

1                   **Indoor-outdoor navigation without beacons: Compensating smartphone AR**  
2                   **positioning errors with 3D pedestrian network**

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30  
31                  **ABSTRACT**

32                  Despite the extensive use of positioning and navigation in outdoor space, indoor  
33                  positioning and navigation systems, essential for intelligent building and smart city  
34                  services, are unsatisfactory in either performance or price, sometimes in both. This  
35                  paper analyzes and compares the performances and prices of existing indoor  
36                  positioning technologies that are categorized into a few classes according to their  
37                  spatial sensing and referencing methods. Based on the previous work in walkability,  
                  this paper proposes a novel Walkability network-based Augmented Reality (WaNAR)  
                  method using smartphones with AR positioning function for positioning and  
                  navigation. In WaNAR, drifting of the AR positioning signals are corrected  
                  continuously by the ground-truth 3D indoor/outdoor walkability network (e.g., nobody  
                  is supposed to walk through a wall) in a 3D model. The error at the vertical axis of the  
                  walking direction is corrected continuously and that of the walking direction is  
                  compensated at every turn. WaNAR can be used in both indoor and outdoor navigation,

38 its performance and price are proved to be largely improved compared to existing  
39 technologies. The only investment for a typical building is a 3D drawing of indoor  
40 walkable space in a few staff-hours. WaNAR has broad application prospects at various  
41 positioning and navigation scenarios.

## 42 **Keywords**

43  
44 Augmented reality positioning, pedestrian network, error compensation, indoor  
45 navigation, indoor-outdoor integration

## 46 **INTRODUCTION**

47  
48 Location is becoming a prerequisite for various smart applications, be they  
49 navigation or weather reporting services. Therefore, the sensing of locations has  
50 attracted vast interests from both researchers and practitioners. Actually, the contactless  
51 location-sensing technologies have developed rapidly within recent years. GPS (Global  
52 Positioning System) is the most widely used technology in positioning and navigation.  
53 However, it is suffering from its weakness in indoor positioning (Xu et al., 2019). To  
54 overcome such limitations, radio signal based technologies including RFID, Ultra-  
55 wideband (UWB), Bluetooth, and WiFi are meanwhile explored to be used for  
56 positioning, mainly at indoor environments. Relying on specific radio devices and a  
57 remote server makes such approaches expensive and not hard to use and therefore  
58 hinders their widespread use. With the emerging of AR (augmented reality), a new AR-  
59 based positioning solution was proposed. AR, as a real-time interactive user interface  
60 technology that augments the user's real environment with computer generated virtual  
61 entities in 3D (Xue et al., 2018), is widely embedded in smartphones. AR applications  
62 can thus benefit from smartphone sensors, e.g., accelerometers and magnetometers, to  
63 facilitate positioning. Most AR positioning solutions use object detection and  
64 recognition techniques and consequently require reference databases of 3D virtual  
65 objects or images, which is time-consuming and not accurate (Paucher & Turk, 2010).

66  
67 3D walkable network is the 3D network of walkable roads, streets, tunnels,  
68 footbridges, stairs, elevators, lifts, etc (Sun et al., 2019). It contains the connectivity,  
69 Euclidean and geometric relationship between pedestrian path segments (e.g.,  
70 sidewalk, crosswalk, and footpath), as well as other path characteristics such as, for  
71 example, path width. It is believed to have the potential in a variety of applications such  
72 as pedestrian navigation systems/services, urban planning and urban design. However,  
73 2D map services usually fail to provide accurate and interactive 3D walkable network  
74 for pedestrians. 3D maps also suffer from the lack of mature integrated indoor-outdoor  
75 navigation technology. Pedestrians have to explore by themselves or ask for other  
76 pedestrians in a complex and 3D high density city. A visible and interactive 3D  
77 walkable network is in desperate demand.

78  
79 This paper aims to develop an accurate and novel Walkability network-based  
80 Augmented Reality (WaNAR) positioning method using calibration of *ad hoc* 3D  
81 pedestrian network for seamless indoor-outdoor positioning and navigation at a very  
82 low cost. In WaNAR, drifting of the AR positioning signals is corrected continuously  
83 (every 5 seconds) by the ground-truth 3D indoor/outdoor walkability network (e.g.,  
84 nobody is supposed to walk through a wall). The calibration eliminates the vertical  
85 distance of the pedestrian *ad hoc* location and his/her nearest walkable line and directly  
86 adjust his/her location to the nearest walkable line. By doing so, two hidden

85 assumptions are made: pedestrians always walk along with the walkability network,  
86 and the deviation of AR positioning along a walkable line is within 5%. WaNAR is  
87 very accurate, easy to implement, and inexpensive, where the only investment for a  
88 typical building is a 3D drawing of walkable indoor space in a few staff-hours. In  
89 contrast, the popular radio frequency (RF) beacon-based methods such as Bluetooth  
90 Low-Energy (BLE) and UWB are expensive, heavy in carbon footprint, and hard to  
91 manage. It can be used for seamless indoor-outdoor navigation, facility management,  
92 and intelligent business.

