

1 **Taxonomy and Deployment Framework for Emerging Pervasive Technologies in**
2 **Construction Projects**

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10

11 **Abstract:**

12 Managing complex and dynamic construction projects is challenging as it relies highly on the
13 real-time communication and seamless coordination of numerous ‘things’ and people that are
14 spatially and temporally distributed at a massive scale. To deal with the associated challenges,
15 various concepts, including internet of things (IoT), cyber-physical systems (CPS), and smart
16 construction objects (SCOs), have been explored in construction. Amidst the increasing
17 overlap and merger of principles among these three pervasive technologies is that clearly
18 narrow definitions and isolated development of each field are no longer appropriate. It is,
19 therefore, opportune for this study to explore and propose a deployment framework that
20 integrates IoT, CPS, and SCOs, with a view to achieving greater synergy that could expedite
21 their holistic implementation. It does so by adopting a mixed methods approach with literature
22 review, technological analyses, case studies, and action research at the core. This deployment
23 framework encompasses the key components of each technology (i.e. the three core properties
24 of SCOs, the bi-directional information flow in CPS, and the extensiveness of devices and
25 networking in IoT) in an inter-connected structure while enabling the uniqueness of each

26 technology to be evident. In addition, example application scenarios are described to
27 demonstrate the applicability of the proposed framework in real-life practice. This study
28 contributes to the body of knowledge by presenting a taxonomy that clarifies the similarities
29 and differences between IoT, CPS, and SCOs when applied to the construction industry. The
30 integrated deployment framework can be used to guide further theoretical explorations on the
31 synergistic effects of IoT, CPS, and SCOs, and enriched with practical cases to facilitate
32 construction project management.

33

34 **Key words:** Pervasive technologies; Internet of Things (IoT); Cyber physical system (CPS);
35 Smart construction object (SCO)

36

37 **Introduction**

38 Managing a construction project involves utilizing various construction resources to achieve
39 project objectives relating to such attributes as quality, duration, cost, function, and durability.
40 Construction resources, including manpower, material and machinery, are usually diverse and
41 scattered across locations and timespans. During the course of a construction project,
42 occurrences such as misallocating funds, delayed or incorrect deliveries, and misplaced
43 construction equipment are common. Many of these problems can be traced back to
44 miscommunication, lack of coordination, and the deficiency in information timeliness and
45 accessibility (Harris and McCaffer 2013, Niu et al. 2016). Despite the stereotype that
46 construction is a traditional industry that is notoriously slow in innovation intake and reluctant
47 to embrace changes, technology development has become a driving force in advancing
48 construction (Stewart et al. 2004). This is particularly true for sophisticated construction
49 projects where the execution of tasks requires multiple interdependent actors to work
50 synergistically in the heterogeneous and sometimes hostile environments. The industry has

51 recently seen the continuous introduction of emerging technologies such as Auto-ID (Jaselskis
52 and El-Misalami 2003, Lu et al. 2011, Flanagan et al. 2014), laser scanning (Tang et al. 2010),
53 sensor networks (Kawakami et al. 2008, Kolba and Collins 2006), and automated control (Louis
54 et al. 2014, Werfel et al. 2014) to tackle the maladies in construction.

55

56 Notably, the Internet of Things (IoT), a paradigm that has been permeating into several
57 industries such as telecommunication, automotive, healthcare, and logistics, is starting to gain
58 traction in the construction industry. IoT allows distributed objects, which are the so called
59 ‘things’ in IoT, to be sensed and interconnected across the network infrastructure, thus enabling
60 central monitoring and control of these ‘things’ (Miorandi et al. 2012). Usually, when the
61 physical ‘things’ are linked to the cyber world, the interactions between the physical end and
62 the cyber end can be achieved by bi-directional information flows. The system formed by
63 seamless integration of physical ‘things’ with cyber components is termed Cyber-Physical
64 System (CPS) (Lee 2006, Tang et al. 2010). Physical ‘things’ in either IoT or CPS are required
65 to have smartness or be augmented with smartness so as to ‘see’, ‘hear’, ‘think’ and ‘perform’
66 jobs (Miorandi et al. 2012) – thereby making them “smart objects”. As a step toward smartness
67 in the construction context, smart construction objects (SCOs) are proposed to represent the
68 construction resources that are made ‘smart’ by augmenting them with sensing, processing and
69 communication abilities (Niu et al. 2015).

70

71 Sharing similar underlying technology tools, there is an increasing overlap and merger of
72 principles between studies on IoT, CPS and SCOs in construction. However, research
73 exploration and applications associated with these technologies are usually proposed and tested
74 in isolation, lacking synergy and coherence. Niu et al. (2015) claim that SCOs are able to serve
75 as the basic component of IoT but there is no discussion of how to SCOs fit into IoT. In the

76 context of IoT, ‘things’ have been described to operate from embedded systems to CPS
77 (Vermesan et al. 2011). Likewise, IoT has been interpreted as “CPS connected to the Internet”
78 in the context of Industry 4.0 (Jazdi 2014). When the three concepts are mentioned together,
79 the similarities, differences, and possible relationships between them have not been clarified.
80 Narrow definitions and isolated development of each of these fields are thus no longer
81 appropriate, as they do not allow the full potential of these technologies to be realized,
82 especially when deployed in concert. Instead, this paper argues that greater interactions and
83 synergy could speed up research progress and facilitate practical deployment from a holistic
84 perspective.

85

86 The primary aim of this study is to develop a deployment framework that integrates various
87 key components of IoT, CPS, and SCOs to achieve synergy in supporting project management
88 throughout the whole life cycle of complex construction projects. It does so by adopting a
89 mixed methods research approach under which literature review, technological analyses, case
90 studies, and action research are triangulated. The theoretical perspective underpinning this
91 framework is to view project management as making an array of decisions *per se* (Flanagan
92 and Lu, 2008) and the concepts, including IoT, CPS, and SCOs are devised to support such
93 decisions. Similarities and differences between IoT, CPS, and SCOs are then compared and
94 analysed. Based on the comparison, a four-layered deployment framework that integrates the
95 three concepts is proposed, followed by application scenarios that describe potential
96 applications. The last part of the paper discusses the contributions of the framework, and draws
97 some conclusions.

98

99 **Related works**

100 ***Internet of Things (IoT)***

101 The definition of IoT has been evolving over the years in line with its ever-changing vision.
102 The phrase ‘Internet of Things’ was first coined in the 20th century by Kevin Ashton, the
103 executive director of the Massachusetts Institute of Technology (MIT)’s Auto-ID centre within
104 the context of the widespread use of radio frequency identification (RFID) (Atzori 2010,
105 Sundmaeker et al. 2010). In this sense, initially, ‘things’ in IoT referred mainly to RFID tags
106 or the tagged objects. With the later prevalence of sensors deployment, the ‘things’ in IoT have
107 been redefined to include sensing and actuating devices that are interconnected to share
108 information across platforms (Gubbi 2003). Compared to RFID-tagged objects, sensors and
109 actuators expanded the sources of data types in IoT while, in turn, the corresponding
110 applications are constrained by the types and capacities of sensors as well. By fusing the
111 paradigm of ubiquitous computing with IoT, the concept of ‘things’ in IoT has been further
112 shaped into ‘smart objects’ that can be any ordinary object in contemporary life with the ability
113 to see, hear, think and perform jobs by having them ‘talk’ to each other, to share information
114 and to coordinate decisions (Miorandi et al. 2012). From this perspective, RFID tags, sensors,
115 and actuators are often listed as the means to make these ‘things’ smart. The shift from
116 interconnecting computing devices to more broadly interconnecting ‘things’ is enabling the
117 rethinking of conventional approaches to networking, computing and service management
118 (Vermesan et al. 2011).

