

1 Depression and Post-Traumatic Stress During Major Social Unrest in Hong Kong: a  
2 ten-year prospective cohort

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4 Michael Y Ni<sup>1,2,3,\*</sup>, MD ; Xiaoxin I Yao<sup>1</sup>, PhD; Kathy SM Leung<sup>1</sup>; PhD; Cynthia Yau<sup>1</sup>, MSc;  
5 Candi MC Leung<sup>1</sup>, MPhil; Phyllis Lun<sup>1</sup>, MA; Francis P Flores<sup>1</sup>, BSc; Wing Chung Chang<sup>3,4</sup>,  
6 FHKAM(Psychiatry); Prof Benjamin J Cowling<sup>1</sup>, PhD; Prof Gabriel M Leung<sup>1,\*</sup>, MD

7

8 Affiliations:

9 1. School of Public Health, LKS Faculty of Medicine, The University of Hong Kong, Hong  
10 Kong SAR, People's Republic of China.

11 2. Healthy High Density Cities Lab, HKUrbanLab, The University of Hong Kong, Hong  
12 Kong SAR, People's Republic of China.

13 3. The State Key Laboratory of Brain and Cognitive Sciences, The University of Hong  
14 Kong, Hong Kong SAR, People's Republic of China.

15 4. Department of Psychiatry, LKS Faculty of Medicine, The University of Hong Kong,  
16 Hong Kong SAR, People's Republic of China.

17

18 Address:

19 1/F Patrick Manson Building (North Wing), 7 Sassoon Road, Pokfulam, Hong Kong SAR,  
20 People's Republic of China.

21

22 Correspondence to:

23 \*Co-Corresponding authors: Michael Y Ni<sup>1,2,3,\*</sup> ([nimy@hku.hk](mailto:nimy@hku.hk), 3917 6776) and Gabriel  
24 M Leung<sup>1,\*</sup> ([gmlung@hku.hk](mailto:gmlung@hku.hk))

25

26 School of Public Health, LKS Faculty of Medicine, The University of Hong Kong, Hong

27 Kong SAR, People's Republic of China.

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33 ABSTRACT

34 Background: Hong Kong has been embroiled in increasingly violent social unrest since  
35 June 2019. We examined the associated population mental health burden, risk factors  
36 and health care needs.

37 Methods: In a population-based prospective cohort, adult participants aged  $\geq 18$  years  
38 were assessed at nine timepoints ( $n=1,213$  to  $1,736$ ) since 2009. Probable depression  
39 was measured using the Patient Health Questionnaire-9 (PHQ-9 score  $\geq 10$ ) and  
40 suspected post-traumatic stress disorder (PTSD) by the Posttraumatic Stress Disorder  
41 Checklist–Civilian version (PCL-C  $\geq 14$ ). We used multivariable logistic regression to  
42 identify factors associated with both outcomes. Based on routine service statistics and  
43 respondents' intention to seek professional care, we projected the number of additional  
44 ambulatory specialist psychiatric visits required.

45 Findings: Probable depression was reported by 11.2% (95% CI: 9.8% to 12.7%),  
46 compared to 1.9% (95% CI: 1.6% to 2.1%) during 2009-14 and 6.5% (95% CI: 5.3% to  
47 7.6%) in 2017 after Occupy Central and prior to the current unrest. Prevalence of  
48 suspected PTSD was estimated to be 12.8% (95% CI: 11.2% to 14.4%). Age, sex,  
49 educational attainment, or household income did not predict either outcome. Heavy  
50 social media use was associated with both outcomes. Political attitude or protest  
51 participation was not associated with probable depression, but neutrality halved the  
52 risk of suspected PTSD. Family support mitigated against probable depression. We  
53 estimated that the mental health burden identified would translate into an excess 12%  
54 service requirement to the public sector queue or equivalent.

55 Interpretation: We have identified a major mental health burden during the social  
56 unrest in Hong Kong, which will require substantial increases in service surge capacity.  
57 Health and social care professionals should be vigilant in recognising possible mental

58 health sequelae. In a world of increasing unrest, our findings may have implications for  
59 service planning to better protect population mental health globally.

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61 Kong Jockey Club Charities Trust.

