

1 **Early childhood caries among 3- to 5-year-old children in Hong Kong**

2 **Short title : Early childhood caries among Hong Kong preschool children**

3

4 **Duangthip D, Chen KJY, Gao SS, Lo ECM, Chu CH**

5 Faculty of Dentistry, The University of Hong Kong

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20 ***Key words: dental caries, children, oral health, epidemiology, public health***

21

22 **Corresponding to :** CHU Chun Hung

23 3/F Prince Philip Dental Hospital

24 34 Hospital Road, Hong Kong

25 E-mail: chchu@hku.hk

26

27 Tel : +852 2859 0287

28 Fax: +852 2858 2532

29

30

31

32

33 **Early childhood caries among 3- to 5-year-old children in Hong Kong**

34

35 **Abstract**

36

37 ***Objective:** The aim of this study was to describe the prevalence of dental caries among 3- to 5-*
38 *year-old children in Hong Kong and to investigate the factors associated with their dental*
39 *caries statuses.*

40

41 ***Methods:** Seven kindergartens in Hong Kong were selected by using stratified random*
42 *sampling. The 3- to 5-year-old kindergarten children were invited to join the study. The*
43 *participants' parents were asked to complete a questionnaire regarding their children's*
44 *demographic and socioeconomic backgrounds, their dental habits and their own dental*
45 *knowledge. Dental caries experience was measured using the decayed, missing and filled*
46 *primary teeth (dmft) index. The visible plaque index was adopted for recording oral hygiene.*
47 *The relationships between caries experience and children's demographic backgrounds, dental*
48 *habits, oral hygiene and parental dental knowledge were studied using a zero-inflated negative*
49 *binomial (ZINB) regression analysis.*

50

51 ***Results:** Among the 1,204 participating preschool children, the overall prevalence of dental*
52 *caries (dmft > 0) was 46%. The mean dmft score was 2.1±3.4. The prevalences of dental caries*
53 *among the 3-, 4- and 5-year-old children were 38%, 43% and 55% respectively. ZINB*
54 *regression analysis revealed that the study children who were boys, came from families with*
55 *lower incomes, had dental visit experiences, had higher plaque scores and had parents with*
56 *lower levels of dental knowledge had higher dmft scores ($p < 0.05$).*

57

58 ***Conclusions:** Dental caries is prevalent among preschool children in Hong Kong. The caries*
59 *experiences of the study children are associated with gender, family income, parental dental*
60 *knowledge, dental visit experience and oral hygiene.*

61

62 **Early childhood caries among 3- to 5-year-old children in Hong Kong**

63

64 **Introduction**

65 Early childhood caries (ECC) is one of the most common chronic diseases in children.
66 According to the report of the 2016 Global Burden of Disease Study, dental caries in primary
67 teeth was ranked as the 12th most prevalent condition, affecting around 560 million children
68 globally¹. ECC is defined as the presence of one or more decayed (non-cavitated or cavitated)
69 lesions, teeth missing due to caries, or filled tooth surfaces in any primary tooth among children
70 younger than 6 years². If it is left untreated, it will progress into the pulp tissue, possibly leading
71 to a toothache and dental abscess. Subsequently, it causes difficulty in chewing, thus lowering
72 the quality of life of the affected children³.

73

74 The prevalence and severity of ECC varied among different regions and countries. In
75 Southeast Asia, the overall situation of caries in preschool children was unsatisfactory. In
76 Cambodia, Lao and the Philippines, their caries prevalences were approximately 90%⁴. In
77 China, the latest national oral health survey revealed that 70.1% of the 5-year-old children had
78 dental caries experiences⁵. Hong Kong is a special administrative region of China with an
79 estimated population of 7.4 million in 2017⁶. Several dental public health measures have been
80 implemented to promote oral health in Hong Kong. Water fluoridation was established in 1961.
81 Later, the School Dental Care Service (SDCS) was developed in 1979 with the aim of providing
82 free dental treatment for schoolchildren in Hong Kong⁷. As a result, caries prevalence and
83 severity have declined significantly among schoolchildren and adolescents during the past few
84 decades⁸. However, preschool children are not eligible to participate in the SDCS. Most of
85 them seek dental treatment from private dentists at their own expense. Previous studies
86 indicated that ECC remained a major dental problem in Hong Kong⁹. No improvement has
87 been made in the oral health statuses of 5-year-old children during the past two decades.
88 According to the results of the territories-wide oral health surveys, caries prevalence was 50.7%
89 among 5-year-old children in 2011, similarly to that in 2001 (51.0%), but the extent of caries
90 experience (mean dmft score) slightly increased from 2.3 in 2001 to 2.5 in 2011¹⁰.

