

## Manuscript Details

<b>Manuscript number</b>	ENGEO_2020_429
<b>Title</b>	Effect of slit size on the impact load against debris-flow mitigation dams
<b>Article type</b>	Research Paper

### Abstract

Structural countermeasures such as slit dams and check dams are widely installed in mountainous regions to mitigate debris-flow hazards. However, current approaches adopted to estimate debris-flow impact load only depend on the flow properties without considering the effect of structural geometry. To better understand the effect of slit size on the impact load against debris-flow mitigation dams, a series of debris flows impact tests on the modelled slit dams and check dams were conducted in an instrumented flume. A combination of the flow velocity, depth, impact loads, total basal normal stress, and basal pore-fluid pressure measurement enabled a comprehensive grasp of the impact details. Tests reveal that the peak frontal impact pressure is almost not affected by the slit size of structural countermeasures instead by the debris-flow properties. However, the slit size obviously influences the peak force experienced by the structures. A critical relative slit size (ratio of slit size to the maximum particle diameter in debris flow) 3.6 is determined for slit dam to effectively mitigate debris-flow hazards. In addition, a bilinear pressure distribution model is proposed for debris-flow impact load estimation of the slit dams and check dams.

<b>Keywords</b>	Debris-flow hazards; slit dam; slit size; check dam; impact load; physical model test.
<b>Taxonomy</b>	Geotechnics, Landslide, Engineering Geology, Geological Hazard
<b>Corresponding Author</b>	Gordon ZHOU
<b>Corresponding Author's Institution</b>	Chinese Academy of Sciences
<b>Order of Authors</b>	Hongsen Hu, Gordon ZHOU, Dongri Song, Kahlil Fredrick E. Cui, Yu Huang, Clarence Choi, Huayong Chen
<b>Suggested reviewers</b>	Johannes Hübl, Julian KWAN, Alessandro Leonardi

## Submission Files Included in this PDF

### File Name [File Type]

Cover letter.docx [Cover Letter]

Highlights.docx [Highlights]

Manuscript.docx [Manuscript File]

Figures.docx [Figure]

Tables.docx [Table]

Conflict of Interest.docx [Conflict of Interest]

Author Statement.docx [Author Statement]

To view all the submission files, including those not included in the PDF, click on the manuscript title on your EVISE Homepage, then click 'Download zip file'.

## Research Data Related to this Submission

There are no linked research data sets for this submission. The following reason is given:  
Data will be made available on request

Professor Hsein Juang, Dr. Vicki Moon, and Dr. Janusz Wasowski  
Editors-in-Chief  
Engineering Geology

Dear Prof. Juang, Dr. Vicki Moon, and Dr. Janusz Wasowski

## **Effect of slit size on the impact load against debris-flow mitigation dams**

**Hongsen Hu, Gordon G. D. Zhou, Dongri Song, Kahlil Fredrick E. Cui, Yu  
Huang, Clarence E. Choi, Huayong Chen**

We would like to submit a manuscript entitled “Effect of slit size on the impact load against debris-flow mitigation dams” for review and possible publication in Engineering Geology.

In this manuscript, experiments show that the peak frontal pressure is largely unaffected by the slit size of countermeasure structures instead of by the incoming flow properties. However, when considering the contribution of the static component caused by the gravity to the impact load, the slit size make significant influence on the peak impact force exerted on the structures, which is regarded as the most critical design scenario. In addition, a critical relative slit size (ratio of slit size to the maximum particle diameter in debris flow) 3.6 is determined for slit dam to effectively mitigate debris-flow hazards. At last, bilinear pressure distribution models are proposed for debris-flow impact load estimation of the slit dams and check dams.

We believe the results can open the way for new, and more advanced, design prescriptions for debris-flow mitigation dams. The material in the manuscript is original and has not been published elsewhere.

The following documents have been enclosed for your consideration:

1. Highlights
2. Copy of the manuscript
3. File containing figures
4. File containing tables
5. Conflict of Interest
6. Author Statement

Should you have any concerns, please do not hesitate to contact me at [gordon@imde.ac.cn](mailto:gordon@imde.ac.cn).

Sincerely

Gordon G. D. Zhou

Institute of Mountain Hazards and Environment, Chinese Academy of Sciences

## Highlights

- Peak frontal pressure is largely unaffected by the slit size of countermeasure structures.
- Slit size make significant influence on the peak impact force considering the contribution of the static component.
- Critical  $b/D_{\max}=3.6$  ( $b$ : slit size;  $D_{\max}$ : the maximum particle diameter) is determined for slit dam to effectively mitigate debris-flow hazards.
- Bilinear pressure distribution models are proposed for debris-flow impact load estimation of the slit dams and check dams.

**General information of the article**

**Type of paper:** Article

**Title:** Effect of slit size on the impact load against debris-flow mitigation dams

**Authors:** Hongsen Hu<sup>1&2</sup>, Gordon G. D. Zhou<sup>\*1&2</sup>, Dongri Song<sup>1&2</sup>, Kahlil Fredrick E. Cui<sup>1&2</sup>,  
Yu Huang<sup>3</sup>, Clarence E. Choi<sup>4</sup>, Huayong Chen<sup>1&2</sup>

*1. Key Laboratory of Mountain Hazards and Earth Surface Process/Institute of Mountain Hazards and Environment, Chinese Academy of Sciences (CAS), Chengdu, China*

*2. University of Chinese Academy of Sciences, Beijing, China*

*3. Department of Geotechnical Engineering, College of Civil Engineering, Tongji University, Shanghai, China*

*4. Department of Civil Engineering, the University of Hong Kong, Hong Kong, China*

\*Corresponding author

**Information of the authors**

**Corresponding author:** Dr. Gordon G. D. Zhou

Professor

E-mail: [gordon@imde.ac.cn](mailto:gordon@imde.ac.cn)

**Co-author:** Mr. H. S. Hu

Ph.D student

E-mail: [huhongsen15@mails.ucas.edu.cn](mailto:huhongsen15@mails.ucas.edu.cn)

**Co-author:** Dr. D. Song

Associate Professor

E-mail: [drsong@imde.ac.cn](mailto:drsong@imde.ac.cn)

**Co-author:** Mr. Kahlil Fredrick E. Cui

Ph.D. Student

E-mail: [kfcui@imde.ac.cn](mailto:kfcui@imde.ac.cn)

**Co-author:** Dr. Yu Huang

Professor

E-mail: [yhuang@tongji.edu.cn](mailto:yhuang@tongji.edu.cn)

**Co-author:** Dr. Clarence E. Choi

Assistant Professor

E-mail: [cechoi@hku.hk](mailto:cechoi@hku.hk)

**Co-author:** Dr. Huayong Chen

Professor

E-mail: [hychen@imde.ac.cn](mailto:hychen@imde.ac.cn)



## Effect of slit size on the impact load against debris-flow mitigation dams

**Abstract:** Structural countermeasures such as slit dams and check dams are widely installed in mountainous regions to mitigate debris-flow hazards. However, current approaches adopted to estimate debris-flow impact load only depend on the flow properties without considering the effect of structural geometry. To better understand the effect of slit size on the impact load against debris-flow mitigation dams, a series of debris flows impact tests on the modelled slit dams and check dams were conducted in an instrumented flume. A combination of the flow velocity, depth, impact loads, total basal normal stress, and basal pore-fluid pressure measurement enabled a comprehensive grasp of the impact details. Tests reveal that the peak frontal impact pressure is almost not affected by the slit size of structural countermeasures instead by the debris-flow properties. However, the slit size obviously influences the peak force experienced by the structures. A critical relative slit size (ratio of slit size to the maximum particle diameter in debris flow) 3.6 is determined for slit dam to effectively mitigate debris-flow hazards. In addition, a bilinear pressure distribution model is proposed for debris-flow impact load estimation of the slit dams and check dams.

**Keywords:** Debris-flow hazards; slit dam; slit size; check dam; impact load; physical model test.

## 1. Introduction

Multiple types of debris-flow mitigation dams are commonly constructed along the predicted flow channels to mitigate hazardous debris flows (Baldwin et al. 1987; VanDine, 1996; Hübl et al. 2005; Chen et al., 2015; Wei et al., 2017; Kattel et al., 2018; Poudyal et al., 2019). This includes check dams (Jaeggi et al. 1997; Shen et al., 2018; Li et al., 2019; Chen et al., 2019) or rigid barriers (Song et al., 2017; Koo et al., 2017; Cui et al. 2018), slit structures (Lin et al., 2017; Xie et al., 2017; Choi et al., 2018; Leonardi, 2019a & 2019b), and flexible barriers (Wendeler et al., 2007; Ferrero et al., 2015; Huo et al., 2017; Song et al., 2019), etc. As shown in Fig. 1, damage to these countermeasures results from underestimating the impact loads of debris flows. In order to design safe and effective countermeasures, potential debris flow impact loads have to be accurately estimated (Gao et al., 2017).

In current engineering practice, the most commonly accepted continuum-loading model used to predict the debris-flow impact loads exerting on barriers is the hydrodynamic model (Hung et al., 1984; Hübl and Holzinger, 2003; Kwan 2012; Bugnion et al., 2012; Volkwein, 2014), which is given as follows:

$$F = \alpha \rho v^2 h w \quad (1)$$

where  $\alpha$  is the empirical dynamic pressure coefficient used to account for complexity of uncertainties involved in unknown flow composition, barrier type, impact mechanisms, and natural settings (Song et al., 2017; Poudyal et al., 2019).  $\rho$  is bulk density ( $\text{kg/m}^3$ ),  $v$  is frontal velocity ( $\text{m/s}$ ),  $h$  is the maximum approaching flow depth

(m) (because the damage to structures usually happens when the debris flow is deepest), and  $w$  is barrier width (m). This continuum-loading model is deduced from the conservation of momentum, supposing that the peak impact force ( $F_{\text{peak}}$ ) is generated upon frontal impact and is proportional to the dynamic pressure. The simplicity of the model makes it is convenient for engineers to design countermeasures. The empirical dynamic pressure coefficient ( $\alpha$ ) is commonly estimated from the measured peak impact pressure ( $P_{\text{peak}}$ ) against obstacles normalized by the bulk density ( $\rho$ ) and the square of frontal debris-flow velocity ( $v^2$ ) from physical flume experiments or from monitoring of real debris flow events.

Previous works have proposed different values of  $\alpha$  in equation (1). Hung et al. (1984) used the hydro-dynamic formulation (in equation 2) to estimate the dynamic impact force of debris flows in British Columbia:

$$F_{\text{peak}} = 1.5A\rho v^2 \sin\beta \quad (2)$$

where  $A$  is the flow cross-section area ( $\text{m}^2$ ),  $\beta$  is the lowest angle between the flow direction and the face of barrier ( $^\circ$ ). Based on the field study of debris flows in the coastal and interior regions of British Columbia, the  $\alpha$  value of 1.5 is obtained which accounts for the formation of stagnant wedges at the toe of the barriers.

Through a series of small-scale flume experiments, Hübl and Holzinger (2003) found that  $\alpha$  varies from 0.1 to 3.9 and is dependent on the Froude conditions of flows. Furthermore, they developed a scale-independent relationship to predict the peak impact pressure of debris flows:

$$P_{\text{peak}}=4.5\rho v^{0.8}(gh)^{0.6} \quad (3)$$

which can also be written as:

$$P_{\text{peak}}=(4.5Fr^{1.2})\rho v^2 \quad (4)$$

where  $g$  is the gravitational acceleration ( $\text{m/s}^2$ ).  $Fr$  is the Froude number which is

used to quantify the ratio of inertial and gravitational forces:

$$Fr = \frac{v}{\sqrt{gh}} \quad (5)$$

In addition, the results from 27 sets of experiments conducted by Cui et al. (2015)

revealed that  $\alpha$  varies in the range of 0.4 to 2.0. Along with results from 155 small-scale

experiments cited from literatures, they revised the hydro-dynamic formulation as

follows:

$$P_{\text{peak}}=(5.3Fr^{1.6})\rho v^2 \quad (6)$$

More details about the hydro-dynamic equation are summarized in Table 1.

Studies have demonstrated that different structure types, such as rigid barriers and

flexible barriers, have significantly different response to debris flow impact (Canelli et

al., 2012; Ng et al., 2016). Proske et al. (2011) reported that the interaction between

debris flow and obstacle is related to the geometry of the structure. Thus, it can be

inferred that the type of countermeasure structure and its corresponding features (*i.e.*,

slit size) should also be considered when determining for the value of  $\alpha$ . This study

focuses on the influence of slit size ( $b$ ) on the impact load which debris flows exert on

mitigation dams. The normalized slit size by the maximum particle diameter  $D_{\text{max}}$  in

the debris flow is called the relative slit size  $b/D_{\text{max}}$ . Force measurements will be also

compared with those exerted on check dam (*i.e.*,  $b=0$ ,  $b/D_{\max}=0$ ). The impact pressure distribution of debris flows on both slit dams and check dams are also investigated, which includes the distribution characteristics of the impact pressure along the height of dams as a function of time, as well as the effect of  $b/D_{\max}$  and solid fraction ( $C_s$ ) on the impact load.

## 2. Methodology

Physical test data, which are used to study the interaction between mass movement and structural countermeasures can be obtained through field measurements, large-scale instrumented tests, and small-scale flume experiments. Field measurements are oftentimes unfeasible due to the unpredictability of natural debris-flow events, and are to some extent unreliable due to the uncertainties involved in the explanations of field debris flows (Hong et al., 2015). Large-scale instrumented tests, such as those conducted in the USGS large debris-flow flume, would be a good substitute but would require high operational costs (Major, 1997; Ng et al., 2016). Small-scale flume experiments, on the other hand, provide a systematic and controlled mean to investigate the mechanisms of flow-structure interaction (Choi et al., 2015; Zhou et al., 2019a), although the viscous effects may be quite significant at miniature scales and the dissipation of nonequilibrium pore water pressure occurs rapidly compared with those of natural debris flows (Iverson et al., 2004; 2015). Despite this, extensive small-scale flume experiments have been carried out to study debris flow impact on structural countermeasures (Choi et al., 2014; Ng et al., 2015; Ng et al., 2016; Zhou et al., 2019a).

## 2.1 *Scaling principles*

Scaling is a powerful tool which enables the design of small-scale physical experiments in such a way that the results obtained therein can be interpreted similarly as those obtained from large-scale experiments or natural events (Holsapple, 1993; Iverson, 1997a; Zhou and Ng, 2010). In this section, the use of dimensionless groups ensures that the ratios between the main stresses between fluid and particles in the physical model tests match those estimated in prototypes.

The  $Fr$  macroscopically governs the behavior of geophysical flows, whether debris flows are dominated by inertial or gravitational forces (Hübl et al., 2009; Choi et al., 2015). This key dimensionless parameter is widely used to scale debris flow interaction with structures (Hübl et al., 2009; Armanini et al., 2011). Equation 5 can be re-written as:

$$Fr = \frac{v}{\sqrt{gh\cos\theta}} \quad (7)$$

to accommodate for the effect of bed surface inclination (Choi et al. 2015).

The mobility of debris flows is mesoscopically effected by the collisional, frictional, and viscous stresses (Iverson, 1997a; Parsons et al., 2015; Iverson and Denlinger, 2001; Haas et al., 2015; Zhou et al., 2019b). In this study, the ratio of collisional to viscous stress is characterized by the Bagnold number  $N_{\text{Bag}}$ :

$$N_{\text{Bag}} = \frac{C_s \rho_s d_{50}^2 \dot{\gamma}}{(1 - C_s) \mu} \quad (8)$$

The Savage number  $N_{\text{Sav}}$  (Savage, 1984; Savage and Hutter, 1989):

$$N_{\text{sav}} = \frac{\rho_s d_{50}^2 \dot{\gamma}^2}{(\rho_s - \rho_f) g h \tan \varphi} \quad (9)$$

is used to characterize the ratio of stresses from grain collision and contact friction at the mesoscopic scale.

The relative dominance between the grain contact friction and the fluid viscous stress is characterized by the Friction number  $N_{\text{Fric}}$ :

$$N_{\text{Fric}} = \frac{C_s (\rho_s - \rho_f) g h \tan \varphi}{(1 - C_s) \dot{\gamma} \mu} \quad (10)$$

In equations (8)-(10), the  $C_s$  is volumetric solid fraction,  $\rho_s$  is bulk density of solid grains ( $\text{kg/m}^3$ ), and  $d_{50}$  is median size of solid grains (m). The  $\dot{\gamma}$  is shear rate of debris flow (1/s), which is approximated as the ratio of flow velocity and flow depth (*i.e.*,  $\dot{\gamma} = v/h$ ).  $\mu$  is dynamic viscosity of pore fluid (Pa.s),  $\rho_f$  is bulk density of pore fluid ( $\text{kg/m}^3$ ),  $\varphi$  is friction angle between solid grains ( $^\circ$ ).

In this study,  $Fr$  values were set to vary between 2.47 to 5.01, which is within the range of values obtained for natural channelized debris flow events (0.45~7.56) (cf. McArdell et al., 2007; Hübl et al., 2009; Kwan et al., 2015; Zhou et al., 2019a). It is acknowledged that the subcritical Froude condition ( $Fr < 1$ ) was not achieved due to inherent limitation of small-scale experiments which only allow limited initial volumes and shallow flow depths (Choi et al., 2015). A compilation of dimensionless numbers obtained for the cases in this study, natural debris-flow events (Iverson, 1997a; Iverson and Vallance, 2001), large-scale (Iverson, 1997a), and other small-scale flume experiments (Iverson and Vallance, 2001; Haas et al., 2015; Zhou et al., 2019b) is provided in Table 2.

