

1 **A novel elastic-body-rotation model for concrete cover spalling caused** 2 **by non-uniform corrosion of reinforcement**

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7
8 **Abstract:** A novel elastic-body-rotation model is proposed to analyze the mechanism
9 and the process of cover cracking and spalling caused by the non-uniform corrosion of
10 widely spaced reinforcements. The width of the cover surface cracks, bulging of the
11 concrete surface and maximum thickness of the corroded steel can be predicted by using
12 the proposed model. The predicted bulging of the concrete cover has been validated by
13 the experimental and numerical results. Using the validated model, the effects of the
14 diagonal crack angle, tensile strength of concrete and cover thickness on the bulging of
15 the cover surface and the thickness of the corroded steel for initiating and widening
16 cracks are investigated with a parametric study. It is found that the diagonal cracking
17 angle has a major influence on the cover surface crack width. In addition, the degree of
18 corrosion in rebars and the width of internal diagonal cracks can be evaluated by simply
19 measuring the width of surface cracks or the bulging of cover surface.

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21 **Keywords:** Concrete cover; Non-uniform corrosion; Cover spalling; Analytical model

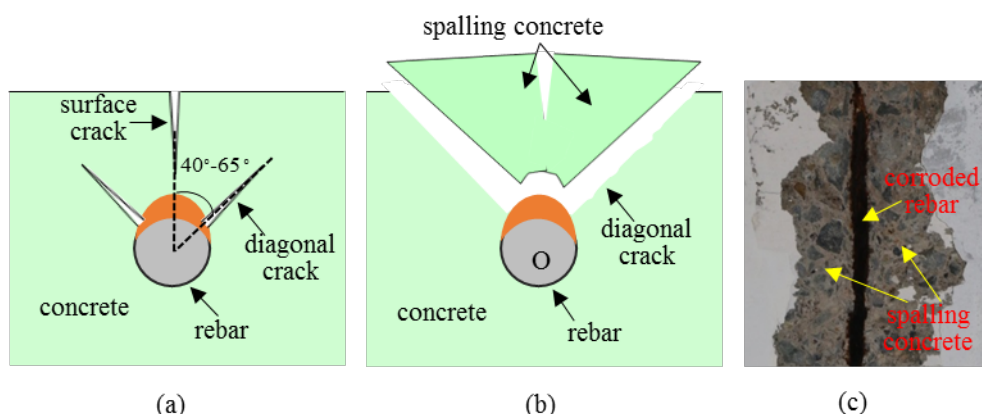
22 23 **1. Introduction**

24 Steel corrosion in reinforced concrete (RC) elements has been identified as one of
25 the most predominant problems in the deterioration of concrete structures worldwide
26 [1-4] as the durability, serviceability, and strength of RC structures are adversely
27 affected by corrosion induced concrete cracking, spalling and cover delamination [5-
28 13]. Experimental studies have found that in environments with a large concentration
29 of chloride, there is more rust on the side of the rebars that are near the cover surface
30 [14-17], which is mainly caused by macrocell corrosion of the rebars [18-23]. A number
31 of non-uniform corrosion models [24-44] have been proposed to study cover cracking
32 induced by this kind of corrosion and generally, the literature discusses two kinds of
33 non-uniform corrosion in RC structures [26, 41]: rust that is non-uniformly distributed
34 around the cross section of steel or along the rebars [45]. In this study, non-uniform
35 corrosion refers to the former.

36 Callahan et al. [46] and Bazant [47] suggested that corrosion induced cracking
37 could be diagonal cracks when the cover thickness is relatively small or the spacing of
38 the rebars is relatively wide. In such configurations, the crack patterns of RC structures

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39 due to the non-uniform corrosion of the rebars [27-29, 33, 48-51] usually consist of two
 40 diagonal cracks (about 40° - 65° normal to the cover surface) together with a single crack
 41 that is perpendicular to the cover as shown in Fig. 1a. A slab with a spalled cover is
 42 shown in both an illustration and a photo in Figs. 1b and 1c, respectively. However, the
 43 deterioration mechanism of concrete covers due to the non-uniform corrosion of rebars
 44 is still unclear. Even though the thickness of corroded steel and the width of diagonal
 45 cracks and cover surface cracks are the three key parameters for investigating the
 46 amount and rate of corrosion, as well as the residual service life of RC structures, it is
 47 still difficult to directly measure the first two parameters. Also, merely estimating the
 48 service life of structures based on the width of surface cracks is an unreliable method
 49 [52]. Some numerical methods [29, 41, 48, 49] have been developed to study the
 50 relationships among these three parameters, yet they are relatively complex and
 51 difficult to implement by practicing engineers and designers. Therefore, it is imperative
 52 to develop a simple analytical model to simulate the deterioration mechanism of
 53 concrete covers and predict the thickness of corroded steel due to the non-uniform
 54 corrosion of the rebars.



55
 56 Fig. 1 Non-uniform corrosion of rebar which causes (a) cover cracking, (b) cover
 57 spalling, and (c) cover spalling (on a slab)

58 In this study, a novel elastic-body-rotation (EBR) model is proposed to study the
 59 deterioration mechanism and spalling process of concrete covers caused by the non-
 60 uniform corrosion of widely-spaced multiple rebars in RC slabs or walls. Elastic stands
 61 for elastic deformation, body stands for concrete body and rotation is the rotation of the
 62 concrete body. Furthermore, the numerical results obtained from a nonlinear finite
 63 element analysis carried out by using ATENA are used to validate the predicted results
 64 from the proposed model. Lastly, a parametric study is carried out by using the validated
 65 model to examine the effects of the diagonal crack angle, tensile strength of concrete
 66 and cover thickness on the bulging of the concrete surface and the thickness of the
 67 corroded steel in initiating and widening diagonal cracks and cover surface cracks.

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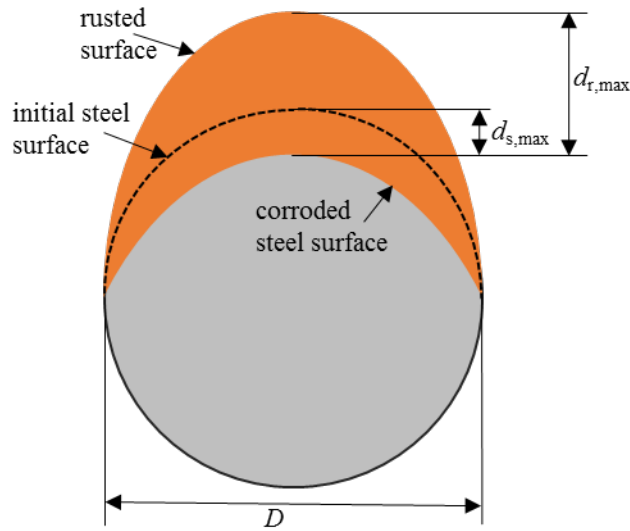
69 2. Elastic-body-rotation model for concrete cover spalling

70 In this section, an analytical model that takes into consideration the elastic
71 deformation of concrete to simulate the mechanism of cover cracking due to the non-
72 uniform corrosion of widely spaced rebars is discussed. The term ‘widely spaced’
73 means that the rebar spacing is wide enough so that there is sufficient clearance from
74 neighboring rebars that are corroded which mitigates their influence.

