# Solvent-Induced Cluster-to-Cluster Transformation of Homoleptic Gold(I) Thiolates: between Catenane and Ring-in-Ring Structures

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Supramolecular ensembles adopting Abstract: ring-in-ring structures are less developed compared with catenanes featuring interlocked rings. While catenanes with inter-ring closed-shell metallophilic interactions, such as d10-d10 Au(I)-Au(I), have been well-documented, the ring-in-ring complexes featuring such metallophilic interactions remain underdeveloped. Herein is described an unprecedented ring-in-ring structure of Au(I)-thiolate Au<sub>12</sub> cluster formed by recrystallization of a Au(I)-thiolate Au<sub>10</sub> [2]catenane from alkane solvents such as hexane, with use of a bulky dibutyl-fluorene-2-thiolate ligand. The ring-in-ring Au(I)-thiolate Au<sub>12</sub> cluster features inter-ring Au(I)-Au(I) interactions and underwent cluster core change to form the thermodynamically more stable Au<sub>10</sub> [2]catenane structure upon dissolving in, or recrystallization from, other solvents such as CH2Cl2, CHCl3, and CH2Cl2/MeCN. Both the ring-in-ring and [2]catenane structures have been characterized by NMR spectroscopy, ESI-MS spectrometry, elemental analysis, and X-ray crystal structure determination. The cluster-to-cluster transformation process in solution was monitored by <sup>1</sup>H NMR and ESI-MS measurements. Density functional theory (DFT) calculations were performed to provide insight into the mechanism of the 'ring-in-ring  $\leftrightarrow$  [2]catenane' interconversions, which could be rationalized by considering various interactions including ligand-ligand and metal-ligand dispersive interaction as well as metallophilic interaction.

## Introduction

Complexes composed of two or multiple rings interlocked or held together by noncovalent interactions have intrigued supramolecular chemists for decades not only for their unique and fascinating structures, but also for their potential applications in catalysis and molecular machines.<sup>[1]</sup> In the system comprising two rings, [2]catenanes featuring interlocked rings (Figure 1a)

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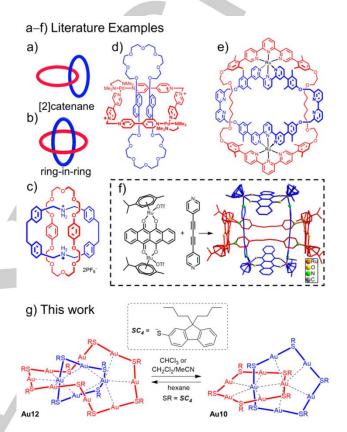
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**Figure 1.** Schematic representation of [2]catenane (a), ring-in-ring structure (b) and literature examples of ring-in-ring structure (c, [3a] d, [9a] e, [8b] and f(9b) and the Au(I)-thiolate ring-in-ring cluster **Au12** and interconversion between **Au12** and [2]catenane **Au10** reported in this work.

have been well explored. [2] whereas the ring-in-ring complexes, in which one macrocycle threads through another with their mean planes roughly perpendicular to each other (Figure 1b), [3] or two different-sized macrocycles are essentially parallel/coplanar<sup>[4a,b,d,f,g]</sup> or adopt other orientations<sup>[4c,e,h,5]</sup> forming Russian dolls, [4b,e,g,h] gyroscane, [4c] or a ring-in-ring rotaxane, [5] remain a challenging goal in noncovalent synthesis. [6] Ring-in-ring complexes are also key intermediates for the preparation of molecular Borromean rings.[3,7] As up to now, a number of ringin-ring complexes consisting of organic macrocycles [3,4a-f,h,5] held together by hydrogen bonding (Figure 1c). [3a] donor-acceptor. [3c] or host-quest<sup>[3d,4a-f,h,5]</sup> interactions have been reported, together with some examples of ring-in-ring metal complexes, in which the two noninterlocked rings were constructed or held together by metal-ligand coordination bonds<sup>[7b,d,8,9,10]</sup> (such as M-N (M = $Cu.^{[8a]} Pd.^{[9a,c,10a,b]} Ru.^{[7b,d,8b]} Ir.^{[9d]} Pt.^{[9c]} Zn^{[4g]}$  and M-O (M = Ru, [9b] Ir [9d]), e.g. Figure 1d-f) or held together by  $\pi$ - $\pi$  stacking (Figure 1d,f)<sup>[7h,9]</sup> or hydrophobic interactions.<sup>[10]</sup>

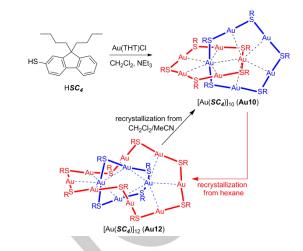
Closed-shell metallophilic interactions are comparable in strength with a typical hydrogen bond, [11] and have been used in the formation of catenanes, as demonstrated by the [2]catenane structures in, for example, the homoleptic homometallic Au(I)-alkynyl or -thiolate complexes [Au(C=CtBu)]\_{12}, [12] [Au(C=CR)]\_{10}, [13] [Au(SR)]\_n (n = 10, [14] 11, [15] 12[14]), heteroleptic Au-alkynyl/phosphine complexes, [16] and heterometallic Cu(I)/Ag(I)/Au(I)-alkynyl complexes, [17] and also the [3]catenane structure in Cu(I)-alkynyl complex [Cu(C=CtBu)]\_{20}, [18] The previous works on Au(I)-alkynyl or -thiolate catenanes highlight the role of Au(I)---Au(I) interaction in the assembly of interlocked rings. However, to the best of our knowledge, the assembly of a ring-inring complex in which the noninterlocked rings are held together by closed-shell metallophilic interactions has not been reported.