93 The rest of the paper is organized as follows. Followed by the Introduction,  
94 related works on AR positioning methods, indoor positioning and indoor-outdoor  
95 integration methods, and 3D model-based error calibration methods are reviewed.  
96 Afterward, the algorithm of compensating smartphone AR positioning errors with 3D  
97 pedestrian networks are introduced. A method is then presented thoroughly based on  
98 AR smartphone hardware and software architecture, with a pilot study carried out at a  
99 university campus. Finally, a conclusion and future work are drawn.

## 100 **RELATED WORK**

### 101 **AR Positioning Methods**

102  
103 AR, augmenting the user's real environment with computer-generated 3D  
104 virtual entities, is often jointly used with location sensors for mobile applications,  
105 especially for facility maintenance (Koch et al., 2014) and emergency management  
106 (Bellini et al., 2014). Those mobile applications are mainly designed for smartphones,  
107 which are ubiquitously embedded with various advanced sensors and technologies. The  
108 ever-enhancing hardware and computing capacities make smartphones perfect  
109 platforms for high-tech applications. Therefore, mobile AR applications can take  
110 advantage of smartphone sensors such as gyroscopes, digital compasses,  
111 accelerometers, and magnetometers to improve its performance and facilitate user  
112 tracking (Paucher & Turk, 2010).

113  
114 In AR community, there are several methods used to do positioning, including  
115 marking, pose estimation, and SLAM (simultaneous localization and mapping). The  
116 most used one is marking the objects in the environment with a unified code. This  
117 method is robust and requires low computing capacity, but meanwhile requires  
118 additional marking works and thus leads to the increase of investment and difficulties  
119 of promotion (Paucher & Turk, 2010). The pose estimation method is also very  
120 commonly used with the pervasive adoption of 6 DoFs (degree of freedoms) position  
121 sensor and the increasing computing capacity of smartphones. It also requires an image  
122 database of the environment and thus is constrained in large and unknown  
123 environments. The SLAM method tracks the user's location by constructing a map on-  
124 the-fly using several different sensors, mainly optical sensors such as 2D camera or 3D  
125 laser scanner. It is widely used in unknown environments for robots, UAV (unmanned  
126 aerial vehicles), and self-driving cars, etc. Since the sensing and processing of the  
127 mapping and positioning data require powerful computers, such an approach is now  
128 more available for small scenarios. To conclude, most current AR-based positioning  
129 and navigation methods rely on either high-quality tracking of a small, constrained

environment with given tracking devices or low accuracy outdoors environment only with GPS that delivers positional information worldwide.

### Indoor Positioning and Indoor-outdoor Integration Methods

Despite the extensive use of positioning and navigation in outdoor space, indoor positioning and navigation systems, vital for 3D cities, are unsatisfactory in either performance or price, sometimes in both. Existing indoor positioning technologies can be categorized into a few classes according to their spatial sensing and referencing methods, see Table 1. We compared the performance (accuracy and easiness to use) and price (from the user side) of different techniques. Sonic signals can be divided into audible sound and ultrasound, though they are cheap in use but can only reach a decimeter level accuracy. Magnetic signals are accessible for users with smartphone magnetometers but easy to be affected by magnetic field anomalies (Li et al., 2012). Vision analysis can also be used for indoor positioning, but its performance is questionable, and the initial investments on cameras can be quite high (Kawaji et al., 2010). There are lots of radio frequency based indoor positioning and navigation, such as Infrared, light, GSM, WiFi, BLE, RFID, and UWB (Deng et al., 2019). These techniques are capable of reaching centimeter accuracy, but initial investments on RF devices, system development and maintenance can be high (Xu et al., 2019). Pedestrian Dead Reckoning (PDR) is also applied in smartphones and smartwatches; however, it suffers from very low-performance accuracy (Kang & Han, 2014). Current AR methods, as discussed above, also suffer from low performance problems and requires high initial investments of databases and high-profile AR smartphones. The WaNAR we proposed can function very accurately and easily with any low-profile AR smartphones.