62

63 Research in context

64 *Evidence before this study*

65 We searched PubMed, Web of Science, PsycINFO, and CINAHL Plus for studies published  
66 from the inception of each database to November 7, 2019 on collective actions and  
67 mental health. We used the following search terms with no language restrictions ((“civil  
68 disorders”[MeSH] OR “protest”[All Fields] OR “riot”[All Fields] OR “civil conflict”[All  
69 Fields] OR “revolution”[All Fields] OR “armed conflicts”[MeSH] OR “civil  
70 disobedience”[All Fields] OR “demonstration”[All Fields] OR “social movement”[All  
71 Fields] OR “political movement”[All Fields] OR “campaign”[All Fields]) AND (“mental  
72 health”[MeSH] OR “mental disorders”[MeSH] OR “depression”[MeSH] OR “depressive  
73 disorder”[MeSH] OR “post-traumatic stress disorder”[MeSH])) for PubMed, and adapted  
74 it for other databases. Only one study examined the longitudinal patterns and  
75 predictors of mental health in the general population following a collective action,  
76 which was a previous study from the present prospective cohort. We previously showed  
77 that 8.0% of the general population developed persistent moderate depression one year  
78 after 2014 Occupy Central. Depressive and posttraumatic symptoms persisted over 18  
79 months after the 2014 Ferguson unrest for both citizens and law enforcement.

80 Depressive symptoms increased during the 2015 Baltimore unrest and returned to  
81 baseline five months after the unrest. For post-traumatic stress disorder (PTSD),  
82 probability samples in the general population were restricted to riots, where the  
83 prevalence of PTSD ranged from 4% to 41%. Risk factors for depression and PTSD  
84 included women, lower socioeconomic status, and the level of violence and media  
85 exposure. Health service needs following a collective action are largely undocumented.

86

87 *Added value of this study*

88 Social unrest is rising globally, including in large prosperous cities such as Paris,  
89 Santiago, and Barcelona. The ongoing 2019 major social unrest in Hong Kong has  
90 spread to major cities globally with rallies having taken place in Australia, Canada,  
91 France, South Korea, UK, and US, amongst others. Using a large population-based  
92 prospective cohort with over ten years of longitudinal data, we assessed the population  
93 mental health burden, risk factors, and health care needs of the 2019 social unrest and  
94 compared the findings with baseline from 2009 and the 2014 Occupy Central protests.  
95 Here, we provide the first evidence on the high prevalence of probable depression and  
96 suspected PTSD during the major social unrest in Hong Kong. One in five adults  
97 reported probable depression or suspected PTSD during the social unrest, which is  
98 comparable to those experiencing large-scale disasters, armed conflicts, or terrorist  
99 attacks. Intense social media use, particularly social media apps widely used by  
100 protestors, was associated with both probable depression and suspected PTSD, while  
101 family support mitigated against probable depression. We estimated that the mental  
102 health burden identified would translate into an excess 12% service requirement to the  
103 public sector queue or equivalent.

104

#### 105 *Implications of all the available evidence*

106 Despite the extensive history, social unrest as an emerging socio-political determinant  
107 of population mental health remains largely unassessed and is an important line of  
108 inquiry. To date, this is the largest and longest prospective cohort study on collective  
109 actions and mental health. Our prospective findings show a major and pervasive mental  
110 health burden during the 2019 Hong Kong social unrest. This will require substantial  
111 increases in service surge capacity in both the health and social sectors. Particularly  
112 vulnerable subgroups include those with less family support, heavy social media users,

113 or express strong political views. Health and social care professionals need to be  
114 vigilant in recognising possible psychiatric sequelae during and after widespread  
115 unrest. This includes potential spillover effects, where those that have not participated  
116 in the protests can be also affected. Fewer than half of affected individuals intended to  
117 seek professional care. In particular those with suspected PTSD, unmarried younger  
118 men, or low family support were also more likely to report privacy concerns that would  
119 deter them from seeking professional help. These subgroups deserve focused attention  
120 from the health and social sectors. In a world of increasing unrest, our findings may  
121 have implications for service planning to better protect population mental health  
122 globally.

## 123 INTRODUCTION

124 Protests, riots, and other forms of collective actions have taken place in more than 180  
125 countries over the past half century, and these countries account for 99% of the world's  
126 population.<sup>1-3</sup> Social unrest is rising globally,<sup>2</sup> including in large prosperous cities such  
127 as Paris, Santiago, and Barcelona that have been sustained for prolonged periods in  
128 2019 alone. Despite this extensive history and widespread geographies, social unrest as  
129 an emerging socio-political determinant of population mental health remains largely  
130 unassessed.<sup>4</sup>

131

132 Hong Kong is known for its longest life expectancy in the world, economic prosperity,  
133 and until most recently as a Chinese city where peaceful protests take place freely and  
134 frequently.<sup>3,5</sup> The two largest social unrests since Hong Kong's repatriation in 1997,  
135 indeed since the 1967 riots,<sup>5</sup> are the 2014 "Occupy Central/Umbrella Movement" and  
136 the ongoing 2019 social unrest triggered by the proposed extradition bill (that has since  
137 been withdrawn). While the 2014 Occupy Central Movement took inspiration from  
138 "Occupy Wall Street",<sup>6</sup> the ongoing 2019 unrest has inspired other protests and has  
139 spread to major cities globally with rallies supporting or in opposition of the pro-  
140 democracy movement in Hong Kong having taken place in Australia, Canada, France,  
141 South Korea, UK, and US, amongst others.<sup>7,8</sup> Therefore, this globalisation of protests can  
142 and does spread rapidly, including potentially the attendant mental health burden on  
143 the whole population, irrespective of protest participation per se.