91

92 Efforts have been made to improve the oral health statuses of Hong Kong preschool
93 children. The department of health set up the oral health education unit, aiming to promote oral
94 health and to provide free oral health education materials to schools and parents. The oral health

95 programme ‘Brighter Smiles for the New Generation’ was launched to help children to
96 establish good oral health–related habits¹¹. Another program called “Brighter Smiles Playland”
97 was developed to help kindergarten classrooms to teach children about tooth brushing, tooth-
98 friendly diets and dental visits through interactive games and activities. Besides the provision
99 of oral health education programs, changes in immigration guidelines and economic growth
100 may possibly affect the general and oral health of the Hong Kong population. Following the
101 World Health Organization (WHO) recommendation, periodic surveys should be conducted at
102 five-year intervals to assess the oral health status of a community and to evaluate the
103 effectiveness of the preventive population-based measures taken in the community¹². As the
104 last population-wide oral health survey among 3- to 5-year-old children was conducted in
105 2009⁹, an updated child oral health status is required for dental practitioners and policy makers
106 in planning and monitoring target-oriented preventive measures for kindergarten children in
107 Hong Kong.

108

109 The aim of this study was to describe caries prevalence and the extent of caries
110 experience among 3- to 5-year-old kindergarten children in Hong Kong and to investigate the
111 risk factors associated with their dental caries statuses.

112

113 **Materials and Methods**

114 The Institutional Review Board of the University of Hong Kong/ Hospital Authority
115 Hong Kong West Cluster (IRB UW 16-180) approved the current study. Written consent was
116 sought from the parent of each participating child. The study was conducted in full accordance
117 with ethical principles, including the World Medical Association Declaration of Helsinki. This
118 oral health survey was conducted in 2016.

119

120 ***Sample selection***

121 Almost 95% of preschool children attend kindergarten in Hong Kong. The unit of
122 sampling was a kindergarten. A stratified cluster random sampling proportionate to the size of
123 the subpopulations among the three geographical areas was adopted. The three geographical
124 areas in Hong Kong are the new territories (NT), Kowloon (KL) and Hong Kong Island (HK)⁶.
125 The number of preschool children residing in three main geographical areas in Hong Kong was
126 as follows: 89,000 children in the NT, 50,000 in KL and 29,000 on HK. The ratio of invited
127 schools in the NT, in KL and on HK was 4:2:1, following the ratio of the populations of the

128 NT, KL and HT⁶. Registered kindergartens in each area were numbered sequentially. Four
129 kindergartens in the NT, two kindergartens in KL and one kindergarten on HK were selected
130 through a simple random sampling method using a list of computer-generated random numbers.
131 All seven selected kindergartens agreed to participate in the survey. All children in the selected
132 kindergartens were invited. The inclusion criteria were children aged 3 to 5 years old with
133 written parental consent. Children with special needs or severe chronic diseases were excluded.

134

135 *Sample size estimation*

136 Based on the Hong Kong Census in 2016, the population of Hong Kong preschool
137 children was approximately 168,000⁶. The sample size estimation was based on the previous
138 caries prevalence (approximately 50%)⁹. The confidence interval was set at 5% (CI: 45% to
139 55%) with a 95% confidence level. The sample size of each age group (3-, 4- and 5-year-old
140 children) was 383, or 1149 children in total were required. With an estimated response rate of
141 80%, the total number of the study children to be invited would be at least 1436.

142

143 *Questionnaire survey*

144 A self-completed questionnaire and parental consent form were sent to all parents in
145 the selected schools. The questionnaire featured four sections¹³: 1) demographic background:
146 sex, age, place of birth, parenthood status and main caregiver; 2) socioeconomic status: parents'
147 education levels and family income; 3) oral health-related habits: bottle feeding habits,
148 snacking habits, tooth brushing habits and dental visit experience; and 4) parental dental
149 knowledge. Twenty-one questions about the etiology of dental caries and caries prevention
150 were modified from the previous study⁹. One mark was given for each correct answer, whereas
151 no mark was given for each incorrect answer. Thus, the marks (0 to 21) were categorized into
152 one of three levels as follows: high (15-21 marks), moderate (8-14 marks) and low (0-8 marks).
153 Missing and inappropriate answers on the returned questionnaire were checked and followed
154 up by phone.