## 2.2 Experimental setup

The experimental tests in this study were conducted using a new instrumented model flume. Figure 2 (a) shows a photograph of rectangular model flume, which consists of a storage tank, a rectangular channel with two different inclinations, and a recycling pool. Figures 2 (b) and 2(c) show the side view and plan view of the model flume, respectively, with an overall length of 10.2m, a base width of 0.3m, and a depth of 0.8m. The storage tank is 1.0m long, with a maximum capacity of 0.17m<sup>3</sup> when inclined at 25°.

In order to replicate real debris flows, a flume with two different inclinations – a steep upper channel and a less inclined lower channel – is used (Zhou et al., 2019a). The upper 3.2m of the channel is regarded as the acceleration transportation zone which is inclined at 15° or 25° ( $\theta_1$ ). The lower 6.0m of the channel is regarded as the deceleration deposition zone which is fixed at 5° ( $\theta_2$ ). The channel bed is made of steel plate which is roughened by gluing a layer of fine debris to its surface. Transparent sidewalls were made out of 3.0m long Perspex, which enabled us to observe the interaction process between the debris flows and model dams.

350mm tall modelled slit dams (or check dam) were installed perpendicular to the channel bed, 1.65m downstream of the junction between the upper and lower channel (Figs. 2b and 2c). The location of the model dams was determined as the downstream position in which targeted flow  $Fr$  between 2.5 to 5.0 can be achieved across different flow conditions. The main body of slit dam is usually comprised of a number of equally



spaced rigid posts (Chanson, 2004; Marchelli et al., 2019; Zhou et al., 2020). In this study, the simplified model slit dams are comprised of one complete post which ranges from 60mm to 114mm wide and two others which are half as wide positioned at the sides (Fig. 2d). The corresponding slit size of the simplified model slit dams range from 36mm to 90mm and are varied by changing the thickness of the posts.

### **2.3 Instrumentation and measurement**

A measurement module was embedded in the centerline of the flume bed 1.0m downstream of the intersection between the upper and lower channel (Figs. 2b and 2c). The surface of the measurement module was flush with the flume bed surface. The measurement module is comprised of a load cell (named as “LC”, LH-SZ-02 range from 0 to 50N, with accuracy of 0.1%), and a rapid response differential pore-fluid-pressure transducer (named as “PPT”, OMEGA-PX409-001G5V, range from 0 to 1PSI, with accuracy of 0.08%) for measuring total basal normal stress ( $\sigma$ ) and basal pore-fluid pressure ( $u_w$ ) at the base simultaneously which enables the characterization of flow conditions during debris-flow motion. As to the measurement module configuration, a circular plate with a diameter of 160mm is rigidly affixed to the load cell and is free to deflect in the normal direction. The pore-fluid-pressure transducer is connected through a port to another rigid steel plate adjacent to the load cell circular plate (see, Fig. 2e; cf. Iverson, 1997a; Major and Iverson, 1999; Iverson et al., 2010). The port was filled with the same fluid material used in the experiment and covered with a 50-mesh screen to provide rapid and direct hydraulic connection to the pore fluid

at the base of the debris flow (cf. Iverson and LaHusen, 1989; Major and Iverson, 1999).

An ultrasonic distance sensor (named as “UDS”, Banner-U-GAGE T30U Series, range from 0 to 1m, response time in 48ms) with a resolution of 0.1mm suspended over the measurement module in the model flume precisely measures the temporal variations of the depth of the moving debris flow (Iverson, 1997a; Major and Iverson, 1999). Five impact force sensors (see Fig. 2d, named as “FS1” to “FS5”. LH-Y127B, range 0~200N, with accuracy of 0.15%) with diameter of 28mm were embedded along the height of the model dams. Their locations on the dam are shown in Fig2c. The surface of impact force sensors was flush with the model dam surface. As the most drastic changes of impact pressure occurs during the debris flow frontal impact at the dam base, the bottom of the dam is intensively instrumented. Sensor signals mentioned above were logged by computers at rates of 500 Hz.

In addition, the interaction process between the debris flows and dams was filmed using a high-speed camera (Photron FASTCAM Mini UX50) with a resolution of 1280×1024 pixels sampled at a frequency of 250 frames per second (fps). Illumination was provided by two 1000W light-emitting diodes (LED). Reference lines, with intervals of 0.01 m, were drawn at the side-base of the channel to approximately estimate the frontal velocity of the flow.

#### **2.4 Materials, program, and testing procedures**

In this study, the prototype debris flows are simplified as ideal two-phase flows of non-uniform granular materials and a viscous pore fluid. Figure 3 shows the materials

used in the tests. The non-uniform cobblestones are used to model the granular material of debris flows. Glass beads with diameters of 0.4~0.6mm were used to model the fine debris. The viscous liquid adopted in this study was a mixture of glycerol and water. Glycerol was used for its transparency, high solubility, and viscosity. The density of the viscous fluid was about 1200 kg/m<sup>3</sup> and its viscosity was 0.05 Pa·s measured using a Physica MCR301 torque rheometer. The grain-size distribution of the solid materials used for the tests is shown in Fig. 4. The maximum diameter ( $D_{\max}$ ) of the granular material is 20 mm and the median size ( $d_{50}$ ) is 3.7 mm. The bulk density of solid material was measured as 2747 kg/m<sup>3</sup>. The interface friction angle between the granular materials and the channel-bed was measured as 33.5° obtained through tilting tests (Savage and Hutter, 1989).

Slit size ( $b$ ) and solid fraction ( $C_s$ ) were varied to discern their influence on the impact load on the model dams. Five relative slit sizes ( $b/D_{\max}$ ) were studied: 0 (*i.e.*, check dam), 1.8, 2.3, 3.6, and 4.5. Solid fractions of 0.4 and 0.6, which correspond to bulk densities of 1819 kg/m<sup>3</sup> and 2128 kg/m<sup>3</sup>, respectively, were adopted since  $C_s$  values of natural debris flows typically range from 0.4 to 0.8 (Iverson, 1997a; Iverson and George, 2014; Song et al., 2017). Details of twenty-four tests are summarized in Table 3. Prior to conducting impact tests, a series of reference tests without the dams were first carried out. The reference tests have IDs marked with “R”, the tests using check dams ( $b/D_{\max}=0$ ) are marked with “C”, and the tests with slit dams marked with “S”, respectively.

In the reference tests, the dimensionless group was calibrated based on the measured velocities and flow depths at the location where the dam would be later installed. The impact tests with dam were then carried out. After the flume model preparation, 0.05m<sup>3</sup> granular-fluid mixtures were poured into the storage tank. The channel bed was wetted by the same fluid used in tests to model wet ground. To prevent consolidation of the experimental materials prepared in the storage tank, a hand-held electric mixer continuously stirred until the tank gate was pulled-up vertically as rapid as possible. The data logger and high-speed camera are triggered when the tank gate is released. The granular-fluid mixtures rapidly elongate and thin out as they move downslope along the channel.

## **2.5 Data processing**

Previous studies have revealed that the impact pressure of debris flows is a superposition of the hydraulic impact pressure (caused by slurry and fine debris) and boulder impact pressure (Mizuyama, 1979; Miyoshi, 1990; Hu et al., 2011, Cui et al., 2015; Yan et al., 2020). The large boulder impulse load easily results in the local damage of countermeasure structures. However, the whole overturning and slipping of structures are usually caused by the continuous hydraulic impact pressure. In this study, the long-term smooth component of the impact pressure is studied, which is regarded as continuous hydraulic impact pressure. The original signal includes noise resulting from the measuring system, external disturbances and sensor vibration due to impact. The noise and the signals caused by dispersed particles can be filtered using the moving

average method (10 points were adopted) after filtering out the more extreme impact signals. (cf. Hu et al., 2011; Kaitna et al., 2016). Figure 5 shows the original and filtered impact pressures recorded by the impact force sensor “FS1” in the tests S15-0.4-4.5 and S25-0.6-4.5.

### **3. Experimental results of impact tests**

#### **3.1 Properties of incoming flows**

Measurements of relevant flow properties such as the flow depth ( $h$ ), total basal normal stress ( $\sigma$ ), and basal pore-fluid pressure ( $u_w$ ) of the incoming flow, obtained simultaneously 0.65 meters upstream of the model dams are shown in Fig. 6. To facilitate comparison, the initial time of the data logger is readjusted to 1.0s before the flow front arrives at the sensor plate. A tapered debris flow front approaches the dam location at which the maximum flow height is measured. Total basal normal stress varies proportionately with flow depth. On the other hand, the increase of the basal pore-fluid pressure lagged indistinctively behind the abrupt changes of total basal normal stress.

The ratio between the basal pore-fluid pressure and the total basal normal stress ( $u_w/\sigma$ ) is illustrated in Fig. 6(d). Modelled debris flows with  $C_s=0.4$  have  $u_w/\sigma$  values which are even slightly larger than 1. It means that flows with low solid fractions are completely liquefied. Flows with  $C_s=0.6$  on the other hand have  $u_w/\sigma$  values which are either less than or approximately equal to 1, implying that although the flow is liquefied to some extent, particle contact interactions in transport mechanisms are stronger than

that in flows with  $C_s=0.4$ . The degree of liquefaction can be compared between the modelled debris flows by the value of  $u_w/\sigma$ . Note that the basal pore-fluid pressure slightly exceed threshold sufficient to liquefy the debris during movement, which may be caused by minor drift of either the load cell or pore water pressure sensor calibration. These results are similar to the ones obtained by Iverson (1997a; 1997b), Major and Iverson (1999). More details about the properties of the incoming flows are summarized in Table 3.

### **3.2 Observed impact kinematics**

In this section, the impact process of debris flows against slit dams is examined. It was found from the high-speed images that the impact characteristics for a single  $b/D_{\max}$  ratio are generally identical regardless of the flume angle of inclination. Thus, the impact characteristics of debris flows on slit dams with different  $b/D_{\max}$  (*i.e.*,  $b/D_{\max}=1.8$  and  $=4.5$ ) for  $C_s=0.4$  and  $C_s=0.6$ , respectively at a constant flume inclination of  $15^\circ$  are shown in Figs 7 and 8.

Figures 7(a) and 7(b) show modelled debris flows S15-0.4-1.8 and S15-0.4-4.5 approach the slit dams with frontal velocities of about 2.0m/s (Fig. 7a<sub>1</sub> and b<sub>1</sub>). During the impact process, thin run-up layers develop along the front surface of the slit dams and the weak dead zone forms (Figs. 7a<sub>2</sub> and b<sub>2</sub>). A visible jet flow forms as the granular-fluid mixture tries to pass through the slit dam with narrow relative slit size ( $b/D_{\max}=1.8$ ) (Figs. 7a<sub>2</sub>-a<sub>4</sub>). Wide slit dams ( $b/D_{\max}=4.5$ ) on the other hand, allow most of the granular-fluid mixture to pass through with minimal jet flow during run-up (Figs.

7b<sub>2</sub>-b<sub>4</sub>). These distinct impact characteristics persist even at maximum flow depth (Fig. 7a<sub>4</sub> and Fig. 7b<sub>4</sub>). At the end of the run-up process, the granular-fluid mixtures detained by the narrow slit dam are slowly discharged (Fig. 7a<sub>5</sub>) whereas, at this stage, a steady flow height is already achieved at the front and backside of the dam as the large slit size barely retains the incoming flow (Fig. 7b<sub>5</sub>). The debris flows eventually stop at  $t=8.612\text{s}$  (Fig. 7a<sub>6</sub>) and  $t=7.512\text{s}$  (Fig. 7b<sub>6</sub>) for the narrow and widely spaced slit dams respectively.

Flows with higher solid fractions impact the dams at lower flow velocities of about 1.4m/s (Figs. 8a<sub>1</sub> and 8b<sub>1</sub>) which result to lower flow kinetic energies and less pronounced run-up heights (Figs. 8a<sub>2</sub>-a<sub>4</sub> and 8b<sub>2</sub>-b<sub>4</sub>). Weak dead zone can be observed in the test S15-0.6-1.8, while almost no dead zone can be found in the test S15-0.6-4.5. Large fractions of the mixtures are retained behind the dams since a higher solid fraction means more particles are available to create heaps and clusters which effectively block the dam opening (Figs. 8a<sub>5</sub> and 8b<sub>5</sub>). As more energy is dissipated due to particle contact, the debris flows eventually stop at shorter times at  $t=5.532\text{s}$  (Fig. 8a<sub>6</sub>) and  $t=4.472\text{s}$  (Fig. 8b<sub>6</sub>). More details about impact characteristics between debris flows and slit dams can be found in the paper reported by Zhou et al. (2019a).

### **3.3 Evolution of impact pressure**

The pressure distribution along the dam height is essential to obtain the total impact load against the mitigation structure. The evolution of impact pressures measured at five different points along the height (labelled FS1 to FS5) of tests C15-

0.4-0 (check dam) and S15-0.4-4.5 are shown in Figs. 9 (a) and 9(b). The initial time of the FS1 is readjusted to  $t=0.25$ s before the flow front impacting the dams.

For check dams (Fig. 9a) maximum pressures are measured at the bottom sensor (FS1) characterized by a sharp impulse (0.308s following the initial impact) which then rapidly decreases prior to settling at a constant value. The maximum impact pressure measured by the second sensor FS2 is slightly lower and later in time than that detected by FS1. The pressure behind the maximum value is even larger than that detected by FS1 over a certain period of time. The top sensors (FS3~FS5), due to the low flow height, only measure the run-up impact pressures. It should be noted that a second, lower magnitude, pressure spike can be observed near the end of the signals measured by all sensors. As observed in high-speed images, this is caused by the trailing waves which arrive at the dam near the end of run-up process.

In slit dams, the largest impact pressures are also measured at the bottom force sensor FS1 wherein the maximum value is recorded 0.256s after the initial impact. However, there is an obvious discrepancy between the pressures measured by FS1 from those recorded by sensors FS2~FS5. This reflects the weak run-up as most of mixtures simply pass through the wider slit size. It should also be noted that although the impact profile is comparable in magnitude to that of the check dam, it is not as sharp and is instead distributed over a longer span of time. The second peak pressure recorded in check dams is also not observed in widely spaced slit dams as trailing waves can easily exit through the slits.



To further highlight the influence of the slit size ( $b$ ) on the impact pressure distribution along the dams, the impact pressures detected by the force sensors FS1 and FS2 for mixtures with low solid fraction  $C_s=0.4$  flowing at  $\theta_1=15^\circ$  are shown in Figs. 9(c) and 9(d) respectively. The shaded triangles represent the impact pressure impulse, which is defined as the impact pressure integrated over the impact time (Peregrine, 2003; Lobovský et al., 2014; Song et al., 2017), *i.e.*, time from initial impact up to the point when it settles to a constant value. To facilitate comparison, the impact pressure time histories recorded by FS1 are redistributed by resetting their initial times. Based on the size of the triangles, impact pressure impulse increases with slit size and is lowest in check dams. In addition, the difference between the rise time and decay time for the triangle also increases varying the  $b/D_{\max}$ . However, in the range of  $b/D_{\max} \geq 3.6$ , the values of impact pressure impulse are almost equal.

Contrary to the results in Fig. 9(c), the corresponding impact pressures measured by FS2 generally decreases with  $b/D_{\max}$  (Fig. 9d). Except that the pressure in the test S15-0.4-1.8 is lower than that in the test S15-0.4-2.3, which is caused by the lower incoming flow velocity in the test S15-0.4-1.8. This once gain reflects the decrease of run-up as larger slit size allows for larger fractions of the mixture to exit freely. The influence of slit size on the impact pressure will be discussed in the following section.

#### **4. Influence of slit size and solid fraction on impact load**

Proper estimation of the potential impact loads exerted on slit dam and adopting reasonable slit size are key procedures to guarantee safe and effective operation for slit

dams. Although lots of studies about the estimation impact loads of debris flow have been reported, less attention has been paid to the slit size effect on the values of impact loads exerted by debris flow. To facilitate comparison, the check dam can be also classified as slit dam with  $b/D_{\max}=0$ . In this section, the influence of slit size characterized by  $b/D_{\max}$  on the maximum frontal impact pressure and the total impact force is investigated. In addition, the effect of debris flow solid fraction is studied.

#### **4.1 Frontal impact pressure**

In the current design practices, it is conventionally considered as the most critical impact scenario for debris-flow mitigation structures when the peak impact pressure ( $P_{\text{peak}}$ ) occurs. The studies (Song et al., 2017) and the aforementioned results have shown that the peak impact pressure is always detected by the sensor at the base of the dams during the frontal impact. Thus, the peak frontal impact pressure recorded by FS1 is adopted to back-calculate the empirical dynamic pressure coefficient value for each test. To facilitate comparison, the empirical dynamic pressure coefficient deduced by the peak frontal pressure is denoted as  $\alpha_1$ , *i.e.*,  $\alpha_1=P_{\text{peak}}/\rho v^2$ . The relationship of the  $\alpha_1$  with the relative slit size ( $b/D_{\max}$ ) is plotted in Fig. 10(a). The error bars are adopted to account for the uncertainty caused by the measured flow velocity. Three reference lines with different lengths (*i.e.*, 10cm, 20cm, and 30cm) are used to estimate the flow velocities. The  $\alpha_1$  value is deduced based on the average velocity measured in the experiments, and the its minimum and maximum values are represented by the upper error line and lower error line, respectively in Fig. 10(a).