144

145 The 2014 protests were a largely non-violent civil disobedience campaign that blocked  
146 parts of the city centre for 79 days with no deaths, shooting, or arson.<sup>9</sup> The ongoing  
147 2019 social unrest is entering its seventh month (see Figure 1a for a chronology),



148 covers all districts, and has seen escalating levels of violence, involving arson, assault,  
149 vandalism but no looting.<sup>10</sup> The authorities have deployed tear gas, rubber bullets, and  
150 live ammunition (Figure 1b). Apart from direct physical injuries, the potential  
151 population mental health impact has not yet been reported.

152

153 Using a large population-based prospective cohort with nine waves of longitudinal data  
154 over ten years, we assessed the (1) population mental health burden, (2) risk factors,  
155 and (3) health care needs of the ongoing 2019 social unrest and compared the findings  
156 with those of the 2014 Occupy Central protests with baseline data from 2009.

157

## 158 METHODS

### 159 *Study design and participants*

160 Our sample was drawn from the FAMILY Cohort, a prospective population-based study  
161 of physical, mental, and social well-being at the individual, household and  
162 neighbourhood levels in Hong Kong.<sup>11</sup> The sampling unit was a family living in the same  
163 household. The sample was obtained by stratified random sampling of households from  
164 all 18 districts with sample sizes proportionate to each of the district populations. For  
165 each district, we obtained a random sample based on a complete list of living quarters  
166 provided by the Government Census and Statistics Department in Hong Kong.<sup>12</sup> The  
167 study began with enrolment of 18,045 adults and 1,488 children (aged 10-14) (“wave  
168 1”) between March 2009 and April 2011, and wave 2 took place from August 2011 to  
169 March 2014.<sup>12</sup> We subsequently randomly sampled members of wave 2 to measure  
170 changes in population mental health and associated factors over a ten-year period  
171 (Appendix Figure 1). To date, we have collected data at nine timepoints. Participants  
172 were surveyed at baseline (waves 1 and 2), during the 2014 Occupy Central/Umbrella

173 Movement (waves 3 and 4), after Occupy Central (waves 5, 6, and 7), and during the  
174 2019 social unrest (waves 8 and 9). We oversampled young adults aged 18-35 from the  
175 cohort during Occupy Central in 2014 and the 2019 social unrest, due to higher levels of  
176 support of the protests within this demographic group.<sup>13</sup> We also randomly sampled  
177 additional participants aged  $\geq 18$  years from the cohort in waves 4, 7, 8, and 9 as  
178 replenishment samples over the ten-year period. In each subsequent wave, we  
179 calculated cooperation and response rates according to prevailing accepted standards.<sup>14</sup>  
180 Informed consent was obtained from all participants. The study was approved by the  
181 Institutional Review Board of the University of Hong Kong/Hospital Authority Hong  
182 Kong West Cluster.

183

#### 184 *Outcomes and co-variables measured*

185 We focused on depression and post-traumatic stress disorder (PTSD) as these are the  
186 two most commonly observed mental health outcomes for collective actions, disasters,  
187 and armed conflicts.<sup>4,15,16</sup>

188

189 Depressive symptoms and probable depression: Probable current depression and  
190 depressive symptoms in the past two weeks were assessed using the Patient Health  
191 Questionnaire-9 (PHQ-9)<sup>17</sup> in all waves (see Box).

192

193 Suicidal ideation: Suicidal ideation was assessed using the ninth item of PHQ-9<sup>17</sup> (see  
194 Box).

195

196 PTSD symptoms and suspected PTSD: Probable current PTSD and PTSD symptoms  
197 were assessed using the 6-item Posttraumatic Stress Disorder Checklist – Civilian

198 version (PCL-C)<sup>18</sup> following the 2014 Occupy Central/Umbrella Movement (waves 5 and  
199 6) and during the 2019 social unrest (waves 8 and 9) (See Box).

200

201 Intention to seek professional care: In wave 9, we asked participants whether they  
202 would seek professional help for health problems related to the 2019 social unrest. For  
203 those responding in the affirmative, we further enquired which specific types of health  
204 professionals (can choose more than one option), and for those responding in the  
205 negative we asked for the reasons.

206

207 Attitude towards extradition bill: We asked whether respondents had been for,  
208 against, or neutral towards the extradition bill at wave 8.