119

120 Apart from the ‘things’-oriented view, the IoT concept has also been explored and elaborated
121 from a network-oriented view (Atzori 2010). IoT is regarded as a radical evolution of the
122 current Internet (Gubbi 2003). Sundmaeker et al. (2010) define IoT as a dynamic global
123 network infrastructure with self-configuring capabilities based on standard and interoperable
124 communication protocols where physical and virtual ‘things’ have identities, physical
125 attributes, and virtual personalities that use intelligent interfaces, and are seamlessly integrated

126 into the information network. Compared with the traditional network of websites, physical
127 objects constitute the network terminals of IoT. The extensively-interconnected network
128 enables every object to participate in the service flow to make the pervasive service intelligent
129 (Ma 2011). The significance of IoT that surpasses the previous information communications
130 technology (ICT) systems lies in the view that IoT itself, is beyond the individual application
131 level. Instead, as a critical and integrated infrastructure upon which applications can run,
132 services on IoT can be scalable from personalized (such as digitizing home appliances) to city-
133 wide, such as delay-free traffic planning schemes (Stankovic 2014). While IoT caters for the
134 interconnection and interaction between multiple systems, hidden values of domain-specific
135 applications can also be harvested by interacting with domain-independent services (Al-
136 Fuqaha et al. 2015).

137

138 ***Cyber-Physical Systems (CPS)***

139 CPS are engineered systems that are built from, and depend upon the seamless integration of
140 computational algorithms and physical components (NSF, 2016). A key aspect of the CPS
141 approach is an effective mechanism for facilitating bi-directional coordination between the
142 cyber and physical ‘twins’ (Lee 2008, Anumba et al. 2010). The concept of bidirectional
143 coordination in CPS is used to describe the two-way integration of virtual models and physical
144 assets such that changes in one are automatically reflected in the other (Anumba et al. 2010).
145 The importance of CPS represents both philosophical thinking and a promising direction for
146 technological system development: to represent and interact with the world through
147 computation, communication, and control in cyberspace (Baheti and Gill 2011). CPS has been
148 applied to smart grids, autonomous vehicle systems, medical monitoring, process control
149 systems, robotics systems, and automatic pilot avionics (Khaitan et al. 2014). Advances in

150 CPS will enable capability, adaptability, scalability, and resiliency that will far exceed the
151 simple embedded systems that are currently available.

152

153 To explore the potential of CPS in the construction industry, Akanmu et al. (2013b) refined its
154 definition as “a tight integration and coordination between virtual models and physical
155 construction/constructed facility so as to enable bi-directional coordination”. Likewise, Chen
156 et al. (2015) addressed the similar needs in their concept of bridging building information
157 modelling (BIM) and building (BBB), which emphasizes the connection of information
158 contained in BIM with as-built situation in the ongoing, physical building processes. In
159 construction, bi-directional coordination enabled by CPS aims at active monitoring and control
160 of construction activities such as building components being erected on site, or the
161 corresponding virtual model being updated to reflect the latest status of the component.
162 Conversely, when design and other changes are made to the virtual models, appropriate updates
163 can be automatically sent to the relevant physical assets in real time. The feasibility and
164 versatility of CPS has been demonstrated by several cases in construction project management
165 (CPM). For example, by developing the system architecture and prototypes, Akanmu et al.
166 (2013a) proposed to use CPS to actively monitor and control light fixtures from construction
167 to building maintenance phase. Yuan et al. (2016) further explored the application of CPS to
168 the monitoring of temporary structures, demonstrating the potential of CPS for on-site safety
169 monitoring.

170

171 ***Smart Construction Objects (SCOs)***

172 If smart objects are the basic nodes of IoT, then smart construction objects (SCOs) serve as the
173 fundamental element for IoT application in the construction context. For SCOs, the scope of
174 ‘things’ is narrowed down from general objects to construction resources including machinery,

175 tools, device, materials, components, and even temporary or permanent structures (Niu et al.
176 2015). The concept of smart objects in IoT is developing along with their unique properties,
177 including possessing a unique identity, data collection and storage capacity, the ability to
178 communicate and interact with other entities, and decision-making ability (López et al. 2013).
179 As a step towards ubiquitous computing and “smartness” in the construction context, SCOs
180 inherit the three core properties of smart objects, namely awareness, communicativeness, and
181 autonomy (Niu et al. 2015). Awareness denotes SCOs’ ability to sense and log their real-time
182 condition and that of the surrounding environment; Communicativeness means the ability of a
183 SCO to output information it has obtained through its awareness; and Autonomy refers to the
184 ability of a SCO to take self-directed action or alert people for further action based on preset
185 rules.

186
187 SCOs have demonstrated versatility and customizability in supporting various CPM
188 applications. By making pre-fabricated components into SCOs, Niu et al. (2016) have proposed
189 and tested a SCO-enabled logistics and supply chain management system to facilitate decision-
190 making, which helps to achieve process and information concurrence. As a result, more
191 informed and prompt decisions could be made. Similarly, SCOs always demonstrated
192 potentials to assist on-site operations (Liu et al. 2017), safety management (Niu et al. 2018),
193 and facility management (Niu et al. 2015). While these SCOs are still providing decision-
194 making information to human decision-makers, what makes them different from conventional
195 construction objects is that they can communicate with each other directly. In doing so, some
196 routine or clearly rule-based decisions can be made by SCOs autonomously without necessarily
197 involving human decision makers in the loop (Niu et al., 2015).

198

199 **Methods**

200 To reiterate, the deployment framework is developed to serve two purposes (a) to clarify the
201 confusions surrounding the emerging pervasive technologies such as IoTs, CPS, and SCOs;
202 and (b) to integrate them to achieve better deployment in supporting project management
203 throughout the whole life cycle of complex construction projects. Nevertheless, there is no
204 readily accepted methodology for developing a framework of this kind. The authors have thus
205 referred to various methods as described in literature to develop ‘conceptual frameworks’, e.g.,
206 McGaghie et al. (2001), and Regoniel (2015). However, they did not provide a robust
207 methodological approach either. Based on the research experiences in the UK, the U.S., and
208 Hong Kong, the authors finally adopted a mixed methods research, which is a methodology for
209 conducting research that involves collecting, analyzing and integrating quantitative and
210 qualitative research (Teddlie et al. 2011; Halcomb and Hickman 2015).

211

212 To start, a comprehensive literature review is conducted to understand the works of IoT, CPS,
213 and SCOs, with a focus on construction related literature. Efforts are paid to analyzing their
214 research contributions, technology tools involved, and application scenarios/project stages,
215 with a view to understanding their similarities and differences. The literature review is
216 triangulated with the research conducted by the authors which has been funded by various
217 funding regimes in the U.S. and Hong Kong. As a result, a figure is developed to illustrate their
218 similarities and differences with detailed elaborations placed in a table.

219

220 Secondly, based on the understanding, a tentative deployment framework for IoT, CPS, and
221 SCOs in construction is developed. Drawing upon previous experience, the framework is
222 developed in a layered structure. Great efforts are paid to determining the variables,
223 components in each layer, and the intra- and inter-layer relationships. This step involves

224 literature review, desktop studies, and discussion with practitioners and particularly with
225 visionary scholars before a final yet open deployment framework is determined.

226

227 Next, based on the deployment framework, prototypes and systems are developed. The purpose
228 is to substantiate the framework and explore its application scenarios. With the ability of the
229 developed prototypes and systems to facilitate real-life construction project management (CPM)
230 practices, the efforts can arouse practitioners' interest to help carry out the field studies. The
231 authors have conducted action research studies in three complex and dynamic construction
232 projects in Hong Kong over the past four years. These are all typical cases including machinery
233 management, logistics and supply chain management, and dynamic project progress control,
234 which are to be elaborated later in this study.

235

236 Certainly, this is not a linear process. Rather, the mixed methods approach is unfolded in a
237 reiterative fashion. Triangulations of literature, theoretical debates, and CPM practices are
238 repeated throughout the research. In the next sections of the paper, they are blended together
239 in narratives to ensure an uninterrupted reading journey for the readers.

240

241 **Similarities and differences between IoT, CPS, and SCOs**

242 Table 1 lists the relevant studies of IoT, CPS, and SCOs in the construction literature. Referring
243 to their definitions and the listed studies, Figure 1 demonstrates the similarities and differences
244 among the three concepts. The confusion relating to the three concepts usually arises from the
245 common features they share. The most obvious common point is that the applications of IoT,
246 CPS, and SCOs rely on similar underlying technologies including identification technology
247 such as passive and active RFID tags, sensing technology such as global positioning system
248 (GPS) units and various environmental-factor based sensors, and communication technology

249 such as Bluetooth, WiFi, Zigbee, and traditional wired communications. When adopting the
 250 same range of technologies, the functions supported in these applications are alike. The
 251 applications of IoT, CPS, and SCOs assist construction managers in similar tasks including
 252 real-time monitoring, comprehensive data collection and retrieval, making context-aware alerts,
 253 and supporting predictive planning.