155

156 *Clinical examination*

157 A single examiner (KJC) was trained and supervised by experienced dental
158 epidemiologists (LECM and CHC). The study children were positioned supine on small tables
159 in kindergartens. The clinical examinations were conducted using a ball-ended WHO
160 Community Periodontal Index (CPI)-probe and a disposable dental mirror with an intra-oral
161 light-emitting diode (LED) light attached. Dental caries was diagnosed at the tooth level. Caries

162 status was assessed according to the diagnostic criteria of the WHO¹². A tooth was recorded as
163 decayed (dt) when a dentine lesion had an unmistakable cavity or when both a dentine carious
164 lesion and a restoration were present. A tooth was recorded as missing (mt) when it was
165 extracted as a result of caries. A tooth was recorded as filled (ft) when a permanent filling
166 without caries was present. No radiographs were taken. Approximately 10% of the study
167 children were re-examined on the same day. The duplicate examinations were conducted after
168 at least 30 children had been examined, so that the examiner could not remember the first
169 scoring. The intra-examiner kappa value was 0.98. Oral hygiene status was recorded using the
170 visible plaque index (VPI)¹⁴. The visible plaque of the buccal and lingual surfaces of six index
171 teeth (55, 51, 63, 71, 75 and 83) was recorded as the presence of visible plaque (score 1) or the
172 absence of visible plaque (score 0). The VPI score was then calculated as the percentage of the
173 number of surfaces with visible plaque to the total number of surfaces examined.

174

175 Approximately 10% of the participating children were randomly re-examined on the
176 same day as their examinations to assess the intra-reliability reproducibility. Following a
177 child's oral examination, his or her oral health report was sent to his or her parent. No
178 intervention was provided. Parents were advised to seek further treatment at their own expense
179 if necessary.

180

181 *Statistical analysis*

182 Data analysis was performed using IBM SPSS Statistics for Windows, Version 24.0
183 (SPSS Inc., Chicago, Illinois, USA) and STATA version 13.1 (StataCorp, College Station,
184 Texas, USA). The study children with missing data were excluded from the data analysis.
185 Statistical sample weights were performed. The intra-examiner agreement was assessed using
186 Cohen's Kappa statistics. A chi-squared test was used to test the association of caries
187 prevalence (yes/no) with various variables. The Mann-Whitney U test or Kruskal-Wallis H test
188 was adopted to study the distribution of dmft scores according to the variables studied. All
189 independent variables were studied as covariates in the regression model. The negative
190 binomial model, Poisson model, and zero-inflated models were taken into consideration to
191 investigate the association between the dmft scores and independent variables. Vuong's test
192 was adopted to indicate an appropriate statistical model. A backward stepwise procedure was
193 used to remove insignificant variables (p-value more than 0.05) from the regression model. The
194 final model contained only the remaining variables that were statistically significant. The level
195 of statistical significance for all tests was set at 0.05.

196

197 **Results**

198 A total of 1,700 kindergarten children in seven kindergartens were invited to participate
199 in this survey. The response rate was 89% (1,514/1,700). The Cohen's Kappa value for the
200 assessment of caries status was 0.97. Among the 1514 children with parental consent, 181
201 children were excluded because their ages were younger than 3 or older than 5, 102 failed to
202 complete the questionnaire, 31 were absent from school on the examination day and two were
203 uncooperative (more than one reason could be indicated). Thus, 1204 children with completed
204 questionnaires were included in the study. Among these, 522 were in the NT, 453 were in KL
205 and 229 were on HK. The ratio of participating children in the NT, in KL and on HK was 5:4:2,
206 whereas the ratio of the Hong Kong population in 2016 was approximately 4:2:1⁶. Therefore,
207 proportional sample weights were performed. The following descriptive data and further
208 statistical analysis were weighted.

