

	1										
	2	PROF. JINHAN MO (Orcid ID : 0000-0001-5646-1533)									
	3	PROF. YUGUO LI (Orcid ID : 0000-0002-2281-4529)									
	4	DR. ZIFENG YANG (Orcid ID : 0000-0002-2681-4171)									
	5	DR. NANCY HIU LAN LEUNG (Orcid ID : 0000-0001-7314-840X)									
	6										
	7										
	8	Article type : Original Article									
	9										
	10										
	11	Frequent recovery of influenza A but not influenza B virus RNA in aerosols in pediatric									
	12	patient rooms									
	13	Running head: Airborne influenza virus in pediatric wards									
	14										
	15	Eunice Yuen Chi SHIU ^{1†} , Wenbo HUANG ^{2†} , Dan YE ^{3†} , Yanmin XIE ¹ , Jinhan MO ⁴ , Yuguo LI ⁵ ,									
	16	Benjamin John COWLING ¹ , Zifeng YANG ^{2*} , Nancy Hiu Lan LEUNG ^{1*}									
	17										
	18	[†] EYCS, WH and DY should be considered joint first author.									
	19	*ZY and NHLL should be considered joint corresponding author.									
	20										
	21	Affiliations:									
	22	1. WHO Collaborating Centre for Infectious Disease Epidemiology and Control, School of									
	23	Public Health, Li Ka Shing Faculty of Medicine, The University of Hong Kong, Pokfulam, Hong									
	24	Kong SAR, China									
	25	2. State Key Laboratory of Respiratory Diseases, National Clinical Research Center for									
	26	Respiratory Disease, Guangzhou Institute of Respiratory Health, The First Affiliated Hospital									
	27	of Guangzhou Medical University, Guangzhou Medical University, Guangzhou, 510120, China									
		Inis article has been accepted for publication and undergone full peer review but has not been									
		through the copyediting, typesetting, pagination and proofreading process, which may lead to									
		differences between this version and the Version of Record. Please cite this article as doi:									

10.1111/ina.12669

- 28 3. Department of Infection Control, The First Affiliated Hospital of Guangzhou Medical
- 29 University, Guangzhou, 510120, China
- 30 4. Department of Building Science and Beijing Key Laboratory of Indoor Air Quality
 31 Evaluation and Control, Tsinghua University, Beijing, 100084, China
- 32 5. Department of Mechanical Engineering, The University of Hong Kong, Pokfulam, Hong33 Kong SAR, China.
- 34

35 ***Corresponding author**:

- 36 Nancy H. L. Leung, School of Public Health, Li Ka Shing Faculty of Medicine, The University of
- 37 Hong Kong, 7 Sassoon Road, Pokfulam, Hong Kong.
- 38 Tel: +852 3917 6757; Fax: +852 3520 1945; email: leungnan@hku.hk.
- 39

40 Alternate corresponding author:

- Zifeng Yang, State Key Laboratory of Respiratory Disease, National Clinical Research Center
 for Respiratory Disease, First Affiliated Hospital of Guangzhou Medical University, 151
 Yanjiangxi Road, Yuexiu District, Guangzhou, Guangdong 510120, China.
- 44 Tel: +86 136 2227 3918; email: jeffyah@163.com.
- 45

46 Acknowledgments

We wish to acknowledge Vicky Fang from the School of Public Health, The University of
Hong Kong for advice on statistical analyses; colleagues from the Department of Infection
Control and the State Key Laboratory of Respiratory Diseases at the First Affiliated Hospital
of Guangzhou Medical University, and Mr. Ao Luo from the Department of Building Science at
the Tsinghua University for technical support; and Dr. William Lindsley from US Centers for
Disease Control and Prevention for providing the air samplers.

53

This work was supported in part by the Theme-based Research Scheme from the Research Grants Council of the Hong Kong Special Administrative Region, China (Project No. T11-705/14N), Guangzhou Medical University High-level University Clinical Research and Cultivation Program (Guangzhou Medical University released [2017] No. 160); Guangzhou Medical University High-level University Innovation Team Training Program (Guangzhou

- 59 Medical University released [2017] No. 159); and the Science Research Project of the
- 60 Guangdong Province, China (Grant No. 2016A050503047).
- 61

62 **Potential conflicts of interest**

- 63 BJC has received honoraria from Sanofi Pasteur and Roche. The authors report no other
- 64 potential conflicts of interest.

65 Abstract

66 Influenza transmission occurs through the air, but the relative importance of small droplets, or aerosols, in influenza transmission especially within healthcare facilities remains 67 68 uncertain. Detections of influenza virus in aerosols in cough and exhaled breath from 69 infected patients, and from the air in outpatient or inpatient healthcare facilities have been 70 studied, but most studies were done in adults with very few data involving children. We 71 aimed to assess the potential of influenza transmission via aerosols in pediatric patient 72 rooms. Two-stage cyclone (NIOSH) air samplers were used to collect the air in 5-bed 73 pediatric patient rooms with patients with PCR-confirmed influenza. Influenza A virus RNA 74 was recovered in 15/19 (79%) air sampling occasions, in all size fractions (>4µm, 1-4µm) 75 and $<1\mu$ m), and significantly less for influenza B virus (2/10 occasions, 20%). We estimated 76 a ventilation rate of 1.46 ACH in a similar but unoccupied 5-bed patient room. High 77 quantities of influenza A virus RNA detected in the air in pediatric patient rooms suggests 78 other individuals in paediatric patient rooms including other patients, visitors, caretakers 79 and healthcare workers could be exposed to influenza A virus while caring for infected 80 children.

81

Keywords: Influenza virus; influenza transmission; aerosol; pediatrics; healthcare settings;
infection control

84

Practical implications: Influenza virus has been thought to transmit predominantly via
droplet, but our study suggested the potential transmission via airborne route by detecting
substantial influenza A virus in the air. The viral detection in aerosols (<4µm) further
suggests a potential long-range transmission especially in a poorly ventilated setting.