Herein, we report the unexpected formation of a homoleptic Au(I)-thiolate cluster, [Au(SC<sub>4</sub>)]<sub>12</sub> (Au12, Figure 1g), with a novel ring-in-ring structure featuring a [Au(SC<sub>4</sub>)]<sub>8</sub> ring encapsulating another [Au(SC<sub>4</sub>)]<sub>4</sub> ring, stabilized by weak Au(I)···Au(I) interactions and a bulky dibutyl-fluorene-2-thiolate ligand (SC<sub>4</sub>). Intriguingly, the ring-in-ring cluster Au12 can be quantitatively transformed to [2]catenane [Au(SC<sub>4</sub>)]<sub>10</sub> (Au10, Figure 1g) with a pair of interlocked [Au(SC<sub>4</sub>)]<sub>5</sub> rings upon dissolving in CHCl<sub>3</sub> or CH2Cl2/MeCN, and re-assembled by slow recrystallization of Au10 from alkane solvents such as hexane. The interconversion between Au12 and Au10 was monitored by <sup>1</sup>H NMR spectroscopy and ESI-MS spectrometry in solution. Also reported here are DFT calculations on the mechanism of the unique interconversion between the ring-in-ring and [2]catenane structures; the results suggest that ligand-ligand dispersive interaction, as well as Au-Au orbital / Au-S orbital interactions, could be used to modulate supramolecular structures, in which the ligand-ligand and metal-ligand dispersive interactions with metal-metal/metal-ligand synergically work orbital interactions.

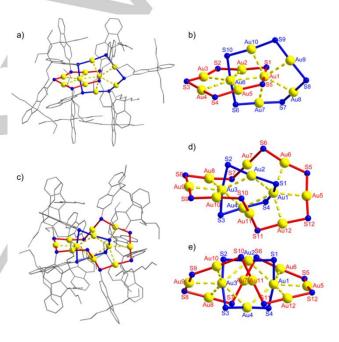
### Results and Discussion

Treatment of Au(THT)Cl (THT = tetrahydrothiophene) with 9,9dibutyl-fluorene-2-thiol (HSC<sub>4</sub>, 1 equiv) in CH<sub>2</sub>Cl<sub>2</sub> in the presence of triethylamine gave a yellow solid. Extraction of the product with hexane, followed by removal of the solvent and recrystallization from CH2Cl2/MeCN afforded Au10 in 83% yield as yellow needle crystals (Scheme 1). Interestingly, recrystallization of Au10 from hexane, by slow evaporation of the hexane solution at room temperature for 7 days, afforded Au12 in ~85% yield as yellow cubic crystals (Scheme 1); further recrystallization of Au12 from CH2Cl2/MeCN converted it back to Au10. Such interconversion between Au10 and Au12 was not affected by oxygen, as the same interconversion was observed for both the systems under air and the systems under argon. The structures of Au10 and Au12 have been determined by single-crystal X-ray diffraction studies (Figure 2; Tables S1, S3, S4 in the Supporting Information).

Complex Au10 has a [2]catenane structure (Figure 2a), the core of which (Figure 2b) contains two interlocked 10-membered Au<sub>5</sub>S<sub>5</sub> metallamacrocycles with weak Au(I)···Au(I) interactions,



**Scheme 1.** Synthesis of **Au10** and **Au12** and their interconversion induced by solvents. Au···Au interactions are depicted as dashed lines.



**Figure 2.** Crystal structures of **Au10** (a) along with its [2]catenane core (b), and **Au12** (c) together with its ring-in-ring core viewed along various directions (d, e). Au···Au interactions are depicted as dashed lines.

similar to the [2]catenane core of  $[Au(SC_6H_4-p-tBu)]_{10}.^{[14]}$  The nine close  $Au(I)\cdots Au(I)$  contacts (< 3.3 Å, Table S3 in the Supporting Information) between the two  $Au_5S_5$  metallamacrocycles of **Au10** average 3.038(6) Å, which is comparable to the average  $Au(I)\cdots Au(I)$  contact of 3.05 Å in the crystal structure of  $[Au(SC_6H_4-p-tBu)]_{10}.^{[14]}$ .

In contrast to **Au10**, complex **Au12** adopts a ring-in-ring structure, which consists of a  $[Au(SC_4)]_4$  ring (Figure 3) and a  $[Au(SC_4)]_8$  ring (Figure 4) apparently held together by weak  $Au(I)\cdots Au(I)$  interactions (Figure 2c-e), with the  $[Au(SC_4)]_4$  ring threading through the  $[Au(SC_4)]_8$  ring. The ring-in-ring core of **Au12** viewed from different directions is depicted in Figure 2d,e.

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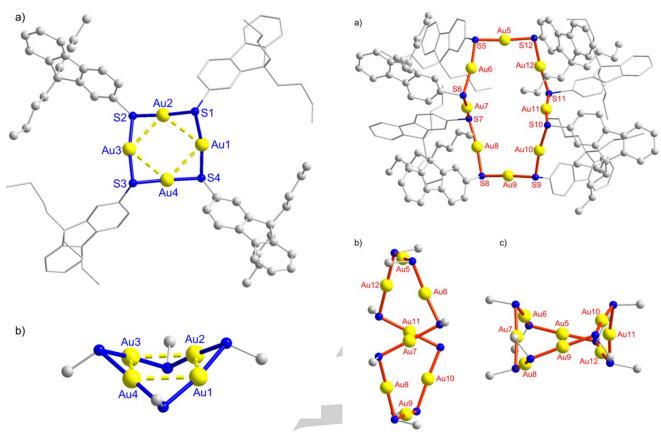


Figure 3. a) Structure of the inner  $[Au(SC_4)]_4$  ring of Au12. Hydrogen atoms are not shown. The aryl groups (of the thiolate ligands) pointing backward are shown by stick representation. b) The 8-membered Au<sub>4</sub>S<sub>4</sub> metallamacrocycle in the inner  $[Au(SC_4)]_4$  ring viewed from a different direction depicting its butterfly-type conformation (all the thiolate aryl atoms, except the  $\alpha$  carbons, are omitted).

