-related pathologies <sup>110, 111</sup>. However, such 442 4 5 a therapeutic effect is thought to act independently of APP and tau protein phosphorylation. 443 6 7 localized BDNF delivery did not affect amyloid plaque deposition or tau 444 as 8 9 10 4 4 5 hyperphosphorylation level <sup>110, 111</sup>. This indicates that BDNF may be a viable therapeutic 11 <sup>12</sup>446 target of AD. Moreover, emerging evidence suggests that the diverse functions of BDNF 13 14 15<sup>447</sup> and its modulation through epigenetic modifications make it an integral candidate for 16 research in various neurological and psychiatric disorders <sup>112</sup>. Specifically, the temporal-17 448 18 <sup>19</sup>449 spatial regulation of **BDNF**-Bdnf relies on the differential methylation of various promoters 20 21 -22 450 of *Bdnf* exons in response to distinct stimuli <sup>113</sup>. Such precise *Bdnf* exon-specific 23 24 451 transcription is orchestrated by a series of transcription factors, including cyclic-AMP 25 26 4 5 2 response element binding protein (CREB), NPAS4, and methyl-CpG binding protein 2 27 <sup>28</sup> 29</sub>453 (MeCP2) <sup>114</sup>. Notably, MeCP2 was demonstrated to act as a constraint by repressing Bdnf 30 <sub>31</sub> 454 exon IV expression via binding at the promoter regions. Such constraint can be relieved by 32 33 455 neuronal activity-dependent phosphorylation at Ser421 and 424 leading to the dissociation 34 <sup>35</sup> 456 of MeCP2 from the promoter <sup>115, 116</sup>. Recent studies on epigenetic modifications in AD 36 37 <sub>38</sub> 457 animal models with AB and tau-related pathologies showed upregulated MeCP2 levels in 39 40 458 the hippocampus <sup>117, 118</sup>. Although an increased level of phosphorylated MeCP2 (pMeCP2) 41 <sup>42</sup> 459 was simultaneously observed in these AD animals, the phosphorylated site was reported 43 44 45 460 to be at Ser80, whereas pSer421 remained unchanged <sup>118</sup>. Hence, it is possible that Aß 46 and tau-related pathology work in concert to suppress *Bdnf* expression, which disrupts 47 461 48 <sup>49</sup> 462 normal synaptic functions, resulting in synaptic impairment and neuronal loss. In line with 50 <sup>51</sup> 463 the above results, multiple studies on the methylation of **BDNF** BDNF gene in human AD 53 <sub>54</sub> 4<mark>6</mark>4 samples showed the promoter of **BDNF**-BDNF was hypermethylated in the hippocampus. 55 56465 temporal and frontal cortex, and was accompanied by reductions in **BDNF** BDNF mRNA 57 <sup>58</sup> 466 and protein levels in these regions <sup>102, 119-121</sup>. Notably, the increase in methylation was 59 60 467 positively correlated to the duration of illness, but negatively correlated to recall ability <sup>120</sup>.