75 2.1 Distribution of rust

76 For simplicity and computational efficiency, the problem in this study is modelled
77 based on a two dimensional approach. The distribution of non-uniform rust is assumed
78 to be in a crescent shape [14] as shown in Fig. 2 in which D is the diameter of the rebar,
79 $d_{s,max}$ is the maximum thickness of the corroded steel, and $d_{r,max}$ is the maximum
80 thickness of the rust. The area of the corroded steel, V_{steel} , can be expressed as:

81
$$V_{steel} = \frac{1}{2} \frac{\pi D^2}{4} - \frac{1}{2} \frac{\pi D}{2} \left(\frac{D}{2} - d_{s,max} \right) = \frac{\pi D d_{s,max}}{4} \quad (1)$$



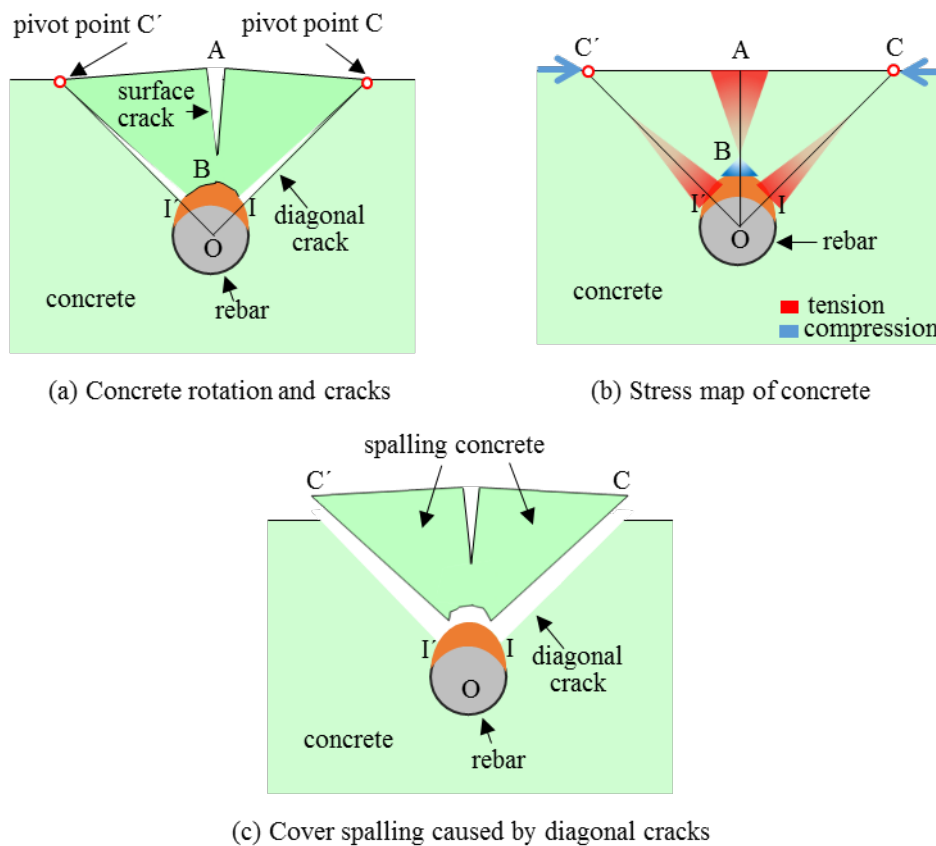
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83 Fig. 2 Distribution of non-uniform rust in a crescent shape

84 2.2 Mechanism of cover cracking

85 At the steel/concrete interface, the expansion pressure caused by the corrosion of
86 the rebars on the side near the cover will push against the concrete cover and cause the
87 concrete bodies ABIC and ABI'C' to rotate about the pivot points, C and C', as shown
88 in Fig. 3a, where Points B, I and I' are all located at the steel/concrete interface [53].
89 Such deformations lead to tensile stress concentration at Points A, I and I' and
90 concentrated compressive stresses at Points B, C and C' [28, 53], as shown in Fig. 3b.
91 Diagonal cracks will initiate when the tensile stress reaches the tensile strength of
92 concrete [27-29, 33, 48-50]. After that, the two symmetrically oriented diagonal cracks

93 will propagate toward the cover surface along IC and I'C', respectively, as shown in
 94 Fig. 3a [27]. Furthermore, a single crack that is perpendicular to the cover surface will
 95 initiate when the tensile stress reaches the tensile strength of concrete as shown in Fig.
 96 3a. The crack will propagate along Line AB toward the corroded steel [29]. It should
 97 be noted that this surface crack will not penetrate through the entire cover as the
 98 concrete near the steel/concrete interface (i.e. Point B) is in compression [28, 48, 49].
 99 Eventually, the diagonal cracks along IC and I'C' will cause cover spalling when they
 100 reach the cover surface as shown in Fig. 3c [27, 46, 47]. The rotation of the concrete
 101 bodies could extend to the concrete surface beyond the region of C'C which is in
 102 compression as shown in Fig. 3b. Further details of the cover deterioration mechanism
 103 will be given in the numerical study in Section 4.1.



104

105 Fig. 3 Elastic-body-rotation model for cover cracking caused by non-uniform corrosion
 106 of rebar

107

108 2.3 Corrosion expansion

109 As the corrosion of the rebars advances, the total area of rust is determined by
 110 considering [3, 5]: (1) the filled area of the corroded steel; (2) the area of rust
 111 penetration into the porous zone caused by entrapped or entrained air around the
 112 steel/concrete interface [54, 55], and (3) the level of expansion pressure at the

113 steel/concrete interface caused by rust. Therefore, the total area of rust can be
 114 summarized as follows:

$$115 \quad V_{\text{rust}} = V_{\text{steel}} + V_{\text{porous}} + V_{\text{net}} \quad (2)$$

116 where V_{rust} is the total area of rust, V_{steel} is the filled area of the corroded steel, V_{porous} is
 117 the area of rust that has penetrated into the porous zone and V_{net} is the net area of rust
 118 that induces expansion pressure onto the surrounding concrete. It should be noted that
 119 the amount of rust in corrosion-induced cracks is not taken into account here as the
 120 literature has not reached consensus on whether rust fills corrosion-induced cracks and
 121 if so, the amount of rust that fills the cracks [1, 34, 41, 56-64].

122 By defining β as the ratio of the amount of rust to corroded steel, the area of rust
 123 becomes:

$$124 \quad V_{\text{rust}} = \beta V_{\text{steel}} \quad (3)$$

125 The relationship between $d_{s,\text{max}}$ and $d_{r,\text{max}}$ can be expressed as:

$$126 \quad d_{r,\text{max}} = \beta d_{s,\text{max}} \quad (4)$$

127 For different components that are rusted, β may vary from 1.7 to 6.15 (FeO = 1.7,
 128 Fe₃O₄ = 2, Fe₂O₃ = 2.1, α -FeO(OH) = 2.95, β -FeO(OH) = 3.53, Fe(OH)₂ = 3.6, γ -
 129 FeO(OH) = 3.07, Fe(OH)₃ = 4.0, Fe(OH)₃·3H₂O = 6.15) [2, 65] and experimental studies
 130 [66, 67] have found that β is about 3 for a rust mixture.

131 Some in the literature have pointed out [2, 3, 68, 69] that there is a porous zone
 132 around the interface between a rebar and concrete which can accommodate rust and
 133 therefore, prolong the time that the concrete cover is damaged through the expansion
 134 of rust. For non-uniform corrosion, it is reasonable to assume that rust is only deposited
 135 onto concrete that is adjacent to the corroding part, i.e. only the porous zone around the
 136 corroded steel accommodates rust [26]. Therefore, the area of rust that penetrates into
 137 the porous zone that surrounds one half of the rebar can be expressed as:

$$138 \quad V_{\text{porous}} = \frac{\pi d_0}{2} (D + d_0) \quad (5)$$

139 where d_0 is the thickness of the porous zone.

