

An algebraic approach to the Siegel-Weil average for binary quadratic forms

Ben Kane
(Joint with Pavel Guerzhoy)

The University of Hong Kong

Conference on the Arithmetic Theory of Quadratic Forms
Seoul National University
January 8, 2019

Quadratic forms

- ▶ Quad. form Q : ($a_{ij} \in \mathbb{Z}$)

$$Q(x_1, \dots, x_n) = \sum_{1 \leq i \leq j \leq n} a_{ij} x_i x_j.$$

Quadratic forms

- ▶ Quad. form Q : $(a_{ij} \in \mathbb{Z})$

$$Q(x_1, \dots, x_n) = \sum_{1 \leq i \leq j \leq n} a_{ij} x_i x_j.$$

- ▶ **Classes**: $Q \sim_{\mathbb{Z}} Q$ if \exists isometry over \mathbb{Z} from Q to Q .

- ▶ Quad. form Q : $(a_{ij} \in \mathbb{Z})$

$$Q(x_1, \dots, x_n) = \sum_{1 \leq i \leq j \leq n} a_{ij} x_i x_j.$$

- ▶ **Classes**: $Q \sim_{\mathbb{Z}} Q$ if \exists isometry over \mathbb{Z} from Q to Q .
- ▶ $\omega_Q := \#$ isometries over \mathbb{Z} from Q to Q .

- ▶ Quad. form Q : $(a_{ij} \in \mathbb{Z})$

$$Q(x_1, \dots, x_n) = \sum_{1 \leq i \leq j \leq n} a_{ij} x_i x_j.$$

- ▶ **Classes**: $Q \sim_{\mathbb{Z}} Q'$ if \exists isometry over \mathbb{Z} from Q to Q' .
- ▶ $\omega_Q := \#$ isometries over \mathbb{Z} from Q to Q .
- ▶ Similarly: $Q \sim_{\mathbb{Z}_p} Q'$ if \exists isometry over \mathbb{Z}_p

Quadratic forms

- ▶ Quad. form $Q: (a_{ij} \in \mathbb{Z})$

$$Q(x_1, \dots, x_n) = \sum_{1 \leq i \leq j \leq n} a_{ij} x_i x_j.$$

- ▶ **Classes:** $Q \sim_{\mathbb{Z}} Q'$ if \exists isometry over \mathbb{Z} from Q to Q' .
- ▶ $\omega_Q := \#$ isometries over \mathbb{Z} from Q to Q .
- ▶ Similarly: $Q \sim_{\mathbb{Z}_p} Q'$ if \exists isometry over \mathbb{Z}_p
- ▶ **Genus** $\text{gen}(Q)$: $Q' \in \text{gen}(Q)$ iff $Q \sim_{\mathbb{Z}_p} Q'$ for all p (finite and infinite).

Theta series

- ▶ Q quad. form, **theta series** ($q := e^{2\pi iz}$)

$$\Theta_Q(z) := \sum_{\mathbf{x} \in \mathbb{Z}^n} q^{Q(\mathbf{x})}.$$

- ▶ Q quad. form, **theta series** ($q := e^{2\pi iz}$)

$$\Theta_Q(z) := \sum_{\mathbf{x} \in \mathbb{Z}^n} q^{Q(\mathbf{x})}.$$

- ▶ Θ_Q is weight $n/2$ modular form.

- ▶ Q quad. form, **theta series** ($q := e^{2\pi iz}$)

$$\Theta_Q(z) := \sum_{\mathbf{x} \in \mathbb{Z}^n} q^{Q(\mathbf{x})}.$$

- ▶ Θ_Q is weight $n/2$ modular form.
- ▶ Example: $Q(x, y, z, w) = x^2 + y^2 + z^2 + w^2$; Θ_Q in one-dim. space \implies Lagrange's 4 \square Thm.

- ▶ Define

$$\Theta_{\text{gen}(Q)}(z) := \frac{1}{\sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \omega_Q^{-1}} \sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \frac{\Theta_Q(z)}{\omega_Q}.$$

- ▶ Define

$$\Theta_{\text{gen}(Q)}(z) := \frac{1}{\sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \omega_Q^{-1}} \sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \frac{\Theta_Q(z)}{\omega_Q}.$$

- ▶ $\Theta_{\text{gen}(Q)}$ counts “representations by genus”.