The possibility of using the methylation status of peripheral blood BDNF as a marker to 468 1 2 3 469 evaluate the risk of AD was also assessed, as AD patients exhibited higher levels of CpG 4 5 470 methylation in the promoter regions of BDNF<sup>122</sup>. On the other hand, the sustainability of 6 7 activity-dependent Bdnf transcription appears to require the binding of NPAS4, as 471 8 9 disruption of NPAS4 function reduced *Bdnf* promoter IV transcription <sup>123</sup>. Apart from 10472 11 <sup>12</sup> 473 regulating Bdnf transcription, NPAS4 was demonstrated to control the balance of 13 14 15 474 excitatory and inhibitory synapses <sup>123</sup>. Interestingly, APP overexpression, but not AB 16 plaque deposition, induced hyperexcitability characterized by the presence of sharp wave 17 475 18 <sup>19</sup>476 discharges observed in the electroencephalogram along with elevated GABAergic 20 21 -' 477 22 477 innervation and decreased glutamatergic innervation, thus altering the balance between 23 24 478 excitatory and inhibitory neurotransmission <sup>124</sup>. These outcomes were thought to be 25 26 4 7 9 mediated by NPAS4, as genetically silencing NPAS4 Npas4 downregulated GABAA 27 <sup>28</sup> 29</sub>480 receptor alpha 1, a phenotype observed in APP-deficient cultured neurons <sup>125</sup>. Reduced 30 <sub>31</sub> 4**8**1 expression of NPAS4 Npas4 mRNA and attenuated LTP were detected in McGill Thy1-32 33 482 APP transgenic AD mouse model <sup>126</sup>, suggesting possible NPAS4-mediated transcription 34 <sup>35</sup> 483 deficits in AD. Intriguingly, NPAS4 was not only found to be involved in the pathology 36 37 <sub>38</sub> 484 downstream of APP generation, but also played a critical role in clearance of endogenous 39 40 485 tau protein. Recently, a tau clearance pathway was identified that was mediated by 41 <sup>42</sup> 486 autophagy and facilitated by the overexpression of NPAS4NPAS4, which resulted in an 43 44 45 487 overall decrease in tau and phosphorylated tau protein levels <sup>127</sup>. Despite NPAS4 being a 46 potentially promising therapeutic target in AD, there is still insufficient information on its 47 488 48 <sup>49</sup>489 regulation by DNA methylation. There has been only one preclinical study that showed 50 <sup>51</sup> 52</sub> 490 modulation of *Npas4* transcription by DNA methylation <sup>128</sup>. Although this study was 53 <sub>54</sub> 491 conducted in a stress animal model, it still provides evidence from an epigenetic 55 perspective for NPAS4 as a possible target. Another molecule involved in tau protein 56 4 9 2 57 <sup>58</sup> 493 pathology is glycogen synthase kinase 3ß (GSK3ß). The hyperphosphorylation of tau 59 60 494 protein by GSK3β facilitates the formation of tangle-like filaments that constitute NFT <sup>129</sup>. A

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1 495 further study identified hypomethylation of GSK3ß in an in vitro experiment mimicking AD-2 3 496 related pathology and in post-mortem AD samples <sup>130</sup>. Intriguingly, only patients in the 4 5 497 initial disease stage exhibited GSK3<sup>β</sup> upregulation <sup>130</sup>. A memory-enhancing gene, reelin 6 7 498 (RELN), has also been highly implicated in AD. It was shown to bind apolipoprotein E 8 9 10 4 9 9 receptor 2 (ApoER2) or very-low-density liporeceptor to facilitate migration of neurons and 11 <sup>12</sup> 500 synaptic transmission <sup>131, 132</sup>. The expression of *reelin <u>Reln</u>* was found to be regulated by 13 14 15<sup>1</sup>501 DNA methylation, as upregulated *reelin* Reln mRNA expression was accompanied by a 16 decreased methylated promoter region in contextual fear conditioning <sup>14</sup>. The methylation 17 502 18 <sup>19</sup> 503 status of *reelin* Reln could also be modulated by exogenous supplements of components 20 21 -22 504 involved in one-carbon metabolism. Chronic administration of high-dose L-methionine 23 induced hypermethylation in the promoter region of reelin and produced a disease state 24 505 25 26 5 0 6 that mimicked schizophrenia <sup>133</sup>. Collectively, transcriptional activation of reelin Reln was 27 <sup>28</sup> 507 beneficial to cognitive ability and neurotransmission. In AD, downregulation of reelin-Reln 30 <sub>31</sub> 508 was associated with increased tau phosphorylation and accelerated A<sup>β</sup> plaque deposition 32 33 509 <sup>134, 135</sup>. Surprisingly, recent studies demonstrated a possible compensatory mechanism 34 <sup>35</sup> 510 that upregulated reelin <u>Reln</u> expression after Aβ-induced disruption of reelin <u>RELN</u> 36 37 <sub>38</sub> 511 conformation impaired its activity and compromised reelinRELN-ApoER2 signaling <sup>136, 137</sup>. 39 40 5 1 2 However, such a compensatory increase in reelin RELN level did not appear to be 41 <sup>42</sup> 513 mediated by DNA methylation, as the promoter methylation remained unchanged in both 43 44 45 514 Aß-treated neuroblastoma cell line and frontal cortical genomic DNA extracts from AD 46 patients <sup>136</sup>. In view of this finding, it is likely that other epigenetic mechanisms, for 47 5 15 48 <sup>49</sup> 516 example histone modifications, contribute to the observed elevation of RELN, as histone 50 <sup>51</sup> 517 acetylation was shown to be involved in synaptic plasticity and memory <sup>138</sup>. Besides its 53 <sub>54</sub> 518 interaction with AB, reelin-RELN was also found to be negatively associated with the 55 progression of the NFT pathology <sup>139</sup>. These results support the increase of reelin-RELN 56519 57 <sup>58</sup> 520 activity as a potential therapeutic strategy against AD. Indeed, reelin-RELN was able to 59 60 rescue Aβ-induced attenuation of LTP and NMDA receptor current through downstream 521

signaling cascade activation of ApoER2 <sup>140</sup>. Given that APP/Aβ alters global DNA
methylation, more investigations identifying gene expressions that are affected by AD
pathology will prove to be valuable in understanding AD pathogenesis.