140 Liu and Weyers [2, 70] and Lu et al. [2, 70] hypothesized that the porous zone is
 141 first filled with rust and then further rusting would induce expansion pressure onto the
 142 surrounding concrete. However, other researchers [57, 71-73] postulate instead that the
 143 penetration of rust into concrete and the formation of a corrosion layer with expansion
 144 pressure take place at the same time. In this study, the assumptions of [2, 70] and [2,
 145 70] are adopted. The thickness of the porous zone was assumed to be $12.5 \mu\text{m} \leq d_0 \leq$
 146 $120 \mu\text{m}$ [2, 68, 70, 74, 75].

147 The maximum thickness of corroded steel that fills the porous zone, $d_{s,0,\text{max}}$, around
 148 the corroded steel can be obtained by substituting Eqs. (1), (3) and (5) into Eq. (2)

$$149 \quad d_{s,0,\text{max}} = \frac{2d_0}{\beta-1} \quad (6)$$

150 After filling up the porous zone with rust, the net maximum thickness of the rust
 151 $d_{f,\max}$ (which is defined as the maximum thickness of rust that causes expansion pressure
 152 onto the surrounding concrete) can be obtained with:

$$153 \quad d_{f,\max} = (\beta - 1)(d_{s,\max} - d_{s,0,\max}) \quad (7)$$

154 2.4 Displacement compatibility due to rotation of concrete cover

155 In this analytical model, the concrete bodies ABIC and ABI'C' as shown in Fig.
 156 3a are assumed to be symmetrical about Line AB. Points O, I and C are assumed to be
 157 collinear where O is the center of the rebar with no corrosion. By taking into
 158 consideration the rotation of elastic concrete bodies ABIC and ABI'C', the crack
 159 opening displacement associated with concrete body rotation at Points I and A, which
 160 are denoted as $W_{I,R}$ and $W_{A,R}$ respectively, may be expressed as:

$$161 \quad W_{I,R} = \frac{d_{f,\max}(2L_{OC}-D)}{2L_{AC}} \quad (8)$$

$$162 \quad W_{A,R} = \frac{2d_{f,\max}c}{L_{AC}} \quad (9)$$

163 where c is the thickness of the concrete cover and L_{OC} and L_{AC} are the length of OC and
 164 AC respectively, which can be expressed as:

$$165 \quad L_{OC} = \frac{D+2c}{2 \cos \varphi} \quad (10)$$

$$166 \quad L_{AC} = \left(\frac{D}{2} + c\right) \tan \varphi \quad (11)$$

167 where φ is the angle of the diagonal crack. The effects of the elastic deformation of the
 168 concrete bodies are taken into consideration but will be elaborated in Section 2.6.

169 2.5 Properties of materials

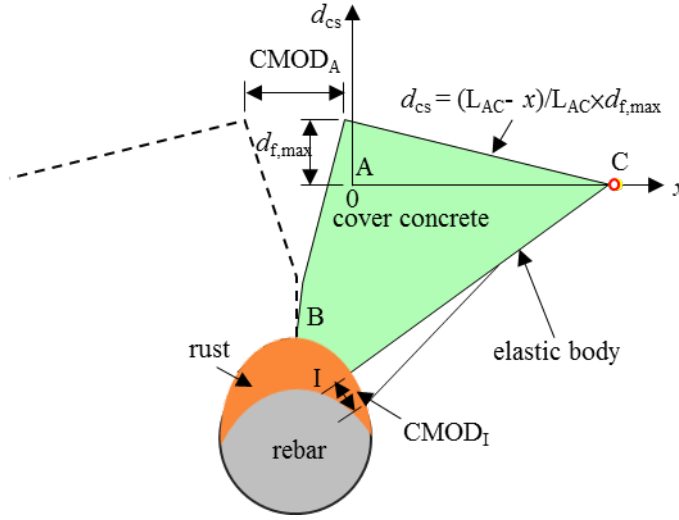
170 The elastic deformation of the rebars is neglected [70] in this study because the
 171 Young's modulus of steel (~ 200 GPa) is much higher than that of concrete (about 20-
 172 30 GPa). Therefore, the rebars are assumed to be rigid. Furthermore, the influence of
 173 the deformation of the rusted layer on calculating the amount of rust can also be
 174 neglected [3, 70, 76, 77] if the Young's modulus of the rust E_{rust} or the bulk modulus
 175 of the rust K_{rust} is high enough, for instance, $E_{\text{rust}} \geq 500$ MPa [3], $E_{\text{rust}} \geq 1$ GPa [70], K_{rust}
 176 ≥ 4 GPa [76] or $K_{\text{rust}} \geq 300$ MPa [77]. For simplicity, rust is considered to be rigid in
 177 the analysis here. Furthermore, as no surface traction is applied on the concrete cover,
 178 the axial strain of the concrete cover in the direction normal to the cover surface is small
 179 and can be neglected. Hence, the bulging of the concrete cover at Points A and C, which
 180 are denoted as d_A and d_C , is equal to $d_{f,\max}$ and zero, respectively. For simplicity, the
 181 bulging of concrete cover along AC is assumed to linearly decrease from $d_{f,\max}$ to zero
 182 as shown in Fig. 4. Therefore, the bulging of the cover surface along AC, d_{cs} , can be
 183 expressed as:

184

$$d_{cs} = \frac{L_{AC}-x}{L_{AC}} d_{f,max} \quad (12)$$

185

where x is the horizontal coordinate with Point A as the origin.



186

187

Fig. 4 Displacement of cracked cover

188

The linear elastic stress-strain relationship of concrete can be expressed as:

189

$$\varepsilon_t = \frac{\sigma_t}{E_{c,ef}} \quad (13)$$

190

where ε_t is the tensile strain, σ_t is the tensile stress, $E_{c,ef} = E_c / (1 - \phi_{ct})$ is the effective elastic modulus of concrete, E_c is the elastic modulus of concrete and ϕ_{ct} is the creep coefficient of concrete.

193

When the tensile stress reaches the tensile strength of concrete (f_t), the corresponding concrete strain is:

195

$$\varepsilon_{ct} = \frac{f_t}{E_{c,ef}} \quad (14)$$

196

2.6 Concrete cracking criterion

197

In this study, the tangential strain of concrete around the corroded rebar at the steel-concrete interface is assumed to be uniform [2, 43, 78] before cover cracking. Furthermore, two diagonal cracks initiate at Points I (and I') when $W_{I,R}$ reaches the critical elastic deformation limit of concrete in tension, i.e.,

201

$$W_{I,Ec} = W_{I,R} = \frac{\pi D \varepsilon_{ct}}{2} \quad (15)$$

202

where $W_{I,Ec}$ is the critical elastic displacement of concrete at Point I (and I').

203

Along Line AC, the horizontal tensile stress of concrete at Point A is greater than that further away from this point; thereby it is reasonable to assume that the horizontal tensile strain of concrete decreases linearly from Points A to C [79]. This assumption will be justified by the finite element results provided in Section 4.1. At Point A, cover

206

207 surface cracking takes place when $W_{A,R}$ is equal to the critical tensile strain of concrete
 208 multiplied by L_{AC} , i.e.,

$$209 \quad W_{A,Ec} = W_{A,R} = 2L_{AC} \frac{\varepsilon_{ct}}{2} \quad (16)$$

210 where $W_{A,Ec}$ is the critical elastic horizontal displacement of concrete on the cover
 211 surface.