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Figure 4. a) Structure of the outer  $[Au(SC_4)]_8$  ring of Au12. Hydrogen atoms are not shown. The aryl groups (of the thiolate ligands) pointing backward are shown by stick representation. b) and c) The 16-membered  $Au_8S_8$  metallamacrocycle in the outer  $[Au(SC_4)]_8$  ring viewed from two different directions (all the thiolate aryl atoms, except the  $\alpha$  carbons, are omitted).

The inner [Au(SC<sub>4</sub>)]<sub>4</sub> ring of Au12 features an 8-membered Au<sub>4</sub>S<sub>4</sub> metallamacrocycle (Figure 3a) in a butterfly-type conformation (Figure 3b). The four gold atoms (Au1-Au4) are coplanar, and there are four close Au---Au contacts of 3.0412(7)--3.1433(7) Å (Table S4) within the [Au(SC<sub>4</sub>)]<sub>4</sub> ring. Apart from these intra-ring Au(I)···Au(I) interactions, each of Au1 and Au3 shows three close Au...Au contacts with the Au atoms of the outer [Au(SC<sub>4</sub>)]<sub>8</sub> ring of Au12 (Figure 2d), and these six close Au···Au contacts between the inner and outer rings vary between 2.9225(7)-3.0675(7) Å. Probably owing to these six inter-ring Au...Au interactions, both the S1-Au1-S4 and S2-Au3-S3 moieties are appreciably bent (bond angles: 168.18(9)° and 169.24(9)°, respectively). The butterfly-type conformation of the 8-membered Au<sub>4</sub>S<sub>4</sub> metallamacrocycle (with four intra-ring Au(I)···Au(I) interactions as mentioned above) observed for the [Au(SC<sub>4</sub>)]<sub>4</sub> ring of Au12 features a pair of substantially folded wings, with a fold angle (the angle between the two wing planes) of ~112°. In contrast, in the previously reported X-ray crystal structures of isolated cyclic [Au(SR)]<sub>4</sub> complexes, [19] the corresponding 8membered Au<sub>4</sub>S<sub>4</sub> metallamacrocycle adopts a slightly folded conformation in [AuS(Si{OtBu}3)]4 (fold angle: ~157°)  $^{[19b]}$  and a nearly planar conformation in  $[Au(SC{SiMe_3}_3)]_4^{[19a]}$  and  $[Au(S{C_6H_4-o-X})]_4$  (X = N=CHC<sub>6</sub>H<sub>4</sub>-p-NMe<sub>2</sub> or N=CH-mesityl). [19c]

The outer [Au(SC<sub>4</sub>)]<sub>8</sub> ring of Au12 features a unique, structurally characterized (by X-ray crystal analysis), 16membered Au<sub>8</sub>S<sub>8</sub> metallamacrocycle adopting a double-helicallike conformation (Figure 2e and Figure 4). Close Au---Au contacts (< 3.3 Å) are not observed within this 16-membered metallamacrocycle. In the literature, although there are several reports on computational studies involving hypothetical cyclic [Au(SR)]<sub>8</sub> species, [20] including a theoretically optimized double helical conformation for *hypothetical* [Au(SMe)]<sub>8</sub>, [20b] we could not find literature examples of cyclic [Au(SR)]<sub>8</sub> species that have been observed by experimental means. The Au-S-Au angles in the  $[Au(SC_4)]_8$  fall in the range of 91.98(11)-104.53(10)°; these angles are larger than the Au-S-Au angles in the [Au(SC<sub>4</sub>)]<sub>4</sub> ring mentioned above. Compared with the computed double helical conformation for hypothetical [Au(SMe)]<sub>8</sub>, <sup>[20b]</sup> which shows four pairs of Au atoms between the two helical components with the Au-Au separation within each pair being ~3.14-3.64 Å, the two helical-like components of the experimentally determined [Au(SC4)]8 ring of Au12 are much less folded and feature three pairs of Au atoms (Au6-Au12, Au7-Au11, Au8-Au10, Figure 4b), with each pair showing a substantially larger Au-Au separation (~5.64, 6.16, and 5.86 Å, respectively).