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10 <sup>11</sup> 526 On the other hand, because of its capacity for sustained alterations and widespread 12 13 14 527 control over gene expressions, DNA methylation is regarded as a critical mechanism that 15 16 528 induces and maintains changes in synaptic plasticity required for memory formation by 17 18 529 repressing genes that suppress memory<sup>8</sup>. As DNMT activity tightly regulates DNA 19 <sup>20</sup> 530 methylation, several studies have examined DNMT in association with memory formation. 21 22 It has long been established that gene transcription is essential to induce synaptic <sub>23</sub> 531 24 plasticity, a process that builds the foundations of memory <sup>90</sup>. Indeed, DNA methylation 25 532 26 <sup>27</sup> 533 28 was shown to play a major role in this important neurophysiological process. Levenson et 29 <sub>30</sub>534 al. <sup>141</sup> demonstrated DNMT activity was necessary for inducing long-term potentiation in 31 the hippocampus. Miller and Sweatt [30] investigated changes in DNMT expression levels 32 5 35 33 <sup>34</sup> 536 in rats following contextual fear conditioning by directly examining the genes involved in 35 <sup>36</sup> 37 537 memory formation associated with aversive stimuli and environmental cues. They found 38 increased mRNA levels of DNMT3A Dnmt3a and 3b3B (DNMTs that are responsible for de 39 5 38 40 41 5 3 9 novo methylation) in the hippocampal CA1 region shortly after the training session <sup>14</sup>. The 42 43 44 540 functional contribution of DNMTs to memory formation was further substantiated in animal 45 <sub>46</sub> 541 studies. Immediately after conditioning, DNMT activity in the brain was suppressed by 47 DNMT inhibitors, resulting in less freezing behavior, which is indicative of disrupted 48 5 4 2 49 <sup>50</sup> 543 memory consolidation <sup>13, 14</sup>. This revealed a tight link between the DNA methylation 51 <sup>52</sup> 53 544 machinery and memory. Other studies identified several genes that exerted significant 54 55 545 effects on mediating memory formation. Based on their activity during the course of 56 <sup>57</sup> 546 memory consolidation, they were categorized into memory-enhancing or memory-58 <sup>59</sup> 60 547 suppressing genes. As memory loss is a key symptom of AD, it is possible that these 548 memory defects are due to abnormalities in the DNA methylation machinery in AD brain. A

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study using both nuclear and cytoplasmic staining to assess the level of DNA methylation 1 549 2 <sup>3</sup> 550 in the entorhinal cortex of AD samples revealed markedly reduced immunoreactivity of 4 5 DNA methylation markers in AD <sup>15</sup>, which was accompanied by decreased 551 6 immunoreactivity of not only DNMT1, but also components of the DNA methylation 552 8 9 10 5 5 3 stabilizing complex (including MTA2, HDAC1, HDAC2, p66α, RbAp48, and MBD2/3)<sup>15</sup>. 11 <sup>12</sup> 554 Moreover, the immunoreactivity of DNA methylation markers was inversely related to that 13 14 15<sup>1</sup>555 of late-stage NFT markers, which suggests the decreased DNA methylation in AD may 16 contribute to the dysregulation of these pathological markers <sup>15</sup>. Altogether, this result 17 556 18 <sup>19</sup> 557 confirms a decreased DNMT level alongside DNA methylation level in AD brain, further 20 22<sup>-1</sup>558 21 hinting at a relationship between reduced DNA methylation and neurodegeneration. Such 23 alterations may lead to a disruption in the balance between memory-enhancing or 24 559 25 26 5 6 0 memory-suppressing genes, and disruption of essential memory formation processes. 27