209

210 Attendance at initial mass rallies: We asked if respondents had joined in the two  
211 rallies held on June 9 and 16, 2019 (Figure 1a) at wave 8. Government approval is  
212 required for protests, rallies, or any public gathering, and many subsequent protests  
213 were declared illegal assemblies.<sup>19</sup> We therefore did not ask about participation in  
214 subsequent protests because this could be potentially incriminating behaviour which  
215 could lead to reporting bias.

216

217 Direct exposure to the unrest: We asked respondents whether they had witnessed or  
218 were exposed to tear gas, and whether they had witnessed violence or serious injury in  
219 relation to the unrest.

220

221 Time spent on socio-political news and events via social media was assessed at  
222 wave 9. We specifically asked about frequency of access to Facebook, Instagram, LIHKG

223 Forum, and Telegram. LIHKG is a local Reddit-like online forum and Telegram is an  
224 encrypted messaging app, and both are widely used social media platforms particularly  
225 among protestors.<sup>20,21</sup>

226

227 Family support: The Family Adaptation, Partnership, Growth, Affect, Resolve (Family  
228 APGAR) was used to assess family support at all waves.<sup>22</sup>

229

### 230 *Statistical analysis*

231 We estimated the prevalence of probable major depression, suspected PTSD, suicidal  
232 ideation, depressive symptoms, and PTSD symptoms across the nine waves of  
233 longitudinal surveys. We examined the prevalence of probable depression and  
234 suspected PTSD in various socio-demographic subgroups. To account for demographic  
235 differences between each survey sample and the underlying population, we applied  
236 post-stratification weighting and inverse probability of censoring weighting to the data.  
237 Inverse probability weighting was used to account for potential attrition bias in a  
238 prospective cohort study.<sup>23</sup> The censoring weights were defined as the inverse of the  
239 probability of participating in the study after wave 2, estimated using logistic regression  
240 with baseline characteristics.<sup>24</sup> Post-stratification weighting was then applied using  
241 raking so that each wave would be representative of the general population.<sup>25</sup> We then  
242 used multivariable logistic regression analysis to estimate factors associated with  
243 probable depression and suspected PTSD in wave 9 participants. We additionally  
244 adjusted for doctor-diagnosed depression or anxiety disorders prior to the unrest.  
245 Responses to the questions on help-seeking behaviour were weighted to population  
246 structure. In each analysis we used multiple imputation to handle any incomplete data,

247 and combined the results from 20 imputed datasets using Rubin's rule.<sup>26</sup> All analyses  
248 were conducted using R version 3.5.2 and MATLAB 2019b.

249

### 250 *Role of the funding source*

251 The funders had no role in the design and conduct of the study; collection, management,  
252 analysis, and interpretation of the data; preparation, review, or approval of the  
253 manuscript; or the decision to submit the manuscript for publication. MYN, XIY, and  
254 GML had access to all the data, and all authors were responsible for the decision to  
255 submit the manuscript.

256

## 257 FINDINGS

258 After the two baseline surveys (waves 1 and 2), we followed up random subsets of  
259 1,213-1,736 of these adults in waves 3, 4, 5, 6, 7, 8, and 9, respectively (Appendix Figure  
260 1). The median response and cooperation rates for waves 3 to 9 were 73.4% and 73.7%,  
261 respectively (Appendix Figure 1). The demographic distribution of wave 9 conformed to  
262 the original cohort and socio-demographic differences between the weighted samples  
263 and the 2016 Hong Kong Population by-census were small<sup>12</sup> (Appendix Tables 1 and 2).

264

### 265 *2019 social unrest*

266 After weighting to account for differences between the sample and the population, we  
267 estimated that around 0.9 million adults (95% confidence interval, CI: 0.8 to 1.0  
268 million) and 1.2 million adults (95% CI: 1.1 to 1.3 million) in Hong Kong participated in  
269 the rallies on June 9 and 16, 2019, respectively. We estimated that 20.8% and 20.9% of  
270 adults witnessed/were exposed to tear gas or witnessed violence/serious injury,  
271 respectively. In terms of social media, 21.8% were non-users, 51.2% spent less than 2

272 hours per day, and 27.0% spent more than 2 hours per day on socio-political news and  
273 events on social media.

274

#### 275 *Mental health burden (Figures 2 and 3a)*

276 In wave 9, during the 2019 social unrest, the weighted prevalence of depressive  
277 symptoms amongst adults aged at least 18 years was 37.4% (95% CI: 35.1% to 39.7%)  
278 and suicidal ideation was 4.3% (95% CI: 3.3% to 5.2%). Probable depression was  
279 reported by 11.2% (95% CI: 9.8% to 12.7%), which was significantly higher than at any  
280 time prior (Figure 2). The prevalence of probable depression was low prior to 2014,  
281 increased considerably during the 2014 Occupy Central period, and did not appear to  
282 decline afterwards (Figure 2). In wave 7, the most recent timepoint prior to the 2019  
283 social unrest, the weighted prevalence of probable depression was 6.5%. An increase  
284 from 1.9% at baseline (average of waves 1 and 2) to 11.2% during the unrest (wave 9)  
285 corresponds to an additional 590,000 (95% CI: 500,000 to 690,000) adults with  
286 probable depression. An increase from 6.5% at wave 7 (2017), which was the most  
287 recent timepoint before the protest, to 11.2% during the 2019 unrest (wave 9)  
288 corresponds to an additional 300,000 (95% CI: 180,000 to 420,000) adults with  
289 probable depression (or a relative increase of over 70%).