Theoretically, normalized maximum frontal pressures should be unity for flowing

sediments without a static component acting on the dam. However, Fig. 10(a) shows that all  $\alpha_1$  values are less than unity. This is because the flow momentum upon impact is transferred vertically along the surface of the dams due to the predominantly run-up mechanism, rather than as concentrated loading impact the base of dams (Song et al., 2017). Besides, the particles rearrangement (contraction) at the dispersed flow front may also lead to smaller  $\alpha_1$  values than unity. Fig.10(a) illustrates that  $\alpha_1$  is weakly dependent on the relative slit size ( $b/D_{\max}$ ) for all tests. Specifically, the deviation range of the  $\alpha_1$  values relative to the average value is about 7% ~ 8% in group of tests 15-0.4, 9% ~ 13% in group of tests 25-0.4, 13% ~ 16% in group of tests 15-0.6, and 15% ~ 17% in group of tests 25-0.6. Consequently, it can be regarded that  $\alpha_1$  deduced from the peak frontal impact pressure is almost unaffected by the slit size of debris-flow mitigation dams, but is rather determined by the momentum of approaching debris flows.

#### **4.2 Total impact load exerted on slit dam**

In the hydro-dynamic approach, the frontal impact is usually considered as the most critical situation for engineering design, due to the peak impact pressure occurs during the frontal impact. However, Song et al. (2017) has demonstrated that regardless of the variation of the flow solid fraction, the frontal impact of a run-up mechanism only contributes less than 25% of the total impact force impulse. Besides, Cui et al., (2015) also pointed out that the impact pressure would be underestimated if only the frontal impact is considered. In other words, the frontal impact is not critical for engineering design when considering debris flow run-up. In fact, the peak impact force

( $F_{\text{peak}}$ ) exerted on the retention structures is a key parameter when investigating debris-barrier interaction. In this study, the total impact force exerted on the dams with unit width can be obtained through integration of the impact pressure distribution along the dam height.

As to the impact when the peak impact force ( $F_{\text{peak}}$ ) occurs as the most critical scenario for mitigation structure design, the  $F_{\text{peak}}$  exerted on the dams with unit width is adopted to back-calculate the empirical dynamic pressure coefficient. It is normalized by the product of  $\rho v^2$  and flow depth. Thus, the empirical dynamic pressure coefficient deduced by the  $F_{\text{peak}}$  can be expressed as  $\alpha_2 = F_{\text{peak}} / \rho v^2 h$ , in which the pressure coefficient includes both dynamic and static load during the impact process. The variation of  $\alpha_2$  with relative slit size  $b/D_{\text{max}}$  is shown in Fig.10(b). Likewise, the error bars of the  $\alpha_2$  value relative to the average values are shown. It can be seen that the  $\alpha_2$  values are obviously larger than the  $\alpha_1$  values, since it incorporates the static component contributed by weight of the retained debris flow materials. More importantly, compared with the  $\alpha_1$  values in Fig.10(a), the  $\alpha_2$  values show obvious variation with  $b/D_{\text{max}}$ .

Specifically, when  $b/D_{\text{max}} \leq 1.8$ , the slit size makes little influence on the  $\alpha_2$  value. The result indicates that slit dams with  $b/D_{\text{max}} \leq 1.8$  behave like a check dam ( $b/D_{\text{max}}=0$ ), in terms of its ability to retain debris flows (*i.e.*, flows cannot freely pass through narrow slits). Increasing  $b/D_{\text{max}}$  from 1.8 to 3.6, it can be observed that the  $\alpha_2$  value decreases almost linearly with the  $b/D_{\text{max}}$ . The  $\alpha_2$  value is reduced by 23% for the tests with  $C_s=0.4$ ,

while 30%~40% for the tests with  $C_s=0.6$ . However, as  $b/D_{\max}$  is further increased, *i.e.*, larger than 3.6, the  $\alpha_2$  value remains unchanged. This implies that for the considered range of  $b/D_{\max}$ , the  $b/D_{\max}=3.6$  can be regarded as a critical value for design of slit dams. In other words, dams with  $b/D_{\max}>3.6$  offer practically negligible resistance to incoming flow and will allow most of the material to pass through. Thus, little static component contributed by weight of the retained debris flow materials exerts on slit dams. To sum up, the slit size of debris-flow mitigation dams should be considered when estimating the impact load of debris flow.

#### ***4.3 Influence of the incoming-flow properties on impact load***

Previous works have illustrated that the impact load exerted on countermeasure structures can be influenced by the incoming-flow properties (Proske et al., 2011; Song et al., 2017), such as the flow velocity and the solid fraction, etc. In this study, the properties of the incoming flow are controlled by the solid fraction and the flume inclination ( $\theta_1$ ). The Froude number ( $Fr$ ) is adopted to characterize the flow property, which eliminate the discrepancy of flow properties caused by solo factor of flow solid fraction or flume inclination. The relationship between the deduced empirical dynamic pressure coefficient ( $\alpha_1$ ) and the  $Fr$  is plotted in Fig. 11 (a). It is also compared with the previous experimental or field monitoring results obtained by the flow front impacting a slit structure or a rigid post. (Zhang and Yuan 1985; Hübl and Holzinger 2003; Proske et al. 2011; Cui et al. 2015). It can be found the trend of the  $\alpha_1$  value decreasing with the  $Fr$  in inverse proportional function way. It decreases rapidly when the  $Fr$  is in the

range of 0 to 2, while it is less dependency of the  $\alpha_1$  when the  $Fr$  increases above 2. The  $\alpha_1$  value obtained in this study is also in accordance with this tendency regardless of the flow solid fraction or the flume inclination. It can be further seen from the inset in Fig. 11 (a) that larger flume inclination leads to larger  $Fr$ , thus a little bit smaller  $\alpha_1$  value obtained for the tests with the same solid fraction.

The Fig. 10 (a) also shown that the  $\alpha_1$  values are larger for the tests with smaller solid fraction ( $C_s=0.4$ ) when the flume inclination and relative slit size are same. The results indicate that the dynamic component contributed by inertia dominates for the tests with  $C_s=0.4$  during the impact process, while the static component contributed by gravity dominates for the tests with  $C_s=0.6$  (cf. Song et al., 2017). It can be further corroborated by the values of Savage number ( $N_{Sav}$ ) shown in Fig. 11(b). The larger  $N_{Sav}$  value for the tests with  $C_s=0.4$ , which means the flow with more momentum and the larger inertial stress from grain collision during the impact process than that in the tests with  $C_s=0.6$  which with larger stress from solids contact friction.

#### **4.4 Effect of slit size on the variation of flow regimes during the debris-flow impact**

Debris flows, prior to impact model dams, are usually characterized according to their velocities, flow depths, basal pore-fluid pressures, etc. The change of these flow parameters following the mixtures' impact on retaining structure are difficult to obtain in detail due to the dispersion of the flow front. In this study, the flow depth, basal pore-fluid pressure, and total basal normal stress of the flow body at 0.65 meters upstream the dam location were measured using the sensor plate during whole impact process.

Those parameters of flow body following the flow front can be adopted to indirectly interpret the impact behaviors. As stated in section 3.1, although the basal pore-fluid pressure slightly exceeds the threshold sufficient to fully liquefy the flow, the value of  $u_w/\sigma$  can still be used to compare the relative mobility of debris flows.

As illustrated in Fig. 12, the time history of the  $u_w/\sigma$  in the series of tests 15-0.4 and 15-0.6 are plotted. The initial time is readjusted to 1.0s before the flow front arrives at the sensor plate. For the reference test R15-0.4-nd and S15-0.4-4.5, the flow bodies are almost fully liquefied during the whole flow process. While, as the  $b/D_{\max}$  decreases from 3.6 to 0, the duration of liquefied condition of the flow body is decreased. The similar tendency is also shown in the series of tests 15-0.6. The results imply that when the  $b/D_{\max} \leq 3.6$ , the slit dam promotes the consolidation of the granular mixtures of the flow front. Then, it promotes the deposition of solid particles propagating from the flow front to the rest of the body. The solid particles of the body reach static conditions quicker with decreasing  $b/D_{\max}$ . As the granular mixtures consolidate, the flow mobility also attenuates as the total basal normal stress increases. The experimental results further corroborate that  $b/D_{\max} = 3.6$  is a critical value for the slit dam to effectively mitigate debris-flow hazards.

## **5. Impact pressure distribution models on countermeasure structures**

Apart from the magnitude of impact pressure, the impact pressure distribution on the mitigation structures is also a key aspect to estimate the total impact load by integration of pressures along the dam height, and its evolution varying time can also

contribute to insight on the debris flow impact. In this study, the characteristics of measured pressure distributions against on the model dams will be first discussed, and its corresponding simplified pressure distribution models will also be proposed. Then, the proposed pressure distribution models in this study will be compared with those from the existing guidelines and literatures.

### **5.1 Distribution of impact pressure on slit dams**

To obtain a clearer picture of how pressures are distributed within the dam, the pressure distribution of tests with  $C_s=0.4$  and  $C_s=0.6$ , for different values of  $b/D_{\max}$  are plotted in Fig. 13. These distributions are obtained at the occurrence of the peak pressure, peak force, and until static conditions within the span of the impact time. The height ( $H$ ) of the impact force sensors on the model dam are normalized by the flow depth ( $h$ ) and the corresponding pressure values detected are normalized by  $\rho v^2$ .

The peak impact pressure in test C15-0.4-0 was detected at base of the check dam by FS1, which occurred at  $t=0.308s$  during the frontal impact process (Fig. 13a). As time progresses, the impact pressures shift upwards decreasing the pressures at the bottom of the dam (Fig. 13a,  $t=0.400s$ ). At the time when the peak force is measured ( $t=0.478s$ ), the impact pressure detected by FS2 is larger than that recorded by FS1. By the end of impact process ( $t=1.19\sim1.9s$ ) the impact pressure distribution along the dam height is found to be triangular. The high-speed images during the impact process show that a layer of run-up develops along the surface of the dam during which a fraction of the solid particles deposit at the bottom of the dam to form a dead zone. As run-up proceeds, the trajectory of incoming flow deflects upward along the surface of the



expanding dead zone (see Fig.7a). Thus, it can be inferred that the maximum impact pressure shift upwards from FS1 to FS2 is caused by the formation and expansion of the dead zone. During the run-up impact process, the main kinetic energy of debris flow was converted into potential energy, and the flow direction is parallel to surface of the dam, which leads to relatively small impact pressure exerted on the upper part of the dam.

FS1 frontal impact pressure readings of slit dams with  $b/D_{\max}=1.8$  (Fig. 13b) are almost identical to those in check dams. Similarly, at the time when the peak force is recorded ( $t=0.648s$ ), FS2 readings are greater than in FS1 but are both generally lower in magnitude. This feature is no longer observable in  $b/D_{\max}=3.6$  (Fig. 13c) in which all measured pressures at all times are significantly attenuated. Having FS1 readings which are higher than FS2 at the peak-force time indicates that the dam base absorbs most of the impact since no or weak basal structure (*i.e.*, dead zone) forms to attenuate the impact force. These results further indicate that for larger slit size, more debris flow can directly pass through the slit dam leading to lesser dead zone formation, attenuated run-up process, and lower pressure readings on the upper part of structure.

Compared to the tests with  $C_s=0.4$ , the series of tests that debris flows with  $C_s=0.6$  impacting on the check dam and slit dams take shorter time to reach static conditions due to lower frontal velocity and stronger grain contact friction. Similarly, the maximum impact pressures also occurred during the frontal impact in each test, and the normalized maximum impact pressure almost equals for the tests of C15-0.6-0 and S15-

0.6-1.8, and lower for the test of S15-0.6-3.6. Likewise, the decreasing tendency also occurs that impact pressure exerted on the upper of dams with increasing of the slit size. In general, the frontal impact pressure in the tests with  $C_s=0.6$  is less than that in the tests with  $C_s=0.4$ . This is because the static load is dominant for the tests with  $C_s=0.6$  during the impact process instead of the impact load (Song et al., 2017).

## **5.2 Comparison of the impact pressure distribution models on countermeasure structures**

Studies have been shown that the pressure distribution pattern is also heavily influenced by the structure type (Hübl et al., 2009; Proske et al., 2011; Song et al., 2017). In this section, based on the measured pressure distributions in this study, the new bilinear distribution models are proposed for both check dam and slit dam. The existing impact pressure distribution from existing guidelines and literatures are also presented. All the impact pressure distribution models discussed in this study are shown in Fig. 14.

As shown in Figs. 14a<sub>1</sub>-a<sub>3</sub>, the conventional pressure distribution models for closed-type dam (e.g., check dam) include the triangle, trapezoid, and rectangle model (WLV, 2006; Hübl et al., 2009; Suda et al., 2009 and 2010; Proske et al., 2011). The triangular pressure distribution model is adopted when the hydrostatic approach is used to estimate the impact load of debris flows, in which the pressure is caused by the weight of the static component. For the hydro-dynamic approach, the trapezoidal model with the bottom edge denoting peak impact pressure and top edge denoting 0.25 times the peak pressure (Fig. 14 a<sub>2</sub>), or the rectangular model with uniform peak pressure from

the bottom to the top (Fig.14 a<sub>3</sub>) is adopted to estimate the impact load. In the conventional pressure distribution models, the height of the dam ( $H_{\max}$ ) is treated as the flow depth ( $h$ ) and the peak impact pressure is regarded as the maximum design value. In current practice, the pressure distribution models are on the conservative side for the design of closed-type dams without consideration of load attenuation from the debris-structure interaction (Koo et al., 2017). Based on the physical test results and the energy dissipation which results from the run-up impact process, Song et al. (2017) proposed a revised triangular pressure distribution model for rigid barriers at the point when the peak force and maximum bending moment are measured (Fig. 14b). In this model, the maximum pressure of the triangular distribution is about 0.7 times the measured peak impact pressure which is measured during frontal impact. In addition, the run-up height is also considered in this model.

For open-type dams (e.g., slit dam), Suda et al. (2009, 2010) analyzed the experimental results from the miniaturized tests conducted by Hübl and Holzinger (2003), and proposed a double rectangular pressure distribution model (Fig. 14c<sub>1</sub>) for the granular debris flow and a bilinear trapezoidal distribution model (Fig. 14c<sub>2</sub>) for muddy debris flows. As shown in Fig. 14c<sub>1</sub>, the uniform peak pressure is distributed from the bottom to 0.4 of the dam height, and 0.5 times peak pressure is evenly distributed on the upper part of dam. As illustrated in Fig. 14c<sub>2</sub>, the peak impact pressure occurs at the bottom edge of the bilinear trapezoid. At the 0.7 of the dam height, the pressure value is reduced to 0.15 times the peak impact pressure, and 0.05 times the

peak impact pressure for the top edge. Test results show that the deposition height reaches the top of the dam in the test with granular debris flow. Thus, the pressure on the upper of dam is relatively larger compared to that in the test with muddy debris flows impact. Moreover, based on experimental results and considering the impact process, Cui et al. (2015) also reported pressure distributions of debris flows impacting a rigid post. As shown in Fig.14d, an inverse trapezoid pressure distribution is represented at the most critical impact scenario.

In this study, debris flows impacting on check dam and slit dams are investigated, in which new bilinear distribution models are proposed (Figs. 14e<sub>1</sub> and 14e<sub>2</sub>). In the tests, the maximum normalized pressure is about  $0.8\rho v^2$  at the base of the dam. Considering the energy dissipation caused by the formation of dead zones and the contact friction between the solid particles, the  $0.7\rho v^2$  is adopted at the most critical impact scenario for the check dam and slit dam with  $b/D_{\max} \leq 1.8$  and  $0.6\rho v^2$  is adopted for the slit dam with  $b/D_{\max} > 1.8$ . Hence, for simplicity,  $0.85P_{\text{peak}}$  ( $\approx 0.7/0.8$ ) and  $0.75P_{\text{peak}}$  ( $= 0.6/0.8$ ) are adopted to characterize the bottom edge of the simplified pressure distribution model. For the test with check dam and slit dam with  $b/D_{\max} \leq 1.8$ , the turning point of pressure is  $0.25P_{\text{peak}}$  at the height of  $3.5h$  (Fig. 14e<sub>1</sub>). However, when the debris flow interacts with the slit dams with  $b/D_{\max} > 1.8$ , the run-up process becomes weaker because of the slit size allowing parts of flow materials passing through the slit dams. Hence, the turning point of pressure reduces to  $0.2P_{\text{peak}}$  and its location also drops down to the  $2.5h$  (Fig. 14e<sub>2</sub>).

The configuration of the model dam in this study is almost vertical from the base of the flume. As the modelled debris flows are thin and fast, and the dams are relatively higher, the debris flows show a distinct run-up mechanism during the impact process, which leads to the trajectory of incoming flow be deflected and parallel to the surface of the dams. Thus, the measured pressure on the upper portion of the dam is obviously smaller than that on the base. Similar results were also demonstrated by Armanini et al (2019). To some extent, the proposed simplified pressure distribution models are conventional based on the measured impact pressure in this study. Nevertheless, the new models will lead to significant reduction of construction cost compared with the traditional pressure distribution models. It is acknowledged that the proposed models in this study can only be used for empty dams in which case the run-up mechanism dominates as the debris flow impacts the dams. The bilinear pressure distribution models need to be further studied in other situations.

## **6. Conclusions**

A series of physical modeling tests of debris flow impacting on check dams and slit dams were carried out to study the influence of slit size on the impact loads. The following conclusions can be drawn based on this experimental study:

(1) The peak impact pressure of debris flow usually occurs during the frontal impact.