209

210 Among the study children, 650 (54.6%) were girls, and the mean age (\pm SD) was 4.6
211 (\pm 0.8) years. The numbers of the included children aged 3, 4 and 5 years were 307 (25.8%),
212 427 (35.8%) and 457 (38.4%), respectively. Most of them (90.8%) were born in Hong Kong.
213 A total of 552 (46.3%) children had caries experiences (dmft > 0). Approximately, 14.4% of
214 them had five or more teeth with caries experiences. The mean dmft score (\pm SD) was 2.1 (\pm
215 3.4). Untreated decayed teeth (dt = 2.0 \pm 3.3) constituted 95% of the dmft score (Table 1). The
216 mean number of filled (ft) or missing (mt) primary teeth was very small (ft = 0.1; mt = 0.01).
217 A positively skewed distribution of the dmft score was found, with the skewness being 2.1.
218 Maxillary incisors had the highest caries prevalence (29%), whereas mandibular incisors had
219 the lowest (2%). However, maxillary molars had a lower caries prevalence compared with
220 mandibular molars. Most of the children (66%) brushed their teeth at least twice daily, and
221 70% began brushing before the age of 2. However, 24% of the children still engaged in bottle
222 feeding at bedtime, and the majority (82%) of them had never visited the dentist.

223

224 In the bivariate analysis, statistically significant differences were found in the
225 prevalence of caries between gender and age of children ($p < 0.001$) (Table 1). Caries
226 prevalence increased with increasing age during the preschool years ($p < 0.001$). Children born
227 in Hong Kong had lower caries prevalences compared with those born in Mainland China or
228 in other countries ($p = 0.01$) (Table 2). Children from families with high family incomes had

229 lower caries prevalences compared with those from families with low family incomes ($p <$
230 0.001). Children who had previously visited the dentist had higher caries prevalences compared
231 with those who had never visited the dentist ($p < 0.001$). Regarding parental dental knowledge,
232 children whose parents had low levels of dental knowledge had higher caries prevalences
233 compared with those whose parents had high levels of dental knowledge ($p < 0.001$). In the
234 Mann-Whitney U test and Kruskal-Wallis H test, a lower rank of the median dmft score was
235 observed among the study children who were born in Hong Kong, whose main caregivers were
236 domestic helpers, whose parents had tertiary-level (university) education or whose parents had
237 high levels of dental knowledge. Regarding oral health-related behaviors, children who had
238 sugary snacks once or less daily, had never visited the dentist, started tooth brushing before the
239 age of 2 years or brushed their teeth twice daily had significantly lower dmft scores (Table 2).

240

241 According to the results of Vuong's test, the ZINB model provided a better fit compared
242 with the Poisson distribution ($p < 0.001$). The output of final model comprised of two parts:
243 zero inflated part (in the logit model) and the negative binomial process (on the natural log
244 scale) in Table 3. The results from the final model of the zero-inflated part ($dmft = 0$) indicated
245 that seven variables, namely age, birthplace, parenthood, family income, frequency of
246 snacking, dental visit experience and visible plaque score, were significantly associated with
247 the chance of having 'no caries experience' ($dmft = 0$). Younger children who were born in
248 Hong Kong, came from single-parent families or high-income families, had sugary snacks once
249 a day or less, never visited the dentist and had lower plaque scores had an increasing probability
250 of having 'no caries experience' ($p < 0.05$). In addition, the results of the final ZINB regression
251 model ($dmft > 0$), with the five factors of gender, family income, dental visit experience,
252 parental dental knowledge and VPI score, revealed statistically significant associations with
253 the mean dmft score in the negative binomial part. Boys who had higher plaque scores, came
254 from lower-income families, had dental visit experiences and had parents with lower levels of
255 dental knowledge had significantly higher dmft scores ($p < 0.05$).

256

257 **Discussion**

258 Various preventive measures have been implemented to reduce the burden of ECC in
259 Hong Kong. As WHO Global Consultation suggested regarding ECC¹⁵, subnational oral health
260 surveillance, including the assessment of modifiable risk factors, is required to monitor and
261 value intervention programs. Based on the results of the present oral health survey, ECC

262 remains prevalent and affects approximately half of the preschool children in Hong Kong. The
263 caries statuses of Hong Kong kindergarten children have not been improved during the past
264 two decades^{8,9}. In the present study, the mean dmft score of 3- to 5-year-old children, 2.1, was
265 similar to that obtained in previous research (2.2)⁹. Compared with Taiwan (89%)¹⁶ and
266 Mainland China (70%)⁵, Hong Kong has a much lower ECC prevalence (55%) among 5-year-
267 old children. However, when compared with developed countries, Hong Kong has a higher
268 ECC prevalence than the United States (23% in 2012)¹⁷ or the United Kingdom (31% in 2013)¹⁸
269 does.