290

291 We measured PTSD symptoms in waves 5, 6, 8, and 9. In wave 5, shortly after the  
292 Occupy Central period, the prevalence of PTSD symptoms was 4.9% (95% CI: 3.7% to  
293 6.1%), and declined to 2.1% (95% CI: 1.3% to 3.0%) in wave 6 nearly a year later  
294 (Figure 2). There were very large increases in PTSD symptoms in waves 8 and 9, during  
295 the 2019 social unrest. In wave 8, the weighted prevalence of PTSD symptoms had risen

296 to 16.6% (95% CI: 14.8% to 18.5%) and in wave 9 it rose even further to 31.6% (95%  
297 CI: 29.4% to 33.8%) (Figure 2). An increase from 2.1% (in wave 6) to 31.6% (in wave  
298 9) corresponds to an additional 1.9 million (95% CI: 1.7 to 2.0 million) adults with  
299 PTSD symptoms.

300

301 The prevalence of suspected PTSD, defined with the additional requirement of direct  
302 exposure to traumatic events related to the social unrest, at wave 9 was 12.8% (95% CI:  
303 11.2% to 14.4%) which corresponds to 810,000 (95% CI: 710,000 to 910,000) adults  
304 with suspected PTSD (Figure 3a). The combined prevalence of suspected PTSD or  
305 probable depression was 21.8% (95% CI: 19.9% to 23.7%), while the prevalence of  
306 suspected PTSD and depression co-morbidity was 2.5% (95% CI: 1.8% to 3.3%) (Figure  
307 3a).

308

309 *Risk factors of probable depression and suspected PTSD (Figures 4 and 5)*

310 Bivariable comparisons show that older adults aged <sup>3</sup> 60 years, those with lower  
311 educational attainment or income reported a higher prevalence of probable depression.  
312 In contrast, the age, education attainment, and income gradients were reversed for  
313 suspected PTSD. Respondents who were economically inactive,  
314 widowed/divorced/separated had a higher prevalence of probable depression,  
315 compared to those unemployed and never married reporting the highest rates of  
316 suspected PTSD. Adjusting for other factors, those singletons who had been previously  
317 married stayed a significant predictor of probable depression, whereas the bivariable  
318 associations observed for suspected PTSD did not hold. Political attitudes towards the  
319 extradition bill, which triggered the 2019 social unrest, or participation in rallies against

320 the bill, appear unrelated to probable depression. However, respondents who held a  
321 neutral view on the bill or did not wish to comment and those who did not take part in  
322 either of the initial large rallies reported half the prevalence of suspected PTSD (Figure  
323 5). Spending more than two hours every day on socio-political news via social media  
324 was strongly associated with probable depression and suspected PTSD. In particular,  
325 frequent use of Telegram was associated with both probable depression and suspected  
326 PTSD, while daily use of LIHKG was only associated with suspected PTSD (Appendix  
327 Table 3). In mitigation, family support demonstrated an inverse dose-response gradient  
328 with probable depression but not suspected PTSD.

329

### 330 *Intention and barriers to seek health professional help*

331 In the event that individuals developed health problems related to social unrest,  
332 participants intended to seek help from doctors, social workers, clinical psychologists,  
333 counsellors then nurses (Figure 3b). Nearly half of the weighted sample would not seek  
334 help from health care professionals. Reasons included self-management, seek help from  
335 family or friends, and the perception that health care professionals would not be able to  
336 help. Socioeconomic status, political views, or protest participation were not associated  
337 with intention to seek help (Appendix Table 4). Older adults and low family support  
338 were associated with being less likely to seek professional help. Suspected PTSD was  
339 associated with less help-seeking. In the bivariable comparisons, men and young adults  
340 were associated with more privacy concerns that would deter them from seeking  
341 professional help. Adjusting for other factors, being never married, low family support,  
342 and suspected PTSD were more likely to have privacy concerns.

