

1 **Synthetic and analytical strategies for the quantification of phenyl-γ-**
2 **valerolactone conjugated metabolites in human urine**

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17 Running title: Synthesis and analysis of valerolactones

18 **ABSTRACT:**

19 The contribution of the gut microbiota to the metabolism of catechins and proanthocyanidins
20 remains still unclear. Although phenyl- γ -valerolactones have been pointed out as the most
21 representative metabolites of these flavan-3-ols, their accurate quantification has not been
22 addressed because of a lack of appropriate bioanalytical standards. This work aimed at
23 synthesizing a set of sulphate- and glucuronide-conjugated phenyl- γ -valerolactones and at
24 developing an analytical UHPLC-ESI-MS/MS method for their quantification in urine samples.
25 Eight glucuronide and sulphate conjugates of hydroxyphenyl- γ -valerolactones were synthesized
26 for the first time. They were used as analytical standards, together with 5 phenyl- γ -valerolactone
27 aglycones, for the development of a high-throughput, validated method. Chromatographic and
28 MS conditions were optimized. The method validation showed acceptable linearity, intra-day and
29 inter-day repeatability, and accuracy, with the analytical range, limit of detection (LOD), and
30 lower limit of quantification (LLOQ) varying notably among compounds. The method was used
31 to calculate the excretion of phenyl- γ -valerolactones in healthy subject consuming green tea,
32 providing novel information on the real concentrations of phenyl- γ -valerolactones in urine. This
33 work opens the door to better studying the bioavailability of flavan-3-ols and the real exposition
34 to flavan-3-ol sources, as well as to define the bioactivity of these colonic metabolites in cell
35 assays.

36

37 **Key words:** colonic metabolites, flavan-3-ol, green tea, phenolic compounds, polyphenols,
38 synthesis.

39

40 **1. Introduction**

41 Flavan-3-ols or flavanols are a class of polyphenols widely found in green tea, apples,
42 pears, grapes, red wine, cocoa-based products, and some nuts and berries. They range from single
43 monomers (such as (+)-catechin, (-)-epicatechin, (-)-epigallocatechin, and their galloyl
44 substituted derivatives) to oligomers and polymers (also known as proanthocyanidins or
45 condensed tannins) [1-3]. Flavan-3-ols are among the most largely consumed phenolic
46 compounds in Western populations [4, 5], and evidence has established their role in the
47 prevention of chronic diseases such as cardiovascular- and diabetes-related pathologies and
48 neurodegenerative disorders [1, 6-10].

49 Nowadays, it is well known that phenolic compounds are extensively metabolized by the
50 human body [1, 3]. In the case of flavan-3-ols, once ingested, they reach the gastrointestinal tract,
51 where only a small fraction is absorbed and modified by phase II enzymes. The largest part
52 (usually more than two-thirds of the intake) is not absorbed in the small intestine and reaches the
53 large intestine, where flavan-3-ols are subjected to colonic microbial breakdown and are
54 converted to phenolic and aromatic acids [3, 11-13]. In the microbial catabolism of flavan-3-ols,
55 phenyl- γ -valerolactones have been identified as the main ring fission products [12, 14], later
56 entering the bloodstream and being excreted in urine [1, 15]. Once absorbed, phenyl- γ -
57 valerolactones may be further metabolised by phase II conjugation reactions occurring at the
58 intestinal epithelium level and/or in the liver, leading to *O*-glucuronidated, *O*-sulphated, and *O*-
59 methylated conjugates or a combination thereof [3, 11-14]. These colonic metabolites remain in
60 circulation for an relatively long period of time before being excreted in large quantities in urine.
61 These facts account for the importance of studying colonic metabolites, and phenyl- γ -
62 valerolactones in particular, to state the absorption and metabolic fate of flavan-3-ols in the
63 human superorganism [12, 16]. Therefore, they can also be regarded as valuable biomarkers of
64 dietary consumption of flavan-3-ols, both monomers and proanthocyanidins [12, 17].

65 Several studies, mostly through LC-MS or NMR analytical techniques, have confirmed 5-

66 (hydroxyphenyl)- γ -valerolactone structures as the main products derived from flavan-3-ols
67 metabolism [11-14, 18] and a variety of methods have been developed aiming at targeting flavan-
68 3-ol metabolites in biological fluids [11, 19-24]. However, without appropriate reference
69 standards, most of the analyses remain only qualitative or semi-quantitative [25], as the complex
70 composition of biological samples, the possible regioisomeric forms, and the likely low MS
71 ionization of some derivatives, among other factors, may hamper the unambiguous identification
72 and absolute quantification of these metabolites.