- ▶ Define

$$\Theta_{\text{gen}(Q)}(z) := \frac{1}{\sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \omega_Q^{-1}} \sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \frac{\Theta_Q(z)}{\omega_Q}.$$

- ▶ $\Theta_{\text{gen}(Q)}$ counts “representations by genus”.
- ▶ Siegel: Coeff. is prod. local densities (p -adic integral/ p -adic limit).

- ▶ Define

$$\Theta_{\text{gen}(Q)}(z) := \frac{1}{\sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \omega_Q^{-1}} \sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \frac{\Theta_Q(z)}{\omega_Q}.$$

- ▶ $\Theta_{\text{gen}(Q)}$ counts “representations by genus”.
- ▶ Siegel: Coeff. is prod. local densities (p -adic integral/ p -adic limit).
- ▶ Also Eisenstein series component of Θ_Q is $\Theta_{\text{gen}(Q)}$.

- ▶ Define

$$\Theta_{\text{gen}(Q)}(z) := \frac{1}{\sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \omega_Q^{-1}} \sum_{Q \in \text{gen}(Q)/\sim_{\mathbb{Z}}} \frac{\Theta_Q(z)}{\omega_Q}.$$

- ▶ $\Theta_{\text{gen}(Q)}$ counts “representations by genus”.
- ▶ Siegel: Coeff. is prod. local densities (p -adic integral/ p -adic limit).
- ▶ Also Eisenstein series component of Θ_Q is $\Theta_{\text{gen}(Q)}$.
Question: Which one?

Classical modular forms

- ▶ Modular form f weight $k \in \mathbb{Z}$

- ▶ Modular form f weight $k \in \mathbb{Z}$
- ▶ **Modularity** $f \Big|_k \gamma(z) := (cz + d)^{-k} f(\gamma z) = f$ for $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$.

- ▶ Modular form f weight $k \in \mathbb{Z}$
- ▶ **Modularity** $f \Big|_k \gamma(z) := (cz + d)^{-k} f(\gamma z) = f$ for $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$.
- ▶ Holomorphicity.

Classical modular forms

- ▶ Modular form f weight $k \in \mathbb{Z}$
- ▶ **Modularity** $f \Big|_k \gamma(z) := (cz + d)^{-k} f(\gamma z) = f$ for $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$.
- ▶ Holomorphicity.
- ▶ Bounded towards cusps.

Modifications (Multiplier)

- ▶ For each $\gamma \in \mathrm{SL}_2(\mathbb{Z})$, define $\nu(\gamma)$ with $|\nu(\gamma)| = 1$.

Modifications (Multiplier)

- ▶ For each $\gamma \in \mathrm{SL}_2(\mathbb{Z})$, define $\nu(\gamma)$ with $|\nu(\gamma)| = 1$.
- ▶ Certain consistency conditions

Modifications (Multiplier)

- ▶ For each $\gamma \in \mathrm{SL}_2(\mathbb{Z})$, define $\nu(\gamma)$ with $|\nu(\gamma)| = 1$.
- ▶ Certain consistency conditions

- ▶ Set

$$f \Big|_{k, \nu} \gamma(\tau) := \nu(\gamma)^{-1} (c\tau + d)^{-k} f \left(\frac{a\tau + b}{c\tau + d} \right).$$

Modifications

- ▶ Modular form f weight $k \in \frac{1}{2}\mathbb{Z}$ (half-integral weight):
- ▶ Modularity $f \Big|_{k,\nu} \gamma = f$ for $\gamma \in \Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$.
- ▶ ...
- ▶ ...