<sub>31</sub> 562 These current perspectives on the neurobiology of memory have led to the hypothetical 33 563 classification of memory-enhancing and memory-suppressing genes that are regulated by <sup>35</sup> 564 DNA methylation to facilitate memory formation<sup>8, 14</sup>. Memory-suppressing genes are <sub>38</sub> 565 assumed to be transcriptionally repressed to facilitate memory formation<sup>8</sup>. Calcineurin 40 5 6 6 (CaALNN), a calcium/calmodulin-dependent phosphatase, and its downstream molecule, <sup>42</sup> 567 protein phosphatase 1 (PP1) were first studied using genetic inhibition methods, which 44 45 568 established them to function as memory constraints. These constraints were able to be reversed using a conditional knock-out animal model <sup>142, 143</sup>. Such observations suggested 47 569 <sup>49</sup> 570 a dynamic and reversible regulatory mechanism was involved in governing the expression <sup>51</sup> 52 571 of the aforementioned genes to facilitate memory formation. Recent neuroepigenetic <sub>54</sub> 572 studies that extensively characterized the expressions of these genes in different stages of 56 5 7 3 memory support this notion, as *PpP1* was significantly downregulated with increased DNA <sup>58</sup> 574 methylation after contextual fear conditioning <sup>14</sup>. A study targeting *CaalnN* in the anterior cingulate cortex in rats revealed sustained hypermethylation at the promoter regions for 30 575

days after receiving contextual fear conditioning <sup>13</sup>. The persistent downregulation of 576 1 2 3 577 CalnaN mRNA expression was thought to promote remote memory maintenance, as 4 5 infusion of DNMT inhibitor at the memory maintenance phase disrupted retrieval of fear 578 6 7 memory <sup>13</sup>. Indeed, this finding is in agreement with previous report demonstrating 579 8 9 10580 overexpressed CalnaN could impair the transition from short-term to long-term memory <sup>144</sup>. 11 <sup>12</sup> 581 As a key enzyme in modulating the intracellular calcium level, CALNaN also serves as a 13 14 <sub>15</sub> 582 master regulator in several cellular processes that resemble pathological hallmarks of AD. 16 such as Bcl2-associated death protein (BAD)-dependent apoptosis <sup>145</sup>, PP1-dependent 17 583 18 <sup>19</sup> 584 long-term depression (LTD) <sup>146</sup>, and GSK3β-mediated tau phosphorylation <sup>147</sup>, through 20 21 21 585 dephosphorylation of its downstream effectors. Given that calcium homeostasis is 23 24 586 impaired due to AB-related pathology and mitochondrial dysfunction in AD <sup>148</sup>, it is 25 26 5 87 plausible to suggest that dysregulated CALNaN signaling plays a critical role in AD 27 <sup>28</sup> 588 pathology. Indeed, administration of CALNaN inhibitor FK506 restored Aβ-induced evoked 30 <sub>31</sub> 589 LTP and dendritic spine deficits <sup>149</sup>. Treatment with FK506 in vivo partially normalized 32 33 590 neurite structural anomalies indicative of elevated calcium and neuronal stress <sup>150</sup>. Another 34 <sup>35</sup> 591 study employing Tg2576 mouse model, which exhibits Aβ overexpression and 36 37 <sub>38</sub> 592 hippocampal-dependent memory deficits, used FK506 treatment to restore memory 39 deficits due to fear conditioning paradigm and also normalized pCREB levels, which 40 593 41 <sup>42</sup> 594 further validates the therapeutic targeting of CALNaN<sup>151</sup>. Collectively, these results 43 44 45 595 confirm the role of CALNaN as a mediator of AB-related pathology. Moreover, the 46 interaction between CALNaN and tau protein has been recently investigated. Although it is 47 596 48 <sup>49</sup> 597 one of the phosphatases that can dephosphorylate tau protein, a post-mortem study 50 <sup>51</sup> 598 surprisingly revealed a positive correlation between CALNaN activity and the level of tau 53 <sub>54</sub> 599 phosphorylation <sup>152</sup>. This finding is in line with the hypothesis that GSK3β acts as a 55 downstream mediator of tau protein hyperphosphorylation <sup>147, 153</sup>. However, the 56 600 57 <sup>58</sup> 601 contribution of CALNaN in hyperphosphorylated tau still remains controversial, as there is 59 60 602 evidence demonstrating that reduced CALNaN activity may be responsible for