89

90 Word count (abstract): 188

- 91 Word count (main text): 3,698
- 92

93 BACKGROUND

94 Influenza viruses are among the more important respiratory virus infections that cause 95 considerable morbidity and mortality in individuals of all ages every year.¹ Influenza viruses 96 are generally thought to transmit via multiple routes including contact, droplet and airborne 97 mode.² Contact transmission occurs when a patient with influenza (infector) directly 98 transfers virus-containing secretions to a susceptible person (infectee) such as shaking 99 hands (direct contact), or via a contaminated object or surface (indirect contact). Droplet 100 transmission occurs when the virus-containing large respiratory droplets from an infector 101 deposit onto the mucosal surfaces (eyes, nose, mouth) of an infectee. Airborne transmission 102 occurs when the virus-containing fine particle aerosols (usually believed to be particles with 103 aerodynamic diameter $\leq 5\mu$ m), generated during breathing, coughing or sneezing by the 104 infector,³ is inhaled by an infectee and subsequently initiate the infection. Although many 105 have stated droplet transmission as the predominant mode in influenza transmission, the 106 relative importance of each transmission mode especially the fine particle aerosols remains 107 uncertain.⁴ Infectious influenza virus was recovered in fine particles of <5µm from exhaled breath of infected individuals.⁵ Studies reported the detection of influenza virus in ambient 108 109 air in community⁶ and healthcare settings.⁶⁻¹⁰

110

111 Children are thought to play a significant role in the transmission of influenza because of 112 less-developed immunity with increased susceptibility to infection, increased social contact at schools, and possibly increased viral shedding for longer period of time,^{11,12} but there are 113 114 only limited studies that investigated the importance of aerosol transmission in children.^{13,14} 115 Tseng et al. recovered influenza A virus in 8/33 (24%) of the air samples collected in the emergency room of a pediatrics department using a Nuclepore filter with 0.4µm pore size.¹³ 116 117 Wan et al. recovered influenza A virus in 2/13 (15%) of air samples collected from a long 118 distance (3.2m) from the bed of influenza-infected pediatric patients in patient rooms using 119 a polytetrafluoroethylene filter with 0.2µm pore size, but none in air samples collected from 120 a short distance (0.6-1.8m) using a lower sampling airflow rate.¹⁴

121

122 There is an urgent need to evaluate the importance of aerosol transmission in children, in 123 particular in inpatient healthcare settings, because of the potential of increased 124 susceptibility of children to influenza infection, the increased infectiousness of children with 125 influenza, and increased transmission between caretakers and infected children than adults 126 in inpatient settings. Here, we conducted an air sampling study with size fractionation in 127 pediatrics patient rooms with patients with influenza-like illnesses in a tertiary hospital in 128 China, and provided basic information on the ventilation in patient rooms. The objective of 129 our study was to assess the potential of infected children transmitting influenza virus via aerosols by quantifying influenza virus RNA concentration in the air in pediatric patient 130 131 rooms.

- 132
- 133

134 METHODS

135 Selection Criteria for Air Sampling

136 The First Affiliated Hospital of Guangzhou Medical University is a 1500-bed comprehensive 137 3A (tertiary) hospital in Guangzhou, China. The pediatric department houses 86 beds which 138 are distributed in two floors. At hospital admission, throat swabs were routinely collected 139 from pediatric patients and tested for respiratory virus infection either by reverse 140 transcription polymerase chain reaction (PCR) or antigen test. To monitor for nosocomial 141 infections, throat swabs were also taken and tested for respiratory virus infection if the 142 patient developed respiratory symptoms during their hospital stay. For our study, we 143 initiated air sampling in a patient room if at least one pediatric patient <14 years old who was present with fever plus one or more acute respiratory symptom (sore throat, cough, 144 145 runny nose or fatigue) was identified. If ≥ 1 eligible patient was identified, the patient with 146 highest influenza RNA copies was selected.

147

148 Air Sampling in Pediatric Patient Rooms

We sampled air in 5-bed pediatric infectious disease patient rooms (Figure 1). The distance between beds was within 1.1 to 1.9m. The patient rooms were disinfected with sodium troclosene reagent at least once per day. For air sample collection, we used a stationary setup consisting of a two-stage cyclone sampler, developed by the US National Institute of Occupational Safety and Health (NIOSH),¹⁵ mounted on a tripod at a height of 1.3m which is 154 equivalent to the height of a child sitting on the bed. The NIOSH sampler collected air 155 particles of >4 μ m in a 15mL centrifuge tube, particles of 1-4 μ m in a 1.5mL tube and particles 156 of <1µm on a hydrophobic, polytetrafluoroethylene (PTFE) polymer membrane filter with 157 3.0µm pore size, 37mm in diameter (Merck Millipore, Germany). A portable analyzer that 158 recorded temperature and relative humidity was also mounted on the tripod. In each 159 sampling occasion, we placed two stationary set-ups in the selected patient room, with one 160 placed near the head position (within 1m) of the selected patient, and the other sampler 161 placed either near the head or the end of bed of a neighboring patient (approximately 2m 162 from the selected patient). We collected air in the patient room for 4 hours continuously at a 163 flow rate of 3.5L/minute. Other information including admission of new patients and opening of door/window were collected at 0, 2nd and 4th hours during the collection. 164

165

166 After each collection, 15mL tube (which collected air particles of >4µm) and 1.5mL tube 167 (which collected air particles of 1-4 μ m) were unscrewed from the sampler; while the PTFE 168 filter (which collected air particles of $<1\mu$ m) was removed from the rubber cassette and 169 placed into a 15mL tube immediately. 1mL of virus transport media (consisted of minimum) 170 essential medium (MEM) with 0.5% gelatin, 0.05% bovine serum albumin (BSA), 20 µg/ml 171 amphotericin B, 100 U/ml penicillin and 100 µg/ml streptomycin) was added into each of 172 the three tubes. All tubes were then vortexed and spun down for 1 minute each. For the 173 15mL tube containing the PTFE filter, after spinning down the filter was removed from the 174 tube. The virus transport media of all the three size-fractions of air samples were then 175 aliquoted into 2ml tubes and stored in -80°C for subsequent laboratory analysis.