**Table 1. Comparison between different indoor positioning techniques**

Technique classes	Performance	Price	Examples
Sonic	★★★	★	
Magnetic	★★★	★★	
Vision	★★	★★★★★	Marker, floor pattern, image-to-location reasoning
Radio Frequency (RF)	★★★★	★★★	Infrared, light, WiFi, BLE, GSM, UWB, etc.
Pedestrian Dead Reckoning (PDR)	★	★★★★★	Step counter + motion sensors
Augmented reality (AR)	★★	★★★★★	iPhone 11, Google Tango / Pixel, Huawei Mate 30P
<i>Our WaNAR</i>	★★★★★	★★★★	Ditto.

Apart from indoor positioning, another heated research topic is the integration of indoor and outdoor positioning information and systems. With people moving seamlessly between buildings and surrounding areas, positioning and navigation tools should support seamlessly integrated indoor-outdoor scenarios instead of merely outdoor or indoor guidance (Vanclouster et al., 2016). A plethora of research works on the integration of GPS, the preferred outdoor positioning and navigation technology,

164 and other indoor positioning technologies. For example, Cheng et al. (2014) proposed  
165 a seamless outdoor/indoor pedestrian navigation system where GPS serves for stable  
166 and continuous outdoor navigation and WiFi as a reliable and stable indoor navigation  
167 technique. Other emerging technologies such as BIM (building information modeling),  
168 stereo-vision are also applied for indoor-outdoor integrated positioning. Stereo-vision  
169 based navigation system for unknown indoor and outdoor environments was designed  
170 and introduced for both flying robots and pedestrians. Again, these solutions are  
171 dependent on specific equipment and constrained by initial investment and precision  
172 problems.

### 173 **Related 3D Model-based Error Calibration Methods**

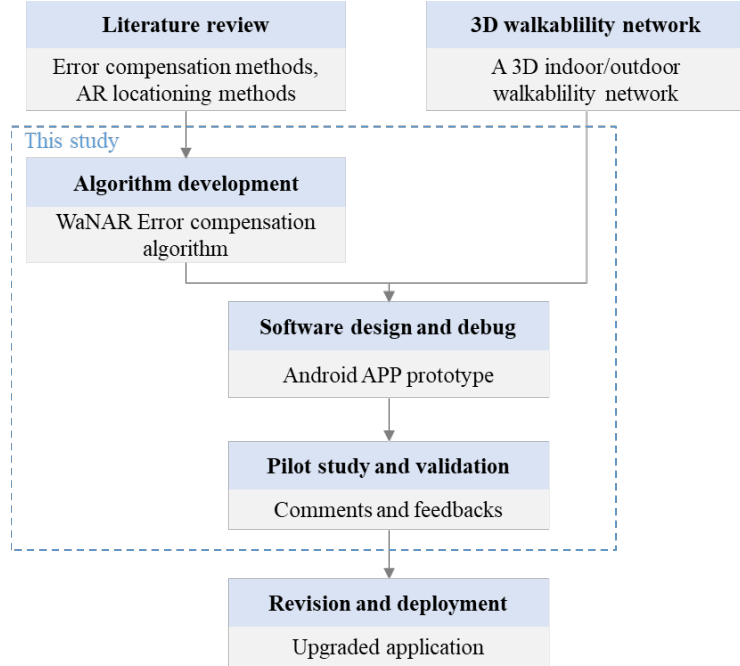
174 The 3D model-based error calibration method used in this paper is not a newly-  
175 created one. Eisert (2002) used synthesis analysis to calibrate extrinsic and intrinsic  
176 camera parameters based on a 3D computer graphics model. Sochor et al. (2017)  
177 calibrated traffic surveillance camera by 3D model bounding box alignment for  
178 accurate vehicle speed measurement. The model-based error calibration method is also  
179 widely applied in robot calibration, in which it can be divided into four parts, i.e., robot  
180 kinematic definition, robot position measurement, robot kinematic model  
181 identification, and compensation of position errors (Bai, 2007). When adopted in other  
182 scenarios, the steps are more or less the same. The compensation is based on the  
183 deviation between the real-time value and the model. If it is assured that the kinematic  
184 features are based on the predefined model, then the deviation can be eliminated within  
185 a preset tolerance scope.