270

271 Although the department of health has organized several oral health promotion
272 activities, no improvement has been made in preschool child oral health. This may be due to
273 the unsatisfactory participating rate of kindergartens and parents¹⁹. Possibly, these prevailing
274 preventive measures may be ineffective or inaccessible. Factors affecting parental involvement
275 and school administrators' decision to participate in this program should be further explored.
276 The present study affirms that untreated decay in primary teeth is a widespread phenomenon
277 in Hong Kong, as almost all decayed teeth (95%) were left untreated. This denotes that dental
278 service use among preschool children remained low. The majority (75%) of the 5-year-old
279 children had never visited the dentist according to the previous oral health survey, and the
280 parents who did take their children did so in an effort to alleviate their children's dental pain¹⁰.
281 The present survey revealed that one in seven children (14%) had severe ECC with multiple
282 carious lesions (dmft > 5). Oral health education alone without clinical preventive and curative
283 measures may not be able to manage the burden of tooth decay, particularly among those with
284 severe ECC.

285

286 In the present study, the distribution of dmft scores was positively skewed. Because the
287 standard assumption of normality was unmet, the use of multiple linear regression models
288 would not be suitable²⁰. Poisson regression can be considered for handling non-negative integer
289 count data. However, the dmft data were over-dispersed (the variance was larger than the
290 mean), so the negative binomial regression model used in this study would be more appropriate
291 compared with the Poisson regression model. According to the result of the final ZINB model,
292 several significant, factors, including demographic and socioeconomic backgrounds and oral
293 health-related behaviors were associated with the prevalence and severity of ECC. The present
294 results indicated that the occurrence of caries in young children is a complex interaction. A
295 well-established link existed between lower economic status and higher ECC prevalence and

296 severity in the present study. Similarly to the previous results ^{9, 21}, a social gradient in young
297 children is obvious in Hong Kong: the higher the household income, the better the dental status
298 of the child. Thus, children living in poverty should be prioritized if oral health resources are
299 limited. Our study indicated that parental dental health knowledge is significantly associated
300 with their children's caries experiences. The need exists to raise parental dental knowledge and
301 literacy as well as to reinforce positive attitudes with active parental involvement. To achieve
302 this, pregnant women should be advised to seek family-oriented oral health counseling during
303 pregnancy. The first dental visit is recommended by 12 months of age to assess caries risk and
304 to provide early intervention if needed²². Contradictorily, Hong Kong preschool children who
305 had previously visited the dentist had a higher chance of having caries prevalence and higher
306 dmft scores compared with those without dental visit experiences. This implies that they sought
307 dental treatment due to having already encountered oral health problems. Despite the fact that
308 ECC prevention programs are offered, their effectiveness in achieving the goal of obtaining a
309 significant reduction in ECC has not yet been proved. Our results suggest the need to revise
310 preventive programs to reduce oral health disparities among Hong Kong preschool children.
311 At present, several oral health schemes have been implemented. Water fluoridation is one of
312 the cost-effective measures in reducing ECC in Hong Kong. Caries prevalence had declined
313 remarkably from 97.5% in 1960 to 77.5% in 1987⁸, and to approximately 50% in the 1990s²³.
314 This is in accordance with the results of the Cochrane systematic review that the introduction
315 of water fluoridation resulted in children having approximately 35% fewer caries experience²⁴.
316 However, no further caries reduction has been observed in the recent two decades.

317

318 Our findings indicated that both non-modifiable (socioeconomic background) and
319 modifiable risk factors (oral health related knowledge and habits) were significantly related
320 with ECC. Poor oral hygiene, low parental dental knowledge and high frequency of snacking
321 are the most important modifiable factors which are needed to be addressed. Primary
322 prevention program should encourage changes in behaviors and lifestyles to forestall this
323 impending epidemic of ECC. The health promoting school initiatives can be used as examples
324 of the most effective and sustainable ways to modify these factors. In Scotland, a school-based
325 supervised brushing program was found to be very successful in reducing dental caries among
326 5-year-old children ²⁵. Sodium fluoride, which is a simple and effective treatment for caries
327 prevention, can be used in an outreach dental care. In addition, silver diamine fluoride can also
328 be incorporated in a school-based health program for arresting cavitated dentine caries due to
329 its effectiveness, safe and simplicity to use²⁶. However, since dental caries is influenced by the

330 same modifiable risk factors of other non-communicable diseases, rather than taking
331 individualistic approaches, a common risk approach such as the food policy development
332 should be adopted to address both the oral health problem and the general health problem²⁷.