176

177 Estimating Ventilation in Patient Rooms

Both ventilation rate (i.e. the amount of outdoor air introduced into the room) and the total supply air flow rate into the room (i.e. the total amount of air, including recirculated air, supplied by the mechanical ventilation system) were measured in a different but identical 5bed pediatric patient room without any patients in the same hospital. The ventilation rate was estimated using a tracer gas method.¹⁶ The patient room was 86.32m³ in size. Sulfur hexafluoride (SF6) was first injected into the cubicle with a constant emission rate of 150mL/minute controlled by a mass flow controller (MC 10SLPM, Alicat Scientific, USA). The 185 SF6 concentrations at six different sampling points (above each of the five beds, and the 186 exhaust) inside the room were monitored continuously by a SF6 analyzer (KX-1000F, 187 Zhengzhou Kaixuan Tech Co., LTD, China) together with a multipoint sampler with flow rate 188 of 5L/minute. The injection of SF6 was stopped when steady state was reached, and the 189 decay of SF6 concentration was monitored until the SF6 concentration became very low 190 (approximately 2 hours). The ventilation rate (i.e. the air change rate) was then estimated 191 based on the SF6 concentration decay. The total supply air flow rate was estimated based on 192 the average air speed at the supply diffusers, measured using an anemometer (TESTO 435, 193 Lenzkirch, Germany), and the total supply air area.

194

195 Laboratory Analysis

196 RNA from air samples was extracted with 1mL of TRIzolTM reagent (Invitrogen Life 197 Technologies) and dissolved in RNase-free water. 300µl of air samples in virus transport 198 media were used for RNA extraction, and the final eluted purified RNA volume was 25µl. 199 Influenza virus RNA was identified by commercial TaqMan real-time PCR assay (Guangzhou 200 Institute of Respiratory Medicine Company Limited) according to the manufacturer's 201 protocols. In brief, 25µl of reaction mix containing Moloney murine leukemia virus reverse 202 transcriptase, Tag polymerase and 4µl of the RNA eluent were used for the real-time PCR. 203 Details of the PCR cycling conditions are as follows: an initial reverse transcription at 55°C 204 for 10 minutes, incubation at 94°C for 2 minutes, followed by 40 cycles of 94°C for 10 205 seconds and 55°C for 35 seconds (ABI-7500 real-time PCR instrument; Life Technologies, 206 Singapore). The limit of detection of the RT-PCR is 600 copies per 1ml original sample, and 207 we considered samples with clear reaction signal growth curve with Ct values ≤40 to be 208 positive for influenza.

209

In samples that were PCR-positive, virus culture with MDCK cells was done.¹⁷ In brief, MDCK cells were cultured to reach approximately 80-90% confluent and washed once with PBS before inoculation with air samples. The suspension was removed after 1 hour of incubation, and the cells were cultured in MEM containing 100 µg/ml streptomycin, 100 U/ml penicillin and 2 µg/ml trypsin. The cells were then incubated for 2 to 3 days at 37°C with 5% CO₂. The presence of cytopathic effects (CPE) was determined under a microscope.

216

217 Statistical Analysis

218 We described the concentration of influenza A or B virus RNA detected per m³ air in each 3 219 size fractions (>4µm, 1-4µm and <1µm) collected by each NIOSH air sampler; and number of 220 patients in the patient room positive for influenza by each air sampling occasion. We 221 compared the number of occasions with virus RNA detected in the air between influenza A 222 and B virus in all air sampling occasions, or only in occasions with influenza-positive 223 patients by Fisher's exact test. In the subset of air sampling occasions with only one 224 influenza A-infected patient, for each air size fraction we investigated any significant 225 difference in viral load between the two air samplers placed at different locations by 226 Wilcoxon signed-rank test. All analyses were conducted with R version 3.5.1 (R Foundation 227 for Statistical Computing, Vienna, Austria).

228

229 Ethics Statement

This study was approved by the Institutional Review Boards of The University of Hong Kong
and The First Affiliated Hospital of Guangzhou Medical University. All parents and legal
guardians provided oral informed consent. Written consent was deemed unnecessary
because the study involved only environmental sampling and information related to patient
diagnoses was collected anonymously.

235

236 **RESULTS**

237 Characteristics of the Patients and Sampling Occasions

238 During the local influenza seasons in three consecutive years (2015-17), we performed 26 239 air sampling occasions in 5-bed pediatric patient rooms, contributing to 156 air samples 240 from all size fractions. Pediatric patients presented during the air sampling occasions had a 241 mean age of 3.5 years old (IQR 0.6-4.8), with average body temperature of 37.4°C (IQR 36.8-242 38.2) measured at admission and hospital stay of 8 days (IQR 5–10). Common respiratory 243 diagnoses were pneumonia (72%) and upper respiratory infections (34%); other non-244 respiratory diseases included tonsillitis (5%) and enteritis (7%). Room temperature and 245 relative humidity was on average 24°C (IQR 23–25) and 73% (IQR 67–77). We recorded the number of HCWs and visitors during the 3 sampling time-points: at least 3 visitors and at
most 4 HCWs were present during any sampling episode.