- ▶ **Eisenstein series** ($\Gamma_\infty := \{\pm T^n : n \in \mathbb{Z}\}$, $T := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$):

$$E_k(z) := \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = \sum_{(c,d)=1} (cz + d)^{-k}.$$

- ▶ **Eisenstein series** ($\Gamma_\infty := \{\pm T^n : n \in \mathbb{Z}\}$, $T := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$):

$$E_k(z) := \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = \sum_{(c,d)=1} (cz + d)^{-k}.$$

- ▶ Weight k by construction:

- ▶ **Eisenstein series** ($\Gamma_\infty := \{\pm T^n : n \in \mathbb{Z}\}$, $T := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$):

$$E_k(z) := \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = \sum_{(c,d)=1} (cz + d)^{-k}.$$

- ▶ Weight k by construction:

$$E_k|_k \gamma_0 = \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma \gamma_0(z) = \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = E_k.$$

- ▶ **Eisenstein series** ($\Gamma_\infty := \{\pm T^n : n \in \mathbb{Z}\}$, $T := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$):

$$E_k(z) := \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = \sum_{(c,d)=1} (cz + d)^{-k}.$$

- ▶ Weight k by construction:

$$E_k|_k \gamma_0 = \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma \gamma_0(z) = \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = E_k.$$

Holomorphic because 1 is holomorphic

- ▶ **Eisenstein series** ($\Gamma_\infty := \{\pm T^n : n \in \mathbb{Z}\}$, $T := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$):

$$E_k(z) := \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = \sum_{(c,d)=1} (cz+d)^{-k}.$$

- ▶ Weight k by construction:

$$E_k|_k \gamma_0 = \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma \gamma_0(z) = \sum_{\gamma \in \Gamma_\infty \backslash \mathrm{SL}_2(\mathbb{Z})} 1|_k \gamma(z) = E_k.$$

Holomorphic because 1 is holomorphic (and good convergence).

Applications of Siegel–Weil formula

- ▶ Eisenstein series coeff. explicit.

Applications of Siegel–Weil formula

- ▶ Eisenstein series coeff. explicit.
- ▶ m th coeff of Eis. series $> 0 \implies$ **at least one** $Q' \in \text{gen}(Q)$ represents m .

Applications of Siegel–Weil formula

- ▶ Eisenstein series coeff. explicit.
- ▶ m th coeff of Eis. series $> 0 \implies$ **at least one** $Q' \in \text{gen}(Q)$ represents m .
- ▶ Only one class in $\text{gen}(Q) \implies$ local-global.

Applications of Siegel–Weil formula

- ▶ Eisenstein series coeff. explicit.
- ▶ m th coeff of Eis. series $> 0 \implies$ **at least one** $Q' \in \text{gen}(Q)$ represents m .
- ▶ Only one class in $\text{gen}(Q) \implies$ local-global.
- ▶ Coeff. grow “fast” for $n \geq 3$.

Applications of Siegel–Weil formula

- ▶ Eisenstein series coeff. explicit.
- ▶ m th coeff of Eis. series $> 0 \implies$ **at least one** $Q' \in \text{gen}(Q)$ represents m .
- ▶ Only one class in $\text{gen}(Q) \implies$ local-global.
- ▶ Coeff. grow “fast” for $n \geq 3$.
- ▶ Tartakowsky ($n \geq 5$): m suff. large $\implies m$ repr. by Q .

Applications of Siegel–Weil formula

- ▶ $n = 4$: some “bad” (anisotropic) primes

Applications of Siegel–Weil formula

- ▶ $n = 4$: some “bad” (anisotropic) primes
- ▶ m suff. large, $\text{ord}_p(m)$ bounded, locally repr. $\implies m$ repr. by Q .

Applications of Siegel–Weil formula

- ▶ $n = 4$: some “bad” (anisotropic) primes
- ▶ m suff. large, $\text{ord}_p(m)$ bounded, locally repr. $\implies m$ repr. by Q .
- ▶ $n = 3$: Duke–Schulze-Pillot: m suff. large rep. by spinor genus containing $Q \implies m$ repr. by Q .

Dirichlet's class number formula

- ▶ Binary quadratic discriminant D : \mathcal{Q}_D .