333

334 Some limitations of the present study should be addressed. The study children were
335 selected based on the unit of kindergarten. Using the cluster sampling method could help with
336 reducing the cost and time and increasing the operational efficiency of conducting a survey in
337 a large area. However, a sampling error may occur if the limited number of included clusters
338 leaves off a significant proportion of the population that is not sampled. Due to the nature of
339 the cross-sectional study, our results could identify only the association between risk factors
340 and ECC. Further cohort research will be essential for determining the predictors of ECC.
341 Nevertheless, the present study has several strengths, such as obtaining a high response rate
342 (89%), sufficient sample size (more than 1,200 children) and high intra-reliability (Kappa value
343 0.97). The participating children also came from a broad socioeconomic background, and their
344 gender distribution was as estimated, representing kindergarten children in Hong Kong.

345

346 In summary, ECC is prevalent among 3- to 5-year-old kindergarten children in Hong
347 Kong. Untreated ECC is a common phenomenon. Caries prevalence dramatically increases
348 with increasing age among kindergarten children. The caries experiences of the study children
349 were significantly associated with gender, family income, parental dental knowledge, dental
350 visit experience and oral hygiene.

351

352 **Conflict of interest**

353 All authors declare no conflict of interest.

354

355 **Acknowledgement**

356 The authors would like to thank Ms Samantha K Y Li for her assistance about statistical
357 analysis. This study is supported by the Research Grant Council General Research Fund
358 17107315.

359

360

361

362 **Table 1 Prevalence and severity dental caries of the study children according to age and gender**

Independent factors	N	Caries prevalence (dmft > 0)	p-value	Mean dmft (SD)	Mean d (SD)	Mean m (SD)	Mean f (SD)	p-value
All children	1191	46.3%		2.1 (3.4)	2.0 (3.3)	0.01 (0.2)	0.1 (0.6)	
Gender			<0.001 ^a					<0.001 ^b
Female	650	42.4%		1.8 (3.1)	1.7 (3.0)	0.02 (0.2)	0.1 (0.5)	
Male	541	51.0%		2.5 (3.7)	2.3 (3.6)	0.01 (0.1)	0.1 (0.6)	
Age			<0.001 ^a					<0.001 ^c
3	307	37.5%		1.4 (2.9)	1.3 (2.8)	0.01 (0.1)	0.02 (0.2)	
4	427	43.1%		1.9 (3.2)	1.8 (3.1)	<0.01 (0.1)	0.1 (0.4)	
5	457	55.4%		2.7 (3.8)	2.5 (3.7)	0.02 (0.3)	0.2 (0.8)	

363 ^a Chi-squared test, ^b Mann-Whitney U test, ^c Kruskal-Wallis test

364

Table 2 Caries prevalence and mean dmft score of independent variables

Variables (number of children)	Caries prevalence	p-value ^a	Mean dmft (SD)	p-value
Birthplace		0.010		0.003 ^b
Hong Kong (1081)	45.2%		2.1 (3.4)	
Others (110)	57.3%		2.4 (3.1)	
Parenthood		0.387		0.159 ^b
Both parent (1087)	46.1%		2.0 (3.3)	
Single parent or others (104)	48.1%		2.6 (4.0)	
Main caregiver		0.004		0.004 ^c
Parent (824)	48.1%		2.1 (3.3)	
Grandparent (211)	48.3%		2.4 (3.9)	
Helper or others (156)	34.0%		1.5 (2.9)	
Father's education		<0.001		<0.001 ^c
Primary or below (71)	59.2%		3.3 (4.4)	
Secondary (697)	50.2%		2.2 (3.4)	
Tertiary or above (425)	37.9%		1.8 (3.2)	
Mother's education		0.001		<0.001 ^c
Primary or below (101)	61.4%		2.6 (3.3)	
Secondary (684)	47.5%		2.2 (3.5)	
Tertiary or above (406)	40.6%		1.9 (3.2)	
Family income (HK\$)		<0.001		<0.001 ^c
Less than 15,000 (424)	59.4%		2.8 (3.9)	
15,001-30,000 (420)	47.4%		2.1 (3.2)	
More than 30,000 (347)	29.1%		1.1 (2.6)	
Bottle feeding duration		0.548		0.880 ^c
24 months or less (456)	48.2%		2.1 (3.2)	
More than 24 months (453)	45.7%		2.1 (3.4)	
Still fed with bottle (282)	44.3%		2.1 (3.7)	
Frequency of daily snacking		<0.001		<0.001 ^b
2 times or less (676)	41.7%		1.8 (3.2)	
More than 2 times (515)	47.6%		2.4 (3.6)	
Age when starting brushing		0.004		<0.001 ^b
24 months or less (830)	43.6%		2.0 (3.4)	
More than 24 months (361)	52.6%		2.4 (3.4)	
Frequency of daily brushing		0.032		0.002 ^b
2 times or less (410)	50.7%		2.4 (3.5)	
More than 2 times (781)	44.0%		2.0 (3.3)	
Dental visit experience		<0.001		<0.001 ^b
Yes (214)	59.8%		3.7 (4.4)	
No (977)	43.3%		1.7 (3.0)	
Parental dental knowledge level		<0.001		<0.001 ^c
Low (53)	64.2%		3.8 (4.7)	
Middle (647)	50.2%		2.3 (3.5)	
High (490)	39.2%		1.6 (2.9)	