The relative slit size ( $b/D_{\max}$ ) makes negligible difference on the peak frontal pressure values. It indicates that the peak frontal pressure is largely unaffected by the slit size of countermeasure structures instead of by the incoming flow properties.

(2) Considering the contribution of the static component caused by the gravity to the impact load, the slit sizes of the countermeasure structures make significant influence on the peak impact force exerted on the structures, which is regarded as the most critical design scenario. More specifically, as the  $b/D_{\max}$  is increased from 1.8 to 3.6, the normalized total impact force unit width ( $\alpha_2$ ) is reduced by 23% for the tests with  $C_s=0.4$ , while 30%~40 for the tests with  $C_s=0.6$ . However, when the slit size becomes  $b/D_{\max}>3.6$ , the  $\alpha_2$  value no longer changes.

(3) In addition, the experimental results imply that when the  $b/D_{\max}\leq 3.6$ , the slit dam promotes the consolidation of the granular mixtures propagating from the flow front to the rest of the body. It further corroborates that  $b/D_{\max}=3.6$  is a critical value for the slit dam to effectively mitigate debris-flow hazards.

(4) Based on the measured impact pressures distributed on the modelled check dam and slit dam, new bilinear distribution models are proposed. Those models are suitable for the debris flow impacts empty dams in which case the run-up mechanism dominates. The new models may lead to significant reduction of construction cost compared with the traditional pressure distribution models.

### ***Acknowledgments***

The authors acknowledge the financial supports from the CAS "Light of West China" Program, the National Natural Science Foundation of China (grant No. 51809261), and the International Science & Technology Cooperation Program of China (No. 2018YFE0100100). The financial support from research grant T22-603/15-N

657 provided by the Research Grants Council of the Government of Hong Kong SAR,  
658 China is also greatly appreciated.

## 659 ***References***

- 660 Armanini, A., Larcher, M., Odorizzi, M., 2011. Dynamic impact of a debris flow front  
661 against a vertical wall. In Proceedings of the 5th International Conference on Debris-  
662 Flow Hazards Mitigation: Mechanics, Prediction and Assessment, pp.1041-1049  
663 Padua, Italy.
- 664 Armanini, A., Rossi, G., Larcher, M., 2019. Dynamic impact of a water and sediments  
665 surge against a rigid wall. J. Hydraul. Res. 1-12.
- 666 Baldwin, J.E., Donley, H.F., Howard, T.R., 1987. On debris flow/avalanche mitigation  
667 and control, San Francisco Bay area, California. Debris Flows/Avalanches: Process,  
668 Recognition, and Mitigation. Geological Society of America Reviews in Engineering  
669 Geology 7, 223-236.
- 670 Bugnion, L., McArdell, B.W., Bartelt, P., Wendeler, C., 2012. Measurements of  
671 hillslope debris flow impact pressure on obstacles. Landslides 9 (2), 179-187.
- 672 Canelli, L., Ferrero, A.M., Migliazza, M., Segalini, A., 2012. Debris flow risk  
673 mitigation by the means of rigid and flexible barriers-experimental tests and impact  
674 analysis, Nat. Hazards Earth Syst. Sci. 12, 1693-1699.
- 675 Chanson, H., 2004. Sabo check dams-mountain protection systems in Japan. Int. J. Riv.  
676 Bas. Man. 2 (4), 301-307.
- 677 Chen, H.X., Li, J., Feng, S.J., Gao, H.Y., Zhang, D.M., 2019. Simulation of interactions  
678 between debris flow and check dams on three-dimensional terrain. Eng. Geol. 251,  
679 48-62.
- 680 Chen, X.Q., Cui, P., You, Y., Chen, J.G., Li, D.J., 2015. Engineering measures for  
681 debris  
682 flow hazard mitigation in the Wenchuan earthquake area. Eng. Geol. 194, 73-85.
- 683 Choi, C.E., Ng, C.W.W., Song, D., Kwan, J.H.S., Shiu, H.Y.K., Ho, K.K.S., Koo,  
684 R.C.H., 2014. Flume investigation of landslide debris-resisting baffles. Can. Geotech.  
685 J. 51 (5), 540-553.
- 686 Choi, C.E., Ng, C.W.W., Au-Yeung, S.C.H., Goodwin, G., 2015. Froude scaling of  
687 landslide debris in flume modelling. Landslides 12 (6), 1197-1206.
- 688 Choi, S.K., Lee, J.M., Kwon, T.H., 2018. Effect of slit-type barrier on characteristics  
689 of water-dominant debris flows: small-scale physical modeling. Landslides 15 (1),  
690 111-122.
- 691 Cui, P., Zeng, C., Lei, Y., 2015. Experimental analysis on the impact force of viscous

debris flow. *Int. J. Sediment Res.* 40 (12), 1644-1655.

Cui, Y., Choi, C.E., Liu, H., Ng, C.W.W., 2018. Effects of Particle Size of monodispersed granular flows impacting a rigid barrier. *Nat. Hazards* 91 (3), 1179-1201.

Ferrero, A.M., Segalini, A., Umili, G., 2015. Experimental tests for the application of an analytical model for flexible debris flow barrier design. *Eng. Geol.* 185, 33-42.

Gao, L., Zhang, L.M., Chen, H.X., 2017. Two-dimensional simulation of debris flow impact pressures on buildings. *Eng. Geol.* 226, 236-244.

Haas, T., Braat, L., Leuven, J.R., Lokhorst, I.R., Kleinhans, M.G., 2015. Effects of debris flow composition on runout, depositional mechanisms, and deposit morphology in laboratory experiments. *J. Geophys. Res.-Earth Surf.* 120, 1949-1972.

Holsapple, K.A., 1993. The scaling of impact processes in planetary sciences. *Annu. Rev. Earth Planet. Sci.* 21, 333-373.

Hong, Y., Wang, J.P., Li, D.Q., Cao, Z.J., Ng, C.W.W., Cui, P., 2015. Statistical and probabilistic analyses of impact pressure and discharge of debris flow from 139 events during 1961 and 2000 at Jiangjia Ravine, China. *Eng. Geol.* 187, 122-134.

Hu, K., Wei, F., Li, Y., 2011. Real-time measurement and preliminary analysis of debris-flow impact force at Jiangjia Ravine, China. *Earth Surf. Process. Landf.* 36 (9), 1268-1278.

Hübl, J., and Holzinger, G., 2003. Entwicklung von Grundlagen zur Dimensionierung kronenoffener Bauwerke für die Geschiebemanagement in Wildbächen: Klassifikation von Wildbachsperrungen, WLS Report 50. Im Auftrag des BMLFUWVC 7a (unveröffentlicht).

Hübl, J., Fiebigler, G., 2005. Debris-flow mitigation measures. In *Debris-flow hazards and related phenomena*. Springer Berlin Heidelberg, pp: 445-487.

Hübl, J., Suda, J., Proske, D., 2009. Debris flow impact estimation. *Proceedings of the 11th international symposium on water management and hydraulic engineering*, pp. 1-4. Ohrid, Macedonia.

Hungr, O., Morgan, G.C., Kellerhals, R., 1984. Quantitative analysis of debris torrent hazards for design of remedial measures. *Can. Geotech. J.* 21 (4), 663-677.

Huo, M., Zhou, J.W., Yang, X.G., & Zhou, H.W., 2017. Effects of a flexible net barrier on the dynamic behaviors and interception of debris flows in mountainous areas. *J. Mt. Sci.* 14 (10), 1903-1918.

Iverson, R.M., LaHusen, R.G., 1989. Dynamic pore-pressure fluctuations in rapidly shearing granular materials: *Science*, v. 246, p. 796-799.

Iverson, R.M., 1997a. The physics of debris flows. *Rev. Geophys.* 34 (3), 244-296.



728 Iverson, R.M., 1997b. Hydraulic modeling of unsteady debris-flow surges with solid-  
729 fluid interactions, in Chen, C. L., ed., Debris flow hazards mitigation: Mechanics,  
730 prediction, and assessment: American Society of Civil Engineers, Proceedings of  
731 First International Conference, August 7-9, San Francisco, p. 550-560.

732 Iverson, R.M., Vallance, J.W., 2001. New views of granular mass flows. *Geology* 29  
733 (2):115-118.

734 Iverson, R.M., Denlinger, R.P., 2001. Flow of variably fluidized granular masses across  
735 three dimensional terrain: 1. Coulomb mixture theory. *J. Geophys. Res.-Solid Earth*,  
736 106 (B1), 537-552.

737 Iverson, R.M., Logan, M., Denlinger, R.P., 2004. Granular avalanches across irregular  
738 three-dimensional terrain: 2. Experimental tests. *J. Geophys. Res.-Solid Earth*, 109  
739 (F1).

740 Iverson, R.M., Logan, M., LaHusen, R.G., Berti, M., 2010. The perfect debris flow?  
741 Aggregated results from 28 large-scale experiments. *J. Geophys. Res.-Solid Earth*,  
742 115 (F3).

743 Iverson, R.M., George, D.L., 2014. A depth-averaged debris-flow model that includes  
744 the effects of evolving dilatancy. I. Physical basis. *Proc. R. Soc. London Ser. A-Math.*  
745 *Phys. Eng. Sci.* 470 (2170), 20130819.

746 Iverson, R.M., George, D.L., 2015. Modelling landslide liquefaction, mobility  
747 bifurcation and the dynamics of the 2014 Oso disaster. *Géotechnique* 66 (3), 175-  
748 187.

749 Jaeggi, M.N.R., Pellandini, S., 1997. Torrent check dams as a control measure for  
750 debris flows. In *Recent developments on debris flows*. Springer, Berlin Heidelberg,  
751 pp: 186-207.

752 Kaitna, R., Palucis, M.C., Yohannes, B., Hill, K. M., Dietrich, W. E., 2016. Effects of  
753 coarse grain size distribution and fine particle content on pore fluid pressure and  
754 shear behavior in experimental debris flows. *J. Geophys. Res.-Earth Surf.* 121 (2),  
755 415-441.

756 Kattel, P., Kafle, J., Fischer, J. T., Mergili, M., Tuladhar, B. M., & Pudasaini, S. P.  
757 (2018). Interaction of two-phase debris flow with obstacles. *Eng. Geol.* 242, 197-217.

758 Koo, R.C.H., Kwan, J.S.H., Ng, C.W.W., Lam, C., Choi, C.E., Song, D., Pun, W.K.,  
759 2017. Velocity attenuation of debris flows and a new momentum-based load model  
760 for rigid barriers. *Landslides*, 14 (2), 617-629.

761 Kwan, J.S.H., 2012. Supplementary technical guidance on design of rigid debris-  
762 resisting barriers. GEO Report No. 270. Geotechnical Engineering Office, HKSAR  
763 Government.

764 Kwan, J.S.H., Koo, R.C.H., Ng, C.W.W., 2015. Landslide mobility analysis for design

765 of multiple debris-resisting barriers. *Can. Geotech. J.* 1345-1359.  
 766 Leonardi, A., Calcagno, E., Pirulli, M., 2019a. Impact load estimation on retention  
 767 structures with the discrete element method. In *Association of Environmental and*  
 768 *Engineering Geologists; Colorado School of Mines. Arthur Lakes Library, special*  
 769 *publication 28, 354-360.*  
 770 Leonardi, A., Goodwin, G.R., Pirulli, M., 2019b. The force exerted by granular flows  
 771 on slit dams. *Acta Geotech.* 1-15.  
 772 Lin, X., Huo, M., Zhou, J.W., Cao, T., Yang, F.R., Zhou, H.W., 2017. An experimental  
 773 study on controlling post-earthquake debris flows using slit dams. *Environ. Earth*  
 774 *Sci.* 76 (22), 780.  
 775 Li, S., You, Y., Chen, X., Liu, J., Chen, J., 2019. Regulation effectiveness of a window-  
 776 check dam on debris flows. *Eng. Geol.* 253, 205-213.  
 777 Lo, D.O.K., 2000. Review of natural terrain landslide debris-resisting barrier design:  
 778 GEO report no. 104. Geotechnical Engineering Office, Civil Engineering  
 779 Department, The Government of Hong Kong Special Administrative Region.  
 780 Lobovský, L., Botia-Vera, E., Castellana, F., Mas-Soler, J., Souto-Iglesias, A., 2014.  
 781 Experimental investigation of dynamic pressure loads during dam break. *J. Fluids*  
 782 *Struct.* 48:407-434.  
 783 Major, J.J., 1997. Depositional processes in large-scale debris-flow experiments. *J. of*  
 784 *Geol.* 105(3), 345-366.  
 785 Major, J.J., Iverson, R.M., 1999. Debris-flow deposition: Effects of pore-fluid pressure  
 786 and friction concentrated at flow margins. *Geol. Soc. Am. Bull.* 111(10): 1424-1434.  
 787 Marchelli, M., Leonardi, A., Pirulli, M., Scavia, C., 2019. On the efficiency of slit-  
 788 check dams in retaining granular flows. *Géotechnique*, 1-12.  
 789 McArdell, B.W., Bartelt, P., Kowalski, J., 2007. Field observations of basal forces and  
 790 fluid pore pressure in a debris flow. *Geophys. Res. Lett.* 34 (7).  
 791 Miyoshi, L., 1990. Experimental study on impact load on a dam due to debris flow. The  
 792 XIX World Congress of the International Union of Forestry Research Organizations,  
 793 Montreal, Canada, August 5-11, 1990.  
 794 Mizuyama, T., 1979. Estimation of impact force on dam due to debris flow and its  
 795 problems. *J. Jap. Society. Eros. Contr. Eng.* 112, 40-43.  
 796 MLR (Ministry of Land and Resources), 2004. Design standards for debris flow hazard  
 797 mitigation measures (DZ/T0239-2004), Beijing, China: Chinese Geological Survey,  
 798 Ministry of Land and Resources (in Chinese).  
 799 Ng, C.W.W., Choi, C.E., Song, D., Kwan, J.H.S., Koo, R.C.H., Shiu, H.Y.K., Ho,  
 800 K.K.S., 2015. Physical modeling of baffles influence on landslide debris mobility.  
 801 *Landslides* 12 (1), 1-18.

802 Ng, C.W.W., Song, D., Choi, C.E., Liu, L.H.D., Kwan, J.S.H., Koo, R.C.H., Pun, W.K.,  
 803 2016. Impact mechanisms of granular and viscous flows on rigid and flexible barriers.  
 804 Can. Geotech. J. 54 (2), 188-206.

805 NILIM (National Institute for Land and Infrastructure Management), 2007. Manual of  
 806 technical standards for designing sabo facilities against debris flow and Driftwood,  
 807 Technical Note of NILIM No. 365. Tsukuba, Japan: Natural Institute for Land and  
 808 Infrastructure Management, Ministry of Land, Infrastructure and Transport (in  
 809 Japanese).

810 Parsons, A.J., Bracken, L., Poepl, R.E., Wainwright, J., Keesstra, S.D., 2015.  
 811 Introduction to special issue on connectivity in water and sediment dynamics. Earth  
 812 Surf. Process. Landf. 40 (9), 1275-1277.

813 Peregrine, D.H., 2003. Water-wave impact on walls. Annu. Rev. Fluid Mech. 35 (1),  
 814 23-43.

815 Poudyal, S., Choi, C.E., Song, D., Zhou, G.G.D., Yune, C.Y., Cui, Y., Leonardi, A.,  
 816 Busslinger, M., Wendeler, C., Pition, G., Moase, E., Strouth, A., 2019. Review of  
 817 the mechanisms of debris-flow impact against barriers. In Association of  
 818 Environmental and Engineering Geologists; Colorado School of Mines. Arthur  
 819 Lakes Library. special publication 28, 1027-1304.

820 Proske, D., Suda, J., Hübl, J., 2011. Debris flow impact estimation for breakers. Georisk  
 821 5 (2), 143-155.

822 Savage, S.B., 1984. The mechanics of rapid granular flows. In Advances in applied  
 823 mechanics, Elsevier 24, 289-366.

824 Savage, S.B., Hutter, K., 1989. The motion of a finite mass of granular material down  
 825 a rough incline. J. Fluid Mech. 199, 177-215.

826 Shen, W., Zhao, T., Zhao, J., Dai, F., Zhou, G. G., 2018. Quantifying the impact of dry  
 827 debris flow against a rigid barrier by DEM analyses. Eng. Geol. 241, 86-96.

828 Song, D., Ng, C.W.W., Choi, C.E., Zhou, G.G.D., Kwan, J.S.H., Koo, R.C.H., 2017.  
 829 Influence of debris flow solid fraction on rigid barrier impact. Can. Geotech. J. 54  
 830 (10), 1421-1434.

831 Song, D., Zhou, G.G.D., Xu, M., Choi, C.E., Li, S., Zheng, Y., 2019. Quantitative  
 832 analysis of debris-flow flexible barrier capacity from momentum and energy  
 833 perspectives. Eng. Geol. 251, 81-92.

834 Suda, J., Strauss, A., Rudolf-Miklau, F., Hübl, J., 2009. Safety assessment of barrier  
 835 structures. Struct. Infrastruct. Eng. 5 (4), 311-324.

836 Suda, J., Hübl, J., Bergmeister, K., 2010. Design and construction of high stressed  
 837 concrete structures as protection works for torrent control in the Austrian Alps. In  
 838 Proc. of the 3rd fib international Congress, Washington, USA.

839 VanDine, D.F., 1996. Debris flow control structures for forest engineering. Research  
840 Branch, BC Ministry of Forests, Victoria, BC. Working paper 08/1996. 75 pages.