212 After the concrete cracks, the crack width will increase from zero as corrosion
 213 progresses. As shown in Fig. 4, the crack mouth opening displacement, $CMOD_I$ and
 214 $CMOD_A$ at Points I and A respectively can be expressed as:

$$215 \quad CMOD_I = W_{I,R} - W_{I,Ec} \quad (17)$$

$$216 \quad CMOD_A = W_{A,R} - W_{A,Ec} \quad (18)$$

217 The area of rust that will result in the initiation of diagonal cracks and cover
 218 surface cracks ($V_{steel,Ic}$ and $V_{steel,Ac}$), as well as the relationships between V_{steel} and
 219 $CMOD_A$, V_{steel} and d_A and V_{steel} and $CMOD_I$ which can be derived from Eqs. (1) to (18),
 220 are shown in Eqs. (19) to (23).

$$221 \quad V_{steel,Ic} = \frac{\pi D}{4(\beta-1)} \left\{ \frac{\pi D f_t (D+2c) \sin \varphi}{2E_{c,ef} [D(1-\cos \varphi)+2c]} + 2d_0 \right\} \quad (19)$$

$$222 \quad V_{steel,Ac} = \frac{\pi D}{4(\beta-1)} \left\{ \frac{f_t (D+2c)^2 \tan^2 \varphi}{8cE_{c,ef}} + 2d_0 \right\} \quad (20)$$

$$223 \quad V_{steel} = \frac{\pi D}{4(\beta-1)} \left\{ \left(\frac{(D+2c)f_t \tan \varphi}{2E_{c,ef}} + CMOD_A \right) \frac{(D+2c) \tan \varphi}{4c} + 2d_0 \right\} \quad (21)$$

$$224 \quad V_{steel} = \frac{\pi D}{4(\beta-1)} (d_A + 2d_0) \quad (22)$$

$$225 \quad V_{steel} = \frac{\pi D}{4(\beta-1)} \left\{ \left(\frac{\pi D f_t}{2E_{c,ef}} + CMOD_I \right) \frac{(D+2c) \sin \varphi}{D(1-\cos \varphi)+2c} + 2d_0 \right\} \quad (23)$$

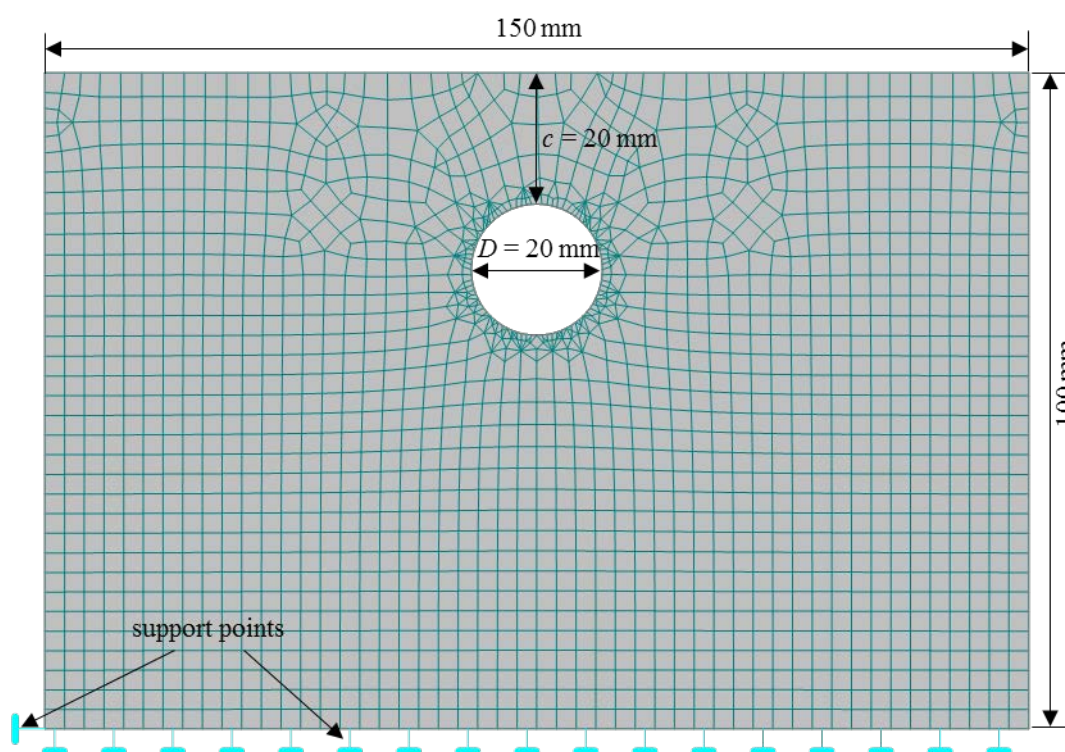
226 Eqs. (19) and (20) can be used to obtain the areas of corroded steel for the initiation
 227 of diagonal crack and cover surface crack, respectively. Eqs (21) and (22) can be used
 228 to obtain the areas of corroded steel with the measureable width of the cover surface
 229 cracks $CMOD_A$, and bulging at Point A, d_A , respectively. Eq. (23) can be used to obtain
 230 the width of diagonal cracks $CMOD_I$ with the area of corroded steel. By using Eqs. (19)
 231 to (23), readers would be able to determine the degree of corrosion of rebars and the
 232 width of internal diagonal crack based on the width of the cover surface crack or the
 233 bulging of cover surface.

234

235 3. Finite element simulation

236 The analytical model for cover cracking caused by rebar corrosion described in
 237 Section 2 is simulated with a finite element software called ATENA. Fig. 5 shows the
 238 schematic and boundary conditions of the concrete element with a cross section of 100

239 mm × 150 mm. The rebar is replaced with a hole that has a diameter of 20 mm. The
 240 stress distribution on the side near the cover decreases linearly with respect to the rust
 241 distribution while the stress distribution on the opposite side of the rebars is uniformly
 242 distributed [2, 78], which makes the force around the rebars equilibrium in the
 243 simulation. The cover thickness is 20 mm. A total of 1776 elements are used in this
 244 analysis. Concrete material is modeled with SBETA material model together with an
 245 exponential tension softening curve. SBETA is the abbreviation of StahlBETonAnalyse
 246 which means the analysis of reinforced concrete in German. Its peculiarities of the
 247 concrete model are that: (1) fracture of concrete in tension is based on the nonlinear
 248 fracture mechanics and (2) the tension stiffening effect and biaxial strength failure
 249 criterion have been considered. The concrete parameters adopted in the analysis are f_t
 250 = 2.317 MPa, $E_{c,ef} = 30.23$ GPa, Poisson's ratio = 0.2 and specific fracture energy $G_f =$
 251 57.93 N/m.