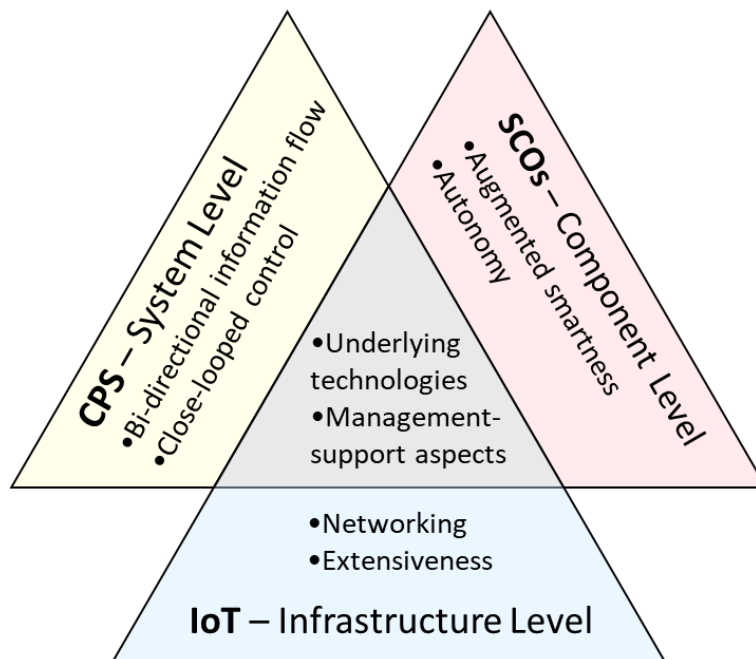
Topic	Citation	Research contributions	Technology tools involved / mentioned	Application scenarios/stages
IoT	Kortuem et al. (2010)	The study claimed that smart objects (SO) that are made into building blocks can cooperate and form IoT.	RFID, smart-object technology	Road construction; chemical storage etc.
	Ghimire et al. (2017)	This study provided a framework for efficient project management by using IoT-based technologies to reduce the time for decision-making, which was validated in a construction project scenario.	Tags, sensor networks, Programmable Logic Controller (PLC) etc.	Project management
	Park et al. (2017)	This study explores the user experience of IoT in smart home appliance in the construction industry.	ZigBee, cellular networks (3G, and 4G), Bluetooth etc.	Smart home appliance
	Zhou and Ding (2017)	This paper proposes an IoT-based safety barrier warning system to achieve a safer underground construction site.	RFID, Ultrasonic detector, Infrared access device	Safety management for underground construction
	Ding et al. (2018)	This study proposed a smart steel bridge construction framework using Building Information Modeling (BIM) and Internet of Things (IoT).	RFID, Barcode, sensing networks, cloud computing, etc.	Project management for steel bridge construction
CPS	Zhao et al. (2010)	This study proposed a conceptual framework for a cyber physical system for energy management in building structures.	Smart meter. Smart inverter etc.	Energy management
	Akanmu and Anumba (2015)	This paper demonstrated the potential value of CPS approach in enhancing bi-directional coordination through the development of system architectures, scenarios and prototype systems.	RFID, UWB, laser scanner, personal data assistant (PDA), Wi-Fi, Zigbee etc.	Steel placement; light fixture monitoring and control
	Yuan et al. (2016)	This study proposed a CPS-based temporary structures monitoring (TSM) system to prevent potential failure of temporary structures.	Load cells, switch sensors, accelerometer, etc.	Temporary structure monitoring
	Zhan et al. (2018)	This study focused on using CPS in smart building for energy-efficiency by proposing a novel error correction mechanism.	Zigbee	Energy management
SCOs	Niu et al. (2015)	This study articulated the concept of SCOs and their core properties, computing applications, and representations.	RFID, Bluetooth	Safety management, facility management, etc.

Niu et al. (2016).	This study piloted the SCOs-enabled management framework in supporting logistics and supply chain management.	GPS, GSM, Arduino etc.	Logistic and supply chain management
Liu et al. (2017)	This study developed a SCO-based tower crane system to provide real-time component tracking and warning in prefabrication construction.	GPS, IMU, WiFi etc.	Profabrication construction
Niu et al. (2018).	This study developed a OHS management system supported by SCOs that could identify and respond to dangerous situations autonomously in tower crane operations.	IMU, barometers, GPS, etc.	Safety management

254

255

Table 1. Studies on IoT, CPS, and SCOs in the construction literature



256

257

Figure 1. Similarities and differences between IoT, CPS, and SCOs

258

259 Meanwhile, the three concepts are as much different as they are similar. Fundamentally, IoT,

260 CPS, and SCOs operate at different levels. SCOs refers to smart construction resources at the

261 single component level. With their smartness to sense and communicate information, SCOs

262 could serve as the physical part in CPS. Likewise, SCOs could serve as the elementary nodes

263 in IoT. When smart objects are the basic building blocks by which IoT forms, SCOs can be

264 regarded as the special group of smart objects in the construction context. Nevertheless, SCOs

265 themselves, as an array of components, should not be confused with a system like CPS. CPS,
266 literally, should be positioned on the system level. It contains not only components such as
267 SCOs, but also computational algorithms and back-end platforms to support and control the
268 physical components. As for IoT, it should not be seen as an individual system, but as a critical,
269 integrated network infrastructure upon which many applications, services and systems can run
270 (Stankovic 2014). As such, both SCOs and CPS may depend on IoT to utilize services and
271 coordinate with each other. In addition, IoT can also host a wider range of ‘things’ including
272 basic sensors and actuators.

273

274 The individual key features of IoT, CPS, and SCOs can be revealed in their distinct emphases
275 as well. The quintessence of SCOs indwells in their customizable smartness (i.e. the three core
276 properties that enable them to sense, communicate, compute and take actions while not
277 compromising their original appearances and functions). In particular, the autonomy of SCOs
278 could harness the power of artificial intelligence to take actions promptly and autonomously
279 that equals or exceeds human intelligence with regard to specific tasks during the construction
280 stage (e.g. to eliminate a hazard at source when a near-miss condition is detected by a SCO).
281 The autonomy of SCOs are of help during the construction stage where the site environment is
282 dynamic, complicated, and fragmented. In comparison, automation controls in most CPS and
283 IoT studies focuses on the facility management stage or smart building appliance. To managing
284 the complex on-site conditions, the intelligent capacity of ‘things’ in IoT and the physical
285 component in CPS may be lower: for example, some RFID-tagged devices may not have the
286 ability to take autonomous or reactive actions.

287

288 CPS emphasizes the bi-directional (cyber-to-physical, and physical-to-cyber) information
289 exchange and feedback, where the back-end system should give feedback and control the

290 physical world in addition to sensing the physical world, forming a closed-loop system.
291 Compared with SCOs that may take rule-based actions on their own, the control and decision
292 power in CPS largely relies on the cyber side. IoT emphasizes networking and interaction,
293 aiming at interconnecting the miscellaneous ‘things’ in the physical world, which could include
294 but are not limited to SCOs, CPS and other devices or sub-systems. Besides, IoT is
295 characterized by the extensiveness in the quantity of devices, the type of devices, and the
296 connection modes (Ma 2011). Compared to CPS or the SCO-enabled system, the amount of
297 connected ‘things’ in IoT can sharply rise up to several billions. The devices may be connected
298 in a wired or wireless mode, with strong state routing or statistical weak state routing in the
299 large-scale heterogeneous network of IoT.

300

301 In summary, a closer examination of the similarities and differences between IoT, CPS, and
302 SCOs shows that they obviously present their own strengths and fair share of weaknesses. They
303 also present an opportunity to be integrated so that their strengths can be maximized while the
304 weaknesses can be largely alleviated. This is particularly opportune when the three
305 technologies are beginning to gain traction in the construction industry.