841 Volkwein, A., 2014. Flexible Debris Flow Barriers: Design and Application, Swiss  
842 Federal Institute for Forest, Snow and Landscape Research, WSL Berichte 18, p. 32.

843 Watanabe M., Ikeya H., 1981. Investigation and analysis of volcanic mud flows on  
844 Mount Sakurajima Japan. In Erosion Sediment Transport Measurement (Proceedings  
845 of the Florence Symposium, June 1981), 245-256.

846 WLV, 2006. Jahresbericht 2005 des Forsttechnischen Dienst für Wildbach-und  
847 Lawinenverbauung [Annual Report 2005 of Section Forestry in the the Austrian  
848 service for Torrent and Avalanche Control]. Wien: Bundesministerium für Land-und  
849 Forstwirtschaft, Umwelt und Wasserwirtschaft, Sektion Forst, Wildbach-und  
850 Lawinenverbauung.

851 Wei, Z.L., Shang, Y.Q., Lü, Q., Yu, Z., Pan, P., 2017. Application and design of an  
852 efficient siphon dewatering system for debris flow mitigation: a case study of a small  
853 catchment in Zhejiang Province, China. Eng. Geol. 226, 146-160.

854 Wendeler, C., Volkwein, A., Roth, A., Denk, M., & Wartmann, S., 2007. Field  
855 measurements and numerical modelling of flexible debris flow barriers. Debris-Flow  
856 Hazards Mitig. Mech. Predict. Assess. Millpress, Rotterdam, 681-687.

857 Xie, T., Wei, F., Yang, H., Gardner, J.S., Xie, X., 2017. A design method for a debris  
858 flow water-sediment separation structure. Eng. Geol. 220, 94-98.

859 Yan, S., He, S., Deng, Y., Liu, W., Wang, D., Shen, F., 2020. A reliability-based  
860 approach for the impact vulnerability assessment of bridge piers subjected to debris  
861 flows. Eng. Geol. 105567.

862 Zhang, S., 1993. A comprehensive approach to the observation and prevention of debris  
863 flows in China. Nat. Hazards 7 (1), 1-23.

864 Zhou, G.G.D., Ng, C.W.W., 2010. Dimensional analysis of natural debris flows. Can.  
865 Geotech. J. 47 (7), 719-729.

866 Zhou, G.G.D., Hu, H.S., Song, D., Zhao, T., Chen, X.Q., 2019a. Experimental study on  
867 the regulation function of slit dam against debris flows. Landslides 16 (1), 75-90.

868 Zhou, G.G.D., Li, S., Song, D., Choi, C.E., Chen, X.Q., 2019b. Depositional  
869 mechanisms and morphology of debris flow: physical modelling. Landslides 16 (2),  
870 315-332.

871 Zhou, G.G.D., Du, J., Song, D., Choi, C.E., Hu, H.S., Jiang, C., 2020. Numerical study  
872 of granular debris flow run-up against slit dams by discrete element method.  
873 Landslides 17(3): 585–595.

## List of figures

**Fig. 1** Failure cases of structural countermeasures for debris flow mitigation: **(a)** concrete check dam and; **(b)** concrete beam dam constructed in Wenchuan city, Sichuan province, China; **(c)** a baffle constructed in Beichuan city, Sichuan province, China (the photograph taken in 2019); and **(d)** a steel slit dam in Schwaighofgraben, Salzburg, destroyed by debris flows (courtesy of Leonardi et al., 2019).

**Fig. 2** Setup and instrumentation of flume model tests (all dimensions in mm). **(a)** the photograph of model flume; **(b)** the side view schematic of the model flume, the high-speed camera set to 250 fps; **(c)** model slit dam, the FS1-FS5 represents the number of impact force sensor;  $H_1-H_5$  represents the height of sensors on slit dam;  $H_{\max}$  is the height of model dam; **(d)** instrumentation, including the measurement module consist of the pore water pressure sensor (PPT) and the load cell (LC) used to measure the pore water pressure and normal stress of debris flow, respectively; the impact force sensor (FS), , and the ultrasonic distance sensor (UDS); and **(e)** data logger, the frequency set to 500Hz.

**Fig. 3** Materials used in the tests. The mixture of glycerol and water used as liquid phase, the glass bead and cobblestone used to model granular material of debris flow.

**Fig. 4** Particle size distribution of the granular material.

**Fig. 5** Time series of filtered impact pressure caused by continuous hydraulic impact (read solid line) recorded by the impact force sensor “FS1” in test S15-0.4-4.5 **(a)** and S25-0.6-4.5 **(b)**. The black solid line represents the original impact pressure in the tests.

**Fig. 6** Measurements of **(a)** flow depth, **(b)** total basal normal stress, and **(c)** basal pore-fluid pressure of the flow front made at 0.65 meters upstream the slit dam for the reference tests with different flume inclination ( $\theta_1=15^\circ$  and  $25^\circ$ ) and with different solid fraction ( $C_s=0.4$  and  $0.6$ ): test R15-0.4-nd, R25-0.4-nd, R15-0.6-nd and R25-0.6-nd. **(d)** The ratio of the basal pore-fluid pressure to the basal normal stress.

**Fig. 7** Impact process of debris flow with solid fraction  $C_s=0.4$  against slit dam with narrow ( $b/D_{\max}=1.8$ ) and wide ( $b/D_{\max}=4.5$ ) relative slit size when the channel inclination is  $15^\circ$ , i.e., the test S15-0.4-1.8 and test S15-0.4-4.5; DZ represents “dead zone” shown in the gray area.

**Fig. 8** Impact process of debris flow with solid fraction  $C_s=0.6$  against slit dam with narrow ( $b/D_{\max}=1.8$ ) and wide ( $b/D_{\max}=4.5$ ) relative slit size when the channel inclination is  $15^\circ$ : test S15-0.6-1.8 and test S15-0.6-4.5; DZ represents “dead zone” shown in the gray area.

**Fig. 9** Time series of the impact pressure of tests **(a)** C15-0.4-0 (check dam) and **(b)** S15-0.4-4.5. Comparison of the impact pressures detected by **(c)** FS1 and **(d)** FS2 in the tests with channel inclination  $\theta_1=15^\circ$  and solid fraction  $C_s=0.4$ . Relative slit size  $b/D_{\max}$  is varied from 0 to 4.5.

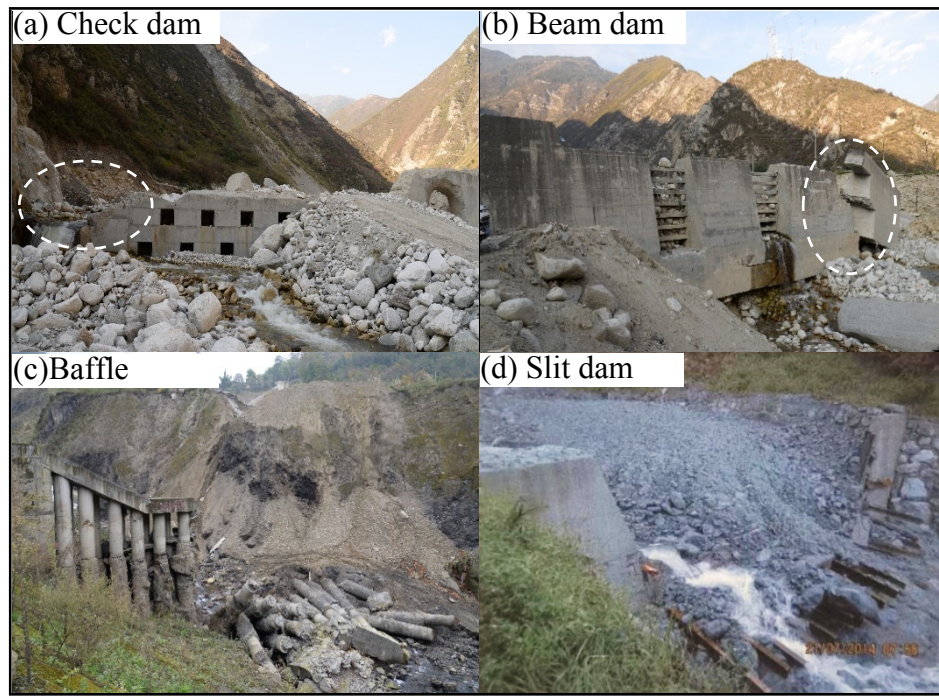
**Fig. 10 (a)** Empirical dynamic pressure coefficient ( $\alpha_1$ ) deduced from the maximum frontal impact pressure ( $P_{\text{peak}}$ ) and **(b)** empirical dynamic pressure coefficient ( $\alpha_2$ ) deduced from the peak impact force ( $F_{\text{peak}}$ ) exerted on the dams with unit width at different relative slit size ( $b/D_{\text{max}}$ ).

**Fig. 11 (a)** Relationship between the deduced empirical dynamic pressure coefficients ( $\alpha_1$ ) and Froude number ( $Fr$ ); **(b)** the values of Savege number ( $N_{\text{sav}}$ ) for the tests with  $C_s=0.4$  and  $C_s=0.6$ .

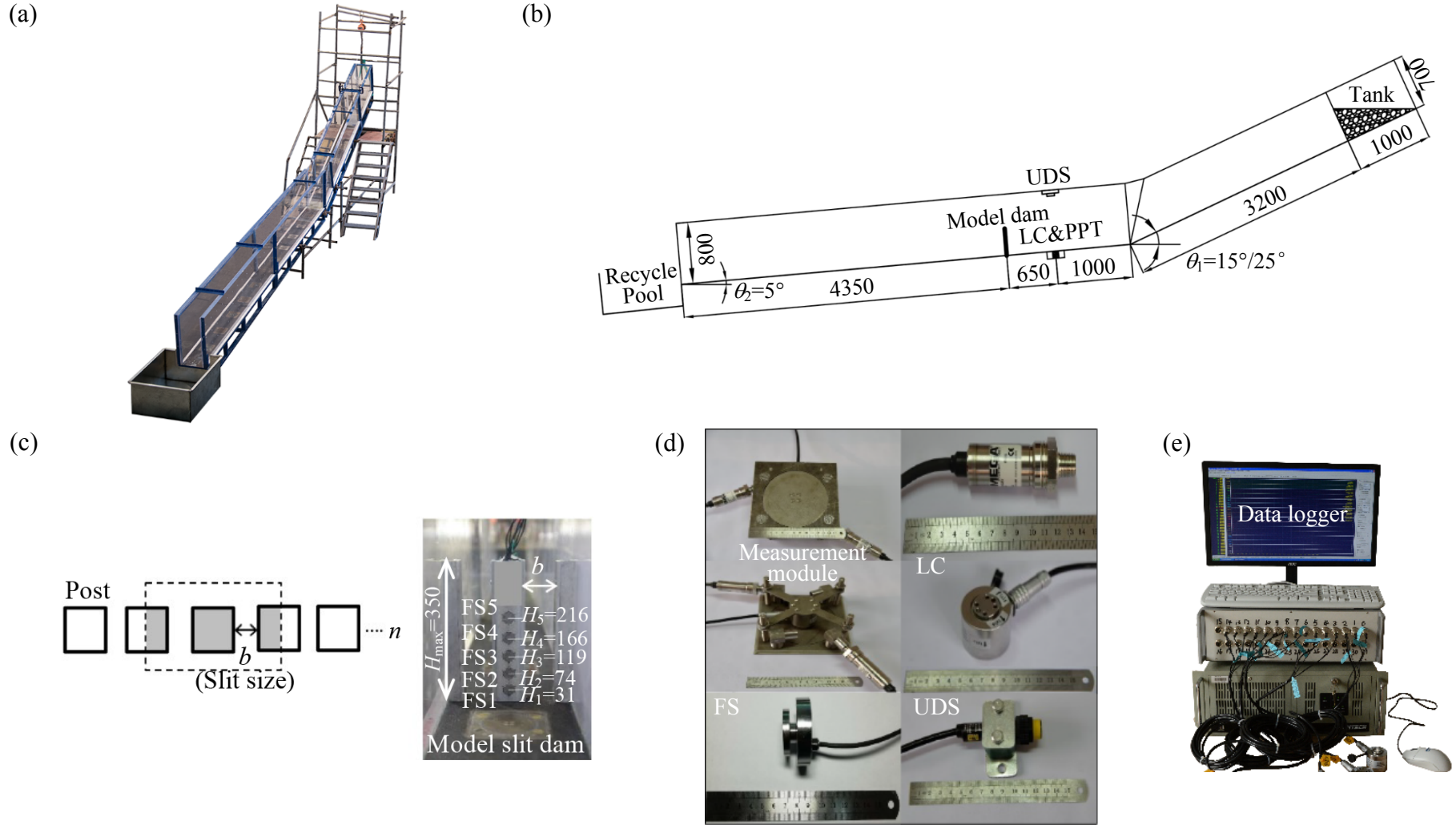
**Fig. 12** Time series of the degree of liquefaction ( $u_w/\sigma$ ) in the series of tests **(a)** 15-0.4 and **(b)** 15-0.6. In both series of tests, the size of the dams are varied from 4.5 to 0 (check dam).

**Fig. 13** Impact pressure profiles when peak pressures, peak forces, and until static conditions were measured for tests **(a)** C15-0.4-0 (check dam); **(b)** S15-0.4-1.8; **(c)** S15-0.4-3.6; **(d)** C15-0.6-0 (check dam); **(e)** S15-0.6-1.8; and **(f)** S15-0.6-3.6.  $H_i$  ( $i=1,2,3,4,5$ ) is the height of impact force sensors on model dams;  $h$  is the flow depth.

**Fig. 14** Comparison of the impact pressure distribution models on countermeasure structures. The structure height ( $H$ ), flow depth ( $h$ ), and the peak impact pressure ( $P_{\text{peak}}$ ) denoted in the diagrams. (a) the conventional pressure distribution models for closed-type dam (e.g., check dam) include the triangle model ( $a_1$ ), trapezoid model ( $a_2$ ) and rectangle model ( $a_3$ ); (b) the revised triangular pressure distribution model for closed-type dam from Song et al. (2017); (c) the impact pressure distribution models for the open-type dam (e.g., slit dam), ( $c_1$ ) the double rectangular distribution model for the granular debris flow impact and ( $c_2$ ) the bilinear trapezoidal distribution model for the muddy debris flow impact; (d) the inverse trapezoid (higher pressure at free surface) pressure distribution model reported by Cui et al. (2015); the new bilinear triangular pressure distribution model proposed in this study, ( $e_1$ ) for check dam and slit dam with  $b/D_{\text{max}} \leq 1.8$ , and ( $e_2$ ) for the slit dam with  $b/D_{\text{max}} > 1.8$ .

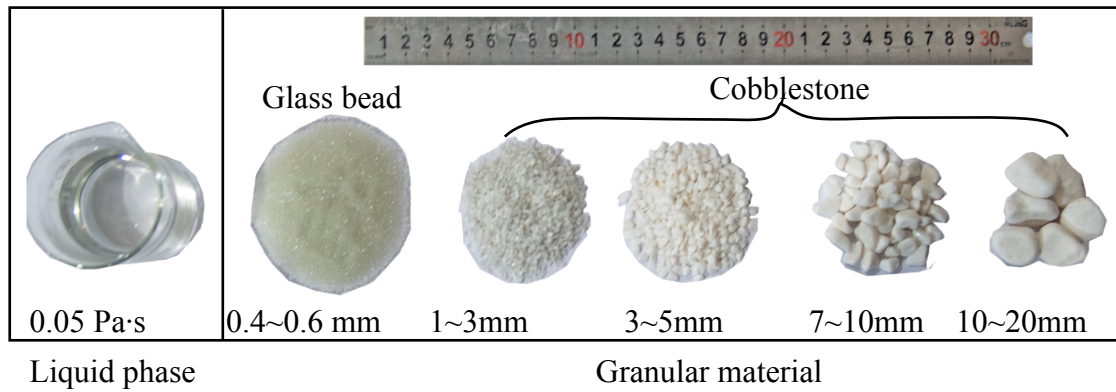


**Fig. 1** Failure cases of structural countermeasures for debris flow mitigation: **(a)** concrete check dam; **(b)** concrete beam dam constructed in Wenchuan city, Sichuan province, China; **(c)** a baffle constructed in Beichuan city, Sichuan province, China (the photograph taken in 2019); and **(d)** a steel slit dam in Schwaighofgraben, Salzburg, destroyed by debris flows (courtesy of Leonardi et al., 2019a).