252
 253 Fig. 5 Size and boundary conditions of concrete element with ATENA
 254

255 4. Validation

256 4.1 Mechanism and cracking process of concrete cover

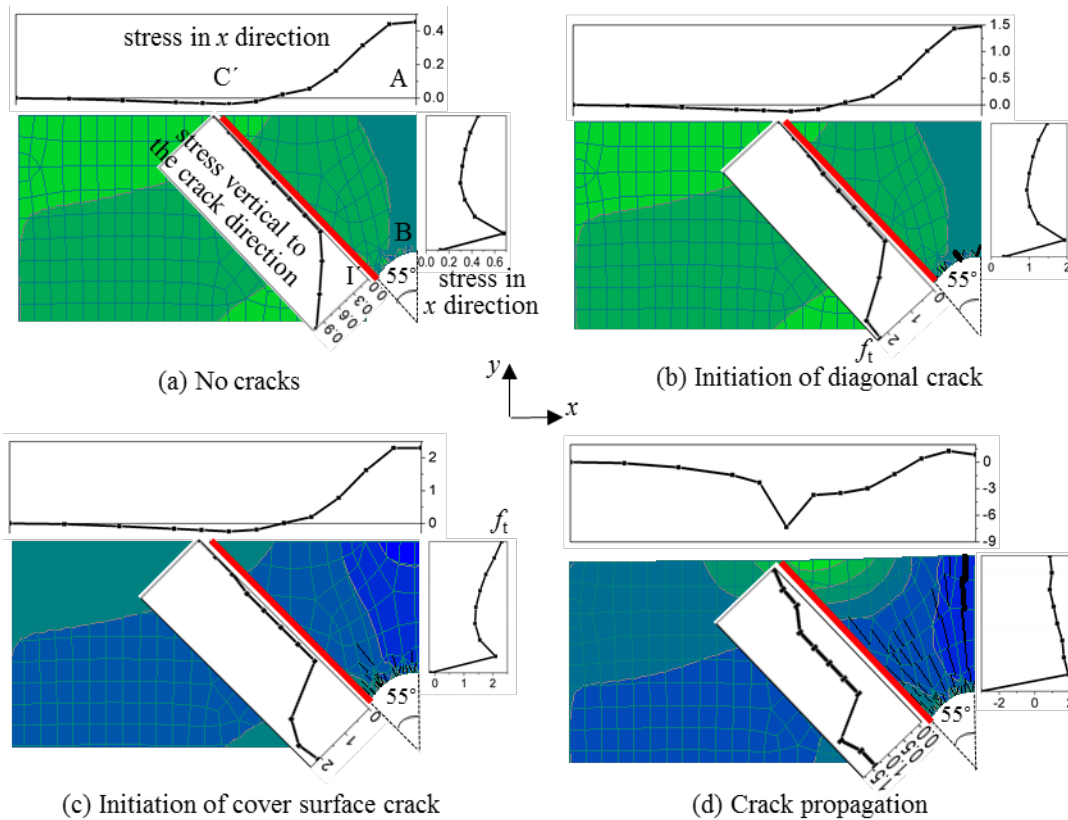
257 Fig. 6 shows the tensile stress distribution of the concrete along the diagonal crack
 258 ($\varphi = 55^\circ$), the thinnest cover, and on the cover surface which is obtained from ATENA.
 259 It can be observed that, along the diagonal crack (I'C'), the tensile stress of the concrete
 260 near the steel/concrete interface is the highest at the beginning of the corrosion of the
 261 rebar when there is no cracking as shown in Fig. 6a. The diagonal crack first emerges

262 when the tensile stress just reaches the tensile strength of the concrete as shown in Fig.
263 6b. With the accumulation of rust, the diagonal crack propagates toward the cover while
264 residual tensile stress in the cracked concrete is as shown in Figs. 6c and 6d.

265 Along the thinnest cover (BA), the stress distribution of concrete is governed by
266 two combined effects before cracking of the cover surface: (1) the elastic deformation
267 of concrete which is caused by the expansion of rust and (2) the rotation of the concrete
268 bodies. The expansion of rust causes greater tensile stress near the steel/concrete
269 interface than on the cover surface, while the two concrete bodies press against each
270 other near the steel/concrete interface and move in the opposite direction at the cover
271 surface due to their rotation, which leads to concrete in compression near the
272 steel/concrete interface and concrete in tension near the cover surface. The resultant
273 stress distribution of the concrete along the thinnest cover that incorporates these two
274 combined effects is shown in Fig. 6. When the tensile stress on the cover surface reaches
275 the tensile strength of concrete, the surface crack initiates as shown in Fig. 6c, while
276 the concrete near the steel/concrete interface is merely in compression. After cracking
277 of the cover, the elastic deformation of concrete is reduced and the effect of the rotation
278 of the concrete bodies dominates the deformation and displacement of the cracked cover.
279 This leads to a higher compressive stress in the concrete near the steel/concrete surface
280 and less tension in the concrete near the cover surface as shown in Fig. 6d.

281 Along Line AC', the horizontal tensile stress in the concrete slightly decreases
282 from the crack mouth (Point A) to the pivot point (Point C') before cracking of the
283 cover, which supports the assumed strain distribution as discussed in Section 2.6.
284 Furthermore, the cover surface outside Line AC' is in compression during the cracking
285 process of the cover and the compression stress increases with increases in the rotation
286 of the concrete body ABI'C' as shown in Fig. 6.

287 The stress distribution, as mentioned, is in good agreement with that adopted in
288 the proposed EBR model and the findings of the analytical model on the cracking
289 mechanism of the cover discussed in Section 2.2 are generally in line with those
290 obtained from the finite element method. Furthermore, the cracking process of the
291 concrete cover obtained from the proposed EBR model is also supported by the
292 numerical results from ATENA. Both the analytical and the finite element models show
293 that the diagonal cracking takes place before the cracking of the cover surface, which
294 is also in agreement with the numerical results [29, 33, 48, 49]. The net maximum
295 thickness of the rust $d_{f,max}$ for the initiation of diagonal and cover cracks obtained from
296 the EBR model is 2.44 μm and 3.52 μm which is in close agreement with the results
297 obtained by using ATENA, that is, 2.41 μm and 3.87 μm .



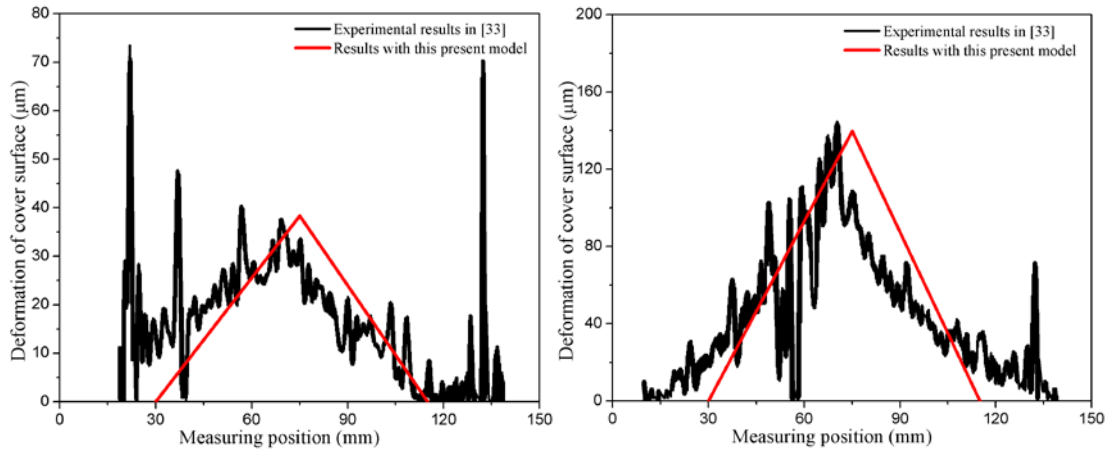
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299 Fig. 6 Stress distribution of concrete along diagonal crack and thinnest cover and on the cover surface: (a) no cracks when $d_{f,max} = 0.741 \mu\text{m}$; (b) Initiation of diagonal crack
 300 when $d_{f,max} = 2.41 \mu\text{m}$; (c) Initiation of cover surface crack when $d_{f,max} = 3.87 \mu\text{m}$; and
 301
 302 (d) Crack propagation when $d_{f,max} = 18.1 \mu\text{m}$

303

304 4.2 Bulging of cover surface

305 Tran et al. [34] experimentally evaluated the cracking behavior of concrete due to
 306 the non-uniform corrosion of a single rebar. The surface deformation and width of the
 307 surface crack were measured by using a laser displacement meter. Fig. 7 shows a
 308 comparison of the surface deformation evaluated by using the EBR model vs. testing
 309 [34]. It can be seen that the deformation shape and maximum deformation obtained
 310 from the EBR model are generally consistent with the experimental results. The small
 311 difference between the analytical and experimental results in Fig. 7c may be caused by
 312 the coarse aggregates in concrete which could affect the propagation direction of cracks.