73 Actually, the lack of reliable reference compounds of hydroxyphenyl- γ -valerolactones has
74 restricted their use in both analytical methods and *in vitro* bioactivity assays, so far [26].
75 Nevertheless, progresses in asymmetric synthesis carried out by our research group have
76 overcome this situation and now the enantioselective synthesis of hydroxyphenyl- γ -valerolactone
77 aglycones has been reported (compounds **1-5**, Figure 1) [27]. In the framework of the “joint
78 venture” between chemical synthesis and analytical techniques, the development of a validated
79 analytical method for the quali-quantitative determination of these metabolites in biological fluids
80 is really needed.

81 In this paper, the synthesis of 8 *O*-glucuronide and *O*-sulphate conjugated metabolites
82 (compounds **6-12**, Figure 1) of aglycones **1-5** or from suitable protected valerolactone precursors,
83 is reported for the first time. Furthermore, using the synthesized compounds as chemically
84 unambiguous authentic standards, an analytical UHPLC-ESI-MS/MS method to quantify phenyl-
85 γ -valerolactone metabolites in urinary samples has been successfully developed. The viability of
86 this method was tested by evaluating the urinary excretion of phenyl- γ -valerolactones after
87 consumption of green tea, one of the most popular beverages worldwide and one of the major
88 dietary sources of flavan-3-ols, in a population of 16 volunteers.

89

90 **2. Materials and methods**

91 **2.1. Reagents**

92 Dichloromethane (HPLC grade), was dried by distillation on CaH₂ according to standard
93 procedures. THF dry, Et₂O dry were distilled by Na/Benzophenone. Solvents for chromatography
94 and filtration including hexane, ethyl acetate, dichloromethane, anhydrous ethanol, methanol,
95 DMF, toluene and 2-propanol were ACS or HPLC grade and used as received. Petroleum ether
96 for flash chromatography was ACS grade (bp_≥90% 40-60 °C) and was used as such without
97 further purifications. Ammonia-methanol mixture was prepared by bubbling liquid ammonia in
98 methanol at 0 °C for 30 min. Reagents were obtained from commercial sources without further
99 purification. Valerolactone aglycones **1-5** and valerolactone precursors **15**, **15'**, and **17** were
100 prepared in house using the synthetic strategy previously outlined by Curti et al. [27]. Denmark's
101 chiral bis-phosphoramides (R,R) (352310-87-3), and (S,S) (873306-78-6) were commercially
102 available, and were used as such, without further purifications. 2,2,2-trichloroethyl
103 chlorosulphate **13** (TCECS) [28], benzyl 2,3,4-tri-*O*-benzyl-1-*O*-(trichloroacetimidoyl)- α -D-
104 glucuronate **14** [29], Triisopropylsilyloxy-furan (TIPSOF) [30] were prepared according to
105 reported procedures. For preparation of 3-benzyloxy-4-(*tert*-butyldimethylsilyloxy)benzaldehyde
106 see Supplementary Material. All solvents and reagents were purchased from Sigma (St. Louis,
107 MO, USA), unless otherwise indicated. Ultrapure water from MilliQ system (Millipore, Bedford,
108 MA, USA) was used throughout the experiment.

109 The HPLC columns used for method development were: BlueOrchid C18 (50 × 2 mm, 1.8
110 μ m particle size; Knauer, Berlin, Germany), Kinetex PFP (50 × 2.1 mm, 2.6 μ m particle size,
111 Phenomenex, Macclesfield, UK), Ultra AQ C18 (100 × 2.1 mm, 3 μ m particle size; Restek,
112 Bellefonte, PA, USA), Acquity UPLC HSS T3 (100 × 2.1 mm, 1.8 μ m particle size, Waters,
113 Milford, MA, USA), Kinetex EVO C18 (100 × 2.1 mm, 2.6 μ m particle size, Phenomenex), and
114 Kinetex EVO C18 (100 × 2.1 mm, 1.7 μ m particle size, Phenomenex).

115

116 **2.2. Synthesis of metabolites**

117 **General Experimental Procedure:** Unless otherwise noted, all reactions were performed in
118 oven-dried or flame-dried glassware under an atmosphere of nitrogen or argon. Air-sensitive
119 reagents and solutions were transferred via syringe or cannula and were introduced to the
120 apparatus through rubber septa. NMR spectra were recorded at 300 MHz or 400 MHz (¹H) and
121 75 MHz or 100 MHz (¹³C). Spectra were referenced to tetramethylsilane (0.0 ppm, ¹H; 0.0 ppm,
122 ¹³C, in CDCl₃). Chemical shifts (δ) are reported in parts per million (ppm), and multiplicities are
123 indicated as s (singlet), d (doublet), t (triplet), q (quartet), sext (sextet), sept (septet), dd (double
124 doublet), m (multiplet), and b (broad). Coupling constants, *J*, are reported in Hertz. ¹H and ¹³C
125 NMR assignments were corroborated by 1D and 2D experiments (gCOSY, gHSQC, DEPT).
126 Optical rotation data ([α]_D²⁰) were obtained on a digital Perkin Elmer polarimeter at 589 nm
127 (NaD) and 20 °C using a 100 mm cell with a 1 mL capacity and are given in units of 10⁻¹ deg cm²
128 g⁻¹. Details for the preparation and characterization of all synthesized compounds can be found in
129 the Supplementary Material.