Dirichlet's class number formula

- ▶ Binary quadratic discriminant D : \mathcal{Q}_D .
- ▶ Consider sum ($D < 0$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n)$$

Dirichlet's class number formula

- ▶ Binary quadratic discriminant D : \mathcal{Q}_D .
- ▶ Consider sum ($D < 0$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n)$$

- ▶ Gauss:

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n) = \omega_D \sum_{t|n} \left(\frac{D}{t} \right).$$

Dirichlet's class number formula

- ▶ Binary quadratic discriminant D : \mathcal{Q}_D .
- ▶ Consider sum ($D < 0$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n)$$

- ▶ Gauss:

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n) = \omega_D \sum_{t|n} \left(\frac{D}{t} \right).$$

- ▶ For $n = 0$, yields Dirichlet class number formula ($D < 0$ fund.):

$$h(D) = \#\mathcal{Q}_D = \frac{\omega_D \sqrt{|D|}}{2\pi} L(\chi_D, 1).$$

Gauss's formula and Siegel–Weil

► Rewritten:

$$\sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n)$$

- ▶ Rewritten:

$$\sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{Egen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) = \sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n)$$

► Rewritten:

$$\begin{aligned} \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) &= \sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n) \\ &= \omega_D \sum_{t|n} \left(\frac{D}{t} \right). \end{aligned}$$

Gauss's formula and Siegel–Weil

- ▶ Rewritten:

$$\begin{aligned} \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) &= \sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n) \\ &= \omega_D \sum_{t|n} \left(\frac{D}{t} \right). \end{aligned}$$

- ▶ Each Q has $\omega_Q = \omega_D$.

Gauss's formula and Siegel–Weil

- ▶ Rewritten:

$$\begin{aligned} \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) &= \sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} r_Q(n) \\ &= \omega_D \sum_{t|n} \left(\frac{D}{t} \right). \end{aligned}$$

- ▶ Each Q has $\omega_Q = \omega_D$.
- ▶ Siegel–Weil: Some Eisenstein series. Gauss: gives which one.

Siegel–Weil and Gauss's composition law

- ▶ Gauss: binary quad. have group law.

Siegel–Weil and Gauss's composition law

- ▶ Gauss: binary quad. have group law.
- ▶ Principal genus: genus of identity

Siegel–Weil and Gauss's composition law

- ▶ Gauss: binary quad. have group law.
- ▶ Principal genus: genus of identity
- ▶ Genera are cosets mod princ. genus.

Siegel–Weil and Gauss's composition law

- ▶ Gauss: binary quad. have group law.
- ▶ Principal genus: genus of identity
- ▶ Genera are cosets mod princ. genus.
- ▶ Group = H , princ. genus = H^2 .

Siegel–Weil and Gauss’s composition law

- ▶ Consider characters χ on H/H^2 .

Siegel–Weil and Gauss's composition law

- ▶ Consider characters χ on H/H^2 .
- ▶ Gives characters on genus (real because $\chi(Q)^2 = \chi(Q^2) = 1$).

Siegel–Weil and Gauss's composition law

- ▶ Consider characters χ on H/H^2 .
- ▶ Gives characters on genus (real because $\chi(Q)^2 = \chi(Q^2) = 1$).
- ▶ Consider sums

$$r_\chi(n) := \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \chi(Q_0) \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n)$$

Siegel–Weil and Gauss’s composition law

- ▶ Consider characters χ on H/H^2 .
- ▶ Gives characters on genus (real because $\chi(Q)^2 = \chi(Q^2) = 1$).
- ▶ Consider sums

$$r_\chi(n) := \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \chi(Q_0) \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n)$$

- ▶ Siegel-Weil: coeff. Eis. series. **Which one?**

- ▶ Basis wt. one Eis. Series: pairs χ_1, χ_2

$$E_{\chi_1, \chi_2}(z) := c_0 + \sum_{n \geq 1} \sum_{t|n} \chi_1(t) \chi_2\left(\frac{n}{t}\right) q^n$$

Characters and Eisenstein series

- ▶ Basis wt. one Eis. Series: pairs χ_1, χ_2

$$E_{\chi_1, \chi_2}(z) := c_0 + \sum_{n \geq 1} \sum_{t|n} \chi_1(t) \chi_2\left(\frac{n}{t}\right) q^n$$

- ▶ Characters $\chi \leftrightarrow$ discriminants d, d' with $D = dd'$.