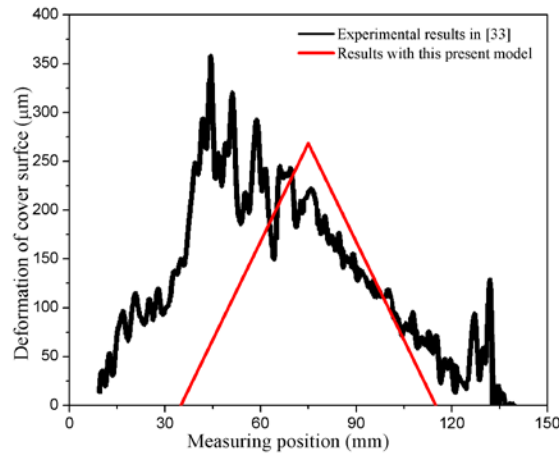


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(a)

(b)



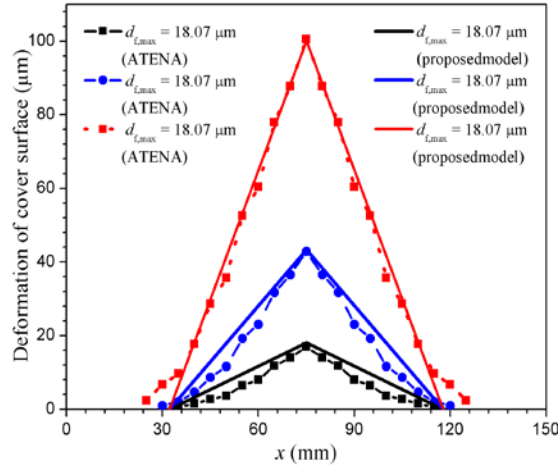
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316

(c)

317 Fig. 7 Bulging of cover surface versus crack mouth opening displacement of surface
 318 crack: (a) $CMOD_A = 54 \mu m$, (b) $CMOD_A = 208 \mu m$ and (c) $CMOD_A = 403 \mu m$ ($f_t =$
 319 $1.53 MPa$, $E_{c,ef} = 21.7 GPa$, $c = 30 mm$, $D = 19 mm$, $d_0 = 12.5 \mu m$, $\beta = 3$, $\varphi = 45^\circ$)

320 Fig. 8 is a comparison of the deformation of the cover surface determined by using
 321 the EBR model and ATENA for different net maximum thicknesses of rust. It can be
 322 observed that the shape of the deformation and maximum deformation determined by
 323 using the EBR model agree well with those obtained by using ATENA.



324
325 Fig. 8 Bulging of cover surface obtained with EBR model and ATENA|

326

327 4.3 Thickness of corroded steel for crack initiation

328 Zhang et al. [29] investigated the cracking of a concrete cover resultant of the non-
329 uniform corrosion of the rebars by using a damaged plasticity finite element model.
330 Table 1 is a comparison of the results obtained from the EBR model vs. the model in
331 Zhang et al. [29] of the net steel loss with the use of a ratio; that is, the ratio of the net
332 area of corroded steel with the thickness of $d_{f,max}$ to the area of the rebar that has not
333 been corroded, when diagonal cracks and cover surface cracks are initiated. It can be
334 observed that the net steel loss ratios obtained with the EBR model agree very well with
335 those obtained with the model in Zhang et al. [29] ($f_t = 0.5\sqrt{30} = 2.74$ MPa [80], $E_{c,ef}$
336 $= 25.5$ GPa, $d_0 = 12.5$ μm , $\beta = 3.36$, $\varphi = 60^\circ$).

337

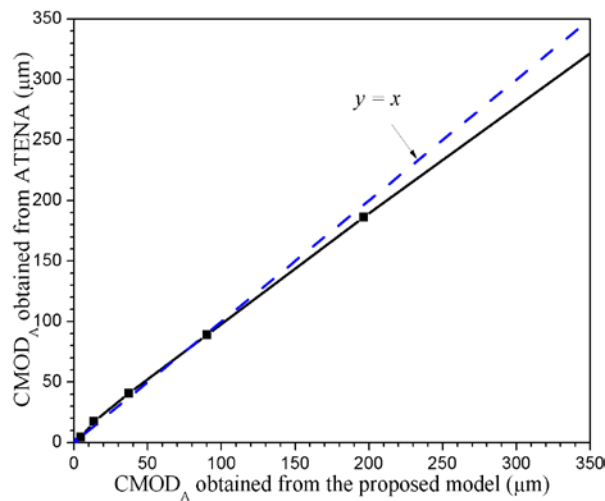
338 **Table 1** Net steel loss ratio (%) for initiation of diagonal crack and cover surface
339 crack

c (mm)	D (mm)	diagonal crack at Point I		cover surface crack at Point A	
		EBR model	[29]	EBR model	[29]
35	16	0.0068	0.0065	0.0225	0.0285
	18	0.0069	0.0080	0.0212	0.0246
	20	0.0070	0.0061	0.0198	0.0219
	22	0.0070	0.0081	0.0188	0.0191
	25	0.0071	0.0087	0.0176	0.0167
	28	0.0072	0.0070	0.0167	0.0146
20	16	0.0077	0.0084	0.0167	0.0149
30		0.0070	0.0081	0.0205	0.0239
35		0.0069	0.0065	0.0225	0.0285

340

341 4.4 Cover surface cracking

342 Fig. 9 compares the crack mouth opening displacement on the cover surface
343 obtained by using the EBR model and ATENA. It can be observed that the analytical
344 results are in excellent agreement with the numerical results.



345

346 Fig. 9 Comparison of crack mouth opening displacement of surface crack obtained with
347 EBR model and ATENA

348

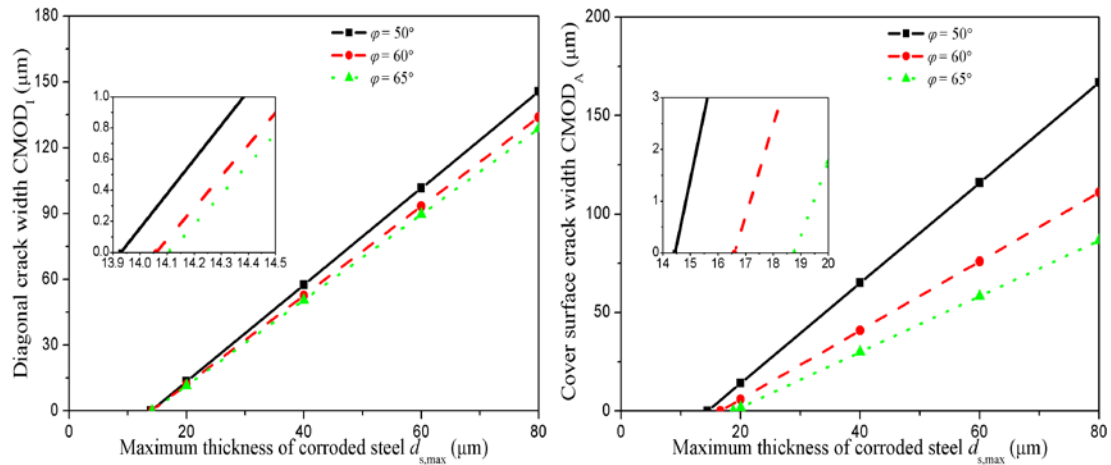
349 5. Results and discussion

350 A parametric study is conducted by using the EBR model to investigate the effects
351 of the diagonal crack angle, tensile strength of concrete and cover thickness on the
352 bulging of concrete covers and the thickness of corroded steel for initiating and
353 widening diagonal cracks and cover surface cracks. Furthermore, the variations in the
354 bulging of the cover surface with an increase in the corrosion of the rebars are
355 elaborated.