130 **Representative Procedure for *O*-sulphate γ -valerolactones synthesis: Synthesis of compound**
131 **6**

132 *Representative procedure 1 for the preparation of the 2,2,2-trichloroprotected γ -valerolactone*
133 *sulphates*

134 According to a known procedure [28], a solution of (**R**)-**1** (10.0 mg, 0.05 mmol) in DCM
135 dry (3 mL) was added Et₃N (8.4 μ L, 0.06 mmol, 1.2 equiv), DMAP (6.1 mg, 0.05 mmol, 1 equiv)
136 and 2,2,2-trichloroethyl chlorosulphate (TCECS) (74.4 mg, 0.3 mmol, 6 equiv). The solution was
137 stirred at room temperature for 16 h. The resulting white suspension was diluted with EtOAc (6
138 mL) and washed with H₂O (6 mL), 1 N HCl (6 mL), and brine (6mL). The organic layer was
139 dried (Na₂SO₄), and concentrated under vacuum. The residue was chromatographed on silica gel
140 (elution by gradient from 75:25 to 65:35 Petroleum Ether/EtOAc) to give pure the corresponding
141 protected sulphate intermediate **I** (15.1 mg, 75%) as a pale yellow resin.

142 *Representative procedure 2 for the removal of 2,2,2-trichloroethyl group to generate γ*

143 *valerolactone sulphates*

144 According to a known procedure [28], ammonium formate (15.1 mg, 0.24 mmol, 6 equiv)
145 and Zn dust (5.2 mg, 0.08 mmol, 2 equiv) was added to a solution of protected intermediate
146 valerolactone **I** (15.1 mg, 0.04 mmol) in absolute EtOH (2 mL). The solution was stirred until all
147 of reagent was consumed as determined by TLC (2 h). The reaction was filtered through Celite
148 and the supernatant was concentrated in vacuum. The residue was subjected to flash
149 chromatography (EtOAc/MeOH 80/20) to give the desired sulphated valerolactone **6** as
150 amorphous solid (9.7 mg, 84%). TLC: $R_f = 0.19$ (90/10 ethyl acetate/MeOH); Opt. Rot. $[\alpha]_D^{20}$
151 -19.0 (c 0.7 g/100mL, CH₃OH); ¹H NMR (400 MHz, MeOD): δ 7.22 (m, 4H, Ar), 4.71 (dddd, J
152 $= 6.8, 6.8, 6.8, 6.8$ Hz, 1H, H4), 3.03 (dd, $J = 14.0, 6.5$ Hz, 1H, H5a), 2.90 (dd, $J = 14.0, 6.0$ Hz,
153 1H, H5b), 2.51 (ddd, $J = 17.7, 9.4, 9.4$ Hz, 1H, H2a), 2.40 (ddd, $J = 17.7, 9.4, 4.5$ Hz, 1H, H2b),
154 2.27 (dddd, $J = 12.8, 9.7, 6.7, 4.5$ Hz, 1H, H3a), 1.97 (dddd, $J = 12.8, 9.3, 9.3, 7.5$ Hz, 1H, H3b).
155 ¹³C NMR (100 MHz, MeOD): 180.3 (Cq, C1), 153.0 (Cq, Ar), 134.5 (Cq, Ar), 131.4 (2C, CH,
156 Ar), 122.7 (2C, CH, Ar), 83.2 (CH₂, C4), 41.6 (CH, C5), 29.7 (CH₂, C2), 28.2 (CH₂, C3).