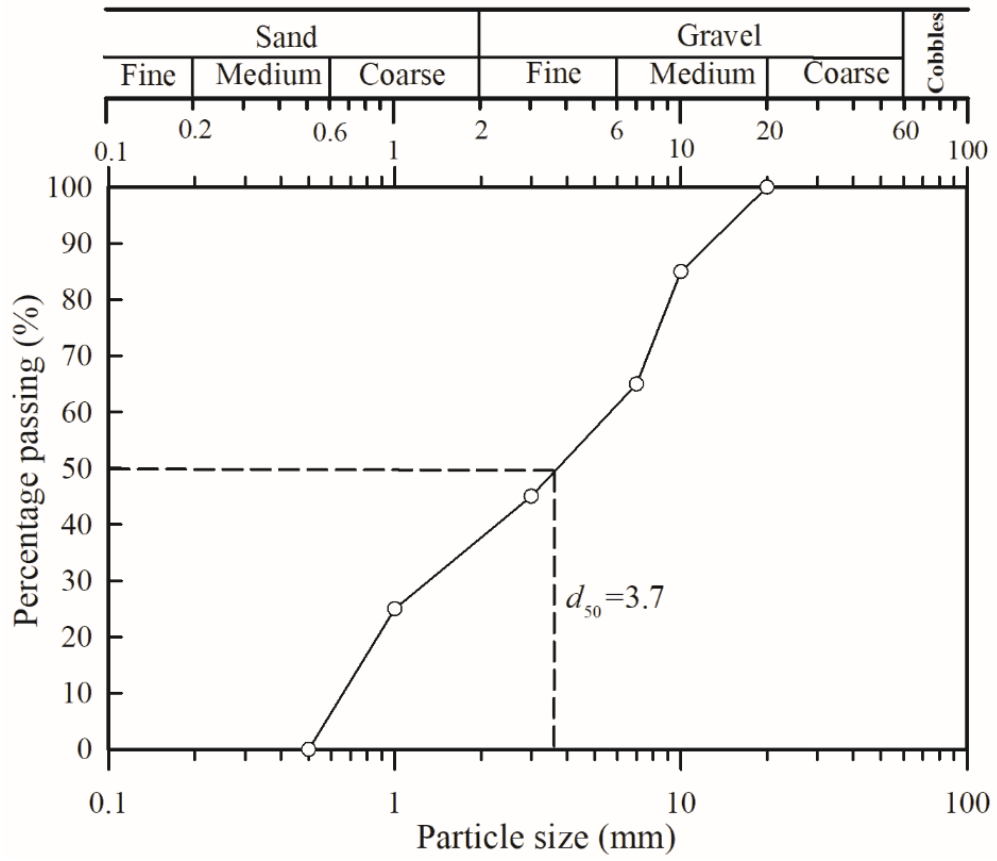


**Fig. 2** Setup and instrumentation of flume model tests (all dimensions in mm). **(a)** the photograph of model flume; **(b)** the side view schematic of the model flume, the high-speed camera set to 250 fps; **(c)** model slit dam, the FS1-FS5 represents the number of impact force sensor;  $H_1$ - $H_5$  represents the height of sensors on slit dam;  $H_{\max}$  is the height of model dam; **(d)** instrumentation, including the measurement module consist of the pore water pressure sensor (PPT) and the load cell (LC) used to measure the pore water pressure and normal stress of debris flow, respectively; the impact force sensor (FS), , and the ultrasonic distance sensor (UDS); and **(e)** data logger, the frequency set to 500Hz.

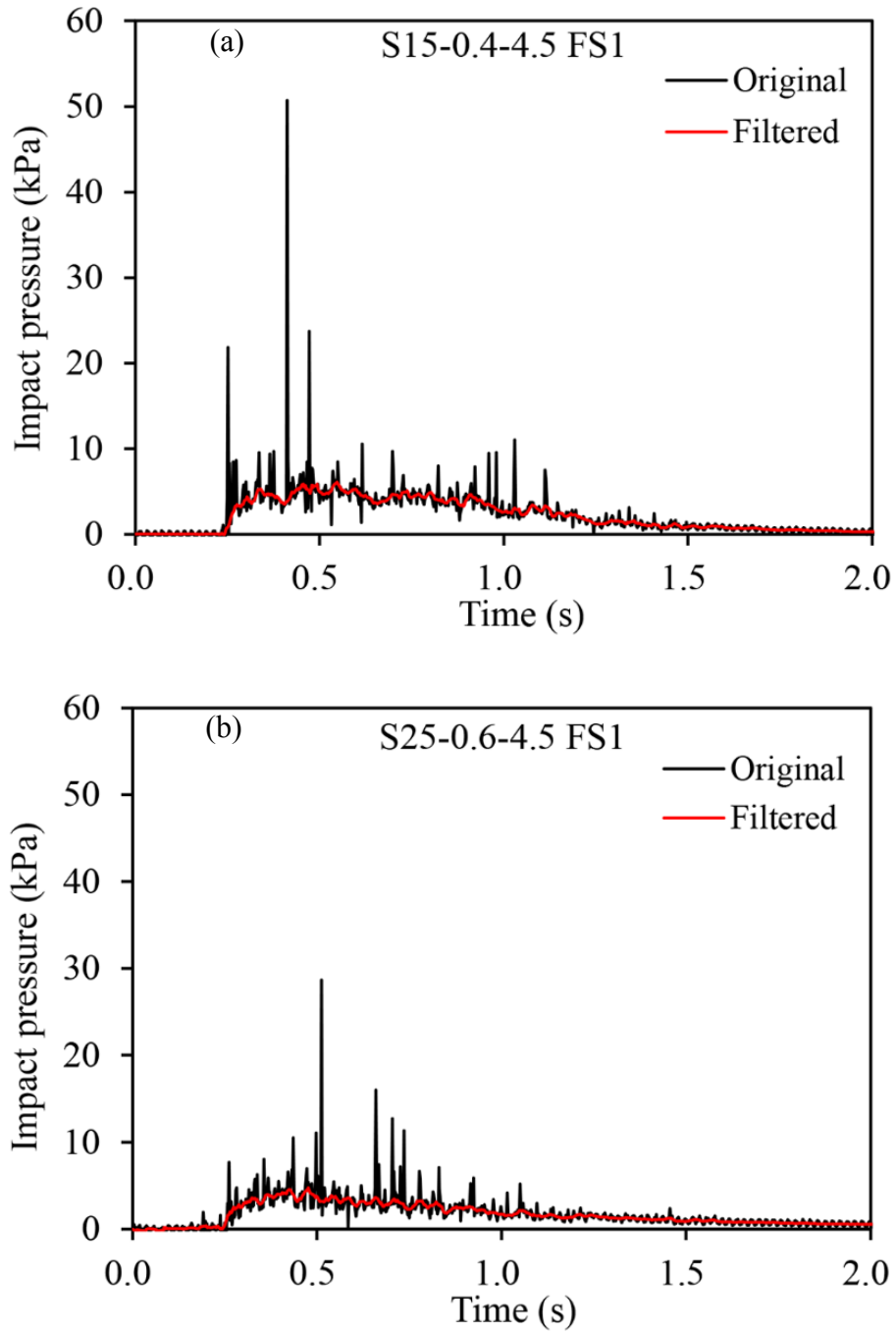




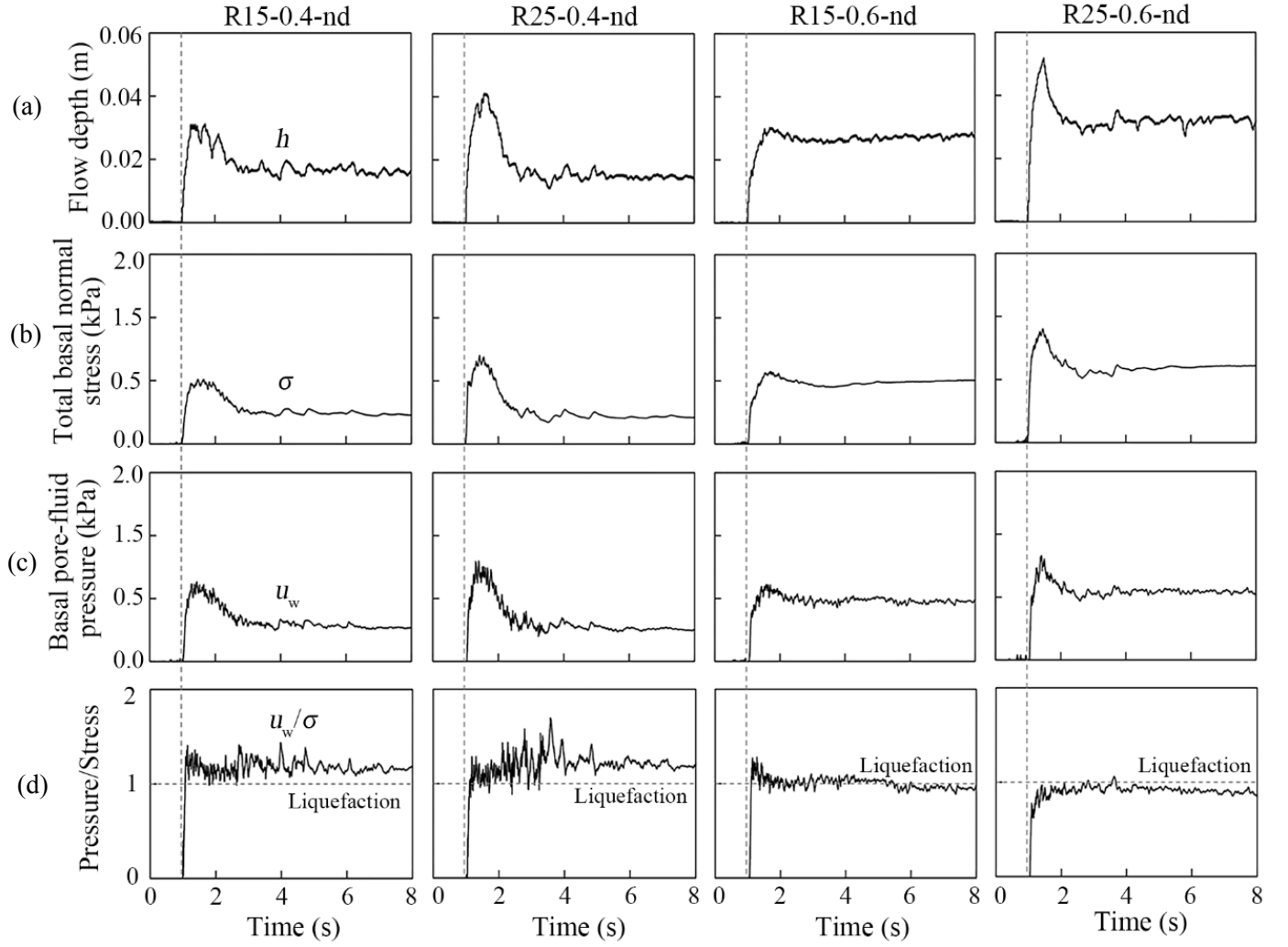
**Fig. 3** Materials used in the tests. The mixture of glycerol and water used as liquid phase, the glass bead and cobblestone used to model granular material of debris flow.



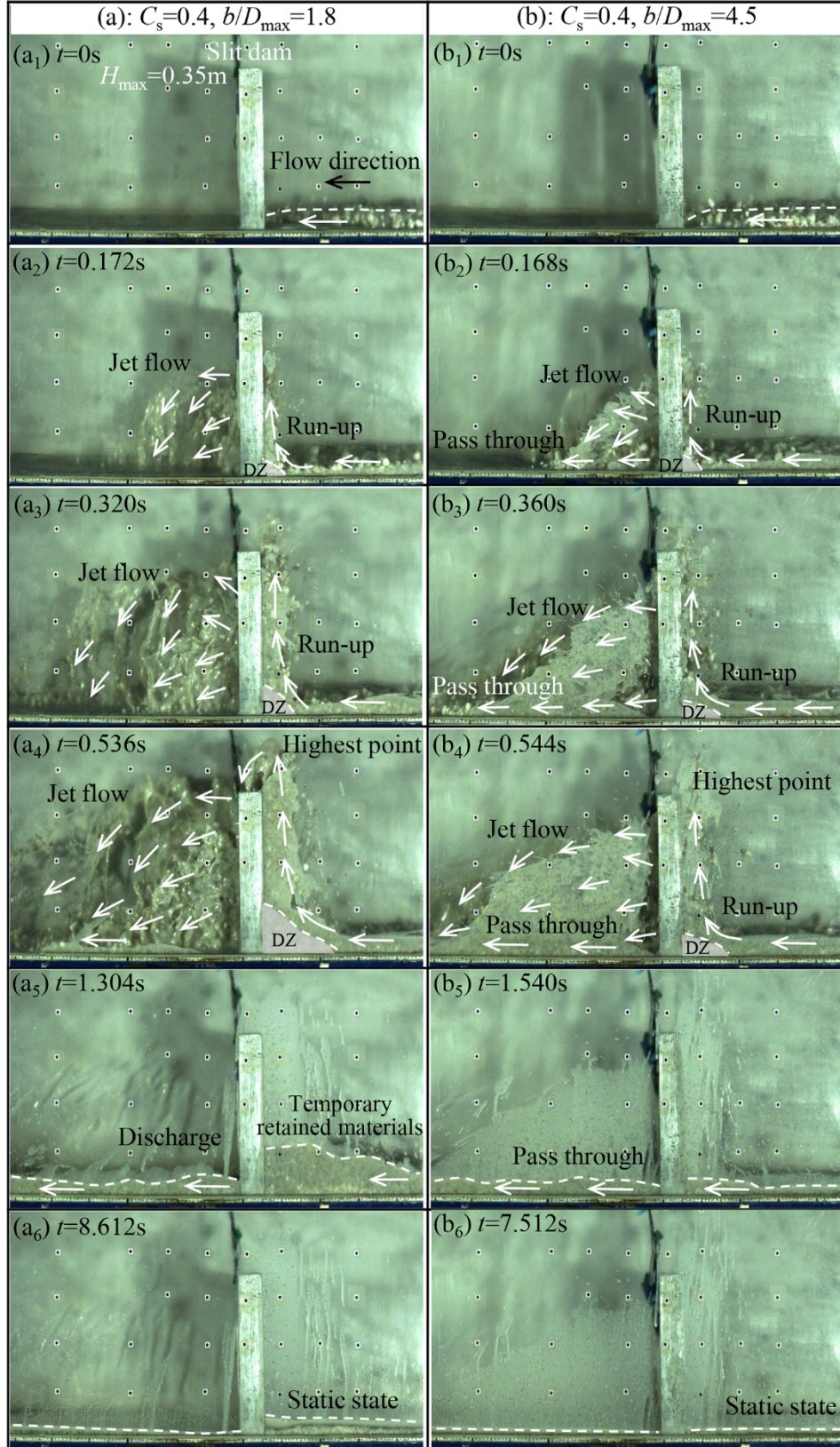
**Fig. 4** Particle size distribution of the granular material.



**Fig. 5** Time series of filtered impact pressure caused by continuous hydraulic impact (read solid line) recorded by the impact force sensor “FS1” in test S15-0.4-4.5 (a) and S25-0.6-4.5 (b). The black solid line represents the original impact pressure in the tests.

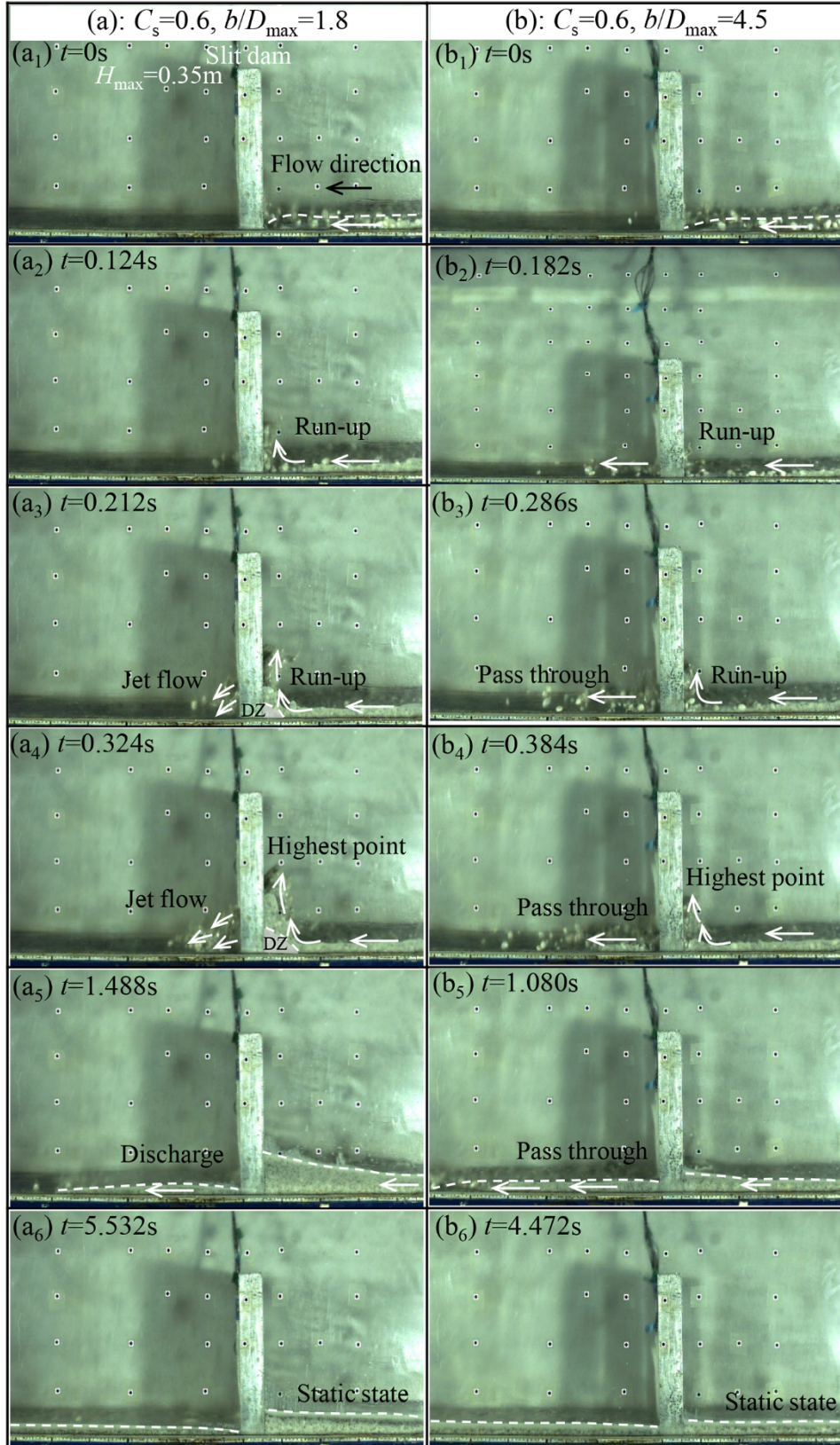


**Fig. 6** Measurements of (a) flow depth, (b) total basal normal stress, and (c) basal pore-fluid pressure of the flow front made at 0.65 meters upstream the slit dam for the reference tests with different flume inclination ( $\theta_1=15^\circ$  and  $25^\circ$ ) and with different solid fraction ( $C_s=0.4$  and  $0.6$ ): test R15-0.4-nd, R25-0.4-nd, R15-0.6-nd and R25-0.6-nd. (d) The ratio of the basal pore-fluid pressure to the basal normal stress.