hyperphosphorylation of tau protein <sup>154</sup>. Clinically, AD patients were shown to exhibit 1 603 2 3 604 elevated nuclear levels of CALNaN that was directly correlated with the severity of the 4 5 605 dementia progression <sup>155</sup>. The downstream substrate of CALNaN, phosphatase PP1, was 6 7 processes. 606 have significant involvement in cognitive facilitating shown to 8 9 10 607 dephosphorylation and subsequent inactivation of CREB<sup>156</sup>. Besides its nuclear 11 <sup>12</sup> 608 substrates, PP1 could also dephosphorylate NMDA and AMPA receptors through 14 <sub>15</sub> 609 physically associating with these glutamate receptors, contributing to attenuated synaptic 16 transmission <sup>157, 158</sup>. Interestingly, an *in vitro* study showed cells incubated with Aβ peptide 17 610 18 <sup>19</sup>611 had a dose-dependent inhibitory effect on PP1 activity that was exacerbated by AB 20 <sup>21</sup> 226**12** aggregation <sup>159</sup>. The mRNA level of *PpP1* was also found to be significantly reduced in 23 24 613 NFT-bearing neurons in AD patients <sup>92</sup>. As a phosphatase that can dephosphorylate Tau 25 <sup>26</sup>614 protein, reduced PP1 expression could contribute to Tau hyperphosphorylation and 27 <sup>28</sup> 29</sub>615 subsequent NFT formation <sup>160</sup>. Although DNA methylation appears to be an effective 30 <sub>31</sub> 616 regulatory mechanism for CalnaN and PpP1 in learning and memory, there is a lack of 32 33 617 data that directly shows a link between global DNA hypomethylation and altered 34  ${}^{35}_{36}618$ phosphatase expression in AD. Emerging evidence supports that modulation of DNA 37 <sub>38</sub> 619 methylation may restore age-dependent cognitive deficits and alleviate AD progression. 39 40 6 2 0 Exogenous S-adenosylmethionine supplement and *Dnmt3a2* overexpression have shown 41 <sup>42</sup> 621 promising effects in counteracting A $\beta$ -induced cognitive deficits and age-related 43 44 45<sup>44</sup>622 impairment, respectively <sup>37, 161</sup>. Whether changes in the expression and activity of these 46 memory-suppressing enzymes are mediated via DNA methylation has not been clarified. 47 623 48 <sup>49</sup> 624 Nonetheless, considering the resemblance of the outcomes produced by dysregulated 50 <sup>51</sup> 52 625 phosphatase and the observations in AD models and AD pathogenesis, further studies 53 <sub>54</sub> 626 detailing the interplay between these genes and AD will be required to develop therapeutic 55 56 627 strategies for AD pathology. 57

- <sup>58</sup> 628 59
- <sup>60</sup> 629 **Conclusion**

In this review, we have presented several lines of evidence elucidating the role of aberrant 630 1 2 3 631 DNA methylation in activating disease genes contributing to A<sup>β</sup> and tau protein-related 4 5 pathologies in AD, as well as in disrupting genes encoding components essential to 632 6 7 normal brain physiology. As a complex and multifactorial disease, AD has been 633 8 9 10634 demonstrated to manifest with heterogeneous pathologies. The complexity of AD etiology 11 <sup>12</sup> 635 13 lies in the contributions from both genetic and environmental factors. Extensive research 14 <sub>15</sub> 636 has been conducted to clarify the underlying mechanisms of AD, but the conflicting results 16 and unsuccessful clinical trials of pharmaceutical interventions has meant we are no closer 17637 18 <sup>19</sup>638 to finding an effective treatment. Clinically, interindividual variations exist in post-mortem 20 21 - 639 AD samples. Although DNA methylation in post-mortem samples was shown to be stable 23 24 640 for up to 72 h<sup>162</sup>, different rates of sample degradation during the interval between the 25 26 641 patient's death and sample collection still remains a concern. Furthermore, the use of 27 <sup>28</sup> 29 642 transgenic animals to mimic AD pathogenesis, despite being well established, still do not 30 <sub>31</sub> 643 replicate all AD pathologies, let alone an epigenetic profile resembling that of AD patients. 32 33 644 These limitations may, in part, contribute to the inconsistencies observed in preclinical and 34 <sup>35</sup> 645 clinical findings. DNA methylation is a growing field that provides a new perspective on the 36 37 <sub>38</sub> 646 physiological and behavioral changes caused by this neurodegenerative disorder. Given 39 40 647 that APP/AB is known to modulate the DNA methylation status and subsequent 41 <sup>42</sup> 648 neurochemical processing of certain genes, restoring the defective one-carbon metabolism 43 44 45<sup>44</sup>649 or using pharmaceutical interventions that suppress selective pathogenic genes might be 46 a promising strategy against AD. However, there are still huge research gaps regarding 47 650 48 the DNA methylation status of confirmed risk gene loci <sup>163</sup>. Future research focused on <sup>49</sup> 651 50 <sup>51</sup> 52 652 elucidating the epigenetic status of these candidate genes will likely provide more 53 <sub>54</sub> 653 information on disease development and will play a critical role in screening for therapeutic 55 56654 options. 57