157 **O-Sulphate 7**: Colourless resin; $R_f = 0.20$ (90/10 ethyl acetate/MeOH); Opt. Rot. $[\alpha]_D^{20} -15.7$ (c
158 0.6 g/100mL, MeOH); ¹H NMR (400 MHz, MeOD): δ 7.29 (dd, $J = 7.8, 7.8$ Hz, 1H, Ar), 7.24
159 (dd, $J = 1.8, 1.8$ Hz, 1H, Ar), 7.21 (ddd, $J = 8.0, 2.1, 1.2$ Hz, 1H, Ar), 7.10 (ddd, $J = 7.5, 1.3, 1.3$
160 Hz, 1H, Ar), 4.82 (dddd, $J = 6.8, 6.8, 6.8, 6.8$ Hz, 1H, H4), 3.06 (dd, $J = 14.0, 6.6$ Hz, 1H, H5a),
161 2.97 (dd, $J = 14.0, 6.0$ Hz, 1H, H5b), 2.54 (ddd, $J = 17.8, 9.4, 9.4$ Hz, 1H, H2a), 2.43 (ddd, $J =$
162 $17.8, 9.4, 4.6$ Hz, 1H, H2b), 2.30 (dddd, $J = 12.7, 9.7, 6.7, 4.5$ Hz, 1H, H3a), 2.00 (dddd, $J =$
163 $12.7, 9.2, 9.2, 7.6$ Hz, 1H, H3b). ¹³C NMR (100 MHz, MeOD): 180.3 (Cq, C1), 154.2 (Cq, Ar),
164 139.3 (Cq, Ar), 130.3 (CH, Ar), 127.2 (CH, Ar), 123.7 (CH, Ar), 123.0 (CH, Ar), 83.0 (CH, C4),
165 42.0 (CH₂, C5), 29.6 (CH₂, C2), 28.2 (CH₂, C3).

166 **O-Sulphate 8**: White amorphous solid; $R_f = 0.21$ (80/20 AcOEt/MeOH); Opt. Rot. $[\alpha]_D^{20} -12.1$
167 (c 0.9 g/100mL, MeOH); ¹H NMR (300 MHz, MeOD): δ 7.50 (d, $J = 8.4$ Hz, 1H, H5'), 7.48 (d, J
168 $= 1.9, 1H, H2')$, 7.05 (dd, $J = 8.4, 2.2, 1H, H6')$, 4.79 (dddd, $J = 6.6, 6.6, 6.6, 6.6$ Hz, 1H, H4),

169 3.02 (dd, $J = 14.1, 6.5$ Hz, 1H, H5a), 2.93 (dd, $J = 14.1, 6.1$ Hz, 1H, H5b), 2.54 (ddd, $J = 17.8,$
170 9.4, 9.4 Hz, 1H, H2a), 2.43 (ddd, $J = 17.8, 9.2, 4.5$ Hz, 1H, H2b), 2.29 (dddd, $J = 12.7, 9.5, 6.6,$
171 4.5 Hz, 1H, H3a), 1.99 (dddd, $J = 12.7, 9.3, 9.3, 7.6$ Hz, 1H, H3b). ^{13}C NMR (100 MHz, MeOD):
172 180.3 (Cq, C1), 145.4 (Cq, Ar), 144.3 (Cq, Ar), 135.1 (Cq, Ar), 127.3 (CH, Ar), 124.8 (CH, Ar),
173 123.7 (CH, Ar), 83.0 (CH, C4), 41.6 (CH₂, C5), 28.7 (CH₂, C2), 28.2 (CH₂, C3).

174 **O-Sulphate 10**: White amorphous solid; $R_f = 0.16$ (90/10 AcOEt/MeOH); Opt. Rot. $[\alpha]_D^{20} -7.8$
175 (c 0.4 g/100mL, MeOH); ^1H NMR (400 MHz, MeOD): δ 7.20 (d, $J = 2.1$ Hz, 1H, H2'), 6.93 (dd,
176 $J = 8.3, 2.1$ Hz, 1H, H6'), 6.83 (d, $J = 8.3$ Hz, 1H, H5'), 4.75 (dddd, $J = 6.9, 6.9, 6.9, 6.9$ Hz, 1H,
177 H4), 2.97 (dd, $J = 14.0, 6.1$ Hz, 1H, H5a), 2.84 (dd, $J = 14.0, 6.5$ Hz, 1H, H5b), 2.50 (ddd, $J =$
178 17.8, 9.2, 9.2 Hz, 1H, H2a), 2.38 (ddd, $J = 17.7, 9.4, 4.7$ Hz, 1H, H2b), 2.26 (dddd, $J = 12.8, 9.7,$
179 6.8, 4.7 Hz, 1H, H3a), 1.98 (dddd, $J = 12.8, 9.2, 9.2, 7.4$ Hz, 1H, H3b). ^{13}C NMR (100 MHz,
180 MeOD): 180.3 (Cq, C1), 149.6 (Cq, Ar), 141.4 (Cq, Ar), 129.3 (Cq, Ar), 128.4 (CH, C6'), 125.3
181 (CH, C2'), 118.5 (CH, C5'), 83.0 (CH, C4), 41.3 (CH₂, C5), 29.6 (CH₂, C2), 28.1 (CH₂, C3).