- ▶ Basis wt. one Eis. Series: pairs χ_1, χ_2

$$E_{\chi_1, \chi_2}(z) := c_0 + \sum_{n \geq 1} \sum_{t|n} \chi_1(t) \chi_2\left(\frac{n}{t}\right) q^n$$

- ▶ Characters $\chi \leftrightarrow$ discriminants d, d' with $D = dd'$.
- ▶ Can compute

$$\chi(Q) = \chi_d(n) = \left(\frac{d}{n}\right),$$

where n prim. repres. by Q .

Characters and Eisenstein series (cont.)

- ▶ Gives ($n \geq 1$) by Gauss's formula:

$$r_{\chi}(n) = \chi_d(n) \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n)$$

Characters and Eisenstein series (cont.)

- ▶ Gives ($n \geq 1$) by Gauss's formula:

$$r_{\chi}(n) = \chi_d(n) \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) = \chi_d(n) \sum_{t|n} \left(\frac{D}{t} \right)$$

Characters and Eisenstein series (cont.)

- ▶ Gives ($n \geq 1$) by Gauss's formula:

$$\begin{aligned} r_\chi(n) &= \chi_d(n) \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) = \chi_d(n) \sum_{t|n} \left(\frac{D}{t} \right) \\ &= \sum_{t|n} \chi_d(n) \left(\frac{dd'}{t} \right) \end{aligned}$$

Characters and Eisenstein series (cont.)

- ▶ Gives ($n \geq 1$) by Gauss's formula:

$$\begin{aligned} r_\chi(n) &= \chi_d(n) \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) = \chi_d(n) \sum_{t|n} \left(\frac{D}{t} \right) \\ &= \sum_{t|n} \chi_d(n) \left(\frac{dd'}{t} \right) \\ &= \sum_{t|n} \chi_d \left(\frac{n}{t} \right) \chi_{d'}(t). \end{aligned}$$

Characters and Eisenstein series (cont.)

- ▶ Gives ($n \geq 1$) by Gauss's formula:

$$\begin{aligned} r_\chi(n) &= \chi_d(n) \sum_{Q_0 \in \mathcal{Q}_D / \sim_{\mathbb{Z}_p}} \sum_{Q \in \text{gen}(Q_0) / \sim_{\mathbb{Z}}} r_Q(n) = \chi_d(n) \sum_{t|n} \left(\frac{D}{t} \right) \\ &= \sum_{t|n} \chi_d(n) \left(\frac{dd'}{t} \right) \\ &= \sum_{t|n} \chi_d \left(\frac{n}{t} \right) \chi_{d'}(t). \end{aligned}$$

- ▶ Coeff. of $E_{\chi_d, \chi_{d'}}$.

Computation of Siegel–Weil average

- ▶ Orthogonality of characters.

Computation of Siegel–Weil average

- ▶ Orthogonality of characters.
- ▶ Can identify indiv. genus.

Computation of Siegel–Weil average

- ▶ Orthogonality of characters.
- ▶ Can identify indiv. genus.
- ▶ Gives (for $\chi \leftrightarrow \chi_d, \chi_{d'}$)

$$\Theta_{\text{gen}(Q)} = \frac{1}{\#(H/H^2)} \sum_{\chi \in (H/H^2)^*} \chi(Q) E_{\chi_d, \chi_{d'}}$$

Computation of Siegel–Weil average

- ▶ Orthogonality of characters.
- ▶ Can identify indiv. genus.
- ▶ Gives (for $\chi \leftrightarrow \chi_d, \chi_{d'}$)

$$\Theta_{\text{gen}(Q)} = \frac{1}{\#(H/H^2)} \sum_{\chi \in (H/H^2)^*} \chi(Q) E_{\chi_d, \chi_{d'}}$$

- ▶ Can plug in $E_{\chi_d, \chi_{d'}}$ (explicit).

Proof of Gauss's formula

- ▶ Consider $r_1(n)$.

Proof of Gauss's formula

▶ Consider $r_1(n)$.

▶ Set

$$b(n) := r_1(pn) + \left(\frac{D}{p}\right) r_1(n/p).$$

Proof of Gauss's formula

- ▶ Consider $r_1(n)$.

- ▶ Set

$$b(n) := r_1(pn) + \left(\frac{D}{p}\right) r_1(n/p).$$

- ▶ Note: $r_Q(pn) + \left(\frac{D}{p}\right) r_1(n/p)$ is coeff. of $\Theta_Q|T_p$.