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15 ° 16	,05	
17 6 18	64	All authors declare no conflicts of interest
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42 43	33	Legend:							
44 45 46 47 48 49 50 51 52 53 54 55	34	Figure 1. Altered DNA methylation in the various checkpoints of the amyloidogenic							
	35	pathway. APP is a transmembrane glycoprotein that undergoes two different pathways to							
	36	produce either a non-toxic cleaved P3 protein (non-amyloidogenic pathway) or a toxic A $\beta$							
	37	components of the amyleidegenic pathway with these shown to be medulated by DNA							
	20	mothylation highlighted in red. In the amyleidegenic nathway, APP is first cleaved by R							
56 57 58	39 40	secretase encoded by BACE1 to produce sAPPB and CTEB. The CTEB undergoes a							
59 60	<del>4</del> 0 Д1	second cleavage by v-secretase encoded by PSEN1 to generate AR. The resulting AR							
	41	second sleavage by a secondate choosed by a deliver to generate Ap. The resulting Ap							
	42	undergoes either NEP-mediated cleavage or aggregation to form A $\beta$ plaques. The genes http://www.nyas.org/forthcoming							

transcribing these essential checkpoint molecules have been demonstrated to exhibit hypomethylation at the promoter regions in AD animal models or patients. On the other hand, the non-amyloidogenic pathway prevents the accumulation of toxic AB by  $\alpha$ -secretase cleavage of APP to produce non-toxic P3. The inability to effectively redistribute APP is considered one of the factors of Aβ-related pathology. Another mechanism that avoids over-processing APP is via SORL1-mediated endosomal recycling and degradation of APP. This protective process is thought to be impaired in AD patients, as downregulation of SORL1 is observed in clinical cases. 

APP, amyloid precursor protein; sAPP $\alpha$ , soluble  $\alpha$ -APP fragments; sAPP $\beta$ , soluble  $\beta$ -APP fragments; CTF $\alpha$ , C-terminal  $\alpha$  fragment; CTF $\beta$ , C-terminal  $\beta$  fragment; NEP, neprilysin; SORL1, sortilin-related receptor 1; AICD, APP intracellular domain. 24 11

Figure 2. One-carbon metabolism components. One-carbon metabolism includes the folate cycle and methionine cycle, which integrates folate intake with components required for DNA methylation. Folate and vitamin B-deficiency are often observed in AD patients. 33 15 Another altered component in the one-carbon metabolism is the hypermethylation or mutation of MTHFR, which has been implicated in homocystinuria. Impaired function of MTHFR may contribute to inefficient methyl group transfer to homocysteine, affecting production of methionine and S-adenosylmethionine.

MTHFR, methylenetetrahydrofolate reductase

> Figure 3. Proposed model of synaptic dysfunction in AD. Increased CALaN expression and activity were observed in transgenic AD animal models. Impaired calcium homeostasis is considered to be one of the factors for elevated CALaN activity in AD. Given the wide variety of its downstream substrates, CALaN is thought to mediate tau protein hyperphosphorylation, PP1-mediated dephosphorylation of AMPAR and NMDAR, and BAD-dependent apoptosis and subsequent neuronal loss. PP1 associates with and

dephosphorylates both AMPAR and NMDAR, decreasing synaptic transmission. Dephosphorylation of subunits in NMDAR is also associated with endocytosis of this receptor, thereby attenuating synaptic strength. The endocytosis of NMDAR may be rescued by reelin, which binds to ApoER2 to activate a downstream signaling cascade via SFK to enhance NMDAR subunit phosphorylation. Furthermore, both nuclear CALaN and PP1 were shown to dephosphorylate, and hence inactivate CREB, which may result in transcriptional repression of *Bdnf*. This may further exacerbate the synaptic dysfunction in AD. GSK3β is activated upon dephosphorylation of CALaN, which facilitates increased tau protein phosphorylation and contributes to microtubule depolymerization.