306

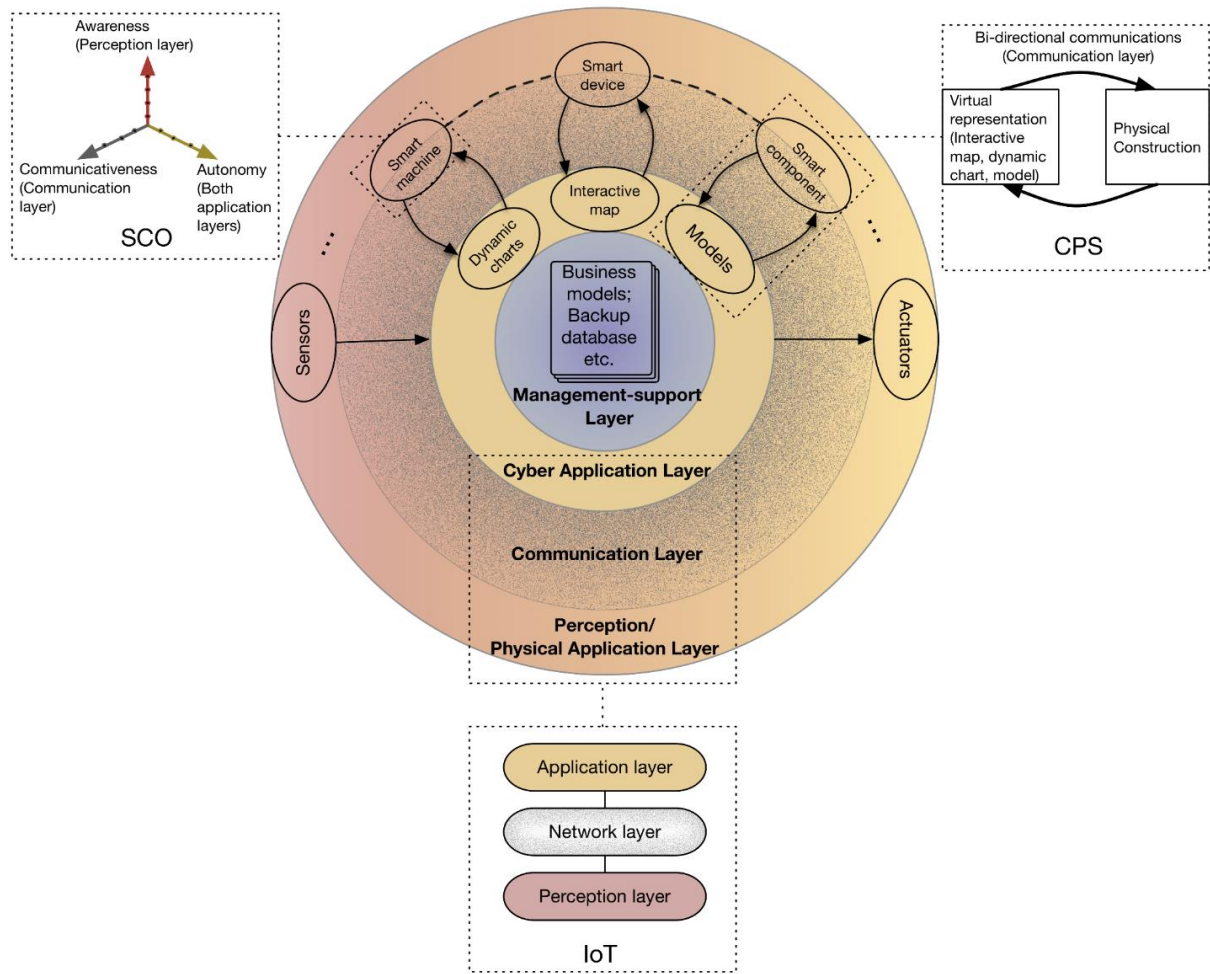
307 **The integrated deployment framework for IoT, CPS, and SCOs in construction**

308 A deployment framework is proposed that build on the similarities of these technologies to
309 support their integration while preserving their individual characteristics. As shown in Figure
310 2, the structure of the framework is developed with reference to the basic three-layer
311 architecture prevalent in existing studies of IoT, comprising perception layer, network layer,
312 and application layer from a bottom-up approach (Al-Fuqaha et al. 2015). On top of the three
313 layers, a business management layer has been proposed by Wu et al. (2010) and Khan et al.
314 (2012) to host business models and analysis based on the received data. Similarly, a

315 management-support layer is proposed in this integrated framework to assist management with
316 different aspects. In addition, there have been studies proposing a processing layer (Wu et al.
317 2010) or information integration layer (Ma 2011) in between the network layer and the
318 application layer to store and process data within the IoT framework. In this study, the
319 ubiquitous data storage and process need is wrapped as cloud-computing service in the
320 communication layer.

321

322 In addition to the IoT-based structural framework, the features of CPS and SCOs as highlighted
323 in the box can also be revealed in the proposed framework. The virtual representations in the
324 cyber application layer such as the BIM models or dynamic charts form the cyber parts of a
325 CPS, whilst their physical twins are the corresponding SCOs, sensors and actuators. Meanwhile,
326 the bi-directional communication happens in the communication layer. To host the autonomy
327 of SCOs and the actuators, a physical application dimension is added to the perception layer,
328 making it a spectrum-like perception/application layer. Therefore, SCOs can be posited in the
329 junction of the perception/physical application and communication layer, where its awareness,
330 autonomy, and communicativeness are well hosted by the framework. From a holistic
331 perspective, the four layers in the proposed framework with their functions are introduced and
332 elaborated in detail below.



333

334

Figure 2. The deployment framework for IoT, CPS, and SCOs integration

335

336 ***The perception/physical action layer***

337

The first layer, the perception/physical application layer, caters for the awareness of SCOs for

338

capturing real-time data and autonomy of SCOs for taking reactive actions. In contrast to the

339

perception layer in traditional IoT-based deployment framework, a physical application

340

dimension is added, making it a spectrum-like layer that could support both perception and

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action-taking. Therefore, the near real-time action-taking ability of SCOs, based on the

342

changing environment factors, can be well hosted by this layer. The reactive actions, faster and

343

more precise than human intervention sometimes, could help prevent dangerous situations

344

turning into accidents (Niu et al. 2018). For example, when a smart mobile crane detects that

345

it is entering a restricted area, it could autonomously halt the motions to prevent further possible

346 collisions.

347

348 Sensing and perception of the status of ‘things’ and their surrounding environment is the
349 fundamental for an IoT-enabled system. The sensing ability of SCOs are augmented by
350 embedded intelligence technology and nanotechnology. When microchip or nano-chip are
351 embedded into existing construction objects with different types of sensors, they could collect
352 real-time information on the progress of construction projects and real-time conditions of the
353 environment without compromising their original functions. Besides SCOs, individual sensors
354 and other data capture devices (e.g., laser scanners, photogrammetry devices, and human
355 physiological status monitoring devices) should also be ensconced in the proposed framework.
356 Pure actuators, such as control gates, warning lights, and alarm bells can be integrated on the
357 actuators end.

358

359 *The communication layer*

360 The communication layer supports data transmission through various networks. There are three
361 forms of data transmission. Firstly, it supports one-way data communication, including
362 collecting data from sensors and conveying instructions to actuators. Secondly, local or
363 regional data exchange among SCOs are supported to enable the communicativeness of SCOs.
364 Thirdly, it bridges between the object/outer application layer and the inner application layer,
365 supporting the bi-directional data flow between the physical objects and the associated virtual
366 representation for CPS. The data can be transmitted through a wireless network, cable network,
367 or the enterprise Local Area Network (LAN) by technologies including Fiber to the x (FTTx),
368 universal mobile telecommunications system (UMTS), global system for mobile
369 communications (GSM), WiFi , Bluetooth, Zigbee, and infrared technology.