### 186 **THE PROPOSED METHOD**

187 The research methods of this study is shown in Figure 1. There are two inputs  
188 prior to the study. One is literature review which helps conclude and compare different  
189 error compensation methods and AR positioning methods. The other input is a 3D  
190 walkability network. Under the ground-truth assumption that people only walk along  
191 horizontal lines such as roads, paths, corridors and slopes including stairs of qualified  
192 walking conditions and go through doors that can be opened, the 3D walkability  
193 network can be drawn based on surveying. Based on the methods reviewed and the  
194 linear features in the walkability network, a WaNAR error compensation algorithm is  
195 designed for consistent mitigation of drifting errors in AR positioning. Accordingly,  
196 coding work builds the prototype application in an Android APP. After debugging and  
197 testing, the APP is ready for pilot studies. By gathering and revising with the validation  
198 data and feedbacks from pilot studies, the WaNAR application can be upgraded and  
199 deployed.

200 The WaNAR error compensation algorithm, as shown as pseudo codes in  
201 Figure 2, is the core part of the propose method. It receives two inputs at any time: one  
202 is the translations on  $x$ ,  $y$ ,  $z$  axes ( $translation_{AR}$ ), and the other is a set of 3D lines of the  
203 walkability network ( $network_{3D}$ ). There is a drifting vector  $drifting\_vec$  recording the  
204 accumulated errors from comparing the AR motions to the walkability network. Before  
205 the compensation, the vector of drifting error is assumed as zero. First, the nearest line  
206 of walkable way is selected and the perpendicular foot from the AR-sensed translation  
207 to the line is computed. The distance from the foot to the AR-sensed translations is the  
208 estimated drifting error. However, if the translation is too close to the end of the line  
209  
210

211 (i.e., within the constant value of  $TURNING\_BUFFER$ ) or too far away from the  
 212 nearest line (i.e., meeting the constant value of  $OFF\_TRACK$ ), the compensation will  
 213 be dropped due to arrival at a possible turning point or unfollowing the guided  
 214 pedestrian network.  
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216  
217 **Figure 1. Research methods of this study**  
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219 For realizing the WaNAR algorithm in a beacon-free AR positioning method  
 220 and demonstrating the replicability, we used a Google Tango (model: Lenovo PB2-  
 221 690Y) smartphone with Android 4.4. In the prototype Android APP, the 3D translation  
 222 (positions on  $x, y, z$  axes) and the rotation (on  $x, y, z$  axes) of the AR phone pose are  
 223 consistently read from the Tango API  $TangoSupport.getPoseAtTime()$ . The vector of  
 224 translation is an input to the WaNAR algorithm ( $translation_{AR}$  in Figure 2) subjecting  
 225 to correction, while the rotation of camera pose is used for the graphic display of the  
 226 APP via  $updateRenderCameraPose()$  to synchronize the AR experience. It should be  
 227 noted that although Google Tango phone is very powerful in “area learning” through  
 228 infrared distance sensor, the “area learning” options are disabled to simulate a low-end  
 229 AR phone. That is, only the position and rotation vectors data collected and integrated  
 230 from inertial motion sensors (including the accelerator and gyroscope) are used in the  
 231 realization of the method.  
 232

---

**procedure**  $WaNAR\_error\_compensation$ :

```

input  $translation_{AR}, network_{3D}, drifting\_vec$ 
 $way := nearest\_path(network_{3D}, translation_{AR})$ 
if  $distance(translation_{AR}, tails\_of(way)) > TURNING\_BUFFER$  then
   $foot := perpendicular\_foot(translation_{AR}, way)$ 
   $drifting\_vec := drifting\_vec + (translation_{AR} - foot)$ 
  if  $\|drifting\_vec\| \leq OFF\_TRACK$  then
  
```

---

---

```

translationAR := translationAR - drifting_vec
end if
end if
return translationAR
end procedure

```

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233 **Figure 2. Pseudo codes of the WaNAR error compensation algorithm**