^a Chi squared test, ^b Mann-Whitney test, ^c Kruskal-Wallis test

368 **Table 3 Caries risk factors of the study children (ZINB regression)**

Zero-inflated portion (dmft=0)	Odd Ratio	95% CI[#]	p-value	Pairwise comparison
Age			<0.001	(1)>(2)>(3)
(1) 3*				
(2) 4	0.66	0.45-0.97		
(3) 5	0.42	0.28-0.63		
Birthplace			0.046	
(1) Hong Kong*				
(2) Others	0.54	0.30-0.99		
Parenthood			0.041	
(1) Both parent*				
(2) Single parent or other	1.82	1.03-3.22		
Family income (HK\$)			<0.001	(3)>(2)>(1)
(1) Less than 15,000*				
(2) 15,001-30,000	1.94	1.29-2.92		
(3) More than 30,000	5.27	3.38-8.23		
Frequency of daily snacking			0.001	
(1) 2 times or less*				
(2) More than 2 times	0.60	0.44-0.82		
Dental visit experience			<0.001	
(1) Yes*				
(2) No	2.14	1.43-3.21		
Increase VPI score by 10%	0.87	0.73-0.98	0.017	
Negative Binomial Portion (dmft>0)	Incidence rate ratio	95% CI[#]	p-value	Pairwise comparison
Gender				
(1) Female*			0.008	
(2) Male	1.25	1.06-1.48		
Family income (HK\$)			0.030	(1),(2) > (3)
(1) Less than 15,000*				
(2) 15,001-30,000	0.98	0.81-1.19		
(3) More than 30,000	0.73	0.57-0.93		
Dental visit experience			<0.001	
(1) Yes*				
(2) No	0.51	0.42-0.63		
Parental dental knowledge			0.023	(1),(2)>(3)
(1) Low*				
(2) Middle	0.84	0.60-1.17		
(3) High	0.68	0.48-0.96		
Increase VPI score by 10%	1.09	1.03-1.15	0.001	