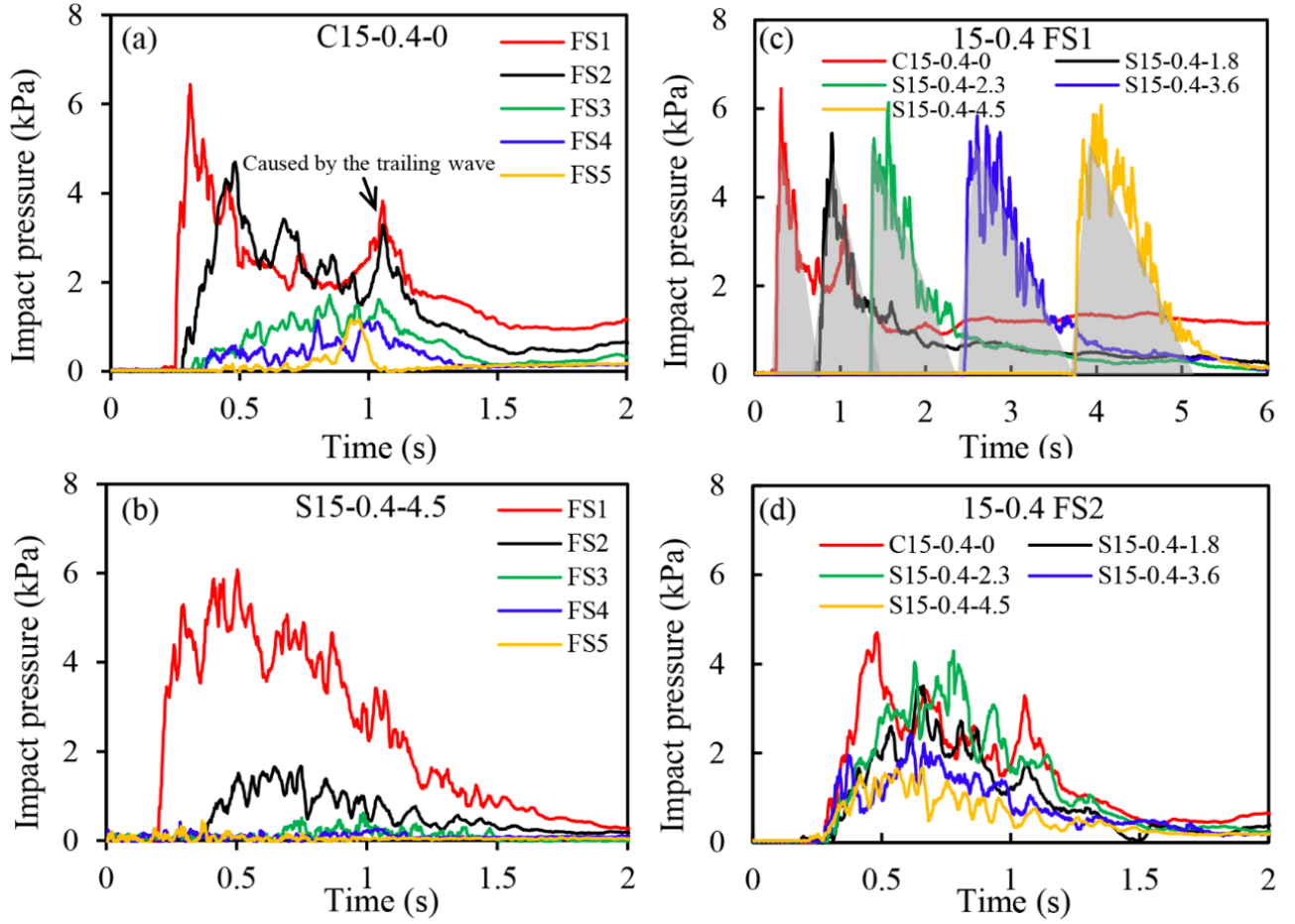


**Fig. 7** Impact process of debris flow with solid fraction  $C_s=0.4$  against slit dam with narrow ( $b/D_{\max}=1.8$ ) and wide ( $b/D_{\max}=4.5$ ) relative slit size when the channel inclination is  $15^\circ$ , i.e., the test S15-0.4-1.8 and test S15-0.4-4.5; DZ represents “dead zone” shown in the gray area.

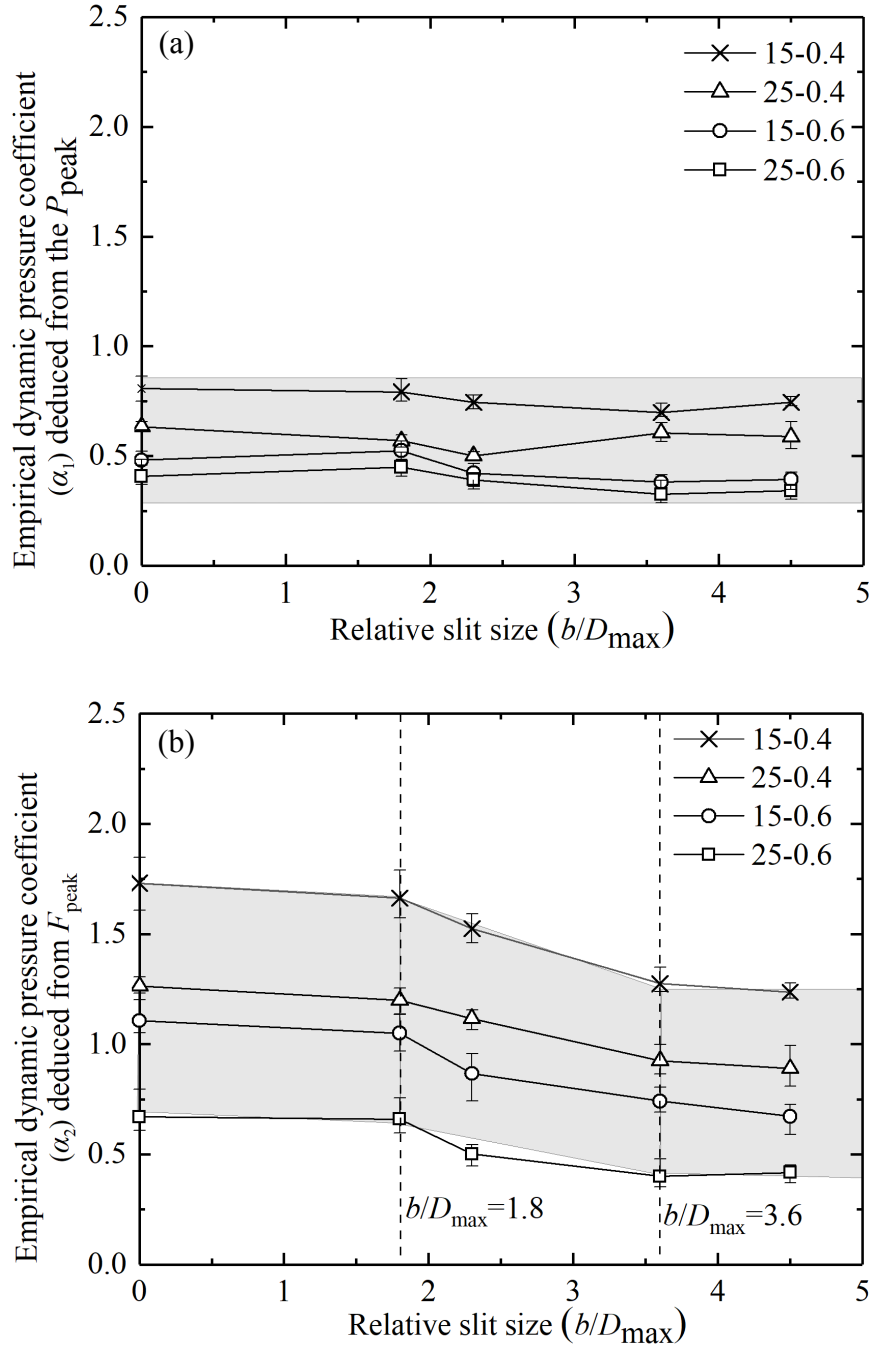




**Fig. 8** Impact process of debris flow with solid fraction  $C_s=0.6$  against slit dam with narrow ( $b/D_{\max}=1.8$ ) and wide ( $b/D_{\max}=4.5$ ) relative slit size when the channel inclination is  $15^\circ$ : test S15-0.6-1.8 and test S15-0.6-4.5; DZ represents “dead zone” shown in the gray area.

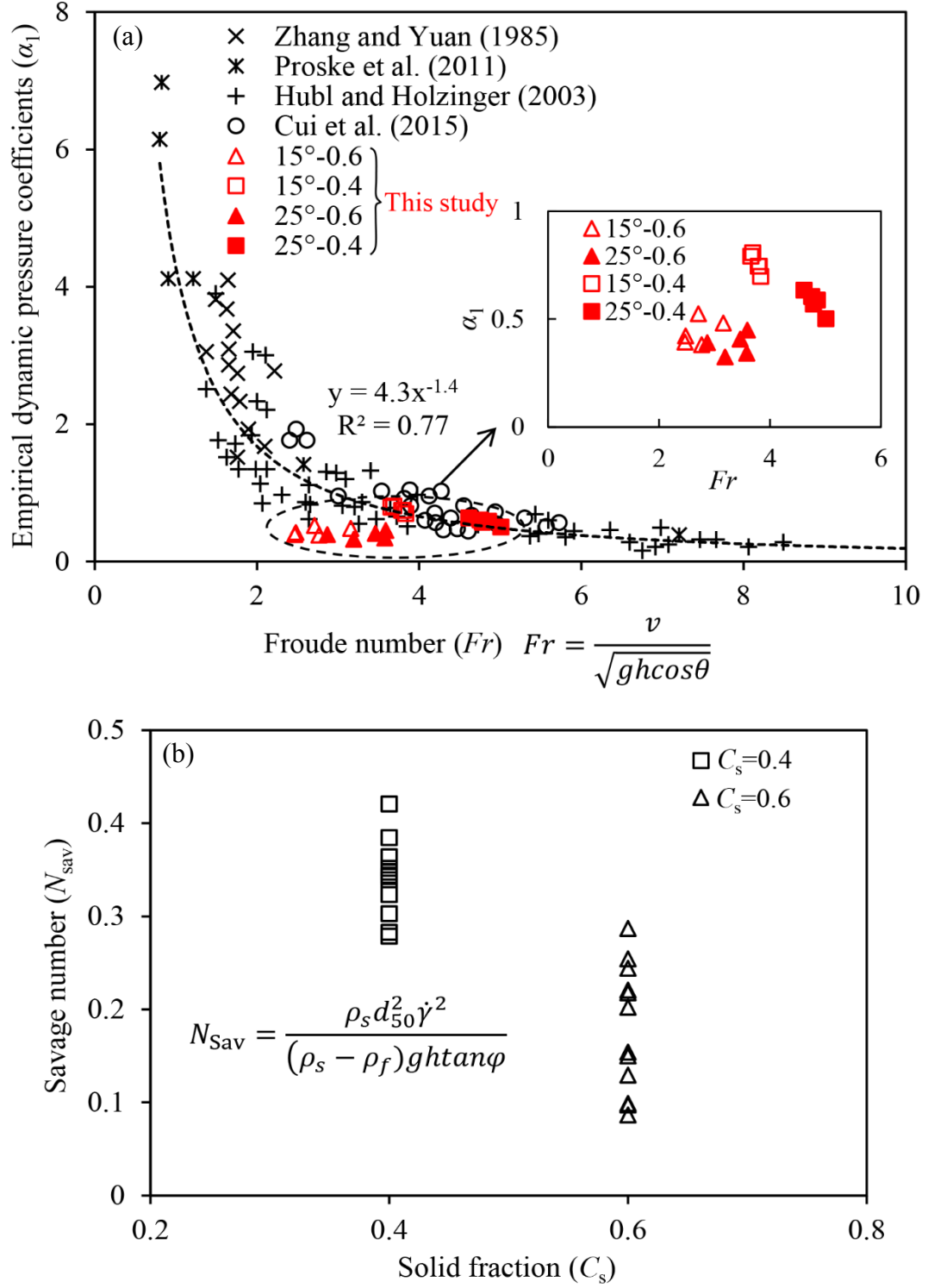


**Fig. 9** Time series of the impact pressure of tests (a) C15-0.4-0 (check dam) and (b) S15-0.4-4.5. Comparison of the impact pressures detected by (c) FS1 and (d) FS2 in the tests with channel inclination  $\theta_1=15^\circ$  and solid fraction  $C_s=0.4$ . Relative slit size  $b/D_{\max}$  is varied from 0 to 4.5.

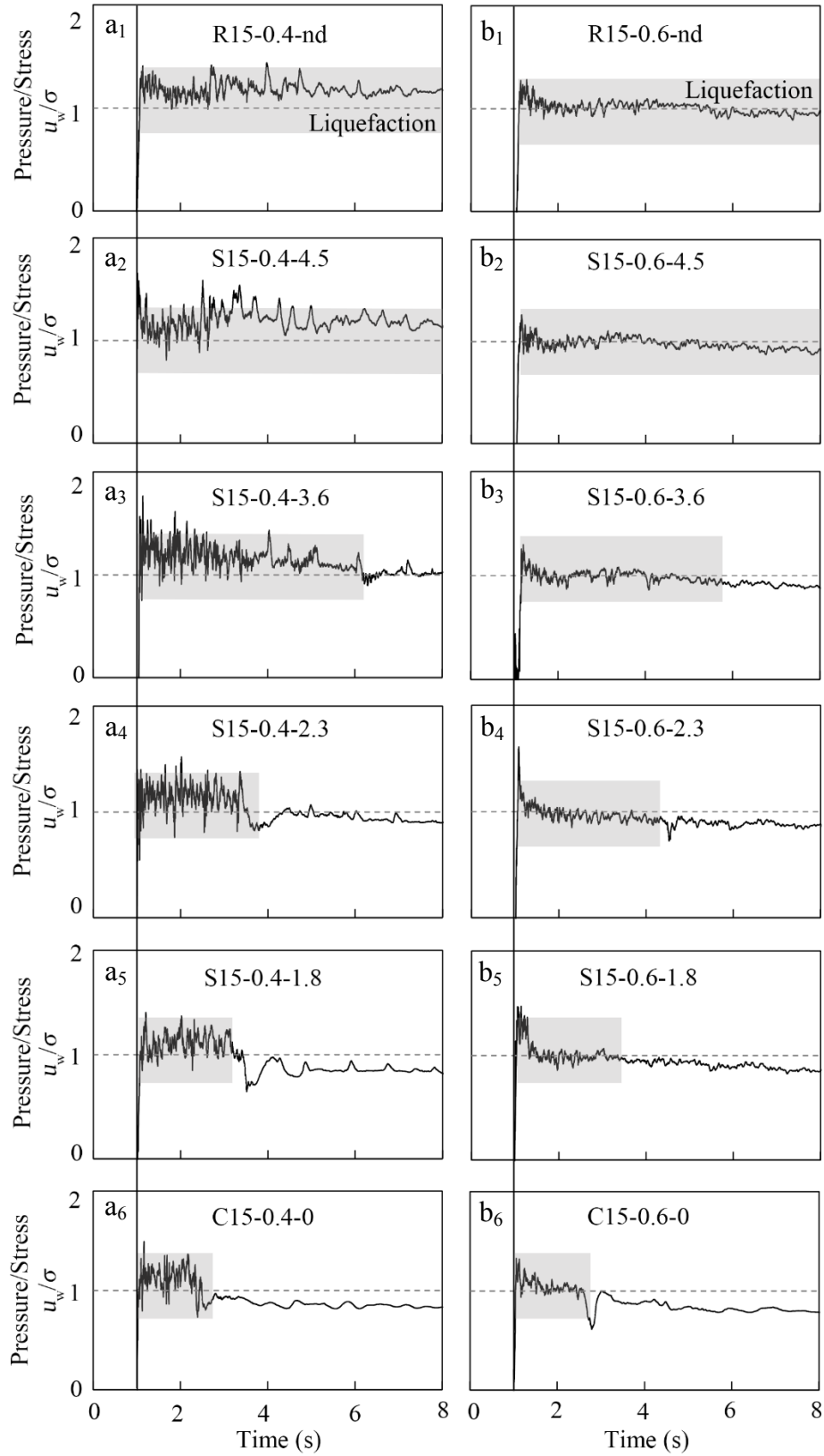


**Fig. 10** (a) Empirical dynamic pressure coefficient ( $\alpha_1$ ) deduced from the maximum frontal impact pressure ( $P_{\text{peak}}$ ) and (b) empirical dynamic pressure coefficient ( $\alpha_2$ ) deduced from the peak impact force ( $F_{\text{peak}}$ ) exerted on the dams with unit width at different relative slit size ( $b/D_{\max}$ ).