182 **O-Sulphate 10'**: White amorphous solid; $R_f = 0.15$ (90/10 AcOEt/MeOH); Opt. Rot. $[\alpha]_D^{20} -15.9$
183 (c 1.0 g/100mL, CH₃OH); ^1H NMR (400 MHz, MeOD): δ 7.21 (d, $J = 8.2$ Hz, H5'), 6.82 (d, $J =$
184 2.1 Hz, 1H, H2'), 6.71 (dd, $J = 8.2, 2.1$ Hz, H6'), 4.75 (dddd, $J = 6.6, 6.6, 6.6, 6.6$ Hz, 1H, H4),
185 2.96 (dd, $J = 14.0, 6.4$ Hz, 1H, H5a), 2.86 (dd, $J = 14.0, 6.0$ Hz, 1H, H5b), 2.52 (ddd, $J = 17.8,$
186 9.4, 9.4 Hz, 1H, H2a), 2.41 (ddd, $J = 17.7, 9.4, 4.5$ Hz, 1H, H2b), 2.26 (dddd, $J = 12.8, 9.7, 6.7,$
187 4.5 Hz, 1H, H3a), 1.96 (dddd, $J = 12.8, 9.3, 9.3, 7.7$ Hz, 1H, H3b). ^{13}C NMR (100 MHz, MeOD):
188 δ 180.3 (Cq, C1), 150.6 (Cq, Ar), 140.2 (Cq, Ar), 136.1 (Cq, Ar), 124.2 (CH, C5'), 122.0 (CH,
189 C6'), 119.5 (CH, C2'), 83.1 (CH, C4), 41.7 (CH₂, C5), 29.7 (CH₂, C2), 28.2 (CH₂, C3).

190 **O-Sulphate 11**: Pale yellow resin; $R_f = 0.23$ (85/15 AcOEt/MeOH); Opt. Rot. $[\alpha]_D^{20} -13.4$ (c 0.5
191 g/100mL, MeOH); ^1H NMR (400 MHz, MeOD): δ 6.72 (dd, $J = 1.8, 1.8$ Hz, 1H, H2'), 6.70 (dd, J
192 = 2.1, 2.1 Hz, 1H, H4'), 6.54 (dd, $J = 1.8, 1.8$ Hz, 1H, H6'), 4.79 (dddd, $J = 6.8, 6.8, 6.8, 6.8$ Hz,
193 1H, H4), 2.98 (dd, $J = 13.9, 6.4$ Hz, 1H, H5a), 2.86 (dd, $J = 13.9, 6.2$ Hz, 1H, H5b), 2.54 (ddd, J
194 = 18.0, 9.4, 9.4 Hz, 1H, H2a), 2.44 (ddd, $J = 17.8, 9.4, 4.6$ Hz, 1H, H2b), 2.29 (dddd, $J = 12.7,$

195 9.7, 6.7, 4.6 Hz, 1H, H3a), 2.00 (m, dddd, $J = 12.8, 9.2, 9.2, 7.6$ Hz, 1H, H3b). ^{13}C NMR (100
196 MHz, MeOD): δ 180.3 (Cq, C1), 159.4 (Cq, Ar), 155.0 (Cq, Ar), 139.7 (Cq, Ar), 114.7 (CH, C2'),
197 114.2 (CH, C6'), 108.3 (CH, C4'), 83.0 (CH, C4), 42.2 (CH₂, C5), 29.6 (CH₂, C2), 28.2 (CH₂,
198 C3).

199 **Representative Procedure for *O*-Glucuronide γ -valerolactones synthesis: Synthesis of**
200 **compound 9**

201 *Representative procedure 3 for glucuronidation*

202 According to a known procedure [31], a solution of valerolactone **2** (10.4 mg, 0.05 mmol,
203 1 equiv) in CH₂Cl₂ (1 mL) was added dropwise to a solution of α -D-Glucuronide
204 Trichloroacetimidate **14** (69.9 mg, 0.1 mmol, 2 equiv) in CH₂Cl₂ (3 mL). The mixture was cooled
205 to 0 °C, follow up by the addition of BF₃·OEt₂ (1.5 μ L, 0.01 mmol, 0.2 equiv). After 2 h, Et₃N
206 was added and the solution was concentrated under vacuum. The residue was purified by flash
207 chromatography on silica gel (from 85:15 to 80:20 Petroleum ether/EtOAc) to afford related
208 protected glucuronide (28.8 mg, 79%) as a mixture of anomers α : β in ratio 0.40:1.0.