248

249 Influenza Virus RNA Detection in the Air

250 During all 26 air sampling occasions conducted, there was on average 0.9 (SD 0.7) patients 251 with laboratory-confirmed influenza A and 0.6 (SD 0.9) patients with influenza B virus 252 infections. We recovered influenza A or B virus RNA in 22/26 (85%) and 2/26 occasions 253 (8%) respectively. In particular, 19/26 (73%) occasions had ≥ 1 patient (mean 1.3 patients, 254 SD 0.6) with laboratory-confirmed influenza A virus infections, and 10/26 (38%) occasions 255 had ≥ 1 patient (mean 1.5 patients, SD 0.7) with laboratory-confirmed influenza B virus 256 infections. From these, we recovered influenza A virus RNA in 15/19 (79%) (Table 1) and 257 influenza B virus RNA in 2/10 (20%) occasions (Table 2) respectively. We also recovered 258 influenza A virus in the air from the 7 occasions with no patients found to have laboratory-259 confirmed influenza A virus infection (Table 1), but none from the 16 occasions where no 260 patient was infected with influenza B virus (Table 2). Probability of detection in the air was 261 significantly higher for influenza A than B virus, whether in all 26 sampling occasions 262 $(p=2.31 \times 10^{-8})$, or only in occasions with ≥ 1 patient positive for the corresponding influenza 263 A or B virus infections ($p=4.52 \times 10^{-3}$).

264

265 Due to limited number of air samples with influenza B virus detected, further analyses 266 focused on influenza A virus only. In all the 26 sampling occasions, influenza A virus RNA 267 was frequently detected in all air size fractions $<1\mu m$ (13/26, 50%), 1-4 μm (11/26, 42%) 268 and >4 μ m (16/26, 62%) (Figure 2). Virus culture was done for almost all air samples with 269 influenza A virus RNA detected by PCR but none were culture-positive. In 10 air sampling 270 occasions in which only the selected patient had laboratory-confirmed influenza A infection, 271 we recovered influenza A virus in the air from both air samplers that were placed near 272 (within 1m) the selected patient (7/10 sampling episodes), or next to a neighboring patient 273 (6/10 sampling episodes) approximately 2m apart from the selected patient. Influenza A 274 virus was detected more frequently near the selected patient $(5/10 \text{ in } <1 \mu\text{m}, 4/10 \text{ in } 1-4 \mu\text{m})$ 275 and 6/10 in >4µm) than the neighboring patient (3/10 in <1µm, 3/10 in 1-4µm and 2/10 in

>4 μm). However, no significant difference in viral load was observed between the two air
samplers for air size fractions <1μm (*p*=0.27), 1-4μm (*p*=0.82), and >4μm (*p*=0.39).

279 Ventilation of the Patient Rooms

The steady state SF6 concentrations at the six sampling points all fell within the range of 100 to 120 ppm. Based on the SF6 concentrations decay, we estimated the ventilation rate was 1.46 ACH. Separately, the measured average air speed at the supply diffusers was 2.15 m/s and the total supply air area was 0.14 m². Since the volume of the patient room was 86.32 m³, we estimated the total supply airflow rate was 12.24 ACH.

285

286 **DISCUSSION**

287 Influenza virus infections in children are occasionally severe enough to warrant 288 hospitalization. Within healthcare facilities, nosocomial infection may occur if infected 289 patients and susceptible individuals occupy the same area. The present study is among the few to evaluate influenza aerosols in healthcare settings particularly from children.¹⁸ We 290 291 were able to identify influenza A (Table 1), and to a significantly lesser extent influent B 292 virus (Table 2), in air particles including both droplets (>4µm) and aerosols (1-4µm and 293 <1µm fractions) (Figure 2) collected from pediatric patient rooms, consistent with the 294 estimates from a study of secondary transmission in influenza-exposed household 295 contacts.¹⁹ Lindsley et al. also showed more detection of airborne influenza A than B virus 296 RNA in an urgent care medical clinic, although it was attributed to a higher prevalence of 297 influenza A virus during the study period and no patient with influenza B virus infection was 298 identified.¹⁰ Moreover, when there was a patient with influenza A in the ward, we had a 79%299 chance of detecting influenza A virus RNA in the air, compared to just a 20% chance of 300 detecting influenza B virus RNA when there was an influenza B patient in the ward.

301

302 Our study was conducted in a natural hospital setting. Our study did not aim to observe 303 transmission directly as it is difficult to attribute a transmission event solely to a particular 304 route of transmission; however, the high prevalence of influenza A virus RNA in the air in 305 patient rooms implies other patients, health care workers and visitor could be exposed to 306 potential infection via contaminated air. On the other hand, although we detected influenza 307 A virus RNA in the air in most occasions, none of them were culture positive. In comparison 308 to one study which isolated viable avian influenza virus from air samples with over 10⁵ RNA 309 copies/m³ air using the same NIOSH samplers,²⁰ we expected a low probability of recovering 310 viable virus from aerosols in our study. Cao et al. evaluated the collection efficiency of 311 NIOSH sampler and reported a very low retention of virus infectivity with significant decline 312 after 60 minutes of collection, and suggested the loss of infectivity due to desiccation or 313 degradation.²¹ Two other studies evaluated the collection efficiency of other commercially 314 available air samplers and similarly inferred a loss of infectivity in air samples due to drying 315 of the aerosol particles.^{22,23} A previous study showed that if an enhanced infectivity 316 detection method is used infectious viruses could be identified from air samples with 10⁷ 317 viral copies/m³ air collected using the NIOSH samplers.²¹ Despite the inability to recover 318 infectious virus in our air samples, if for aerosol transmission the putative human infectious dose (HID) was 0.6-3 TCID₅₀ and equivalent to 90-1950 RNA copies,^{4,8,24} all our PCR-319 320 positive samples exceeded the upper bound of the HID_{50} and might indicate the potential to 321 initiate infection via the aerosol route.