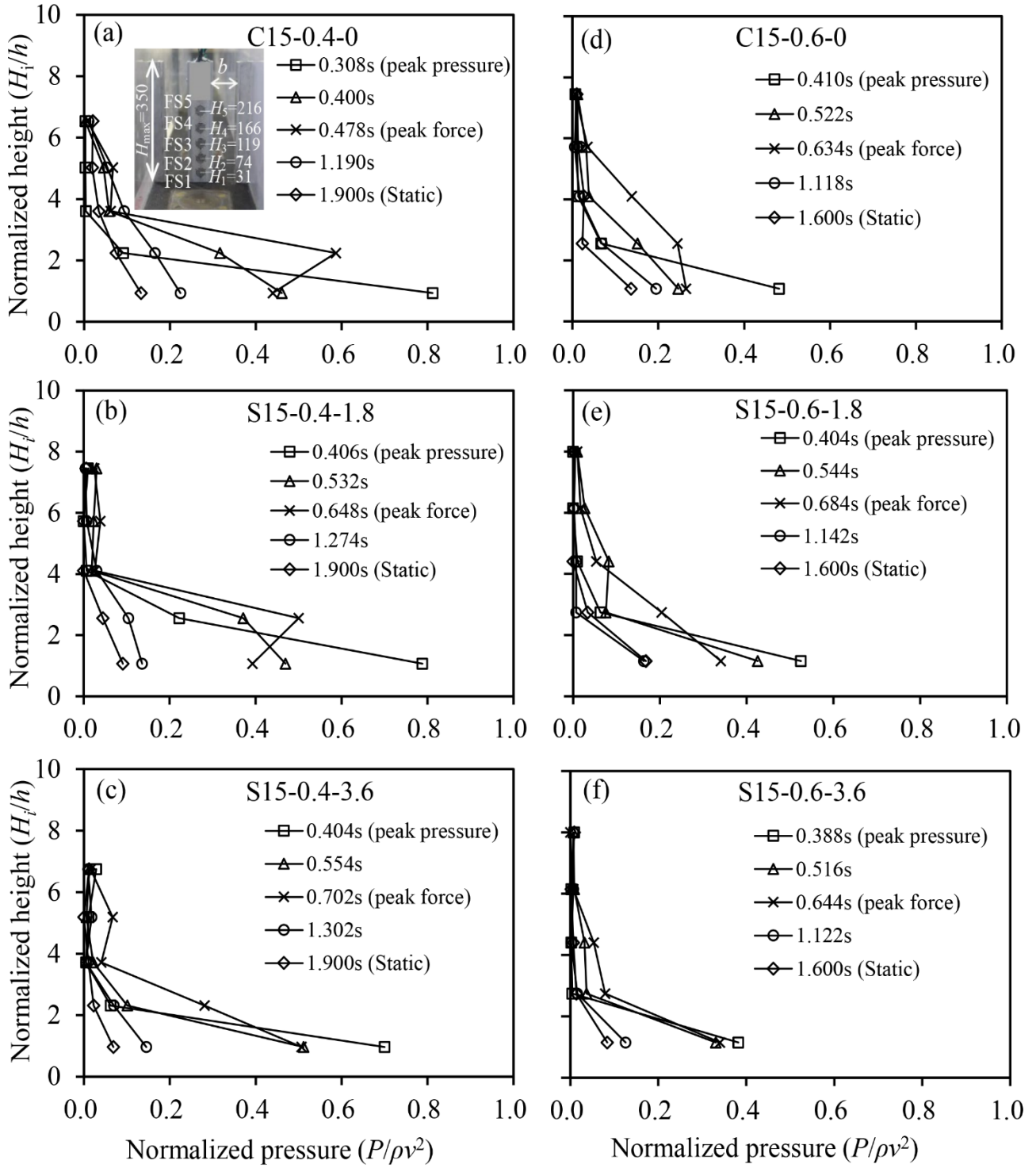




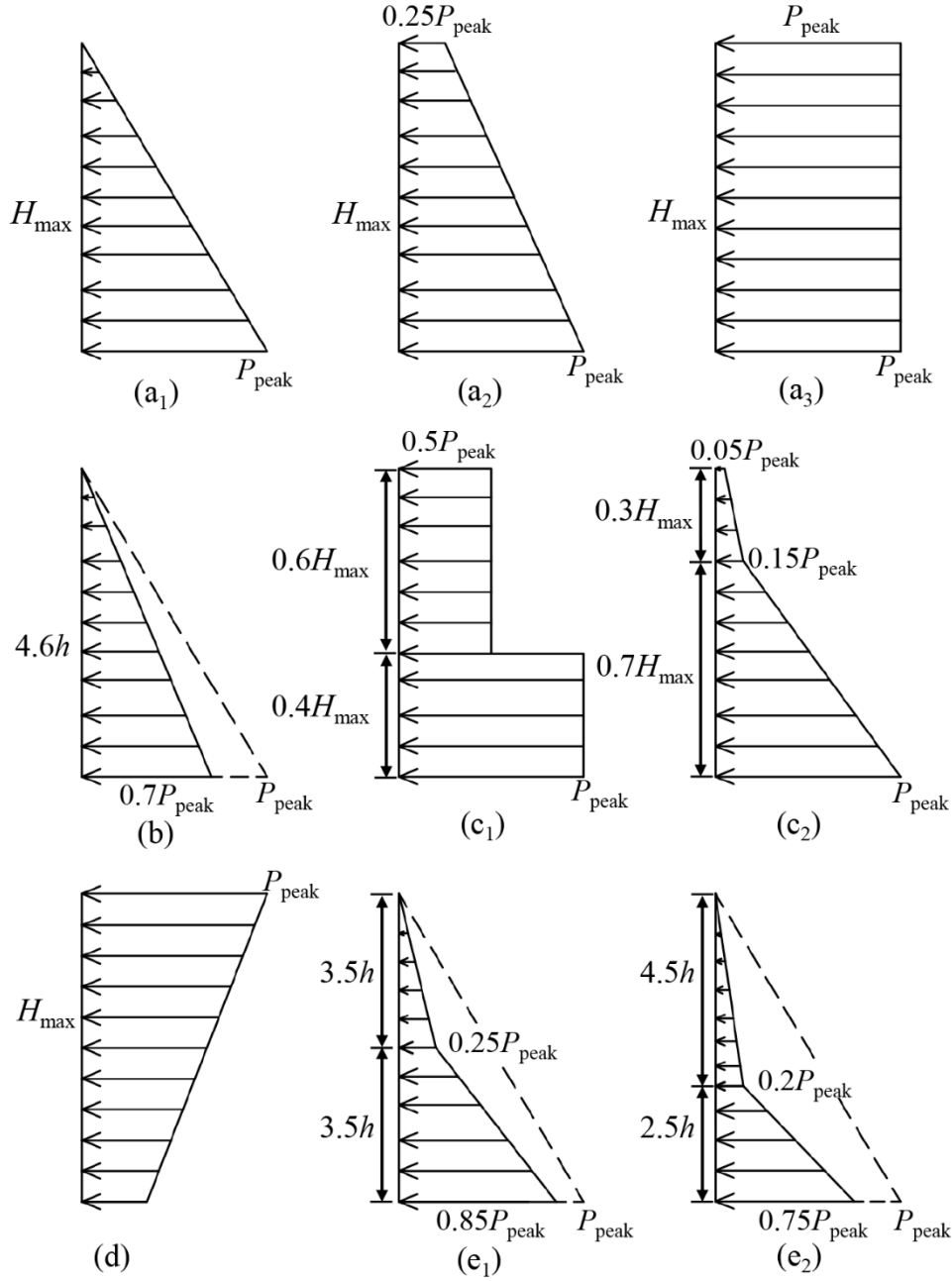
**Fig.11 (a)** Relationship between the deduced empirical dynamic pressure coefficients ( $\alpha_1$ ) and Froude number ( $Fr$ ); **(b)** the values of Savage number ( $N_{sav}$ ) for the tests with  $C_s=0.4$  and  $C_s=0.6$ .



**Fig. 12** Time series of the degree of liquefaction ( $u_w/\sigma$ ) in the series of tests (a) 15-0.4 and (b) 15-0.6. In both series of tests, the relative slit size of the dams varied from 4.5 to 0 (check dam)



**Fig. 13** Impact pressure profiles when peak pressures, peak forces, and until static conditions were measured for tests (a) C15-0.4-0 (check dam); (b) S15-0.4-1.8; (c) S15-0.4-3.6; (d) C15-0.6-0 (check dam); (e) S15-0.6-1.8; and (f) S15-0.6-3.6.  $H_i$  ( $i=1,2,3,4,5$ ) is the height of impact force sensors on model dams;  $h$  is the flow depth.



**Fig. 14** Comparison of the impact pressure distribution models on countermeasure structures. The structure height ( $H$ ), flow depth ( $h$ ), and the peak impact pressure ( $P_{\text{peak}}$ ) denoted in the diagrams. (a) the conventional pressure distribution models for closed-type dam (e.g., check dam) include the triangle model (a<sub>1</sub>), trapezoid model (a<sub>2</sub>) and rectangle model (a<sub>3</sub>); (b) the revised triangular pressure distribution model for closed-type dam from Song et al. (2017); (c) the impact pressure distribution models for the open-type dam (e.g., slit dam), (c<sub>1</sub>) the double rectangular distribution model for the granular debris flow impact and (c<sub>2</sub>) the bilinear trapezoidal distribution model for the muddy debris flow impact; (d) the inverse trapezoid (higher pressure at free surface) pressure distribution model reported by Cui et al. (2015); the new bilinear triangular pressure distribution model proposed in this study, (e<sub>1</sub>) for check dam and slit dam with  $b/D_{\text{max}} \leq 1.8$ , and (e<sub>2</sub>) for the slit dam with  $b/D_{\text{max}} > 1.8$ .

**Table 1** Summary of hydro-dynamic models for estimating debris flow impact pressure

Pressure coefficient ( $\alpha=P/\rho v^2$ )	Remarks			Reference	Data source
	Structure type	Flow type	Froude number ( $Fr$ )		
$\alpha=0.4\sim1.2$	Rigid barrier	Dry sands;	4.1~5.9	Ng et al. (2016)	Small-scale experiments
$\alpha=1.4\sim1.6$	Rigid barrier	Viscous fluids;	3.2~3.9		
$\alpha=0.4\sim2.0$	Column	Viscous debris flow, Coarse particles removed;	2.5~5.9	Cui et al. (2015)	
$\alpha=0.1\sim3.9$	Slit dam	Flow with coarse and flow with fine particles;	1.2~13.0	Hübl and Holzinger (2003)	
$\alpha=1.5\sim5.5$	Rigid barrier; flexible barrier	Fine sand flow with solid volume fraction equal to 0.6;	/	Canelli et al. (2012)	
$\alpha=0.2\sim1.0$	Column	Viscous debris flow in Jiangjia Ravine, China. Coarse particles removed;	1.5~3.0	Hu et al. (2011)	
$\alpha=1.5$	/	Back analysis of the stony-debris flow in British Columbia, Canada;	/	Hungr et al. (1984)	Field measurements
$\alpha=2.0$	/	Volcanic debris flow with boulders;	/	Watanabe and Ikeya (1981)	
$\alpha=3\sim5$	Column	Viscous debris flow in Jiangjia Ravine, China. Coarse particles not removed;	/	Zhang (1993)	
$\alpha=1.0$	Circular structure	Flows without big boulders;	/	MLR (2004)	Guidances for mitigation design
$\alpha=1.33$	Rectangular structure	Flows without big boulders;	/		
$\alpha=1.47$	Square structure	Flows without big boulders;	/		
$\alpha=1.0$	/	/	/	NILIM (2007)	
$\alpha=2.5\sim3$	/	To estimate the dynamic debris impact pressure in combination with boulder impact load.	/	GEO Report No.104 (Lo, 2000); GEO Report No.207 (Kwan 2012)	

**Table 2** Comparison of the dimensionless numbers that characterize stresses between in the range of prototypical debris flows and physical experiments

Debris flow types	Dimensionless numbers			Reference
	Bagnold number ( $N_{\text{Bag}}$ )	Savage number ( $N_{\text{Sav}}$ )	Friction number ( $N_{\text{Fric}}$ )	
Oddstad Debris Flow Jan. 4, 1982	4	$2 \times 10^{-4}$	$2 \times 10^4$	Iverson, 1997a
South Toutle River, May 18, 1980	0.2	$6 \times 10^{-6}$	$3 \times 10^4$	
Osceola Mudflow, circa 5700 B.P.	0.4	$1 \times 10^{-7}$	$4 \times 10^6$	
Kamikamihorizawa Debris Flow	$1 \times 10^4$	$3 \times 10^{-2}$	$3 \times 10^5$	
Mount St. Helens pyroclastic flows	$9 \times 10^5$	$4 \times 10^{-3}$	$2 \times 10^8$	Iverson and Vallance, 2001
Elm Rock avalanche	$4 \times 10^8$	0.1	$4 \times 10^9$	
<b>Typical range of natural debris flows</b>	<b><math>1 \sim 10^8</math></b>	<b><math>10^{-7} \sim 1</math></b>	<b><math>1 \sim 10^5</math></b>	<b>Haas et al., 2015</b>
USGS flume experiments	400	0.20	$2 \times 10^3$	Iverson, 1997a
	37~1589	0.17~2.25	141~2760	Haas et al., 2015
	45~314	0.5~9.0	25~64	Zhou et al., 2019b
Small-scale flume experiments	$8 \times 10^4$	0.1	$8 \times 10^5$	Iverson and Vallance, 2001
	<b>31.8~65.4</b>	<b>0.09~0.42</b>	<b>87.5~534.0</b>	<b>This study</b>

**Table 3** Test program of debris flow-slit dam interaction

Test ID	Relative slit size $b/D_{\max}$	Slope $\theta_1$ (°)	Bulk density (kg/m <sup>3</sup> )	Solid fraction ( $C_s$ )	Approach velocity (m/s)	Flow depth (m)	Froude number $Fr$	Bagnold number $N_{\text{Bag}}$	Savage number $N_{\text{Sav}}$	Friction number $N_{\text{Fric}}$
R15-0.4-nd	No dam	15	1819	0.4	2.06	0.031	3.73	33.2	0.35	94.6
C15-0.4-0	Check dam				2.09	0.033	3.69	31.8	0.30	105.2
S15-0.4-1.8	1.8				1.95	0.029	3.65	33.6	0.38	87.5
S15-0.4-2.3	2.3				2.13	0.032	3.81	33.3	0.34	97.4
S15-0.4-3.6	3.6				2.14	0.032	3.83	33.6	0.35	96.7
S15-0.4-4.5	4.5				2.12	0.032	3.79	33.2	0.34	97.8
R25-0.4-nd	No dam	25	1819	0.4	3.09	0.041	4.89	37.8	0.34	110.0
C25-0.4-0	Check dam				2.99	0.043	4.61	34.9	0.28	125.1
S25-0.4-1.8	1.8				2.95	0.039	4.78	38.0	0.36	104.3
S25-0.4-2.3	2.3				3.05	0.038	5.01	40.3	0.42	95.7
S25-0.4-3.6	3.6				3.12	0.044	4.75	35.5	0.28	125.7
S25-0.4-4.5	4.5				3.11	0.042	4.85	37.1	0.32	114.8
R15-0.6-nd	No dam	15	2128	0.6	1.46	0.029	2.75	57.0	0.22	261.6
C15-0.6-0	Check dam				1.68	0.029	3.16	65.4	0.29	227.8
S15-0.6-1.8	1.8				1.39	0.027	2.71	58.2	0.24	238.4
S15-0.6-2.3	2.3				1.25	0.026	2.48	54.3	0.22	246.1
S15-0.6-3.6	3.6				1.42	0.027	2.77	59.4	0.25	233.6
S15-0.6-4.5	4.5				1.27	0.027	2.47	52.9	0.20	262.0
R25-0.6-nd	No dam	25	2128	0.6	2.22	0.050	3.17	50.0	0.10	513.8
C25-0.6-0	Check dam				2.27	0.044	3.46	58.2	0.15	388.4
S25-0.6-1.8	1.8				2.38	0.045	3.59	59.7	0.15	387.3
S25-0.6-2.3	2.3				1.96	0.048	2.87	46.2	0.09	534.0
S25-0.6-3.6	3.6				2.23	0.050	3.19	50.4	0.10	510.1
S25-0.6-4.5	4.5				2.48	0.049	3.58	57.0	0.13	441.3

## Declaration of interests

- The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.
- The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Hongsen Hu Gordon G. D. Zhou SONG Dong xi  
Kahlil Fredrick E. Cui Yu Huang  
Chenue Choi Huayong Chen



## **Author Statement**

**Hongsen Hu:** Conceptualization, Methodology, Writing-Original draft preparation.  
**Gordon G. D. Zhou:** Conceptualization, Writing-Reviewing & Editing. **Dongri Song:** Conceptualization, Writing- Reviewing & Editing. **Kahlil Fredrick E. Cui:** Methodology, Writing-Reviewing & Editing. **Yu Huang:** Writing-Reviewing & Editing. **Clarence E. Choi:** Methodology, Writing-Reviewing & Editing. **Huayong Chen:** Writing- Reviewing & Editing.