370

371 The communication layer also stores and processes the data ubiquitously by providing the
372 cloud computing service. The proposed framework is expected to handle big construction data
373 that are generated over time from numerous sources at construction worksites. The data is also
374 varied and could include activity workflows, asset inventories, as well as dynamic
375 environmental conditions at the work sites. Due to the volume, velocity, and variety of data,
376 traditional databases are inadequate to cater for the requirements and mobility required in the
377 proposed deployment framework. In contrast, cloud computing bypasses the costly solution of
378 establishing specific hardware platform at each work site. The ubiquitous storage and
379 processing ability allows this cross-layer service to receive, deliver, exchange information over
380 the network wire protocols. In order to coordinate numerous SCOs across the entire network,
381 standardized communication and application interoperable protocols are needed. The Konnex
382 (KNX) Protocol, the LonTalk Protocol, and the Building Automation and Control networks
383 (BACnet) Protocol that have been commonly utilized to control devices for building
384 automation especially for facility management. These protocols can be selectively adopted in
385 the framework to coordinate the automation of SCOs across the lifecycle of building from the
386 construction stage all the way to the maintenance stage.

387

388 *The cyber application layer*

389 While some of the applications can be executed autonomously by SCOs in the physical
390 application layer, the cyber application layer is still an indispensable component in the
391 proposed framework. Other than simple and rule-based actions that can be handled by the
392 autonomy of SCOs in the physical application layer, there are always some more sophisticated
393 decisions to be authorized by a human expert to ensure its accuracy and confidentiality,
394 depending on the severity of the situation. In this case, decisions will be concluded in the cyber
395 application layer and then sent back to the physical application later for appropriate actions.

396 The importance of the cyber application layer is also embodied in its ability to provide high-
397 quality services to meet end-user requirements. The virtual representations of the “internet of
398 construction things” are managed in the cyber application layer, which may have a variety of
399 manifestations including dynamic graphs and charts, interactive maps, and 3D models such as
400 BIM models. The form of representation is based on the services requested by end-users. For
401 instance, data such as the current location and tracking path will be visualized in an interactive
402 map if an end-user would like to inquire about the transportation and logistics status.

403

404 *The management-support layer*

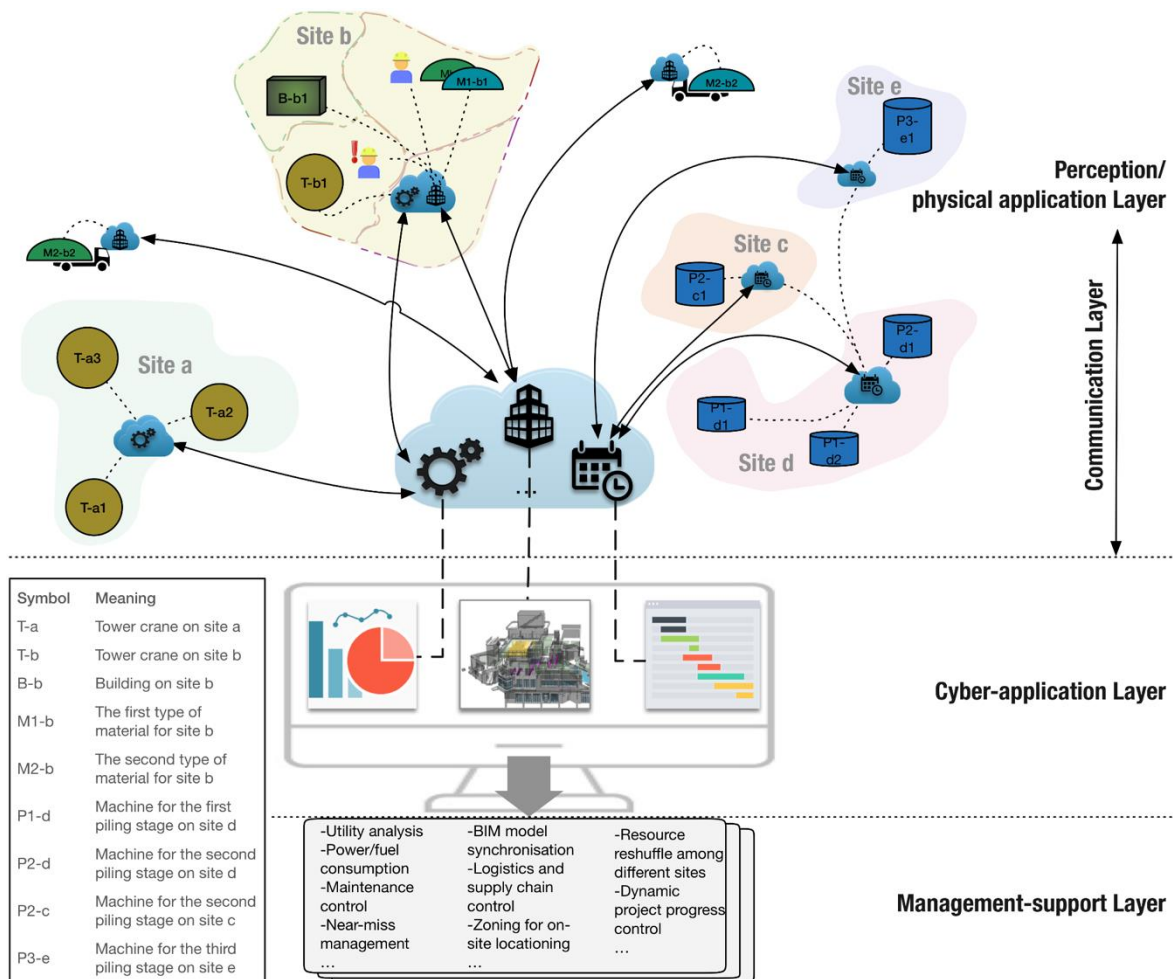
405 On top of the applications in the cyber and physical application layers that are directly
406 associated with project operation and management, the management-support layer provides a
407 hub for more profound data analysis and feedbacks. Data collected from distributed sites and
408 across timespans are compiled together for further analysis in the management-support layer.
409 Decision-support models such as building energy models, and life-cycle assessment, risk
410 management models, and models of corporate social responsibility etc. can be incorporated in
411 the management-support layer to utilize the data in the system. The management-support also
412 supports the management of the underlying three layers and the cloud computing services.
413 System maintenance, upgrades, research and operation feedbacks are supported by this layer
414 to ensure the service enhancement and sustainable development of the system.

415

416 **The application scenarios of the proposed framework**

417 Three example scenarios, which are frequently witnessed in CPM, are presented here to
418 illustrate the potential of the proposed framework in practical applications. These scenarios are
419 developed from the perspective of the main contractors, who commonly need to coordinate
420 multiple parties including sub-contractors, suppliers, and different project teams of their own.

421 These scenarios, collectively shown in Figure 3, are developed to address the possibility of
 422 coordinating site-specific and cross-site machine management, organizing different
 423 prefabricated components in BIM with zone-based on-site positioning, and linking critical
 424 construction resources based on a dynamic project programme.