322

323 Systematic measures such as increasing ventilation rates of patient rooms might be more 324 feasible than providing and ensuring personal respiratory protection of healthcare workers, 325 visitors or nearby patients. It is suggested that ventilation may play a role in reducing the 326 risk of influenza transmission,²⁵ and therefore could be an especially important engineering 327 intervention in healthcare settings if the aerosol route is found to be important for the 328 nosocomial transmission of influenza. In the present study, it was not possible to measure 329 the ventilation in parallel with each air sampling session as there were occupants in the 330 room. Instead, we estimated the ventilation rate and the total supply air flow rate in a similar 331 5-patient room within the same hospital using data obtained from an earlier study. The 332 steady state SF6 concentrations at all the sampling points were found to be very close, 333 together with the high total supply air flow rate, indicated the air was relatively well mixed 334 in the patient room. Although the estimated ventilation rate of 1.46 ACH was slightly less than the suggested value of 2 ACH for patient rooms by the Chinese national guidelines,²⁶ we 335 336 would expect a similar influenza virus detection rate in the air would be observed even if the

337 suggested ventilation rate was reached. Therefore, our results suggested that further 338 research is needed to design a ventilating system that could minimize the transmission of 339 nosocomial infections through the aerosol route but at the same time cost-effective, for 340 diseases which we expect to be less severe. Design of ventilation systems that minimize 341 airflow from one patient to another patient or to the surrounding should also be considered 342 since direction of airflow has been suggested to associate with the spread of airborne 343 infectious diseases.^{27,28}

344

345 We recovered about 1 log₁₀ higher viral load in the present study conducted in 5-bed 346 pediatric patient rooms in Guangzhou (Figure 2), when compared to our earlier similar study in 2-bed adult patient rooms in Hong Kong.⁷ Such difference might be explained by 347 348 differences in environmental factors (e.g. ventilation, temperature and relative humidity), or 349 the number and infectiousness of infected individuals in the patient rooms. For the adult 350 patient rooms in our previous study, a negative pressure isolation ward, the ventilation rate 351 was maintained at 12 ACH.⁷ There were more visitors and infected patients in the pediatric than the adult patient rooms which could possibly contribute to a higher viral load in the 352 353 pediatric environment. Some studies postulated children may be more infectious than adults by longer duration of virus shedding¹⁸ or increased peak viral load, but a systematic review 354 355 found no difference in quantity of virus RNA in respiratory swabs by age.²⁹

356

357 Our study has several limitations. First, we could not identify any viable influenza A virus by 358 virus culture from PCR-positive air samples as discussed above. Second, we were not able to 359 estimate the ventilation rate in parallel for each session of the air sample collection, for 360 example by tracer gas method as the patient rooms were in use, nor by indoor carbon 361 dioxide concentration increment above the outdoor level as there were frequent changes in the numbers of individuals in the patient rooms.³⁰ Instead, we provided information on the 362 363 ventilation estimated in a similar 5-patient room within the same hospital using data 364 obtained from an earlier study for indicative purposes. Third, we were not able to confirm 365 the source of virus generation as identified in the air samples. Some patients were diagnosed 366 with antigen test and therefore lacked the data on viral shedding. Although more virus 367 detection of influenza virus in the air collected from infected patient than the neighboring 368 (uninfected) patient suggested patients with laboratory-confirmed infection in the sampled 369 patient rooms were the likely source of the virus in the air, we also detected influenza virus 370 in rooms in the absence of laboratory-confirmed cases. Other individuals in the room 371 including patients without respiratory symptoms, visitors and healthcare workers, or 372 inadequate ventilation in the hospital, might have contributed to viruses in the air in these 373 occasions. In future studies, virus sequencing might be used to link the viruses detected in 374 the air with individual patients.

375

376 As seasonal influenza virus is thought to transmit predominantly via droplets, currently 377 Droplet Precautions is recommended for healthcare workers when caring for influenza patients.^{31,32} Droplet Precaution measures include proper use of personal protective 378 379 equipment for example surgical masks, appropriate patient placement for example either in 380 single rooms or with other patients infected by the same pathogen, and reducing patient movement.^{31,32} Because influenza A virus RNA was identified frequently in the air in 381 382 paediatrics patient rooms in the present study, some may raise the concerns on the need to adopt Airborne Precautions, which would entail the use of respirators and isolation of 383 384 patients in negative-pressure airborne isolation infection rooms (AIIR). Although our present findings and other similar studies³³ demonstrated the presence of airborne 385 386 influenza virus RNA, evidences on the infectivity of these airborne virus remains very 387 limited. As we discussed in a recent review on the controversy of airborne transmission of 388 respiratory viruses and the implications for infection prevention in healthcare settings, 389 additional studies to identify the presence of viable (infectious) virus in the recovered air 390 samples, and infection in susceptible individuals initiated from the inhalation of airborne viruses, are needed to provide more definitive support on the importance of aerosol 391 392 transmission for influenza. Furthermore, Airborne Precautions for a respiratory disease will 393 only be justified if the disease is believed to be with moderate or high severity.³⁴

394

In conclusion, our findings suggested there is a greater potential of aerosol transmission of
influenza A and less for influenza B virus; and other individuals in paediatrics patient rooms
including other patients, visitors, caretakers and healthcare workers could be exposed to
influenza A virus aerosols while caring for infected children.