* Reference group, [#]95% CI = 95% confidence interval

369
370
371
372
373
374

375 **References**

- 376 1. Global, regional, and national incidence, prevalence, and years lived with disability for
377 328 diseases and injuries for 195 countries, 1990-2016: a systematic analysis for the
378 Global Burden of Disease Study 2016. *Lancet* 2017 390:1211-1259.
- 379 2. Policy on Early Childhood Caries (ECC): Classifications, Consequences, and
380 Preventive Strategies. *Pediatr Dent* 2017 39:59-61.
- 381 3. Wong HM, McGrath CP, King NM *et al.* Oral health-related quality of life in Hong
382 Kong preschool children. *Caries Res* 2011 45:370-376.
- 383 4. Duangthip D, Gao SS, Lo EC *et al.* Early childhood caries among 5- to 6-year-old
384 children in Southeast Asia. *Int Dent J* 2017 67:98-106.
- 385 5. Zhou X, Xu X, Li J *et al.* Oral health in China: from vision to action. *Int J Oral Sci*
386 2018 10:1.
- 387 6. Census and Statistics Department. Hong Kong Statistics: Population 2018. Available
388 from: <https://www.censtatd.gov.hk/hkstat/sub/so20.jsp>. Accessed 1 July 2018.
- 389 7. Gao SS, Chen KJ, Duangthip D *et al.* Oral Health Care in Hong Kong. *Healthcare*
390 (*Basel*) 2018 6. doi 10.17796/1053-4625-42.5.8.
- 391 8. Lee GH, Pang HN, McGrath C *et al.* Oral health of Hong Kong children: a historical
392 and epidemiological perspective. *Hong Kong Med J* 2016 22:372-381.
- 393 9. Chu CH, Lo EC, Ho PL. Oral health status and behaviours of preschool children in
394 Hong Kong. *BMC Public Health* 2012 12:767.
- 395 10. Department of Health, Government of the Hong Kong Special Administrative Region.
396 Oral Health Survey 2011. Available from:
397 [http://www.toothclub.gov.hk/en/en_pdf/Oral_Health_Survey_2011/Oral_Health_Survey_2011_WCAG_20141112_\(EN_Full\).pdf](http://www.toothclub.gov.hk/en/en_pdf/Oral_Health_Survey_2011/Oral_Health_Survey_2011_WCAG_20141112_(EN_Full).pdf). Accessed 15 June 2018.
- 399 11. Department of Health, Government of the Hong Kong Special Administrative Region.
400 Tooth Club. Available from: http://www.toothclub.gov.hk/en/en_home_01.html.
401 Accessed 1 July 2018.
- 402 12. World Health Organization (WHO). Oral health surveys-basic methods Geneva: World
403 Health Organization; 2013. Available from:
404 http://apps.who.int/iris/bitstream/10665/97035/1/9789241548649_eng.pdf?ua=1.
405 Accessed 15 June 2018.
- 406 13. Chen KJ, Gao SS, Duangthip D *et al.* Dental caries status and its associated factors
407 among 5-year-old Hong Kong children: a cross-sectional study. *BMC Oral Health* 2017
408 17:121.
- 409 14. Ainamo J, Bay I. Problems and proposals for recording gingivitis and plaque. *Int Dent J*
410 1975 25:229-235.

- 411 15. Phantumvanit P, Makino Y, Ogawa H *et al.* WHO Global Consultation on Public
412 Health Intervention against Early Childhood Caries. *Community Dent Oral Epidemiol*
413 2018 46:280-287.
- 414 16. Tsai AI, Chen CY, Li LA *et al.* Risk indicators for early childhood caries in Taiwan.
415 *Community Dent Oral Epidemiol* 2006 34:437-445.
- 416 17. Dye BA, Thornton-Evans G, Li X *et al.* Dental caries and sealant prevalence in children
417 and adolescents in the United States, 2011-2012. *NCHS Data Brief* 2015:1-8.
- 418 18. Health and Social Care Informatic Center, National Statistics. Children's oral health
419 report 2015. Available from:
420 <http://www.hscic.gov.uk/catalogue/PUB17137/CDHS2013-England-Report.pdf>.
421 Accessed 15 June 2018.
- 422 19. Cheng DYC. Oral Health Promotion by the Department of Health. *Dental Bulletin* 2008
423 13:8-10.
- 424 20. Chau AMH, Lo ECM, Wong MCM *et al.* Interpreting Poisson Regression Models in
425 Dental Caries Studies. *Caries Res* 2018 52:339-345.
- 426 21. Gao SS, Duangthip D, Lo ECM *et al.* Risk Factors of Early Childhood Caries among
427 Young Children in Hong Kong: A Cross-Sectional Study. *J Clin Pediatr Dent* 2018 42:
428 doi: 10.17796/1053-4625-42.5.8.
- 429 22. American Academy of Pediatric Dentistry. Perinatal and Infant Oral Health Care.
430 *Pediatr Dent* 2017 39:208-212.
- 431 23. Chu CH, Fung DS, Lo EC. Dental caries status of preschool children in Hong Kong. *Br*
432 *Dent J* 1999 187:616-620.
- 433 24. Iheozor-Ejiofor Z, Worthington HV, Walsh T *et al.* Water fluoridation for the
434 prevention of dental caries. *Cochrane Database Syst Rev* 2015 6:CD010856.
- 435 25. Macpherson LM, Anopa Y, Conway DI *et al.* National supervised toothbrushing
436 program and dental decay in Scotland. *J Dent Res* 2013 92:109-113.
- 437 26. Duangthip D, Chen KJ, Gao SS *et al.* Managing Early Childhood Caries with
438 Atraumatic Restorative Treatment and Topical Silver and Fluoride Agents. *Int J*
439 *Environ Res Public Health* 2017 14. doi: 10.3390/ijerph14101204.
- 440 27. Sheiham A, Watt RG. The common risk factor approach: a rational basis for promoting
441 oral health. *Community Dent Oral Epidemiol* 2000 28:399-406.

442