343



344 *Potential service need and health system capacity (Figure 3)*

345 Taking the estimated 300,000 excess probable depressive cases associated with the  
346 2019 social unrest, and multiplying by 45.7% who intended to seek professional care  
347 would yield around 140,000 potential new patients who needed to be seen (Figure 3c).  
348 Among potential new patients, 64% would prefer consulting a medical professional  
349 (Figure 3b). Even if only 10% of these would eventually require specialist care by a  
350 psychiatrist (with the rest being looked after in primary health and social care), around  
351 9,000 additional initial specialist consultations would be generated. Assuming a follow-  
352 up frequency of every 16 weeks (equivalent to an average of 3 ambulatory visits over  
353 the next year), which is a common norm in the Hospital Authority, this would be  
354 roughly equivalent to 3% of the annual public sector case load (where during 2017/18,  
355 873,141 psychiatry specialist episodes were recorded by the Hospital Authority).<sup>27</sup>  
356 Similarly, assuming our estimate of 810,000 suspected PTSD cases were accurate, an  
357 additional 8.8% of the public sector annual outpatient case load would be required to  
358 meet the need. Together, probable depression and suspected PTSD would roughly add  
359 an extra 12% to the public sector queue or equivalent (Figure 3c).

360

361 DISCUSSION

362 Our prospective findings show a high prevalence of probable depression and suspected  
363 PTSD during the 2019 social unrest in Hong Kong. Probable depression has increased  
364 by an order of magnitude from baseline, and has doubled from the 2014 Occupy Central  
365 period. PTSD symptoms increased by a factor of six compared to post-Occupy Central.  
366 One in five adults now report probable depression or suspected PTSD, which is  
367 comparable to those experiencing armed conflicts (e.g. 22.1%<sup>16</sup>), large-scale disasters,  
368 or terrorist attacks (e.g. 10%<sup>15</sup>).

369

370 Of import, these mental health consequences transcended socio-demographics. As  
371 would be expected, participation in the two initial mass rallies, which might be  
372 predictive of subsequent direct exposure to violent conflicts, were associated with more  
373 PTSD. Heavy politics-related social media use in the top tertile strongly predicted  
374 mental ill health, in particular the preferred social media apps of LIHKG and Telegram  
375 widely used by protestors,<sup>20,21</sup> perhaps attributable to the increasingly extreme content  
376 (including fake news) and emotional contagion through social networks.<sup>28,29</sup> For  
377 suspected PTSD, Telegram was also the main communication tool used in planning and  
378 disseminating protest tactics, thus likely predictive of participation which would fulfil  
379 the direct witness or exposure requirement.<sup>30</sup> On the contrary, the protective role of  
380 family support could be explained by its stress buffering function.<sup>9,15</sup>

381

382 Fewer than half of those affected intended to seek professional care; how much of the  
383 residual self-care burden would eventually become unmet need should be carefully  
384 monitored. Privacy concerns were cited by over one-fifth of those with suspected PTSD,  
385 reflecting deep mistrust of the authorities in accessing medical records for potential law  
386 enforcement purposes.<sup>31</sup> Indeed, some have avoided seeking medical treatment in Hong  
387 Kong due to concerns that doctor-patient confidentiality is compromised.<sup>32</sup>

388

389 Our estimates did not account for those under 18. Given that a substantial proportion of  
390 the protesters are believed to be teenagers, which is substantiated by the tip-of-the-  
391 iceberg arrest statistics of 15% belonging to that age group, the reported prevalence of  
392 probable depression and suspected PTSD would be the lower bound of the real  
393 population burden. Whereas our sample is representative of the general adult

394 population, we did not purposively sample members of the Police. The strength of the  
395 Force as at 2018 totalled 29,398<sup>33</sup> out of Hong Kong's total adult population of  
396 6,320,875,<sup>34</sup> would translate into about 8 officers who should have been included in our  
397 sample, assuming a similar response rate by occupation which is less likely given their  
398 12-hour shift duty rosters as part of the "force mobilisation" at present. One may  
399 however anticipate that their mental health burden would be at least that of the general  
400 population, thus potentially presenting another unmeasured downward bias of the  
401 reported estimates.

402

403 Mental health care providers should plan for a substantial increase in service needs,  
404 tentatively 12% in excess of current baseline, disregarding inpatient care and non-  
405 medical services. We used specialist psychiatric care as an illustrative example as it is  
406 the tip of the clinical iceberg. If the surge capacity at the top of the referral tree is  
407 inadequate to deal with the mental health burden, then the problem elsewhere  
408 upstream in the referral chain would be compounded by Hong Kong's underdeveloped  
409 primary care and social care for mental illness/wellness.<sup>35,36</sup> There are major  
410 uncertainties around this estimate given the many necessary assumptions of care  
411 seeking behavior, spectrum of psychopathology and associated sequelae, and of course  
412 the ultimate duration and disposition of the ongoing social unrest. While we have cited  
413 two major sampling deficiencies that would underestimate the burden thus care need,  
414 our survey assessment tools for depression and PTSD, particularly the latter, could have  
415 overestimated the excess burden. Probable major depression or suspected PTSD, as  
416 measured, may represent substantial psychological distress in response to an abnormal  
417 event as opposed to true psychopathology.<sup>37,38</sup> Nevertheless, it would be prudent to  
418 plan for a major capacity surge to deal with the anticipated service need. According to a