References

- 400 1. Collaborators GBDI. Mortality, morbidity, and hospitalisations due to influenza lower
 401 respiratory tract infections, 2017: an analysis for the Global Burden of Disease Study
 402 2017. *Lancet Respir Med.* 2019;7(1):69-89.
- 403 2. Milton DK, Fabian MP, Cowling BJ, Grantham ML, McDevitt JJ. Influenza virus aerosols
 404 in human exhaled breath: particle size, culturability, and effect of surgical masks.
 405 *PLoS pathogens.* 2013;9(3):e1003205.
- 406 3. Tellier R, Li Y, Cowling BJ, Tang JW. Recognition of aerosol transmission of infectious
 407 agents: a commentary. *BMC Infect Dis.* 2019;19(1):101.
- 408 4. Tellier R. Aerosol transmission of influenza A virus: a review of new studies. *J R Soc*409 *Interface.* 2009;6 Suppl 6:S783-790.
- 410 5. Yan J, Grantham M, Pantelic J, et al. Infectious virus in exhaled breath of symptomatic
 411 seasonal influenza cases from a college community. *Proc Natl Acad Sci U S A*.
 412 2018;115(5):1081-1086.
- 413 6. Yang W, Elankumaran S, Marr LC. Concentrations and size distributions of airborne
 414 influenza A viruses measured indoors at a health centre, a day-care centre and on
 415 aeroplanes. *J R Soc Interface.* 2011;8(61):1176-1184.
- 416 7. Leung NH, Zhou J, Chu DK, et al. Quantification of Influenza Virus RNA in Aerosols in
 417 Patient Rooms. *PLoS One.* 2016;11(2):e0148669.
- Bischoff WE, Swett K, Leng I, Peters TR. Exposure to influenza virus aerosols during
 routine patient care. *J Infect Dis.* 2013;207(7):1037-1046.
- 420 9. Blachere FM, Lindsley WG, Pearce TA, et al. Measurement of airborne influenza virus
 421 in a hospital emergency department. *Clin Infect Dis.* 2009;48(4):438-440.
- 422 10. Lindsley WG, Blachere FM, Davis KA, et al. Distribution of airborne influenza virus and respiratory syncytial virus in an urgent care medical clinic. *Clin Infect Dis.*424 2010;50(5):693-698.
- 425 11. Viboud C, Boelle PY, Cauchemez S, et al. Risk factors of influenza transmission in
 426 households. *Br J Gen Pract.* 2004;54(506):684-689.
- 427 12. Lau LL, Ip DK, Nishiura H, et al. Heterogeneity in viral shedding among individuals
 428 with medically attended influenza A virus infection. *J Infect Dis.* 2013;207(8):1281429 1285.

430 13. Tseng CC, Chang LY, Li CS. Detection of airborne viruses in a pediatrics department
431 measured using real-time qPCR coupled to an air-sampling filter method. *J Environ*432 *Health.* 2010;73(4):22-28.

- 433 14. Wan GH, Huang CG, Chung FF, Lin TY, Tsao KC, Huang YC. Detection of Common
 434 Respiratory Viruses and Mycoplasma pneumoniae in Patient-Occupied Rooms in
 435 Pediatric Wards. *Medicine (Baltimore).* 2016;95(14):e3014.
- 436 15. Lindsley WG, Schmechel D, Chen BT. A two-stage cyclone using microcentrifuge tubes
 437 for personal bioaerosol sampling. *J Environ Monitor.* 2006;8(11):1136-1142.
- 438 16. Sherman MH. Tracer-Gas Techniques for Measuring Ventilation in a Single Zone. *Build*439 *Environ.* 1990;25(4):365-374.
- 440 17. Krauss S, Walker D, Webster RG. Influenza virus isolation. *Methods Mol Biol.*441 2012;865:11-24.
- Tseng CC, Chang LY, Li CS. Detection of Airborne Viruses in a Pediatrics Department
 Measured Using Real-Time qPCR Coupled to an Air-Sampling Filter Method. *J Environ Health.* 2010;73(4):22-28.
- 445 19. Cowling BJ, Ip DK, Fang VJ, et al. Modes of transmission of influenza B virus in
 446 households. *PLoS One.* 2014;9(9):e108850.
- 20. Zhou J, Wu J, Zeng X, et al. Isolation of H5N6, H7N9 and H9N2 avian influenza A
 viruses from air sampled at live poultry markets in China, 2014 and 2015. *Euro Surveill.* 2016;21(35).
- 450 21. Cao G, Noti JD, Blachere FM, Lindsley WG, Beezhold DH. Development of an improved
 451 methodology to detect infectious airborne influenza virus using the NIOSH bioaerosol
 452 sampler. *J Environ Monit.* 2011;13(12):3321-3328.
- 453 22. Fabian P, McDevitt JJ, Houseman EA, Milton DK. Airborne influenza virus detection
 454 with four aerosol samplers using molecular and infectivity assays: considerations for
 455 a new infectious virus aerosol sampler. *Indoor Air.* 2009;19(5):433-441.
- 456 23. Li JY, Leavey A, Wang Y, et al. Comparing the performance of 3 bioaerosol samplers
 457 for influenza virus. *J Aerosol Sci.* 2018;115:133-145.
- 458 24. Alford RH, Kasel JA, Gerone PJ, Knight V. Human influenza resulting from aerosol
 459 inhalation. *Proc Soc Exp Biol Med.* 1966;122(3):800-804.

- 460 25. Gao XL, Wei JJ, Lei H, Xu PC, Cowling BJ, Li YG. Building Ventilation as an Effective
 461 Disease Intervention Strategy in a Dense Indoor Contact Network in an Ideal City. *Plos*462 *One.* 2016;11(9).
- 463 26. Ministry of Housing and Urban-Rural Development of the People's Republic of China.
 464 Design code for heating ventilation and air conditioning of civil buildings (GB50019465 2012). In. *Indoor air design conditions*. China: China Architecture & Building Press;
 466 2012.
- 467 27. Menzies FD, Neill SD. Cattle-to-cattle transmission of bovine tuberculosis. *Vet J.*468 2000;160(2):92-106.
- 469 28. Bloch AB, Orenstein WA, Ewing WM, et al. Measles outbreak in a pediatric practice:
 470 airborne transmission in an office setting. *Pediatrics*. 1985;75(4):676-683.
- 471 29. Fielding JE, Kelly HA, Mercer GN, Glass K. Systematic review of influenza
 472 A(H1N1)pdm09 virus shedding: duration is affected by severity, but not age.
 473 Influenza Other Respir Viruses. 2014;8(2):142-150.
- 474 30. Sundell J, Levin H, Nazaroff WW, et al. Ventilation rates and health: multidisciplinary
 475 review of the scientific literature. *Indoor Air.* 2011;21(3):191-204.
- 476 31. World Health Organization. Infection prevention and control of epidemic-and
 477 pandemic prone acute respiratory infections in health care WHO guidelines. 2014.
 478 http://www.who.int/csr/bioriskreduction/infection_control/publication/en/.
- 479 Accessed August 29, 2019.
- 32. 480 Siegel JD, Rhinehart E, Jackson M, Chiarello L, Health Care Infection Control Practices Advisory C. 2007 Guideline for Isolation Precautions: Preventing Transmission of 481 482 Infectious Agents in Health Care Settings. 2007. https://www.cdc.gov/infectioncontrol/guidelines/isolation/index.html. 483 Accessed 484 April 9, 2019.
- 485 33. Killingley B, Nguyen-Van-Tam J. Routes of influenza transmission. *Influenza Other*486 *Respir Viruses.* 2013;7 Suppl 2:42-51.
- 487 34. Shiu EYC, Leung NHL, Cowling BJ. Controversy around airborne versus droplet
 488 transmission of respiratory viruses: implication for infection prevention. *Curr Opin*489 *Infect Dis.* 2019;32(4):372-379.