209 *Representative Procedure 4 for Benzyl removal of protected glucuronide*

210 To a solution of the abovementioned anomeric mixture benzylated glucuronide (21.9 mg,
211 0.03 mmol, 1.0 equiv) in a degassed EtOAc/EtOH 1:1 (15 mL), was added Pd (10% on carbon,
212 10 mg). To this black suspension H₂ was flushed and kept sealed under pressure for 6 h. After this
213 period, the H₂ was removed under vacuum, the resulting suspension was filtered in EtOAc/EtOH
214 mixture, and the residue concentrated to yield targeted compound **9** (10.5 mg, quantitative yield,
215 anomeric 0.4:1 α : β mixture) as white amorphous solid. R_f = 0.15 (80/20 AcOEt/MeOH, 2%
216 AcOH). ^1H NMR (400 MHz, MeOD): δ 7.23-7.39 (m, 1.4 H, H α Ar, H β Ar), 6.95-7.09 (m, 4.2 H,
217 3H α Ar, 3H β Ar), 5.56 (d, $J = 3.6$ Hz, 0.4 H, H1'' α), 4.98 (d, $J = 7.7$ Hz, 1H, H1'' β), 4.82 (m, 1.4
218 H, H4 α , H4 β), 4.15 (d, $J = 9.9$ Hz, 0.4H, H5'' α), 4.01 (d, $J = 9.7$ Hz, 1H, H5'' β), 3.88 (dd, $J = 9.2,$
219 9.2 Hz, 0.4H, H3'' α), 3.59-3.66 (m, 1.8H, H2'' α , H4'' α , H4'' β), 3.51 (m, 2H, H2'' β , H3'' β), 3.04
220 (dd, $J = 14.0, 7.0$ Hz, 0.4H, H5 $\alpha\alpha$), 3.03 (dd, $J = 13.8, 6.4$ Hz, 1H, H5 $\alpha\beta$), 2.96 (dd, $J = 13.9, 5.8$

221 Hz, 1H, H5b β), 2.95 (dd, $J = 13.9, 5.6$ Hz, 0.4H, H5b α), 2.34-2.58 (m, 2.8H, H2 α , H2 β), 2.24-2-
222 32 (m, 1.4H, H3a α , H3a β), 1.94-2.04 (m, 1.4H, H3b α , H3b β). ^{13}C NMR (100 MHz, MeOD): δ
223 180.3 (2C, Cq, C1 α , C1 β), 159.4 (2C, Cq α,β), 158.7 (2C, Cq α,β), 139.7 (Cq, Ar α), 139.4 (Cq,
224 Ar β), 130.7 (CH, Ar α), 130.6 (CH, Ar β), 125.0 (CH, Ar β), 124.9 (CH, Ar α), 119.3 (2C, CH,
225 Ar α, β), 116.7 (CH, Ar α), 116.6 (CH, Ar β), 102.6 (CH, C1'' β), 99.3 (CH, C1'' α), 83.1 (CH,
226 C4 α), 82.9 (CH, C4 β), 77.8 (CH, Glc- β) 76.3 (CH, Glc- α), 74.8 (2C, CH, Glc- α , Glc- β), 74.8
227 (CH, Glc- α), 73.9 (CH, Glc- α), 73.9 (CH, Glc- β), 73.1 (CH, Glc- β), 42.2 (CH $_2$, C5 α), 42.1 (CH $_2$,
228 C5 β), 29.6 (2C, CH $_2$, C2 α , C2 β), 28.3 (CH $_2$, C3 α), 28.1 (CH $_2$, C3 β).