419 recent meta-analysis, 47% of patients with major depression would remain depressed  
420 by one year if left untreated.<sup>39</sup> For PTSD, 39.1% would suffer a chronic course,<sup>40</sup> with  
421 the caveat that the existing literature had been mostly based on single, well-defined  
422 events (e.g. wars, natural disasters, physical or sexual abuse) as opposed to massive  
423 social unrest.<sup>41</sup> While some patients may experience recovery as the social unrest  
424 tapers, others whose condition was triggered by the unrest would be unable to recover  
425 simply with a change in the external macro environment.<sup>9,41</sup>

426

427 Psychiatry outpatient waiting time in the public sector, which is responsible for about  
428 76% of specialist care overall,<sup>42</sup> currently ranges from 17 to 64 weeks for routine  
429 appointments (accounting for 75% of all cases) across different hospitals. Two-thirds of  
430 psychiatry specialists and trainees work in the public sector with the rest in private  
431 settings. However, Hong Kong only has half the per capita psychiatry capacity as the UK,  
432 respectively 7.2 vs 14.6 psychiatrists per 100,000 population.<sup>43</sup> Hong Kong is under-  
433 resourced to deal with this excess mental health burden. For simplicity, we did not  
434 consider non-medical service needs nor how allied professionals could contribute to  
435 alleviating the identified need. This would require a major planning exercise across the  
436 health and social care sectors, involving both public and private providers in the mixed  
437 health and social care economy of Hong Kong.<sup>44,45</sup> The planning estimates in our  
438 illustrative example concern averages, but the inverse care law likely applies here in  
439 particular.<sup>46</sup>

440

441 A final major limitation bears mention. Despite our longitudinal design, causality  
442 between the 2019 social unrest and mental health outcomes cannot and should not be  
443 inferred. We examined associations and predictive factors rather than causes of mental

444 ill health as our primary objective was to identify vulnerable groups. Other caveats  
445 include the potential attrition bias of any long-term cohort. The application of censoring  
446 weights did not appreciably alter results, suggesting that attrition had little impact.  
447 Additionally, our family support and social media findings could be accounted for by  
448 depressed individuals becoming withdrawn and ruminating on unrest-related news.  
449 However, our findings remained following additional adjustment of past mental health  
450 history to mitigate the concern of pre-existing psychological vulnerabilities.  
451 Nevertheless, there could be residual confounding due to low life satisfaction or  
452 pessimism towards socio-political developments.<sup>47</sup>

453

454 In conclusion, our findings show a major mental health burden associated with the  
455 ongoing 2019 Hong Kong social unrest. This will require substantial increases in service  
456 surge capacity in both the health and social sectors, and in real time. Health and social  
457 care professionals need to be vigilant in recognising possible psychiatric sequelae  
458 during and after widespread unrest, opportunistically during routine interactions and  
459 systematically through deliberate planning. The high prevalence in probable  
460 depression and suspected PTSD could result in functional impairment for parenting and  
461 work, as well as substantial economic costs.<sup>48,49</sup> Public health measures during an  
462 unrest include health needs assessment, ensuring safety, and restoring the population's  
463 ability to engage in daily routines and community activities.<sup>4,50</sup> The knowledge gap  
464 regarding teenagers and police officers cannot be overemphasised and must be  
465 redressed urgently. In future, ongoing surveillance and monitoring of the mental health  
466 consequences of major social unrest, in addition to current conventions for infectious  
467 epidemics, wars, and natural disasters, should become routinised as part of  
468 preparedness efforts worldwide.

469  
470  
471

472 CONTRIBUTORS

473 GML and MYN conceived and designed the study. MYN, CY, CL, PL, and FPF collected  
474 data. MYN, XIY, KL, and FPF analysed data. MYN, WCC, BJC, and GML interpreted data.  
475 MYN and GML wrote the first draft of the manuscript. All authors critically revised the  
476 manuscript and approved the final version.

477

478 DECLARATION OF INTERESTS

479 BJC has received honoraria from Sanofi Pasteur and Roche, outside the submitted work.  
480 All the other authors report no potential conflicts of interest.

481

482 DATA SHARING

483 Data collected for the study will not be made available to others.

484

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650

651 FIGURE LEGENDS

652 Figure 1: Chronology and the 2019 Hong Kong social unrest in numbers.

653 (A) Chronology of events from April to December 2019.

654 (B) The number of deaths, injuries, and ammunition, and the age distribution of  
655 arrestees.