CALaN, calcineurin; PP1, protein phosphatase 1; Bdnf, brain-derived neurotrophin factor; CREB, cyclic-AMP response element binding protein; MeCP2, methyl-CpG binding protein 2; BAD, Bcl2 associated death protein; GSK3β, glycogen synthase kinase 3β; NMDAR, Nmethyl-D-aspartate receptor; AMPAR, α-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor; VGCC, voltage-gated calcium channel; ApoER2, apolipoprotein E receptor 2; VLDLR, very low-density-lipoprotein receptor; DAB1, Disabled-1; SFK, Src family tyrosine kinase<sub>x</sub>- <u>RELN</u>, <u>Reelin</u>. Minus signs in red denote pathways that contribute to disease manifestation.

 1 2

# Table 1. Genes with altered DNA methylation and/or expression in AD

3_							
4 5 6	Process	Gene	Function	Significance	Modification	Effect	Reference
7 8 9					Global DNA methylation	↓	31, 33
10 11 12	10 11 12		Amyloid precursor		Promoter methylation		29, 30
13 14 15		Арр	plaque following cleavage	Spatial learning and memory deficits	Promoter methylation	+	25, 27
16 17 18			pathway		Exonic methylation	<b>↑</b>	28
19 20 21			3		mRNA expression	1	26
22 23 24			0.	Spatial learning and memory	mRNA expression	<b>↑</b>	35
25 26 27	Amyloidogenic pathway	Bace1	β-secretase 1; Cleavage of APP at the $β$ site in the amyloidogenic pathway	deficits; Its promoter methylation status is negatively correlated with the degree of cognitive decline	Promoter methylation	↓	33
28 29 30					Enhancer methylation	↓	36
31 32 33		Deerd	Presenilin 1; Encode a	Spatial learning and memory	Promoter methylation	+	46
34 35 36		FSent	core protein of y- secretase	deficits	mRNA expression	<b>↑</b>	47
37 38 39			Sortilin-related receptor 1; Direct APP holoprotein to	Degree of promoter methylation is	Promoter methylation	<b>↑</b>	55
40 41 42 43 44 45 46 47 48		SORL1	recycling pathway; Endosomal Vesicle cycling	associated with Aβ plaque and tau pathology	mRNA expression	↓ ↓	56
		Nep	Neprilysin;AnAβ-degradingenzymefacilitates Aβ clearance	Overexpression of NEP attenuates Aβ pathology	Promoter methylation	↑/	31, 59
49 50		Dnmt1	DNA methyltransferase 1	Maintenance of DNA methylation			11, 12
51 52 53 54 55 56	DNA methylation	Dnmt3a	DNA methyltransferase 3a		mRNA	↓	12
		Dnmt3b	DNA methyltransferase 3b				12
57 58			Activity-regulated		mRNA		74, 88, 97
59 <sub>1</sub> 60	Neurochemical process	Arc	cytoskeleton-associated protein;	Synaptic plasticity	expression		89, 95, 96
		Egr1	Early growth response	Synaptic plasticity; Recruits TET1	mRNA		74

	protein; transcriptional regulator	to facilitate demethylation	expression	Ť	80
Bdnf	Brain-derived neurotrophic factor;	Synaptic plasticity; Neurogenesis	Promoter methylation mRNA expression	↑ ↓	116, 117 98, 115
Npas4	Neuronal PAS domain protein 4; transcriptional regulator	Facilitates <i>Bdnf</i> and other activity- regulated gene transcriptions	mRNA expression	↓	122
Gsk3β	Glycogensynthasekinase3β;phosphorylationoftauprotein	Its association with NFT is more apparent in the initial disease stage	Promoter methylation mRNA expression	↓ ↑	125
Rein	Reelin; dendritic spine morphology; synapse development	Its transcription can be modulated by methionine supplement	Promoter methylation mRNA expression	 ↓	132 130, 131
Caln	Calcineurin; intracellular calcium homeostasis;	Memory-suppressing gene	Nuclear protein expression	Ť	150
Pp1	Protein phosphatase 1;	Memory-suppressing gene; association with glutamatergic receptor attenuates synaptic transmission	Protein activity mRNA expression in NFT	↓ ↓	154 88
↑ , increase; ↓ , de	ecrease;, unchanged				<u>.</u>