229 **Glucuronide 12.** White amorphous solid. $R_f = 0.10$ (80/20 AcOEt/MeOH, 2% AcOH). ^1H NMR
230 (400 MHz, MeOD): δ 6.60 (dd, $J = 1.8, 1.8$ Hz, 0.36H, Ar α), 6.53 (m, 1.30 H, H Ar β , H Ar α),
231 6.48 (dd, $J = 2.2, 2.2$ Hz, 1H, H Ar β), 6.41 (m, 1.31H, H Ar β), 5.50 (d, $J = 3.6$ Hz, 0.35H, H1''
232 α), 4.90 (d, $J = 7.6$ Hz, 1H, H1'' β), 4.79 (dddd, $J = 6.9, 6.9, 6.9, 6.9$ Hz, 1.5H, H4 α , H4 β), 4.06
233 (d, $J = 10.0$ Hz, 0.37H, H5'' α), 3.90 (d, $J = 9.6$ Hz, 1H, H5'' β), 3.87 (dd, $J = 9.2, 9.2$ Hz, 0.36H,
234 H3'' α), 3.57-3.61 (m, 1.77H, H4'' β , H4'' α , H2'' α), 3.46-3.53 (m, 2H, H3'' β , H2'' β), 2.97 (dd, $J =$
235 14.3, 6.6 Hz, 0.36H, H5a α), 2.95 (dd, $J = 14.0, 6.2$ Hz, 1H, H5a β), 2.86 (dd, $J = 14.1, 6.2$ Hz, 1H,
236 H5b β), 2.84 (dd, $J = 14.0, 6.3$ Hz, 0.38H, H5b α), 2.34-2.56 (m 2.75H, H2 α , H2 β), 2.23-2.32 (m,
237 1.38H, H3a α , H3a β), 1.94-2.04 (m, 1.37H, H3b α , H3b β). ^{13}C NMR (100 MHz, MeOD): δ 180.3
238 (2C, Cq, C1 α , C1 β), 160.3 (2C, Cq α, β), 159.8 (Cq α), 159.7 (Cq β), 159.6 (2C, Cq α, β),
239 140.3 (Cq α), 140.0 (Cq β), 112.2 (CH, Ar β), 112.1 (CH, Ar α), 110.6 (CH Ar, α), 110.4 (CH, Ar
240 β), 104.0 (CH, Ar α), 103.9 (CH, Ar β), 102.5 (CH, C1'' β), 99.2 (CH, C1'' α), 83.1 (CH, C4 α),
241 82.9 (CH, C4 β), 77.7 (CH, Glc- β), 76.6 (CH, Glc- α), 74.8 (2C, CH, Glc- β), 74.7 (CH, Glc- α),
242 73.8 (CH, Glc- α), 73.4 (CH, Glc- β), 73.1 (CH, Glc- α), 42.3 (CH $_2$, C5 α), 42.2 (CH $_2$, C5 β), 30.9
243 (CH $_2$, C2 α), 29.6 (CH $_2$, C2 β), 28.3 (CH $_2$, C3 α), 28.1 (CH $_2$, C3 β).

244

245 **2.3. Urine collection and processing**

246 Urine samples for method validation were obtained from subjects consuming green tea. In

247 particular, sixteen healthy adults aged between 35 to 50 were recruited. Subjects were
248 non-smokers with no previous history of chronic diseases, did not take regularly (daily) green tea
249 or vitamin/herbal supplements, and had not special dietary preferences, e.g. vegetarianism. They
250 were not under long-term medication, had not been hospitalised in the previous 12 months, and
251 had not received medical care in the past three months. Subjects with Body Mass Index (BMI)
252 higher than 27 kg/m^2 were excluded. Written consent was obtained and all procedures complied
253 with the Declaration of Helsinki. The study was approved by the Human Subjects Ethics Sub-
254 committee of the Hong Kong Polytechnic University.

255 Subjects were assigned to have either tea or water first on a randomised, single-blinded
256 basis. On day 1 of each subject's participation, baseline urine samples for their 7-day treatment
257 (supplementation study) were collected into containers without any preservative and stored
258 frozen ($-80 \text{ }^\circ\text{C}$) until used. From day 1, all subjects were required to drink either 200 mL of 1%
259 w/v green tea (pre-rain Loong-cheng tea leaves, kindly provided by Ying Kee Tea House,
260 HKSAR) or hot water twice a day (preferably, in the morning and at night) for seven consecutive
261 days (tea bags of green tea were supplied), and they would return to the laboratory on day 8,
262 when urine samples were collected as previously reported. Subjects then went through a 4-week
263 washout period, after which the procedures of 7 days' supplementation were repeated, with each
264 subject crossed-over onto the other treatment. Urine samples were collected again. Compliance
265 was assessed by counting up the number of tea bags returned from green tea supplementation
266 group and by inquiry to both groups. A compliance $>80\%$ was regarded as satisfactory.

267 Urine samples were defrosted, vortexed, diluted in 0.1% formic acid in water (1/4, v/v),
268 centrifuged at 18000 g for 5 min, and filtered through $0.22 \text{ }\mu\text{m}$ nylon filters prior to the analysis
269 by UHPLC-ESI-MS/MS.

270

271 **2.4. UHPLC-ESI-QqQ-MS/MS**

272 All synthesized standards and samples were analysed by UHPLC DIONEX Ultimate 3000
273 equipped with a TSQ Vantage triple quadrupole mass spectrometer (Thermo Fisher Scientific
274 Inc., San Jose, CA, USA) fitted with a heated-electrospray ionization source (H-ESI-II; Thermo
275 Fisher Scientific Inc.).