656

657 Figure 2: Evolution of mental health before, during, and after major protests,  
658 2009-2019.

659 (A) Weighted prevalence of depressive sequelae (PHQ-9) over the nine waves.

660 (B) Weighted prevalence (95% confidence interval) of probable depression, depressive  
661 symptoms and post-traumatic stress disorder (PTSD) symptoms (PCL-C) before and  
662 during the 2014 Occupy Central/Umbrella Movement and 2019 social unrest.

663

664 Figure 3: Mental health burden, intention to seek professional help, and potential  
665 service need and health system capacity during the 2019 social unrest.

666 (A) Weighted prevalence of mental health outcomes during the 2019 social unrest. Area  
667 of rectangles are proportional to the adult population size of Hong Kong.

668 (B) Intention to seek professional help among individuals with probable depression and  
669 suspected post-traumatic stress disorder (PTSD) for health problems related to the  
670 2019 social unrest. For those responding in the affirmative, we further enquired which  
671 specific types of health professionals (can choose more than one option), and for those  
672 responding in the negative we asked for the reasons.

673 (C) Potential service need and health system capacity during the 2019 social unrest.

674 Based on the mental health burden in panel (A) and the proportion of individuals with  
675 probable depression/suspected PTSD intending to seek professional care in panel (B),

676 we estimated the potential service need and additional case load during the social  
677 unrest.

678

679 Figure 4: Burden and risk factors of probable depression associated with the  
680 2019 Hong Kong social unrest.

681

682 Figure 5: Burden and risk factors of suspected post-traumatic stress disorder  
683 (PTSD) associated with the 2019 Hong Kong social unrest.

684

685 Appendix Figure 1: Sampling and retention of participants in Waves 1-9, FAMILY  
686 Cohort, 2009-2019.

687

688 TABLE LEGENDS

689 Appendix Table 1: Demographic composition of wave **9** participants compared to  
690 the original cohort (waves **1** and **2**).

691

692 Appendix Table 2: Demographic composition of wave **9** compared to 2016  
693 Population By-census of Hong Kong.

694

695 Appendix Table 3: Social media use and current probable depression and  
696 suspected PTSD during a major social unrest.

697

698 Appendix Table 4: Factors associated with help seeking and privacy concerns for  
699 health problems related to social unrest.

700



## Box: Main outcome measures used in this study

Outcome	Description
Probable current depression and depressive symptoms	<p>PHQ-9 is a standardised nine-item scale consistent with the diagnostic criteria for major depressive episode in the <i>Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5)</i>. We considered PHQ-9 as a continuous depressive symptoms score (range=0-27) and a binary indicator for depressive symptoms (PHQ-9 <math>\geq 5</math>)<sup>17</sup> and probable major depression (PHQ-9 <math>\geq 10</math>).<sup>51</sup> Scores (range 0-27) of 0 to 4, 5 to 9, 10, or greater were used to indicate none, probable mild, or probable moderate depression, respectively.<sup>17</sup> The PHQ-9 has been shown to be a reliable and valid measurement for depressive symptoms in the local population.<sup>52</sup> We use the term <i>probable</i> as PHQ-9 is a screening instrument and not a diagnostic interview. Nevertheless, a meta-analysis has shown that a score <math>\geq 10</math> has a sensitivity of 88% and specificity of 85% for the diagnosis of major depression.<sup>51</sup> Participants' mental health history pre-dating the unrest was defined as the presence of any one of doctor-diagnosed depression or anxiety disorder by self-report.</p>
Suicide ideation	<p>Participants were assessed if they had thoughts that they would be better off dead, or of hurting themselves over the past two weeks. Participants providing a positive response were considered as having potential suicidal ideation. A clinical psychologist and trained staff contacted participants who reported suicidal ideation based on a standardised protocol, and provided counselling, information on community centres for mental wellness, mental health hotlines, and referral to health care professionals as appropriate.</p>
PTSD symptoms and suspected PTSD	<p>The PCL-C scores range from 6 to 30. A PCL-C score <math>\geq 14</math> has a sensitivity of 92% and specificity of 72% for PTSD.<sup>18</sup> A score <math>\geq 14</math> was therefore classified as "PTSD symptoms". A score <math>\geq 14</math> plus direct exposure to traumatic events related to the social unrest (i.e. witnessed violence, serious injury, tear gas, or fall from height) in accordance with DSM-5 Criterion A was</p>

classified as suspected PTSD. We use the term *suspected* as PCL-C is a screening instrument and not a diagnostic interview and the unrest is on-going, thus suspected PTSD may represent substantial psychological distress in response to a stressful event as opposed to true psychopathology.<sup>37,53</sup> This is also consistent with the disease surveillance framework adopted by the World Health Organization with likelihood of diagnosis (lowest to highest) ranging from: suspected to probable to confirmed.<sup>54</sup>

702

703