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## 235 BEACON-FREE AR POSITIONING WITH A PILOT STUDY

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### 236 Experimental Setup

237

238

239

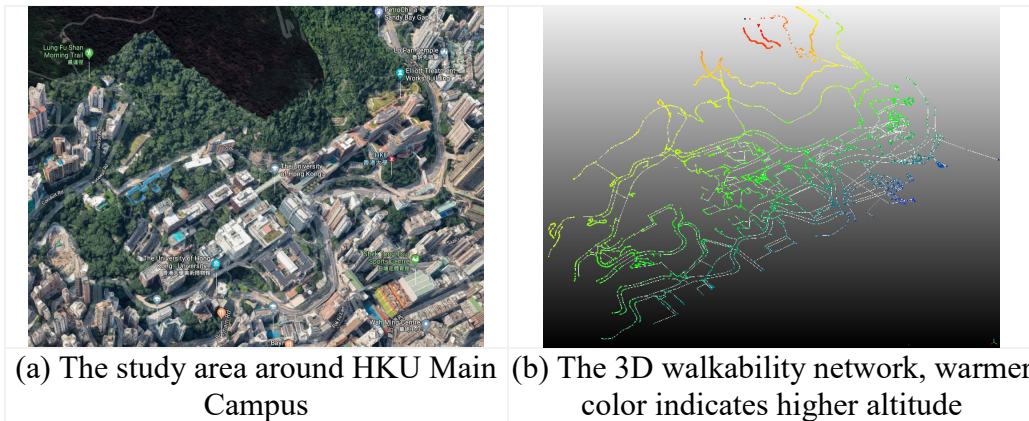
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A pilot study, as shown in Figure 3, was conducted at an area around the Main Campus, the University of Hong Kong (HKU). The study area is a hilly area with compact campus buildings and complex vertical and horizontal connections between the buildings. Therefore, a 3D walkability network for guidance and navigation is much desired. We employed a 3D walkability network developed in Sun et al. (2019). The network covers the whole outdoor pedestrian paths and some indoor areas.



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### 246 Android APP Demonstration

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Figure 4 shows the user interface of the prototype APP which was called “HKU Walk.” The development environment was Android Studio (version 3.1). The 3D walkability network-based error calibration is made to compensate for the error of AR positioning. The results of error compensation were showed regularly as messages, as shown in Figure 4.b. And the APP can sense slopes and stairs ( $0.05 \leq \Delta z / \|\Delta location\| \leq 0.7$ ) and elevators ( $\Delta z / \|\Delta location\| > 0.7$ ), as shown in Figure 4.c. After some trials and errors, the two constant values were also set for a fluent navigation experience, where  $TURNING\_BUFFER = 0.5m$  and  $OFF\_TRACK = 0.5m$ . By using such passive methods, no RF beacon signals are required.

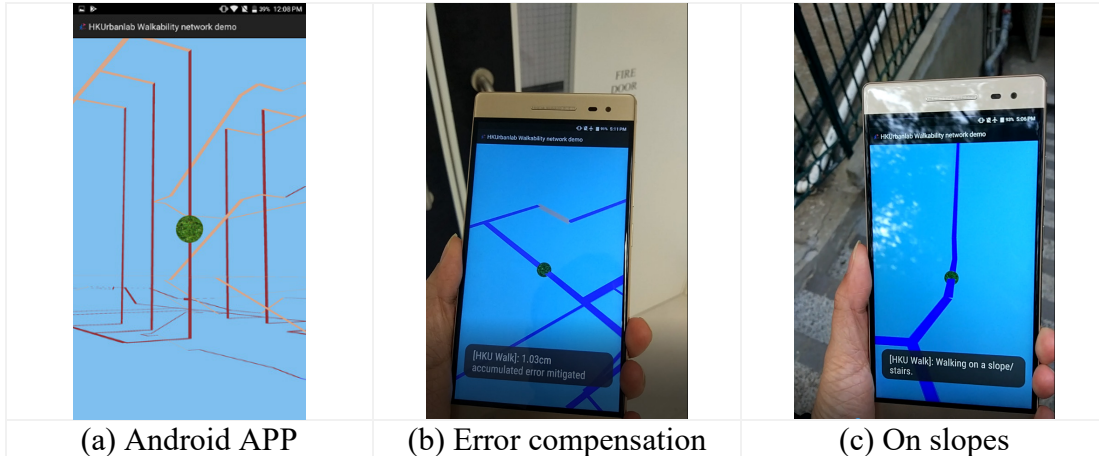


Figure 4. HKU Walk demonstration snapshots

User can rotate and pan the screen to attach the green ball, which represents one's location, to the 3D walkability network as the start location; so it is the same with the re-position on demand. The accumulated vector *drifting\_vec* will be reset to  $[0, 0, 0]$  at the mean time. After starting from a specific point, the APP can guide the navigation by keep compensating the error.