Table

Table 1. Recovery of influenza A virus RNA in the air from 5-bed pediatric patient rooms over a 4-hour sampling period.

Two NIOSH samplers mounted on separate tripods were used in each sampling occasion. One NIOSH sampler (NIOSH 1) was placed near the head position of the bed of the selected patient, and the other NIOSH sampler (NIOSH 2) near the head or the end of the bed of a neighboring patient. The two NIOSH samplers were placed approximately 2 meters apart. We attempted to recover viable virus from all PCR-positive samples by culture (except for occasions 1, 2, 12 and 18 where there were insufficient samples) but all were culture negative.

Air sampling occasions are numbered in chronological order. '-' represents viral RNA not detected in the air sample by PCR. * indicates if the selected or neigboring patient had laboratory-confirmed influenza A virus infection. Ventilation conditions, i.e. opening of door or window, were recorded as opened ('Y'), closed ('N') or changed ('Mixed') during the course of air sampling. N/A: Not applicable.

Table 2. Recovery of influenza B virus RNA in the air from 5-bed pediatric patient rooms over a 4-hour sampling period.

Two NIOSH samplers mounted on separate tripods were used in each sampling occasion. One NIOSH sampler (NIOSH 1) was placed near the head position of the bed of the selected patient, and the other NIOSH sampler (NIOSH 2) near the head or the end of the bed of a neighboring patient. The two NIOSH samplers were placed approximately 2 meters apart. We attempted to recover viable virus from all PCR-positive samples by culture (except for occasions 1, 2, 12 and 18 where there were insufficient samples) but all were culture negative.

Air sampling occasions are numbered in chronological order. '-' represents viral RNA not detected in the air sample by PCR. * indicates if the selected or neigboring patient had laboratory-confirmed influenza B virus infection. Ventilation conditions, i.e. opening of door or window, were recorded as opened ('Y'), closed ('N') or changed ('Mixed') during the course of air sampling. N/A: Not applicable.

		Influenza A virus viral load (copies/m ³ air)															
Air	Month/Year	Air particles from NIOSH sampler at selected patient				Air part at	Air particles from NIOSH sampler at neigboring patient				Patient information					condition	
sampling occasion		<1µm	1- 4μm	>4µm	Total	<1µm	1- 4μm	>4µm	Total	No. positive patients / No. tested	Flu A patient bed No.	No. Flu A patients used nebulizer	Selected patient bed no.	Neighboring patient bed no.	Door opened	Window opened	
With at least 1 patient with laboratory-confirmed influenza A infection (n = 19)																	
1	06/15	-	-	-	-	-	-	-	-	2/4	2,4	0	4*	5	Y	Ν	
2	06/15	-	-	-	-	-	-	-	-	1/2	4	0	4*	5	Y	Ν	
3	06/15	-	-	-	-	-	-	-	-	1/3	4	0	4*	5	Mixed	Ν	
4	06/15	-	-	-	-	-	-	18993	18993	1/3	2	0	2*	1	Y	Ν	
5	07/15	31583	28812	10274	70669	19676	38762	19815	78253	3/4	1,2,4	2	2*	1*	Y	Y	
6	07/15	14729	19263	14117	48109	-	-	-	-	1/3	3	0	3*	2	Y	Mixed	
7	07/15	-	-	35361	35361	-	-	-	-	1/4	3	0	2	1	Y	Ν	
10	07/15	32259	39873	47239	119371	-	57569	13724	71293	1/5	5	0	5*	4	Y	Ν	
12	04/16	17205	-	-	17205	-	-	-	-	1/5	1	0	4	5	Y	Ν	
13	04/16	-	14117	-	14117	18464	-	-	18464	1/4	3	1	1	2	Y	Ν	
14	04/16	24492	8253	-	32745	-	9709	15919	25628	1/4	5	1	3	2	Y	Ν	
15	04/16	-	3715	-	3715	12001	-	-	12001	1/3	5	0	5*	4	Y	Ν	
16	04/16	-	-	-	-	-	-	-	-	2/5	1,3	1	5	4	Y	Ν	
19	05/16	-	-	7319	7319	-	25016	-	25016	1/4	1	0	2	1*	Y	Ν	
20	05/16	-	-	20097	20097	-	-	-	-	1/6	2	0	2*	1	Y	Ν	
21	05/16	3487	-	8733	12220	13342	-	-	13342	1/5	4	0	4*	5	Y	Y	
23	05/16	-	31140	-	31140	-	-	-	-	2/4	2,4	1	4*	5	Y	Ν	
25	07/17	27616	16608	-	44224	16259	16375	34134	66768	1/4	3	0	3*	2	Mixed	Mixed	
26	08/17	45923	-	25372	71295	-	23978	-	23978	1/4	5	0	5*	4	Y	Ν	
Without an	y patient with	laborato	ry-confi	med infl	uenza A in	fection (n = 7	')			-							
8	07/15	-	-	-	-	-	-	20383	20383	0/5	N/A	0	2	1	Y	Ν	
9	07/15	50339	-	16259	66598	18334	-	-	18334	0/4	N/A	0	4	5	Mixed	Mixed	
11	04/16	-	-	-	-	-	-	26658	26658	0/3	N/A	0	4	5	Y	Ν	
17	04/16	-	-	-	-	-	-	16844	16844	0/5	N/A	0	4	5	Y	Ν	
18	04/16	-	-	-	-	10948	-	-	10948	0/5	N/A	0	3	2	Mixed	Ν	
22	05/16	28408	-	11916	40324	284078	-	-	284078	0/5	N/A	0	1	2	Y	Ν	
24	07/17	19676	21568	-	41244	-	16031	-	16031	0/5	N/A	0	3	2	Y	Y	