425

426 Figure 3. The application scenarios of the integrated framework

427

428 **Scenario 1: Site-specific and cross-site machinery management**

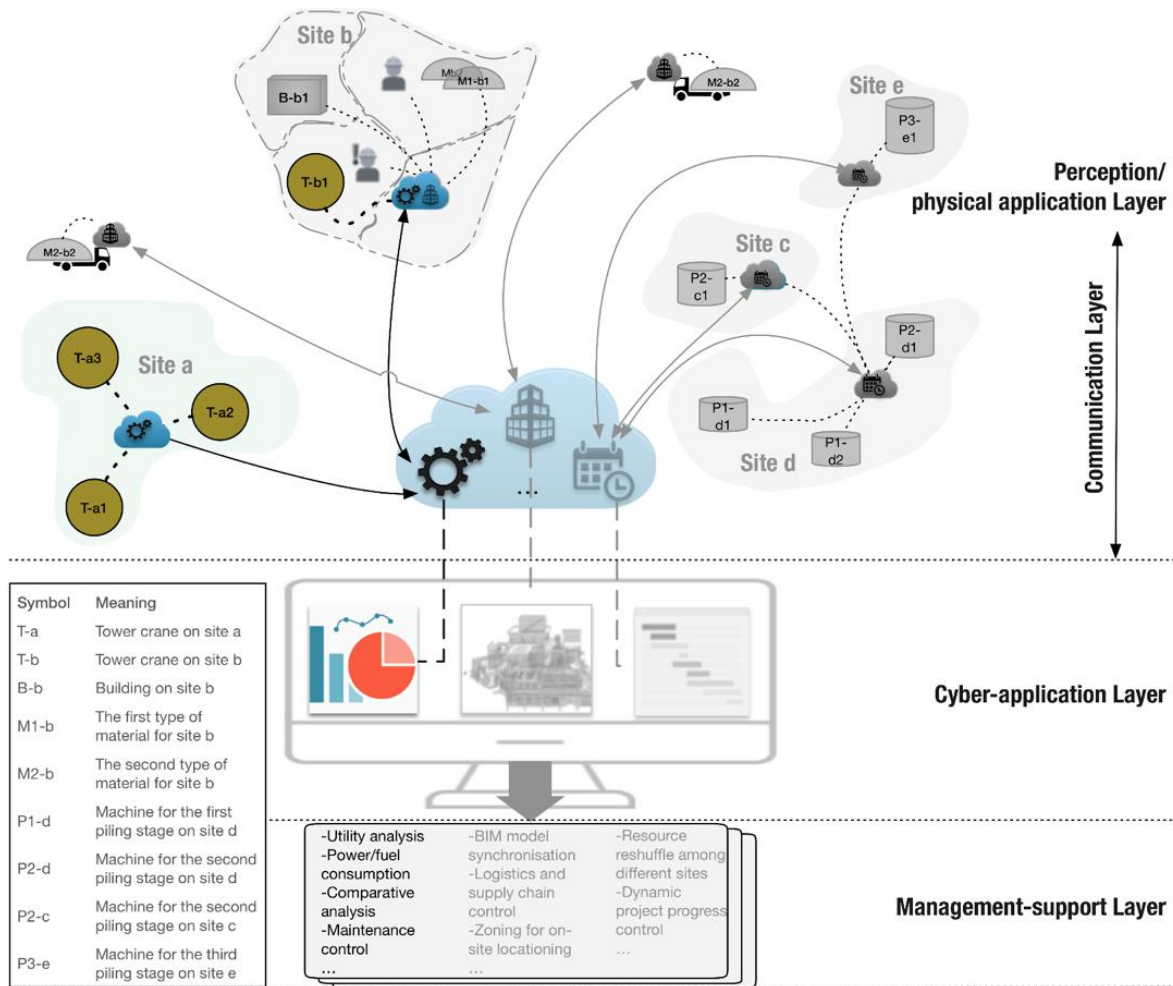
429 The previous study of Niu et al. (2018) has demonstrated that construction machinery such as
 430 tower cranes or excavators, when turned into a SCO, can help OHS management by detecting
 431 dangerous situations, making real-time alerts to people under hazardous situations, and taking
 432 autonomous actions to prevent accidents from happening under certain conditions. When

433 connected to the smart management platform, the smart tower crane and the platform forms a
434 CPS where every motion and operation of the smart tower crane is visualized and analysed to
435 inform the management of safer and more productive operation of tower cranes (Liu et al.
436 2018). Similar applications of smart tower crane and the associated CPS can be hosted by the
437 proposed framework, with the potential of providing a wider range of services when inter-
438 connected.

439

440 In addition to the application supported by individual SCO or separated CPS, more insights
441 can be gained from the interconnected SCOs and CPSs, either within the same sites or scattered
442 on different sites. In the integrated framework, SCOs on the same site will be linked together
443 to the cloud through an internet-connected mobile device or local workstation. When linked to
444 the cloud, project personnel could use network-supported computing devices to access the
445 cyber representations of SCOs in the cyber application layer where they could track, monitor,
446 and control the SCOs, forming a closed-loop CPS system. For example, as highlighted in
447 Figure 4, there are three smart tower cranes (T-a1, T-a2, and T-a3) on Site a, all connected
448 together to the cloud. On one hand, by capturing and uploading the motion data of each smart
449 tower crane, their working status (off, idling, on) can be monitored in real-time from the cyber
450 application end. On the other hand, with the connection to the tower crane through the cloud,
451 project personnel could remotely control the tower cranes without time and location constraints.
452 Similarly, based on the idling time (which consumes power), the on and off periods can be
453 adjusted without penalizing projects for energy-saving purposes. The data from T-a1, T-a2,
454 and T-a3, together with data from other SCOs in the same site, could further be utilized in the
455 management-support layer for analysis of power consumption, equipment usage patterns, and
456 utilization rates on a site basis.

457



458

459 Figure 4. The application scenario on site-specific and cross-site machine management

460

461 Meanwhile, the same type of SCOs that are distributed on different sites will be linked together

462 in the cloud and centrally managed in the back-end office to support comparative analysis.

463 Similar to T-a1, T-a2, and T-a3, another smart tower crane on Site b (T-b1) in Figure 4 is also

464 linked to the cloud. By processing the data sent back by the smart tower crane with a finite-

465 state machine (FSM) model, six working states of each smart tower crane (idling, hoisting,

466 slewing, hovering, installation, and resetting) can be identified and visualized in the dynamic

467 line graphs (Liu et al. 2018). Compared to data analysis that relied on a single smart tower

468 crane, data from an entire group of tower cranes on the IoT-based network could offer a larger

469 sample, allow cross-site comparisons, and support more comprehensive analysis of different
470 aspects including near-miss management, resource allocation, and productivity enhancement.

471

472 The network of the same type of SCOs also enables project personnel to centrally control the
473 maintenance of SCOs for maximized utilization. By actively sensing and reporting the engine
474 load, fluid temperatures and pressures, and other operational parameters of each type of SCO,
475 their operating and maintenance cycle can be reflected on their cyber twins in real-time.
476 Without the IoT-based network, a smart tower crane could autonomously alert people ahead of
477 the time of breaking point. Thus, project personnel can wait for T-a1 to be maintained before
478 putting it into usage again. In comparison, when T-a1 is incorporated into the IoT-based
479 network, the proposed framework enables people to avoid or reduce the waiting time by pairing
480 T-a1 with a back-up smart tower crane such as T-a2 in case of breakdown or during
481 maintenance. When T-a1 is approaching the time of maintenance, the work to be carried out
482 by T-a1 can be passed on to T-a2 (as appropriate) while T-a1 is unavailable, enabling the tower
483 cranes to be utilized at peak efficiency.