Proof of Gauss's formula

- ▶ Consider $r_1(n)$.

- ▶ Set

$$b(n) := r_1(pn) + \left(\frac{D}{p}\right) r_1(n/p).$$

- ▶ Note: $r_Q(pn) + \left(\frac{D}{p}\right) r_1(n/p)$ is coeff. of $\Theta_Q|T_p$.

- ▶ Claim that

$$b(n) = \left(1 + \left(\frac{\Delta}{p}\right)\right) r_1(n).$$

Proof of Gauss's formula

- ▶ For $Q \in H/H^2$, corresponding ideal I_Q gen. by α, β

Proof of Gauss's formula

- ▶ For $Q \in H/H^2$, corresponding ideal I_Q gen. by α, β
- ▶ Satisfies

$$Q(x, y) = \frac{N(\alpha x + \beta y)}{N(I_Q)}.$$

Proof of Gauss's formula

▶ For $Q \in H/H^2$, corresponding ideal I_Q gen. by α, β

▶ Satisfies

$$Q(x, y) = \frac{N(\alpha x + \beta y)}{N(I_Q)}.$$

▶ Gives

$$\Theta_{I_Q} := \Theta_Q = \sum_{m \in I_Q} q^{N(m)/N(I_Q)}$$

Proof of Gauss's formula

- ▶ For $Q \in H/H^2$, corresponding ideal I_Q gen. by α, β

- ▶ Satisfies

$$Q(x, y) = \frac{N(\alpha x + \beta y)}{N(I_Q)}.$$

- ▶ Gives

$$\Theta_{I_Q} := \Theta_Q = \sum_{m \in I_Q} q^{N(m)/N(I_Q)}$$

- ▶ Note: $p \mid N(m)/N(I_Q)$ iff $m \in \mathfrak{p}I_Q$ for $\mathfrak{p} \mid (p)$.

Proof of Gauss's formula

- ▶ For $p = \mathfrak{p}\mathfrak{p}'$ split:

$$\Theta_{I_Q} | T_p = \Theta_{I_{Q\mathfrak{p}}} + \Theta_{I_{Q\mathfrak{p}'}}.$$

Proof of Gauss's formula

- ▶ For $p = \mathfrak{p}\mathfrak{p}'$ split:

$$\Theta_{I_Q} | T_p = \Theta_{I_{Q\mathfrak{p}}} + \Theta_{I_{Q\mathfrak{p}'}}.$$

- ▶ Altogether ($H = H\mathfrak{p} = H\mathfrak{p}'$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q} | T_p$$

Proof of Gauss's formula

- ▶ For $p = \mathfrak{p}\mathfrak{p}'$ split:

$$\Theta_{I_Q} | T_p = \Theta_{I_{Q\mathfrak{p}}} + \Theta_{I_{Q\mathfrak{p}'}}.$$

- ▶ Altogether ($H = H\mathfrak{p} = H\mathfrak{p}'$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q} | T_p = \sum_{I \in H\mathfrak{p}} \Theta_I + \sum_{I \in H\mathfrak{p}'}} \Theta_I$$

Proof of Gauss's formula

- ▶ For $p = pp'$ split:

$$\Theta_{I_Q} | T_p = \Theta_{I_{Qp}} + \Theta_{I_{Qp'}}.$$

- ▶ Altogether ($H = Hp = Hp'$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q} | T_p = \sum_{I \in Hp} \Theta_I + \sum_{I \in Hp'} \Theta_I = 2 \sum_{I \in H} \Theta_I.$$

Proof of Gauss's formula

- ▶ For $p = pp'$ split:

$$\Theta_{I_Q}|T_p = \Theta_{I_{Qp}} + \Theta_{I_{Qp'}}.$$

- ▶ Altogether ($H = Hp = Hp'$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q}|T_p = \sum_{I \in Hp} \Theta_I + \sum_{I \in Hp'} \Theta_I = 2 \sum_{I \in H} \Theta_I.$$

- ▶ For $p = p^2$ ramified:

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q}|T_p$$

Proof of Gauss's formula

- ▶ For $p = pp'$ split:

$$\Theta_{I_Q}|T_p = \Theta_{I_{Qp}} + \Theta_{I_{Qp'}}.$$

- ▶ Altogether ($H = Hp = Hp'$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q}|T_p = \sum_{I \in Hp} \Theta_I + \sum_{I \in Hp'} \Theta_I = 2 \sum_{I \in H} \Theta_I.$$

- ▶ For $p = p^2$ ramified:

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q}|T_p = \sum_{I \in Hp} \Theta_I$$

Proof of Gauss's formula

- ▶ For $p = pp'$ split:

$$\Theta_{I_Q}|T_p = \Theta_{I_{Qp}} + \Theta_{I_{Qp'}}.$$

- ▶ Altogether ($H = Hp = Hp'$)

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q}|T_p = \sum_{I \in Hp} \Theta_I + \sum_{I \in Hp'} \Theta_I = 2 \sum_{I \in H} \Theta_I.$$

- ▶ For $p = p^2$ ramified:

$$\sum_{Q \in \mathcal{Q}_D / \sim_{\mathbb{Z}}} \Theta_{I_Q}|T_p = \sum_{I \in Hp} \Theta_I = \sum_{I \in H} \Theta_I.$$

Proof of Gauss's formula

- ▶ For p inert: I and $I(p)$ same class in H .

Proof of Gauss's formula

- ▶ For p inert: I and $I(p)$ same class in H .
- ▶ Yields

$$\sum_{m \in I_Q(p)} q^{N(m)/(pN(I_Q))} = \sum_{m \in I_Q(p)} q^{pN(m)/(N(I_Q(p)))}.$$

Proof of Gauss's formula

▶ For p inert: I and $I(p)$ same class in H .

▶ Yields

$$\sum_{m \in I_Q(p)} q^{N(m)/(pN(I_Q))} = \sum_{m \in I_Q(p)} q^{pN(m)/(N(I_Q(p)))}.$$

▶ Implies $\Theta_Q | T_p = 0$.

Quadratic polynomials

- ▶ Quadratic polynomial:

$$Q(x) + L(x) + c,$$

Q quadratic, L linear, c constant.

Quadratic polynomials

- ▶ Quadratic polynomial:

$$Q(x) + L(x) + c,$$

Q quadratic, L linear, c constant.

- ▶ Example: (generalized) m -gonal numbers ($x \in \mathbb{Z}$)

$$p_m(x) := \frac{(m-2)x^2 - (m-4)}{2}.$$

Quadratic polynomials

- ▶ Quadratic polynomial:

$$Q(x) + L(x) + c,$$

Q quadratic, L linear, c constant.

- ▶ Example: (generalized) m -gonal numbers ($x \in \mathbb{Z}$)

$$p_m(x) := \frac{(m-2)x^2 - (m-4)}{2}.$$

- ▶ **Question:** Which $n \in \mathbb{N}$ are sums of $(2, 3, 4, \dots)$ m -gonal numbers?

Quadratic polynomials

- ▶ Quadratic polynomial:

$$Q(x) + L(x) + c,$$

Q quadratic, L linear, c constant.

- ▶ Example: (generalized) m -gonal numbers ($x \in \mathbb{Z}$)

$$p_m(x) := \frac{(m-2)x^2 - (m-4)}{2}.$$

- ▶ **Question:** Which $n \in \mathbb{N}$ are sums of $(2, 3, 4, \dots)$ m -gonal numbers?
- ▶ Can consider similar questions for these.

Quadratic polynomials and Siegel–Weil formula

- ▶ Complete square \rightarrow quad. form congr. cond.

Quadratic polynomials and Siegel–Weil formula

- ▶ Complete square \rightarrow quad. form Congr. cond.
- ▶ Quad. form Q , lattice L and vector ν :

$$r_{L+\nu}(m) = \#\{x \in L + \nu : Q(x) = m\}.$$

Quadratic polynomials and Siegel–Weil formula

- ▶ Complete square \rightarrow quad. form congr. cond.
- ▶ Quad. form Q , lattice L and vector ν :

$$r_{L+\nu}(m) = \#\{x \in L + \nu : Q(x) = m\}.$$

- ▶ Define theta fun. $\Theta_{L+\nu}$.