Table 1. Recovery of influenza A virus RNA in the air from 5-bed pediatric patient rooms over a 4-hour sampling period.

	Month/Year	Influenza B virus viral load (copies/m ³ air)													Vanti	lation
Air		Air part	ticles from at selecte	m NIOSH s ed patient	sampler t	Air parti at	Air particles from NIOSH sampler at neigboring patient				Patient information					
sampling occasion		<1µm	1- 4μm	>4µm	Total	<1µm	1- 4μm	>4µm	Total	No. positive patients / No. tested	Flu B patient bed no.	No. Flu B patients used nebulizer	Selected patient bed no.	Neighboring patient bed no.	Door opened	Window opened
With at least 1 patient with laboratory-confirmed influenza B infection (n = 10)																
11	04/16	-	-	-	-	-	-	-	-	3/3	2,3,4	1	4*	5	Y	Ν
12	04/16	-	-	-	-	2801	-	-	2801	1/5	4	0	4*	5	Y	Ν
14	04/16	-	-	-	-	-	-	-	-	2/4	1,3	0	3*	2	Y	Ν
15	04/16	-	9176	-	9176	-	-	-	-	2/3	1,3	0	5	4	Y	Ν
16	04/16	-	-	-	-	-	-	-	-	1/5	5	0	5*	4	Y	Ν
17	04/16	-	-	-	-	-	-	-	-	2/5	2,4	0	4*	5	Y	Ν
18	04/16	-	-	-	-	-	-	-	-	1/5	3	0	3*	2	Mixed	Ν
19	05/16	-	-	-	-	-	-	-	-	1/4	1	0	2	1*	Y	Ν
21	05/16	-	-	-	-	-	-	-	-	1/5	3	0	4	5	Y	Y
22	05/16	-	-	-	-	-	-	-	-	1/5	1	0	1*	2	Y	Ν
Without any patient with laboratory-confirmed influenza B infection (n = 16)																
1	06/15	-	-	-	-	-	-	-	-	0/4	N/A	0	4	5	Y	Ν
2	06/15	-	-	-	-	-	-	-	-	0/2	N/A	0	4	5	Y	Ν
3	06/15	-	-	-	-	-	-	-	-	0/3	N/A	0	4	5	Mixed	Ν
4	06/15	-	-	-	-	-	-	-	-	0/3	N/A	0	2	1	Y	Ν
5	17/15	-	-	-	-	-	-	-	-	0/4	N/A	0	2	1	Y	Y
6	07/15	-	-	-	-	-	-	-	-	0/3	N/A	0	3	2	Y	Mixed
7	07/15	-	-	-	-	-	-	-	-	0/4	N/A	0	2	1	Y	Ν
8	07/15	-	-	-	-	-	-	-	-	0/5	N/A	0	2	1	Y	Ν
9	07/15	-	-	-	-	-	-	-	-	0/4	N/A	0	4	5	Mixed	Mixed
10	07/15	-	-	-	-	-	-	-	-	0/5	N/A	0	5	4	Y	Ν
13	04/16	-	-	-	-	-	-	-	-	0/4	N/A	0	1	2	Y	Ν
20	05/16	-	-	-	-	-	-	-	-	0/6	N/A	0	2	1	Y	Ν
23	05/16	-	-	-	-	-	-	-	-	0/4	N/A	0	4	5	Y	Ν
24	07/17	-	-	-	-	-	-	-	-	0/5	N/A	0	3	2	Y	Y
25	07/17	-	-	-	-	-	-	-	-	0/4	N/A	0	3	2	Mixed	Mixed
26	08/17	-	-	-	-	-	-	-	-	0/4	N/A	0	5	4	Y	N

Table 2. Recovery of influenza B virus RNA in the air from 5-bed pediatric patient rooms over a 4-hour sampling period.

Figure

Figure 1. Layout of 5-bed patient room where the air sampling was conducted. The distance between beds was 1.7-1.9m on the side with the restroom, and 1.1 - 1.7m on the opposite side. During each air sampling occasion, there were two NIOSH sampler-set up (i.e. one NIOSH sampler mounted on a tripod connected to a pump which was stored inside a sound-proof box), one placed near the head position (within 1m) of the selected patient (NIOSH 1) and the other set-up placed near the head or the end of bed of the neighboring patient (NIOSH 2). The two NIOSH samplers were placed approximately 2 meters apart. This figure is given as an illustration of the positions of the NIOSH air samplers relative to the selected patient, where the selected patient could in reality be on any beds (number 1 - 5).

Figure 2. Distribution of influenza A virus RNA recovered in different size-fractions of air particles. Influenza A virus RNA in the air was detected from both NIOSH samplers in all size-fractions of air particles. Samples negative, is below the lower limit of detection of the PCR assay, are plotted as 'Undetectable'. Limit of detection (LOD) is defined as 600 copies per 1ml original sample, which converts to 714 copies per m³ in our samples.





Size-fractions of air particles