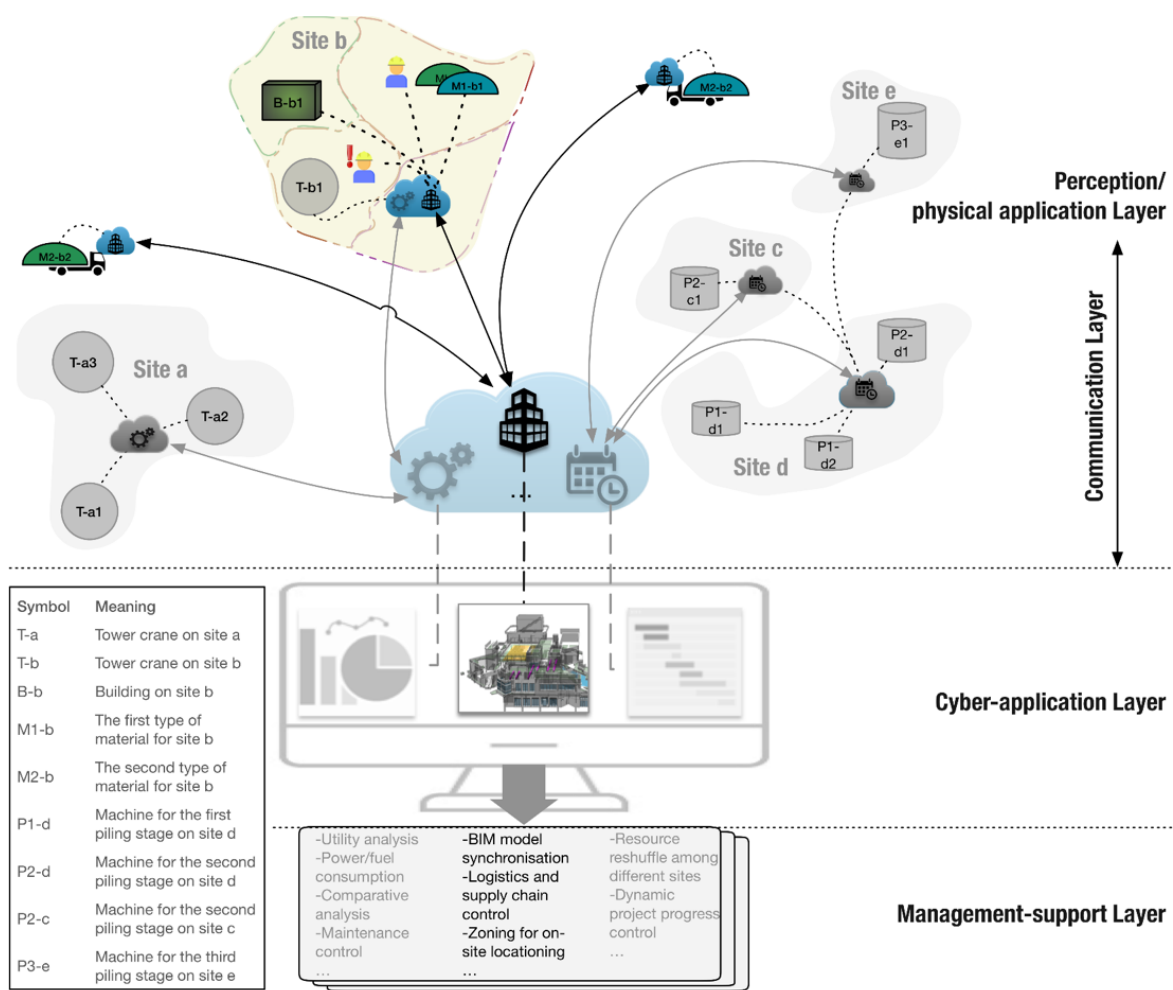
484

485 ***Scenario 2: Ubiquitous logistics tracking and on-site positioning***

486 The proposed framework enables the ubiquitous locationing of construction personnel,
487 components, machines and equipment in a dynamic manner. By making prefabricated beams
488 into SCOs, Niu et al. (2016) demonstrated that the real-time tracking and updating of the
489 locations of SCOs from the supplier site all the way to the construction site can be achieved
490 with a cloud-based platform. The SCOs and the platform forms a CPS where the bi-directional
491 information flow could improve the information accessibility, accuracy, timeliness and
492 visibility during the logistics and supply chain management.

493

494 By applying the same principle to a web of prefabricated components required for a
 495 construction site, these components in various forms, from different suppliers, and with
 496 asynchronous delivery time can be carefully coordinated. As a simplified example highlighted
 497 in Figure 5, to construct the building B-b1 on Site b, two kinds of prefabricated components
 498 (M1-b) and (M2-b) are procured from different suppliers. The real-time location of each batch
 499 of components is associated with a designated status during the entire logistics process
 500 including: *pre-shipment*, *en-route*, *arrived on site*, and *installed*. In Figure 5, the location of
 501 M1-b1 and M2-b1 should be associated with the status of “arrived on site” while M1-b2 and
 502 M2-b2 should be ‘*en-route*’. The status information can be visualized in the BIM of B-b1 as
 503 colour changes or animations, allowing for real-time rendering of the building in progress, as
 504 well as establishment of project control.



505

506 Figure 5. The application scenario for ubiquitous logistics tracking and on-site positioning
507
508 Locating SCOs can be achieved by various tracking and positioning technologies, depending
509 on the moving speed and range of the SCOs. For example, the en-route transportation of M1-
510 b2 and M1-b2 are carried out by truck delivery, where they may rely on object-to-object (O2O)
511 communication with the trucks so that the trucks could sense the real-time location by the
512 embedded GPS sensors and update it to the cloud. For M1-b1 and M1-b2 that have entered the
513 site gate, the more precise on-site locationing could further be assisted with RFID tags and
514 readers or Bluetooth Low Energy (BLE) beacons. By installing the RFID readers and BLE
515 beacons inside the infrastructure of the new buildings, in multiple secure turnstiles, and at the
516 access points for each well-defined work zone, tagged objects can be tracked on a zoning basis
517 (Costin et al. 2015).

518
519 By incorporating RFID, BLE, or other means of identification technologies into the IoT
520 network, the on-site zoning could help locate key materials and personnel more efficiently.
521 Construction sites receive miscellaneous shipments for different uses, many of which may be
522 delivered to the wrong locations or get mixed up. By adhering identification tags to important
523 shipments and high-value equipment, it could save time counting and looking for them in the
524 relatively large construction sites, assisting in preventing theft and misplacement as well.
525 Similarly, people on the construction site can be tracked on a zoning-basis when their personal
526 protective equipment (PPE) such as safety helmet is linked into the IoT network, making it
527 possible to warn people about entering restricted areas or dangerous zones.

528

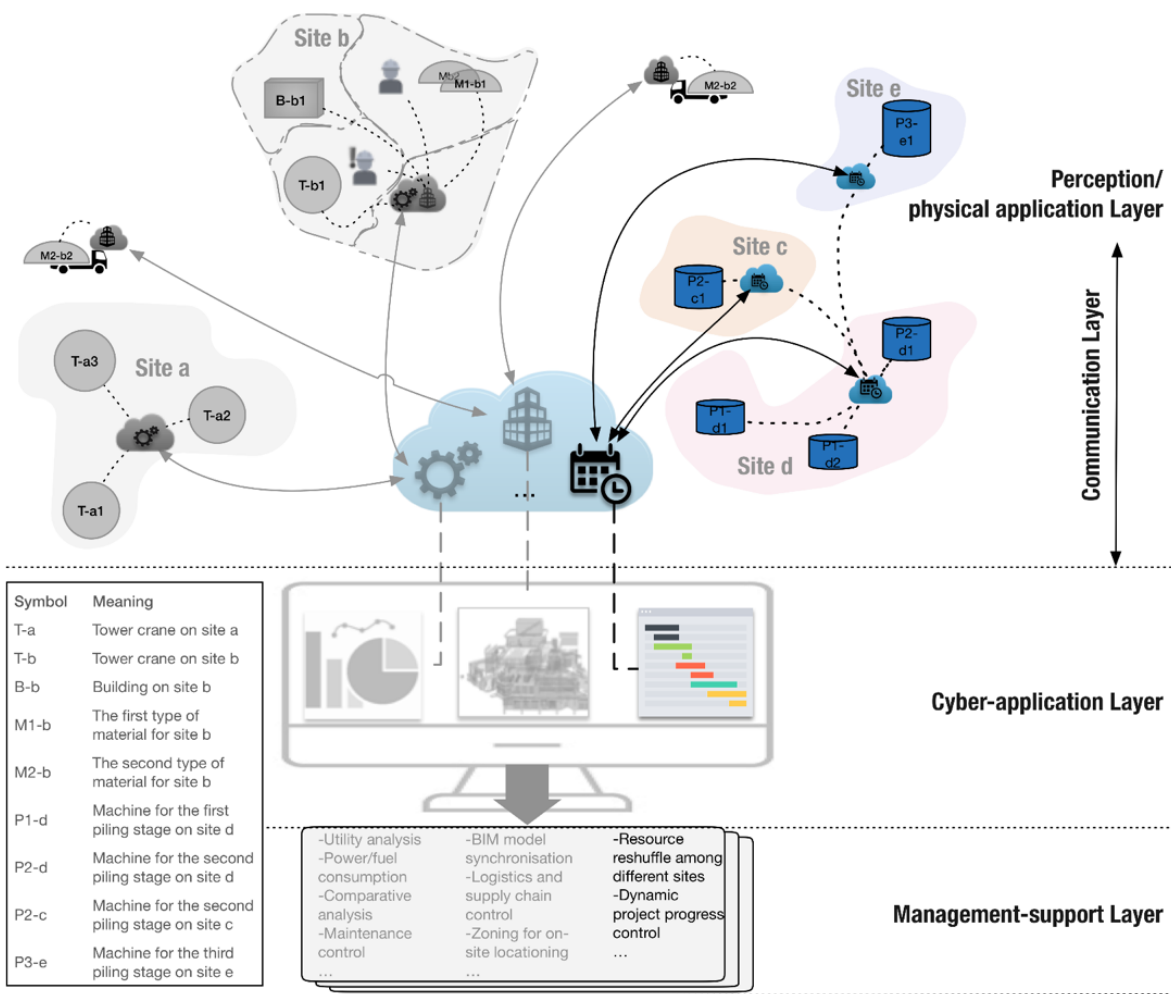
529 ***Scenario 3: Ad hoc resource reshuffle for dynamic project progress control***