The solution behavior of Au10 and Au12 was investigated by ESI-MS and <sup>1</sup>H NMR spectroscopy. The high-resolution ESI-MS spectrum shows a prominent cluster peak at m/z 5087.3628 for **Au10** (Figure S1) and at *m*/*z* 6099.5937 for **Au12** (Figure S2); these m/z values, together with the corresponding isotopic patterns, match those simulated for [Au10 + Na]+ and [Au12 + Na]<sup>+</sup>, respectively. The room-temperature <sup>1</sup>H NMR spectrum of Au10 in CD<sub>2</sub>Cl<sub>2</sub> or CDCl<sub>3</sub> displays three sets of aromatic resonances in a 2:4:4 ratio (Figure S4 and top of Figure 5, see also the 1H-1H COSY and NOESY NMR spectra depicted in Figures S7 and S8), which is consistent with the [2]catenane structure of Au10 wherein the 10 thiolate ligands are divided into three groups in a 2:4:4 ratio based on their environments, reminiscent of the case of Au(I)-alkynyl [2]catenanes [Au(C=CR)]<sub>10</sub>. The <sup>1</sup>H NMR spectrum of **Au12** in CD<sub>2</sub>Cl<sub>2</sub> (Figure S5) or CDCl<sub>3</sub> (bottom of Figure 5) is more complex than that of Au10, a comparison of their signals is depicted in Figure

S6. We also measured the variable-temperature <sup>1</sup>H NMR spectra of **Au12** in CDCl<sub>3</sub> (Figure S14), which revealed that the signals of **Au12** disappeared upon increasing temperature to 328 K with the signals observed at this temperature, and also at 338 K, being identical to those of **Au10**. Upon lowering temperature from 338 K to room temperature, the signals remained identical to those of **Au10**; no signals of **Au12** were recovered. These measurements suggest conversion of **Au12** to **Au10** in CDCl<sub>3</sub>, a cluster-to-cluster transformation in solution, upon increase of temperature (Scheme 2).

To better understand the cluster-to-cluster transformation process, we monitored a solution of Au12 in CDCl<sub>3</sub> at room temperature by measuring its  $^1H$  NMR spectra (1,2-dichloroethane as internal standard) at different times (Figure 5). As shown in Figure 5, the signals at  $\delta$  8.46, 8.27 and 6.85 ppm of Au12 gradually disappeared, while those at  $\delta$  7.83 and 6.94 ppm of Au10 gradually grew, with increasing time, indicating a

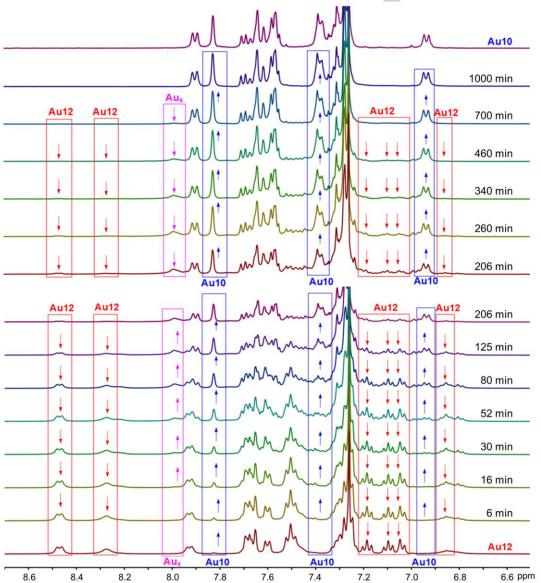
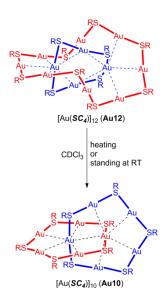


Figure 5. <sup>1</sup>H NMR (400 MHz) spectra (in aromatic region), in CDCl<sub>3</sub> at 298 K, of **Au10** (top), **Au12** (bottom; 2.1 x 10<sup>-3</sup> M; 1,2-dichloroethane as internal standard), and the solution of **Au12** upon standing for different times (spectra between top and bottom).



**Scheme 2.** Ring-in-ring cluster **Au12** to [2]catenane cluster **Au10** transformation in CDCl<sub>3</sub>. Au(I)····Au(I) interactions are depicted as dashed lines.

gradual transformation of Au12 to Au10 in the solution at room temperature (Scheme 2), and the transformation was completed within 1000 min under these conditions. Based on the integration ratios relative to the internal standard, the conversion of Au12 to Au10 is virtually quantitative. The variable-time spectral changes in Figure 5 also revealed generation of intermediate species during the cluster core transformation in solution. In the spectrum measured at 16 min, a signal at 7.99 ppm belonging to neither Au10 nor Au12 appeared; this signal, which reached its maximum after ~206 min and then started to vanish gradually, belongs to a new species Aux, presumably a  $[Au(SC_4)]_n$  (n  $\neq$  10 and 12) cluster. After 1000 min, all the peaks attributed to Au12 and Aux disappeared, and only the signals corresponding to Au10 were observed. We further examined the room-temperature <sup>1</sup>H DOSY NMR spectra of two mixtures of Au12, Aux, and Au10 in CDCl3 which were obtained from a solution of Au12 in CDCl<sub>3</sub> after standing for ~30 and ~120 min (Figures S11-S13); these DOSY NMR measurements revealed that the molecules of Au10, Au12 and  $Au_X$  have comparable diffusion constants ( $\sim 4 \times 10^{-10} \text{ m}^2/\text{s}$ ), indicating that the molecular size of  $Au_X$  is comparable to that of Au10 and Au12. The intermediate species  $\mathbf{A}\mathbf{u}_{\mathbf{X}}$  was only observed in solution; our attempts to isolate this species in pure form have not been successful.