Figure 1. Altered DNA methylation in the various checkpoints of the amyloidogenic pathway. APP is a transmembrane glycoprotein that undergoes two different pathways to produce either a non-toxic cleaved P3 protein (non-amyloidogenic pathway) or a toxic Aβ oligomer that leads to the deposition of Aβ plaques (amyloidogenic pathway). The different components of the amyloidogenic pathway with those shown to be modulated by DNA methylation highlighted in red. In the amyloidogenic pathway, APP is first cleaved by β-secretase encoded by BACE1 to produce sAPPβ and CTFβ. The CTFβ undergoes a second cleavage by γ-secretase encoded by PSEN1 to generate Aβ. The resulting Aβ undergoes either NEP-mediated cleavage or aggregation to form Aβ plaques. The genes transcribing these essential checkpoint molecules have been demonstrated to exhibit hypomethylation at the promoter regions in AD animal models or patients. On the other hand, the non-amyloidogenic pathway prevents the accumulation of toxic Aβ by α-secretase cleavage of APP to produce non-toxic P3. The inability to effectively redistribute APP is via SORL1-mediated endosomal recycling and degradation of APP. This protective process is thought to be impaired in AD patients, as downregulation of SORL1 is observed in clinical cases.

APP, amyloid precursor protein; sAPPa, soluble α-APP fragments; sAPPβ, soluble β-APP fragments; CTFa, C-terminal α fragment; CTFβ, C-terminal β fragment; NEP, neprilysin; SORL1, sortilin-related receptor 1; AICD, APP intracellular domain.

764x529mm (72 x 72 DPI)

### Fig. 2



Figure 2. One-carbon metabolism components. One-carbon metabolism includes the folate cycle and methionine cycle, which integrates folate intake with components required for DNA methylation. Folate and vitamin B-deficiency are often observed in AD patients. Another altered component in the one-carbon metabolism is the hypermethylation or mutation of MTHFR, which has been implicated in homocystinuria. Impaired function of MTHFR may contribute to inefficient methyl group transfer to homocysteine, affecting production of methionine and S-adenosylmethionine. MTHFR, methylenetetrahydrofolate reductase

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Figure 3. Proposed model of synaptic dysfunction in AD. Increased CALN expression and activity were observed in transgenic AD animal models. Impaired calcium homeostasis is considered to be one of the factors for elevated CALN activity in AD. Given the wide variety of its downstream substrates, CALN is thought to mediate tau protein hyperphosphorylation, PP1-mediated dephosphorylation of AMPAR and NMDAR, and BAD-dependent apoptosis and subsequent neuronal loss. PP1 associates with and dephosphorylates both AMPAR and NMDAR, decreasing synaptic transmission. Dephosphorylation of subunits in NMDAR is also associated with endocytosis of this receptor, thereby attenuating synaptic strength. The endocytosis of NMDAR may be rescued by reelin, which binds to ApoER2 to activate a downstream signaling cascade via SFK to enhance NMDAR subunit phosphorylation. Furthermore, both nuclear CALN and PP1 were shown to dephosphorylate, and hence inactivate CREB, which may result in transcriptional repression of Bdnf. This may further exacerbate the synaptic dysfunction in AD. GSK3β is activated upon dephosphorylation of CALN, which facilitates increased tau protein phosphorylation and contributes to

microtubule depolymerization.

CALN, calcineurin; PP1, protein phosphatase 1; Bdnf, brain-derived neurotrophin factor; CREB, cyclic-AMP response element binding protein; MeCP2, methyl-CpG binding protein 2; BAD, Bcl2 associated death protein; GSK3β, glycogen synthase kinase 3β; NMDAR, N-methyl-D-aspartate receptor; AMPAR, α-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor; VGCC, voltage-gated calcium channel; ApoER2, apolipoprotein E receptor 2; VLDLR, very low-density-lipoprotein receptor; DAB1, Disabled-1; SFK, Src family tyrosine kinase, RELN, Reelin. Minus signs in red denote pathways that contribute to disease manifestation.

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