530 A typical application scenario that utilizes the synergistic effects enabled by the integration of
531 IoT, CPS, and SCOs is that the framework could support reshuffling construction resources
532 among different project teams dynamically in line with the variances in project progress.
533 Making a project programme is essential before the implementation of a construction project,
534 with the sequence of tasks and recourses needed at each stage planned in advance. The
535 sequential execution of tasks calls for high flexibility in resource arrangement and reallocation.
536 Taking bored piling as an example, only when the holes in the subsurface have been created
537 by a drilling machine, can the installation of the rebar-cage proceed using a crawler crane. Thus,
538 if the crawler crane arrives at the piling site on time while the drilling machine has not finished
539 the drilling job, the crawler crane needs to wait. Particular attention needs to be paid to
540 machines that are small in number but highly coveted in demand, as their allocation can impose
541 significant cost and time impacts.

542

543 Using the machine sequentially needed for bored piling as an example, Figure 6 highlights how
544 the proposed framework could facilitate the dynamic construction resource re-shuffling
545 between sites and projects for maximized efficiency. P1-d1 and P1-d3 represent two drilling
546 machines used at the first stage of bored piling (such as rotary auger and vibrohammer) on Site
547 d. When made into SCOs, their working status are synchronized to the cloud just like the smart
548 tower cranes explained in the first scenario. Besides, as indicated in the project programme,
549 they are also linked with the machine needed for the second stage of bored piling, which can
550 be P2-d1 on Site d or P3-c1 on Site c. If P1-d1 and P1-d3 are originally linked with P2-d1 but
551 P2-d1 gets delayed in the working process, the priority of P2-d1 in the linkage would be
552 decreased. Once the priority of P2-c1 outweighs that of P2-d1, with their availability and cost
553 of transportation from Site c to Site d considered, P1-d1 and P1-d3 would automatically be
554 linked with P2-c1 instead. The same practice could apply to the linkage between P2 and P3 as

555 well, as long as they follow a strict execution sequence. When all the SCOs are connected in
 556 the cloud-based IoT network, the ad hoc adjustment for the sequential linkage between
 557 machines and other resources can be instantly visualized in the project programme diagram. In
 558 case there is not enough machine or materials to cope with the updated programme, the need
 559 becomes more clear and evident by deploying this framework, thus supporting the formulation
 560 of future renting or buying strategies.



561
 562 Figure 6. The application scenario on dynamic resource reshuffle within a portfolio

563
 564 **Discussion**

565 This study contributes to the body of knowledge by firstly clarifying the similarities and
 566 differences between IoT, CPS, and SCOs applied to the construction industry. A systematic

567 review and comparison of the three concepts was lacking prior to this study. Repetitive
568 explorations and synonyms have been misused due to the inexplicit relationships between them.
569 Since the concept of IoT, CPS and SCOs share several common features, studies in the
570 construction context that address them either individually or together may give rise to
571 confusion. For example, the SCO-enabled management system is actually a CPS in essence.
572 To this end, proposing the framework to integrate the concept of IoT, CPS and SCOs serves to
573 clarify their differences and similarities, and to elucidate the intertwined terms. Based on the
574 proposed framework, theoretical studies and practical applications of IoT, CPS, and SCOs
575 could identify their corresponding scopes and emphasis, as well as their possible relationships
576 with each other.

577

578 More importantly, the value of synergistic effects in supporting CPM is demonstrated by the
579 proposed framework, which overcomes the limitations associated with the isolated
580 development of IoT, CPS, or SCOs. For one thing, most existing studies on IoT in construction
581 are still ‘internet of sensors’. Most of them rely on passive identification tags or simple sensors,
582 mainly used for data collection with only a few actual ‘smart things’. Integrating SCOs into
583 the studies of IoT enhances the level of smartness for the ‘things’ in IoT, while the integration
584 of CPS reinforces the monitoring and control of these smart ‘things’. For another, existing
585 applications on SCOs and CPSs are largely constrained by the scale, scope, and limited
586 interoperability. For the empirical test of each case, the system framework with the hardware
587 and software support needs to be designed and prepared from scratch. With the similar
588 underlying technologies, the system structure, the supporting facilities, and the management-
589 support service can actually be shared either at trial stage or when put into practical operation,
590 enhancing the inter-connectivity and interoperability when a new device or system is added.

591

592 The integration of IoT, CPS, and SCOs also represents an important opportunity for
593 implementing data-driven research studies and practical analysis. Using SCOs for data
594 collection ensures the least interruption to existing construction processes, as less intrusive
595 sensing devices will be introduced into construction sites if the existing construction objects
596 are augmented with the sensing abilities. With the cyber representation of SCOs supported in
597 each CPS, the managing of each SCOs and the collected data becomes accessible at the
598 computer-end, ensuring the timeliness of the captured data. Given the inter-connected network
599 support provided by the IoT to capture, store, process, and analyse large amounts of real-time
600 data, the integrated framework can support data mining or even big data analysis for hidden
601 patterns, unknown correlations and other useful information to facilitate better business
602 prediction and decision-making.

603

604 When the three example application scenarios demonstrates the potential value of the
605 deployment framework in assisting CPM mainly in the construction stage, SCOs that have been
606 augmented with smartness and installed during the construction stage can be passed to the next
607 stage to enhance facility management (FM). Especially for construction components that are
608 made into SCOs, such as the prefabricated components and the heating ventilation and air
609 conditioning (HAVC) devices, the awareness, communicativeness and autonomy could keep
610 operating throughout facility's operations and maintenance phase of the structure to assist
611 facility management. In this sense, the deployment framework has the potential value to the
612 entire lifecycle management to accommodate various aspects of a construction resource and
613 activities.

614

615 **Conclusions**

616 Managing complex and dynamic construction projects calls for technological assistance in
617 coordinating the diverse and distributed construction resources and people at a massive scale.
618 To respond to this call, many technologies including internet of things (IoT), cyber-physical
619 systems (CPS), and smart construction objects (SCOs) are starting to gain traction in
620 construction. By clarifying the similarities and differences between these concepts, this study
621 sought to synergize them to serve construction project management (CPM) better than they can
622 do in isolation. It was discovered that although the three technological instruments focus on
623 different levels of analysis, they share common traits (such as sensing, identification,
624 communication and auto-control technologies) for similar managerial challenges including
625 real-time monitoring, comprehensive data collection and retrieval, making context-sensitive
626 alerts, and supporting predictive planning. Each of the technological instruments has its own
627 strengths (to be maximized) and weaknesses (to be mitigated) and these can be done by
628 integrating them in a more synergic manner.

629

630 This study also developed a generic framework that integrates IoT, CPS, and SCOs for CPM.
631 Four layers with appropriate technological tools are proposed in the framework to cope with
632 the structure frame of an IoT network, the bi-directional communication required by CPS, and
633 the three core properties of SCOs. In line with the proposed framework, example scenarios
634 were presented to illustrate the potential benefits of integrating IoT, CPS, and SCOs in CPM.
635 This study also demonstrated the versatility of the framework to cater for various needs in CPM.
636 The proposed framework is also compatible with other research studies on data mining and big
637 data analysis.

638

639 The main contribution of this study is twofold: (a) streamlined the three popular, yet easy-to-
640 confuse conceptual ideas, namely, IoT, CPS, and SCO in the context of construction; and (b)

641 integrated them into a generic, yet operable framework that can facilitate CPM. Certainly, the
642 longevity of such frameworks lie in the extent to which they are adopted in industry practice.
643 Future research is encouraged to turn the framework into real-life systems to facilitate real-life
644 CPM practice, and empirically examine the synergistic effects of IoT, CPS, and SCO
645 integration. The theoretical foundation of the framework can also be enriched with practical
646 cases.

647

648 **Data Availability Statement**

649 No data were generated or analysed during this study.

650

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657

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