We then monitored the cluster core transformation process (Au12  $\rightarrow$  Au10) by ESI-MS measurements (Figure 6). The freshly prepared Au12 solution in  $CH_2Cl_2/MeOH$  features one prominent cluster peak attributed to [Au12 + Na]^+, along with two weak cluster peaks attributable to [Au10 + Na]^+ and {[Au(\$C\_4)]\_{11}} (Au11) + Na)^+ (Figure 6a). After 65 min, the peaks attributable to [Au10 + Na]^+ and [Au11 + Na]^+ increased, and at 90 min, the ESI-MS spectrum features two prominent peaks assigned to [Au10 + Na]^+ and [Au12 + Na]^+, indicating a considerable transformation of Au12 to Au10. Then, at ~150 min, the peak of [Au12 + Na]^+ decreased, while the peak of [Au11 + Na]^+ reached its maximum and began to decrease. Finally, after 400

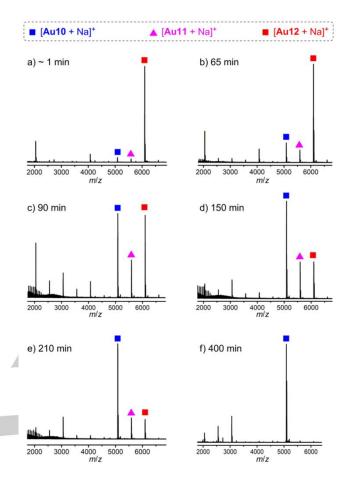


Figure 6. ESI-MS spectral changes of Au12 in CH<sub>2</sub>CI<sub>2</sub>/MeOH.

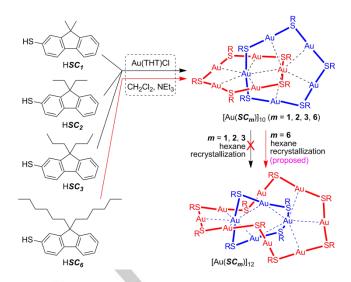
min, the spectrum features the prominent peak of  $[Au10 + Na]^+$ , and the two peaks of  $[Au11 + Na]^+$  and  $[Au12 + Na]^+$  disappeared. On the basis of these ESI-MS measurements and the NMR studies described above, the new complex  $Au_X$  appeared in the  $^1H$  NMR spectra (Figure 5), which appeared as an intermediate in the Au12-to-Au10 transformation process, is likely to be  $[Au(SC_4)]_{11}$  (Au11).

Given the different numbers of Au atoms in Au10 and Au12, the transformations between the two clusters do not belong to isomerization processes. We noted that, in both the cluster cores of Au10 and Au12, there are 10 Au atoms connected by a total of 10 close Au... Au contacts (< 3.3 Å), and Au12 has two extra Au atoms which are not involved in the 10 close contacts. Thus, as one of the possible pathways for the transformation of Au12 to Au10, cluster Au10 might come from a rearrangement of the '[Au(SC4)]10' moiety of Au12 connected by the close Au···Au contacts. If such is the case, the two extra '[Au(SC<sub>4</sub>)]' moieties dissociated from Au12, possibly via intermediate Au11, would self-assemble to also give Au10 in CDCl3 under the conditions employed, as Au12 was quantitatively converted to Au10 upon standing in CDCI3. On the other hand, the transformation of Au10 to Au12 upon slow crystallization from hexane suggests that the Au10 cluster is likely to undergo some extent of dissociation in the solution to generate, for example, transient mononuclear '[Au(SC4)]' species that can add to a Au10 molecule to form Au11 and/or Au12 (the '[Au(SC<sub>4</sub>)]'

species was not directly detected by spectroscopic means such as NMR measurements, possibly owing to insufficiently long lifetime and/or too low concentration).

The transformation from Au10 to Au12 in solution was also inspected by recrystallization of Au10 from various other solvents, including pentane, petroleum ether (40-60 °C), diethyl ether, methyl tert-butyl ether, and benzene for several days, with the products (after removal of the solvents) redissolved in CDCl<sub>3</sub> immediately being examined by <sup>1</sup>H NMR spectroscopy. From the NMR spectra obtained (Figure S15), Au12 could also be formed by slow recrystallization of Au10 from pentane and the petroleum ether, but not from diethyl ether, methyl tert-butyl ether, and benzene. It was found that, in the solvents CH<sub>2</sub>Cl<sub>2</sub>, CHCl<sub>3</sub>, CH<sub>2</sub>Cl<sub>2</sub>/MeCN, diethyl ether, methyl tert-butyl ether, and benzene, which either bear highly electronegative CI, N, or O atom(s) capable of forming hydrogen bonds or feature aromatic ring capable of participating in  $\pi$ - $\pi$  interactions, both **Au10** and Au12 are well soluble with the former being more stable (as reflected by the gradual transformation of Au12 to Au10 in these solutions). However, in hexane, pentane, and petroleum ether (40-60 °C), which bear neither aromatic groups nor highly electronegative atoms, only Au10 has a good solubility whereas Au12 is virtually insoluble; thus, the transformation of Au10 in these alkane solvents to Au12 was probably driven by the precipitation of Au12 from the solution system.