### Results and validation

We conducted an indoor-outdoor walking test for about 10 minutes. A video about the test results was recorded during the test, of which the full version is available at: [https://www.youtube.com/watch?v=jFy\\_MFYsGgBY](https://www.youtube.com/watch?v=jFy_MFYsGgBY). In the test, the user walked from the Knowles Building, G/F to the entrance of Chong Yuet Ming Cultural Centre, back to Knowles Building, G/F, then walking upstairs to 1/F and 2/F. The "Flight mode" was on through the test, so the conventional radiofrequency signals including GSM, WiFi, Bluetooth, GPS, and RFID, were disabled.

The results showed that the indoor/outdoor positioning by AR and WaNAR was accurate all through the test path in the 10-minute period. With the error compensation algorithm, the proposed WaNAR method can mitigate the sensor drifting and maintain AR sensing accuracy at a subcentimeter level, which is much better than most of the other positioning techniques. Therefore, the pilot study validated the technological feasibility of the WaNAR method. The application can even notice pedestrians the slopes and stairs and elevators.

We also had a few findings regarding the implementation. Firstly, during the test, users are asked to walk along the center line of the roads which will lead to smaller error. Secondly, the better the drifting error is compensated, the more precise the APP works. Thirdly, most walkable roads indoor is not very wide. Therefore, the 0.5 meter is an acceptable value for the parameter *OFF\_TRACK* in the pilot case.

### Limitations and Discussion

For the scalability, any phone with simple motion sensors and basic AR function can run and utilize the application very well even under the flight mode. And the error compensation requires no external beacon signals, which means the WaNAR solution has no on-cost in maintenance. The only prerequisite is a 3D indoor-outdoor



291 map which can be released by the building's owner and promoted to users via WiFi  
292 access points or so.

293 However, due to the linear 3D pedestrian network, the WaNAR method  
294 performs the best in linear indoor-outdoor spaces, and cannot well cover large open  
295 areas such as a podium. Another drawback is the presented method may lead to a small  
296 error if the user is not walking on the center line. In addition, the functionality of the  
297 demonstration APP requires user's manual re-positioning.

298 There are future development directions to resolve the above problems. First,  
299 the linear 3D pedestrian network can be extended with areas. Secondly, the infrared  
300 depth sensor can offer the depth image (as 3D point cloud) of the path, which can be  
301 processed automatically for the symmetry, center line, and iconic 3D objects (Xue et  
302 al., 2019a; 2019b) for additional error compensations, e.g., perpendicular to the guide  
303 walkability line and iconic environment object-based re-positioning. Thirdly, for  
304 automatic repositioning and the new comers who is not acquainted with the  
305 environment, we expect to integrate passive beacons, such as QR code or near-field  
306 communication (NFC) tags, for the start point and re-positioning in complex indoor-  
307 outdoor navigation.

## 308 **CONCLUSION AND FUTURE WORK**

309 This paper developed a straightforward, beacon-free error compensation  
310 method for precise smartphone AR positioning based on previous works of AR  
311 positioning, indoor positioning, indoor-outdoor positioning integration, and 3D model-  
312 based error calibration. It was proven to be more effective, accurate, and cheaper than  
313 other positioning methods in the pilot study in both indoor and outdoor positioning as  
314 long as the 3D walkability network covers. Different from other AR positioning  
315 methods which require high-profile AR phones with more sensors and big databases to  
316 store the images or models of the environment, our method just needs low-end AR  
317 phones with basic motion sensors and several manual hours to draw a 3D walkability  
318 network for a building.

319 However, it also has some obvious limitations. First of all, it may not work in  
320 small and complex environments such as an equipment room because walkability  
321 network in such an environment is hard to draw. Besides, for very wide roads and areas,  
322 several parallel lines should be drawn to ensure diversity of route choice.

323 Even though, it has great potential in areas including seamless indoor-outdoor  
324 navigation, facility management, and any other location-based services. When  
325 integrating with map services, it can contribute to the precise and seamless indoor-  
326 outdoor integration for positioning and navigation. It enables facility managers to  
327 provide better indoor navigation services for users which will furtherly enhance the  
328 convenience for users and efficiency of businesses. It also has excellent potential in  
329 location-based services such as shop searching in shopping malls, UAV navigation in  
330 unmanned warehouses, and office navigation in hospitals.

331 Future research directions include walkability areas in 3D map, infrared depth  
332 sensor and on-the-fly 3D path recognition, and integration of inexpensive beacons like  
333 NFC tags. Further development work includes rich navigation functions, map services  
334 integration, and automated walkability network generation.

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