Quadratic polynomials and Siegel–Weil formula

- ▶ Complete square \rightarrow quad. form congr. cond.
- ▶ Quad. form Q , lattice L and vector ν :

$$r_{L+\nu}(m) = \#\{x \in L + \nu : Q(x) = m\}.$$

- ▶ Define theta fun. $\Theta_{L+\nu}$.
- ▶ Shimura:

$$\frac{1}{\sum_{M+\mu \in \text{gen}(L+\nu)/\sim_{\mathbb{Z}}} \omega_{M+\mu}^{-1}} \sum_{M+\mu \in \text{gen}(L+\nu)/\sim_{\mathbb{Z}}} \frac{r_{M+\mu}(n)}{\omega_{M+\mu}^{-1}}$$

is coeff. Eis. series./prod. local densities.

“reverse” Moonshine?

- ▶ Group G , graded representation $V = \bigoplus_{n \in \mathbb{Z}} V_n$.

“reverse” Moonshine?

- ▶ Group G , graded representation $V = \bigoplus_{n \in \mathbb{Z}} V_n$.
- ▶ Moonshine: Take trace across V_n

$$T_g(z) := \sum_{n \geq 0} \text{Tr}(g|V_n) q^n.$$

Show some sort of modular form (e.g., Monstrous moonshine) or mock modular form (e.g., umbral moonshine).

“reverse” Moonshine?

- ▶ Group G , graded representation $V = \bigoplus_{n \in \mathbb{Z}} V_n$.
- ▶ Moonshine: Take trace across V_n

$$T_g(z) := \sum_{n \geq 0} \text{Tr}(g|V_n) q^n.$$

Show some sort of modular form (e.g., Monstrous moonshine) or mock modular form (e.g., umbral moonshine).

- ▶ “Reverse”: Given family of maps $F_n : G \mapsto \mathbb{C}$, define

$$T_F(z) := \sum_{n \geq 0} \sum_{g \in G} F_n(g) q^n$$

“reverse” Moonshine?

- ▶ Group G , graded representation $V = \bigoplus_{n \in \mathbb{Z}} V_n$.
- ▶ Moonshine: Take trace across V_n

$$T_g(z) := \sum_{n \geq 0} \text{Tr}(g|V_n) q^n.$$

Show some sort of modular form (e.g., Monstrous moonshine) or mock modular form (e.g., umbral moonshine).

- ▶ “Reverse”: Given family of maps $F_n : G \mapsto \mathbb{C}$, define

$$T_F(z) := \sum_{n \geq 0} \sum_{g \in G} F_n(g) q^n$$

- ▶ Our case is $F_n(Q) := \omega_Q^{-1} \chi(Q) r_Q(n)$.

“reverse” Moonshine?

- ▶ Group G , graded representation $V = \bigoplus_{n \in \mathbb{Z}} V_n$.
- ▶ Moonshine: Take trace across V_n

$$T_g(z) := \sum_{n \geq 0} \text{Tr}(g|V_n) q^n.$$

Show some sort of modular form (e.g., Monstrous moonshine) or mock modular form (e.g., umbral moonshine).

- ▶ “Reverse”: Given family of maps $F_n : G \mapsto \mathbb{C}$, define

$$T_F(z) := \sum_{n \geq 0} \sum_{g \in G} F_n(g) q^n$$

- ▶ Our case is $F_n(Q) := \omega_Q^{-1} \chi(Q) r_Q(n)$.
- ▶ “Natural” F for Monster group, Mathieu groups, etc.?

- ▶ Determine explicit shape for binary quadr. forms with cong. cond.

- ▶ Determine explicit shape for binary quadr. forms with cong. cond.
- ▶ Determine similar Siegel–Weil/“reverse Moonshine”-type sums for other groups with p -adic/local (guess other modular objects?)

- ▶ Determine explicit shape for binary quadr. forms with cong. cond.
- ▶ Determine similar Siegel–Weil/“reverse Moonshine”-type sums for other groups with p -adic/local (guess other modular objects?)
- ▶ Note that modularity not used (although Hecke operators natural).