The effect of thiolate ligands on the self-assembly of Au10 and Au12 was examined by using several congeners of HSC4, including  $HSC_m$  (m = 1, 2, 3, 6; Scheme 3). Reactions of Au(THT)Cl with these thiols under the conditions identical to those for  $HSC_4$  gave  $[Au(SC_m)]_{10}$  (m = 1, 2, 3, 6) which are the [2]catenane congeners of Au10 as revealed by ESI-MS and <sup>1</sup>H NMR spectroscopy. For example, the HR ESI-MS spectra of  $[Au(SC_m)]_{10}$  (m = 1, 2, 3) feature a prominent cluster peak attributable to  $\{[Au(SC_1)]_{10} + Na\}^+$  (Figure S16),  $\{[Au(SC_2)]_{10} +$ Na)<sup>+</sup> (Figure S18) and  $\{[Au(SC_3)]_{10} + H\}^+$  (Figure S20), respectively. The <sup>1</sup>H NMR spectrum of [Au(SC<sub>1</sub>)]<sub>10</sub> in CDCl<sub>3</sub> (Figure S17) shows three sets of its thiolate ligand signals in a 2:4:4 ratio, reminiscent of that of Au10. Recrystallization of  $[Au(SC_m)]_{10}$  (m = 1, 2, 3) from different solvents, including hexane, petroleum ether, CH2Cl2/MeOH, and CH2Cl2/CH3CN only afforded powders which showed the same ESI-MS and <sup>1</sup>H NMR spectra of the starting [2]catenanes, without producing the corresponding ring-in-ring clusters (Scheme 3). In the case of [Au(SC<sub>6</sub>)]<sub>10</sub>, recrystallization from CH<sub>2</sub>Cl<sub>2</sub>/MeCN afforded needle crystals; its X-ray crystal structure was determined (Figure S24), revealing that this cluster adopts a [2]catenane structure. Upon slow recrystallization from hexane for ~7 days, the resulting powder showed an ESI-MS spectrum featuring two prominent cluster peaks attributable to  $\{[Au(\mathbf{SC_6})]_{10} + Na\}^+$  and  $\{[Au(\mathbf{SC_6})]_{12}\}$ + Na}<sup>+</sup> (Figure S25), and gave a <sup>1</sup>H NMR spectrum (in CDCI<sub>3</sub> at room temperature, Figure S26) analogous to that of a mixture of Au10 and Au12, suggesting the possible formation of a ring-inring  $[Au(SC_6)]_{12}$  cluster by recrystallization of  $[Au(SC_6)]_{10}$  from hexane. Also, variable-temperature <sup>1</sup>H NMR measurements (Figure S27) revealed facile transformation of the proposed ringin-ring  $[Au(\mathbf{SC_6})]_{12}$  to [2]catenane  $[Au(\mathbf{SC_6})]_{10}$  in CDCl<sub>3</sub> upon increasing temperature to 340 K, and by cooling back to room temperature, the signals remained identical to those of  $[Au(SC_6)]_{10}$ .



Scheme 3. Effect of thiolate ligands.

DFT calculations together with the energy decomposition analysis (EDA)[21,22] were performed to gain insight into the transformation between [2]catenane [Au(SR)]<sub>10</sub> and ring-in-ring  $[Au(SR)]_{12}$  (SR = **SC**<sub>4</sub>; **Au10** and **Au12**, respectively). From the computational studies and based on the experimental evidence (e.g. Figures 5 and 6 and structure features of Au10 and Au12), a stepwise pathway for the interconversion between Au10 and Au12 is proposed (Figure 7a, steps I-V), though the possible involvement of a more concerted pathway featuring recombination/dissociation of oligomeric units could not be excluded. The computed energies for each step are depicted in Figure 7b and the stabilization energy per monomer of the reaction intermediates is shown in Figure 7c. As shown in Figure 7a, for the expansion of the [Au(SR)]<sub>10</sub> cluster **Au10** in hexane, we considered that an exterior [Au(SR)] unit (Au is highlighted in red), which can possibly be generated by some extent of dissociation of Au10 in solution as mentioned above, is inserted into the seam between the two interlocked rings ("5+5" gold thiolate [2]catenane), resulting in the "5+5+1" core structure of [Au(SR)]<sub>11a</sub> (stability discussed below), the space-filling diagram of which is depicted in Figure S29. The added Au of [Au(SR)] can form direct interactions with the nearby Au and S with a distance of 3.20 Å (Au···Au) and 2.33 Å (Au···S). The expansion of the cluster is energetically favorable with an energy decrease of 1.88 eV due to introducing additional Au---Au and Au---S interactions. The stable [Au(SR)]<sub>11a</sub> was proposed to account for the signal of the Au11 species in the mass spectra (Figure 6). Inevitably, the insertion of a new [Au(SR)] monomer makes the cluster more crowded. As a consequence, a second exterior [Au(SR)] binding to the [Au(SR)]<sub>11a</sub>, i.e., the formation of the "5+5+1+1" skeleton is hindered due to steric limitations in the following step. This means that a structure distortion based on the "5+5+1" core is needed for the further expansion of the cluster. Inspired by our previous studies on the [2]catenane  $[Au(SR)]_{11}$  consisting of interlocked  $[Au_5S_5]$  and  $[Au_6S_6]$  rings, <sup>[15]</sup> a [2]catenane species [Au(SR)]<sub>11b</sub> ("5+6") is proposed to be generated. Based on the DFT calculated energy, the [Au(SR)]<sub>11a</sub> → [Au(SR)]<sub>11b</sub> transformation process is endothermic. The EDA

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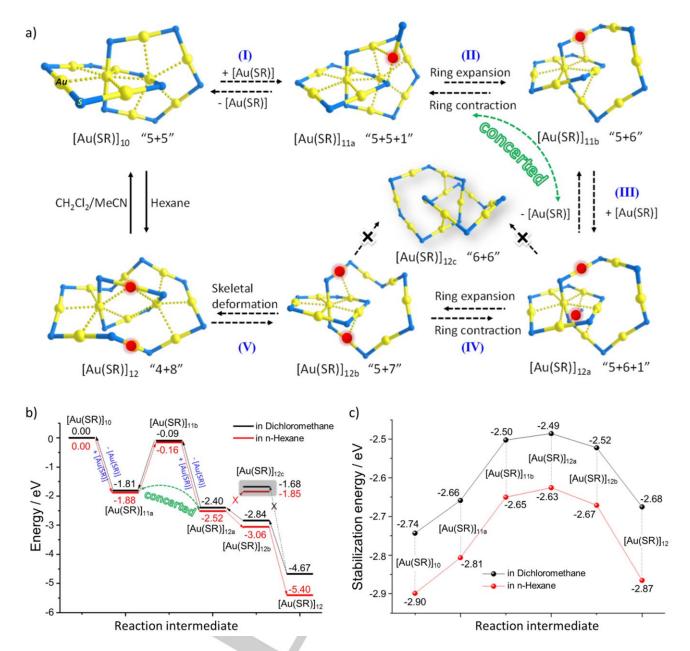