356

357 5.1 Effect of diagonal crack angle

358 The effects of the diagonal crack angle on the maximum thickness of the corroded
359 steel for initiating and widening a diagonal crack and a cover surface crack are shown
360 in Fig. 10. This figure shows that the maximum thickness of the corroded steel for the
361 initiation of a diagonal crack and a cover surface crack increases with an increase in the
362 diagonal crack angle, and for the same maximum thickness of corroded steel, a larger
363 diagonal crack angle means a narrower diagonal crack and cover surface crack.



(a) Diagonal crack

(b) Cover surface crack

Fig. 10 Effects of diagonal crack angle on initiating and widening (a) diagonal crack and (b) cover surface crack ($f_t = 2.5$ MPa, $E_{c,ef} = 20$ GPa, $c = 25$ mm, $D = 16$ mm, $d_0 = 12.5$ μm and $\beta = 3$)

Fig. 11 shows the effect of the diagonal crack angle on the bulging of the cover surface. It can be seen that the variation in the diagonal crack angle has no effect on the maximum deformation of the concrete cover while the deformed area affected by the corrosion of steel increases as the diagonal crack angle increases.

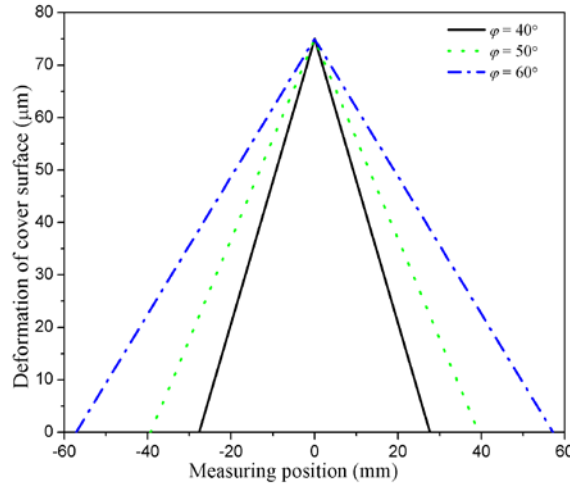
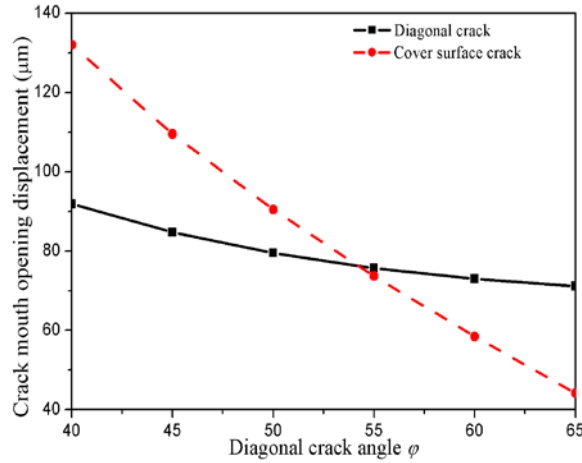


Fig. 11 Effects of diagonal crack angle on bulging of cover surface ($f_t = 2.5$ MPa, $E_{c,ef} = 20$ GPa, $c = 25$ mm, $D = 16$ mm, $d_0 = 12.5$ μm , $\beta = 3$, $d_{s,max} = 50$ μm)

Fig. 12 shows a comparison of the crack mouth opening displacement of the diagonal crack and surface crack at the same corroded thickness. It can be found that the crack mouth opening displacement of the diagonal crack can be wider or narrower than the crack mouth opening displacement of the surface crack at different diagonal crack angles.



382

383 Fig. 12 Effects of diagonal crack angle on crack mouth opening displacement ($f_t = 2.5$
 384 MPa, $E_{c,ef} = 20$ GPa, $c = 25$ mm, $D = 16$ mm, $d_0 = 12.5$ μm , $\beta = 3$, $d_{s,max} = 50$ μm)
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5.2 Effect of tensile strength of concrete

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The effect of the tensile strength of concrete is outlined in this section. The mechanical properties of concrete with different tensile strengths obtained from Chen and Su [81] are presented in Table 2. Using these properties, the variations in initiating and widening the diagonal crack and cover surface crack at the maximum thickness of the corroded steel for different tensile strengths of concrete are determined. The normalized crack widths of CMOD_I/w_c and CMOD_A/w_c are shown in Figs. 13a and 13b, respectively. The figures show that the maximum thickness of the corroded steel increases with an increase in the tensile strength of the concrete for the initiation of both the diagonal crack and cover surface crack. This is reasonable as concrete with a higher tensile strength results in a higher maximum thickness of the corroded rebar which initiates cracking in the concrete. With an increase in the maximum thickness of the corroded steel, it is also found that the maximum thickness of the corroded steel for a concrete tensile strength of 3.3 MPa ($f_{cu} = 62.2$ MPa) is more than that for a concrete tensile strength of 2.5 MPa ($f_{cu} = 39.7$ MPa) to develop the same normalized width of the diagonal crack (or same normalized width of the cover surface crack). The reason is because for concrete with normal strength ($f_{cu} < 60$ MPa), a larger tensile strength (together with a higher Young's modulus) requires a higher maximum thickness of the corroded steel to produce the same normalized crack width. However, for the same normalized width of the diagonal crack (or same normalized width of the cover surface crack), the maximum thickness of the corroded steel for a concrete tensile strength of 4.5 MPa is smaller for a concrete tensile strength of 3.3 MPa and even smaller for a concrete tensile strength of 2.5 MPa. This is because concrete with such a high tensile strength (4.5 MPa) is a high-strength concrete ($f_{cu} = 80.5$ MPa) which exhibits much more brittle behavior than normal strength concrete.

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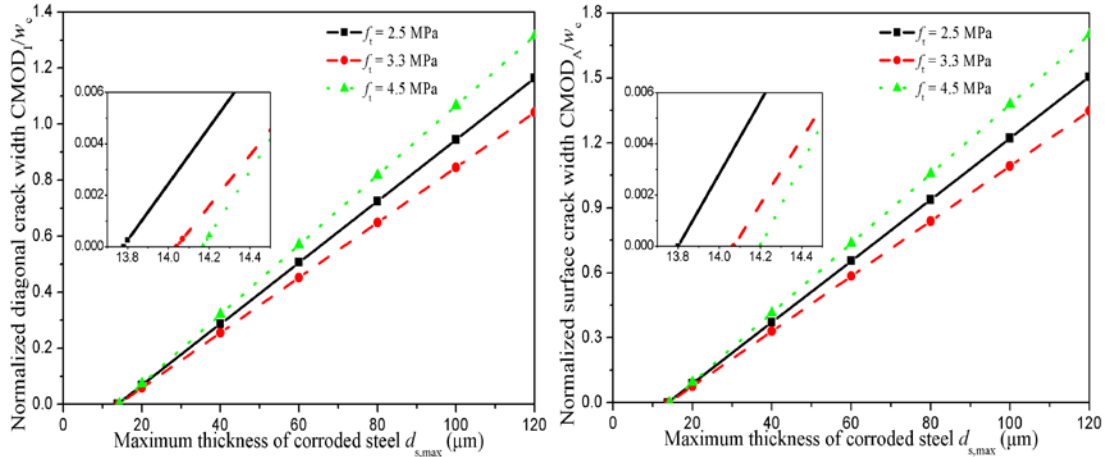
Table 2 Mechanical properties of concrete

$E_{c,ef}$ (GPa)	f_{cu} (MPa)	f_t (MPa)	w_c (μm)
21	39.7	2.5	214
23	62.2	3.3	238.3
29	80.5	4.5	188.7

413

Note: f_{cu} is the compressive strength of concrete; w_c is the crack width when residual tensile stress of concrete is equal to 0.