Figure 7. a) Proposed intermediate structures for the step-by-step transformation between  $[Au(SR)]_{10}$  and  $[Au(SR)]_{12}$  (SR =  $SC_4$ ). Only the Au-S skeletons are shown for clarity (full structures are depicted in Figure S30). The exterior Au of  $[Au(SR)]_{10}$  is illustrated by a red ball. (Color labels: yellow = Au and blue = S). b) Computed energies for each conversion step described in a). c) Stabilization energy per monomer of the reaction intermediates.

analysis of [Au(SR)] $_{11a}$  and [Au(SR)] $_{11b}$  species revealed that the weakened orbital interaction and dispersion interaction are the origin of the increase in energy (Table S6). The EDA results also confer a smaller steric repulsion effect of [Au(SR)] $_{11b}$  (19.78 eV) than that of [Au(SR)] $_{11a}$  (21.72 eV). The decreased repulsion also provides a possibility for a further expansion of [Au(SR)] $_{11b}$ .

Following the cluster core rearrangement of [Au(SR)]<sub>11a</sub> in step II, the second [Au(SR)] unit is introduced to form [Au(SR)]<sub>12a</sub> ("5+6+1"; for its space-filling diagram, see Figure S29) with the intense thermal release of 2.36 eV. Considering the large energy barrier in [Au(SR)]<sub>11a</sub>  $\rightarrow$  [Au(SR)]<sub>11b</sub> ring

expansion (step II), the transformation of  $[Au(SR)]_{11a}$  to  $[Au(SR)]_{12a}$  could possibly proceed in a concerted energy-saving manner (Figure 7a,b). Subsequent ring expansion can lead to [2] catenane  $[Au(SR)]_{12b}$  ("5+7") or  $[Au(SR)]_{12c}$  ("6+6"). The DFT results revealed that the transformation to  $[Au(SR)]_{12b}$  ("5+7") is energetically favorable whereas the transformation to  $[Au(SR)]_{12c}$  ("6+6") is unfavorable (see Figure 7b). Finally,  $[Au(SR)]_{12}$  ("4+8", Au12) can be generated through a further skeletal transformation from  $[Au(SR)]_{12b}$  ("5+7"). The rearrangements along the  $[Au(SR)]_{12a} \rightarrow [Au(SR)]_{12b} \rightarrow [Au(SR)]_{12}$  evolution direction is along an energy downhill pathway. The EDA results

show that although the  $[Au(SR)]_{12a} \rightarrow [Au(SR)]_{12b} \rightarrow [Au(SR)]_{12}$  conversion shows increased steric repulsion, this energy is entirely compensated by the strengthened orbital interactions and dispersion effects of the  $SC_4$  ligands (see Figure S32 and Table S7). However, the improved steric repulsion for the [2]catenane  $[Au(SR)]_{12c}$  cannot be well compensated due to the limited binding interactions, making it less prevalent species. The energy changes in each step for the transformation from  $[Au(SR)]_{10}$  (Au10) to  $[Au(SR)]_{12}$  (Au12) is shown in Figure 7b. Accordingly, the reverse process indicates that the  $[Au(SR)]_{12}$  can transform to the  $[Au(SR)]_{10}$  cluster via ring contraction reaction and two [Au(SR)] units elimination. From  $[Au(SR)]_{12}$  to  $[Au(SR)]_{10}$ , the elimination of the [Au(SR)] unit was found to be the rate-determining step.

To gain further insight into the driven force of the cluster expansion of  ${\bf Au10}$ , the stabilization energy  $E_{\rm stabil}$  was calculated based on the DFT energies. The stabilization energy calculations for the reaction intermediates reveal that  $[{\bf Au(SR)}]_{10}$  ( ${\bf Au10}$ ) is the most stable species, which affords the largest stabilization energy per monomer in solution (Figure 7c). It can also be noted that the stabilization energy of  $[{\bf Au(SR)}]_{11a}$  ( ${\bf Au11}$ ) and  $[{\bf Au(SR)}]_{12}$  ( ${\bf Au12}$ ) is slightly higher (< 0.10 eV) than that of  $[{\bf Au(SR)}]_{10}$ , implying that these two species are also possible to be experimentally observed. The small stabilization energy differences (0.06 eV in dichloromethane and 0.03 eV in hexane) between  $[{\bf Au(SR)}]_{10}$  and  $[{\bf Au(SR)}]_{12}$  also afford the possibility for the solvent-induced transformations between the two clusters.

Brisdon and co-workers previously reported Au(I)-thiolate [2]catenane  $[Au(SC_6H_4-o\text{-}CMe_3)]_{12}$ . Our attempts to obtain its  $SC_4$  counterpart, i.e., the [2]catenane  $[Au(SR)]_{12c}$  (SR =  $SC_4$ ), have been unsuccessful. To computationally gain insight behind the phenomenon, we built up four models based on the X-ray crystal structures of Au12 (in this work) and [Au(SC<sub>6</sub>H<sub>4</sub>-o-CMe<sub>3</sub>)]<sub>12</sub> (reported by Brisdon and co-workers<sup>[14]</sup>): the SC<sub>4</sub>capped "8+4" ring-in-ring cluster 1 and hypothetical "6+6" [2]catenane cluster 2; the SC<sub>6</sub>H<sub>4</sub>-o-CMe<sub>3</sub>-coordinated "6+6" [2]catenane cluster 3 and hypothetical "8+4" ring-in-ring cluster 4 (Figure 8). The energy calculations on these four clusters show that cluster 1 is more stable than cluster 2. The EDA analysis revealed that, although cluster 1 has a larger steric repulsion, this is offset by a greater dispersion effect of the SC4 ligands (Table S8). In contrast, the rather large steric repulsion for cluster 4 cannot be well compensated by its ligand-induced orbital and dispersion interactions; therefore, cluster 3 is more stable than cluster 4. These calculation results provide a possible rationalization for the ring-in-ring structure of [Au(SC<sub>4</sub>)]<sub>12</sub> observed in this work but a [2]catenane structure of [Au(SC<sub>6</sub>H<sub>4</sub>-o-CMe<sub>3</sub>)]<sub>12</sub> reported previously. [14] Thus, variation of thiolate ligands can afford dramatic changes on the stability of the Au(I)-thiolate clusters by changing the strength of the individual energy of interaction components, indicating liganddependent stability for the Au(I)-thiolate cluster cores.

#### Conclusion

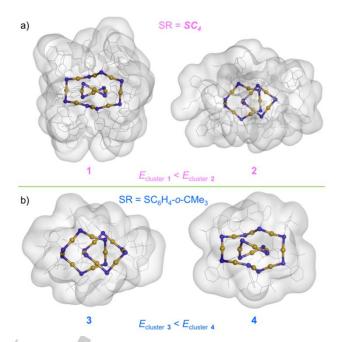


Figure 8. Energy comparison between ring-in-ring ("8+4") and [2]catenane ("6+6") structures of DFT-computed [Au(SR)]<sub>12</sub> clusters for a) SR = SC4 (1 and 2) and b) SR =  $SC_6H_4$ - $\sigma$ -CMe<sub>3</sub> (3 and 4). The Au···Au interactions are not shown. The geometry of the ring-in-ring cluster core (Au-S skeleton) for 1 and 4 was taken from the crystal structure of Au12 in this work, whereas that of the [2]catenane core (Au-S skeleton) for 2 and 3 was taken from the crystal structure of  $[Au(SC_6H_4$ - $\sigma$ -CMe<sub>3</sub>)]<sub>12</sub>, [14] without optimization; the geometry of the R groups in 1–4 was optimized.

fluorene-2-thiolate ligand (SC4), has been obtained by cluster core transformation of the [2]catenane  $[Au(SC_4)]_{10}$  (Au10) in alkane solvents such as hexane. Dissolution of Au12 in CH2Cl2 or CHCl<sub>3</sub> reversed the cluster core transformation process, converting Au12 back to Au10. By monitoring the transformation between Au12 and Au10 in solution by <sup>1</sup>H NMR and ESI-MS analysis, a [Au(SC4)]11 (Au11) species is suggested to be a possible intermediate in the Au12-to-Au10 transformation. DFT calculations revealed that the expansion of Au10 to Au12 is likely to proceed in a stepwise manner via generation of [Au(SC4)]11 (Au11) intermediate species, and change of the thiolate ligands can alter the strength of steric, orbital, and dispersive interactions resulting in dramatic changes on the structure types of Au(I)-thiolate cluster cores. Complex Au12 consists of an 8-membered [Au(SC<sub>4</sub>)]<sub>4</sub> metallamacrocycle and a 16-membered [Au(**SC**<sub>4</sub>)]<sub>8</sub> metallamacrocycle; the former adopts a markedly different conformation from those of literature reported cyclic [Au(SR)]<sub>4</sub> clusters whereas for the latter, no experimentally observed cyclic [Au(SR)]<sub>8</sub> clusters have been reported previously. The present work not only provides a structure type unprecedented for Au(I)-thiolate clusters (i.e. ringin-ring structure such as Au12), but also contributes a unique type of ring-in-ring metal complexes, in which the noninterlocked rings are linked by weak metallophilic interactions.

#### Acknowledgements

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#### Conflict of interest

The authors declare no conflict of interest.

**Keywords:** gold(I) • metallophilic interactions • ring-in-ring complexes • supramolecular assemblies • thiolates

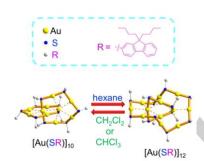
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## **Entry for the Table of Contents**

# RESEARCH ARTICLE

Ring-in-ring  $\leftrightarrow$  [2]catenane interconversion. A ring-in-ring structure of Au(I)-thiolate cluster [Au(SR)]<sub>12</sub> featuring inter-ring closed-shell metallophilic interactions is formed from [2]catenane [Au(SR)]<sub>10</sub> in hexane and transforms back to the [2]catenane upon dissolving in CH<sub>2</sub>Cl<sub>2</sub> or CHCl<sub>3</sub>. Spectroscopic measurements and DFT calculations provide useful mechanistic insights.



Guang-Tao Xu, Liang-Liang Wu, Xiao-Yong Chang, Tim Wai Hung Ang, Wai-Yeung Wong, Jie-Sheng Huang,\* Chi-Ming Che\*

Page No. - Page No.

Solvent-Induced Cluster-to-Cluster Transformation of Homoleptic Gold(I) Thiolates: between Catenane and Ring-in-Ring Structures