415



416

(a) Diagonal crack

(b) Cover surface crack

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Fig. 13 Effects of tensile strength of concrete on maximum corroded thickness of rebar for initiating and widening (a) diagonal crack and (b) cover surface crack ($c = 25$ mm, $D = 16$ mm, $d_0 = 12.5$ μm , $\beta = 3$, $\varphi = 45^\circ$)

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422

5.3 Effects of cover thickness

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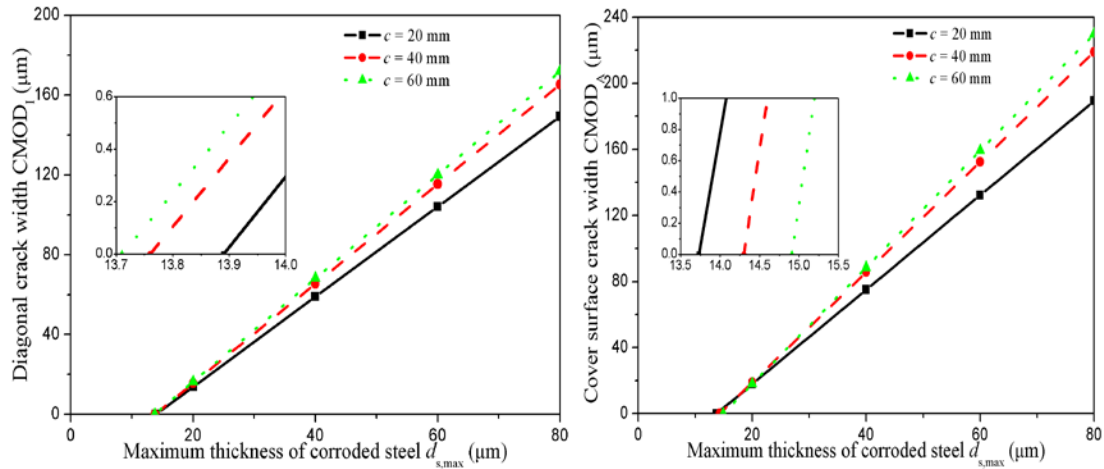
Fig. 14 shows the effects of cover thickness on the maximum thickness of the corroded steel for initiating and widening the diagonal crack and cover surface crack. Fig. 14a shows the variation of CMOD_I with cover thickness. It is interesting to see that the maximum thickness of the corroded steel to initiate the diagonal crack decreases with an increase in cover thickness while the CMOD_I increases as the cover thickness is increased for the same thickness of the corroded rebar. This is reasonable as a thicker cover inhibits the initiation of corrosion. However, the maximum thickness of the corroded steel that will initiate a diagonal crack will be smaller for a thicker cover, and later on, the crack width is greater with the same thickness of corroded steel.

432

Fig. 14b shows the effect of the cover thickness on the maximum thickness of the corroded steel for initiating and widening the surface crack. It can be observed that a greater cover thickness results in a thicker corroded steel required for initiating cracking which concurs with the numerical findings in Zhang et al. [29] and Cui and Alipour

435

436 [49]. However, the width of the cover surface crack on a thicker cover increases faster
 437 and could be greater than that of a thinner cover, which is supported by the results in
 438 Chen and Leung [26].



439

440 (a) Diagonal crack

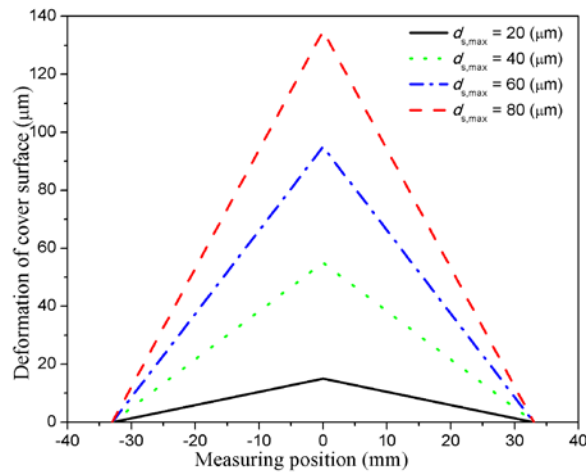
440 (b) Cover surface crack

441 Fig. 14 Effects of cover thickness on maximum corroded thickness of rebar for initiating
 442 and widening (a) diagonal crack; (b) cover surface crack ($f_t = 2.5$ MPa, $E_{c,ef} = 20$ GPa,
 443 $D = 16$ mm, $d_0 = 12.5$ μm , $\beta = 3$, $\varphi = 45^\circ$)

444

445 5.4 Variations in deformation of cover surface

446 Fig. 15 shows the variations in the bulging of the cover surface with a maximum
 447 thickness of the corroded rebar. It can be seen that the deformation of the cover surface
 448 increases with an increase in the maximum thickness of the corroded steel. This
 449 observation is supported by the work in Tran et al. [34].



450

451 Fig. 15 Variations in deformation of cover surface with maximum thickness of corroded
 452 steel ($f_t = 2.5$ MPa, $E_{c,ef} = 20$ GPa, $c = 25$ mm, $D = 16$ mm, $d_0 = 12.5$ μm , $\beta = 3$, $\varphi =$
 453 45°)

454

455 **6. Conclusions**

456 In this study, an EBR model is proposed to analyze concrete cover spalling due to
457 the non-uniform corrosion of widely-spaced multiple rebars. The following conclusions
458 are made as a result.

459 (1) The mechanism and the process of cover cracking and spalling caused by the
460 non-uniform corrosion of rebars have been analyzed and elaborated, and validated by
461 using finite element modeling and available experimental results.

462 (2) The maximum thickness of the corroded steel for initiating and widening
463 diagonal cracks and cover surface cracks can be obtained directly from this model. The
464 relationships among the maximum thickness of the corroded steel, crack mouth opening
465 displacement of the diagonal and surface cracks and the bulging of the cover surface
466 can be determined by using the EBR model, which will allow engineers, designers and
467 researchers to predict the amount of corrosion in rebars and width of internal diagonal
468 cracks based on the measured crack mouth opening displacement of surface cracks or
469 bulging of the cover surface.

470 (3) The bulging of the concrete cover obtained by using the EBR model is
471 verified through a comparison with the experimental and numerical results qualitatively
472 and quantitatively. This study demonstrates that the proposed model can be used to
473 predict the bulging of concrete cover induced by rebar corrosion.

474 (4) The effects of the diagonal cracking angle, tensile strength of concrete and
475 cover thickness on the bulging of the concrete cover, maximum thickness of the
476 corroded steel for initiating and widening diagonal or cover surface cracks have been
477 presented. It is found that the diagonal cracking angle has a major influence on the
478 cover surface crack width when using the EBR model to predict the corrosion degree
479 of rebar and the residual service life of RC structures. More field and experimental
480 studies should be conducted to investigate the factors which can affect the diagonal
481 cracking angle.

482

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486

487 **Data availability statement**

488 The raw/processed data required to validate the results have been presented in the paper.
489 No further data can be shared at this time.

490

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