276 Separations were performed with a Kinetex EVO C18 (100 × 2.1 mm), 2.6 μm particle
277 size (Phenomenex). For UHPLC, mobile phase A was 0.2% formic acid in water and mobile
278 phase B was acetonitrile containing 0.2% formic acid. The gradient started with 5%B, keeping
279 isocratic conditions for 0.5 min, reaching 95%B at 7 min, followed by 1 minute at 95% B and
280 then 4 min at the start conditions to re-equilibrate the column. The flow rate was set at 0.4
281 mL/min, the injection volume was 5 μL, and the column was thermostated at 40°C.

282 The MS worked in negative ionization mode with capillary temperature at 270 °C, while
283 the source at 300 °C. The sheath gas flow was 60 units, while auxiliary gas pressure was set to 10
284 units. The source voltage was 3 kV. Ultra high-purity argon gas was used for collision-induced
285 dissociation (CID). Each synthesized compound was directly infused into the ESI source (5
286 μg/mL at a flow rate of 10 μL/min) in combined mode with a background mode of 70/30 v/v of
287 phase A/phase B at 0.3 mL/min. Characteristic MS conditions (S-lens RF amplitude voltage and
288 collision energy) were optimized for each phenyl-γ-valerolactone. The applied method consisted
289 in the selective determination of each target precursor ion by the acquisition of characteristic
290 product ions in the “selected reaction monitoring” (SRM) mode. Two molecular transitions were
291 used to qualify and quantify phenyl-γ-valerolactone conjugates. Data processing was performed
292 using Xcalibur software from Thermo Scientific.

293

294 **2.5. Method validation**

295 The method was validated for selectivity, calibration curve, range, limit of detection (LOD),
296 lower limit of quantification (LLOQ), upper limit of quantification (ULOQ), intra-day and inter-
297 day precision, and accuracy. Method validation was carried out on diluted blank urine samples

298 spiked with the synthesized phenyl- γ -valerolactones and according to Food and Drug
299 Administration (FDA) guidelines [32]. Blank urine samples were kindly provided by three
300 healthy volunteers following a phenolic-free diet for 72 h.

301 Compounds were individually dissolved in dimethyl sulfoxide at 10 mM and individual
302 stock solutions were diluted and pooled to obtain a standard solution at 200 μ M in 1 mL 0.1%
303 formic acid in acetonitrile. Working dilutions of phenyl- γ -valerolactones from the standard pool
304 solution were prepared in 0.1% formic acid in water/blank urine (4/1, v/v), with concentrations
305 ranging from 0.1 nM to 133 μ M. Compound **10** was prepared individually following the same
306 procedure. A minimum of 14 concentration levels were used.

307 Selectivity was assessed by analysing diluted blank urine samples spiked or not with
308 phenyl- γ -valerolactones at the LLOQ. The evaluation of the range of calibration curves was
309 based on data fitting to linear or quadratic regressions, prioritizing linear fitting. Acceptable
310 fitting was estimated by using the coefficient of determination (R^2). The LOD and LLOQ for
311 each compound were determined as the concentration in which the quantifier transition showed a
312 signal-to-noise (S/N) ratio ≥ 3 and ≥ 10 , respectively. The intra-day precision (repeatability) and
313 inter-day precision (semi-reproducibility) of the method, reported as the relative standard
314 deviation (% RSD), was evaluated at the LLOQ of each compound (L1) and at two higher
315 concentration levels (5xLOQ, L2, and 10xLOQ, L3). Each solution was injected randomly three
316 times per day in three different days. The acceptance criteria was RSD <20% for L1 and <15%
317 for both L2 and L3. Accuracy was calculated in terms of recovery rate for the L2 concentration
318 level of each compound, as the ratio between the mean recorded concentration and the spiked
319 concentration, multiplied by 100.

320

321 **2.6. Data and statistical analysis**

322 All analyses were performed in triplicate for method validation. Data are reported as mean
323 \pm standard deviation (SD). Statistical analysis was carried out using the IBM SPSS Statistics 23.0

324 software package (IBM, Chicago, IL, USA). Non-parametric Kruskal-Wallis test was performed
325 and, when significant ($p < 0.05$), the Mann-Witney U test was applied to define specific
326 differences in the urinary excretion of phenyl- γ -valerolactones.

327

328 **3. Results and discussion**

329 **3.1. Synthesis of conjugated phenyl- γ -valerolactones**

330 Considering previous studies accounting for the transformation into conjugated phenyl- γ -
331 valerolactones of flavan-3-ols by gut microbiota and human phase II enzymatic pools [11, 14,
332 18], different glucuronide and sulphate conjugates were synthesized. Only the main steps of the
333 synthetic strategy are presented in the main body of this work; details are presented at
334 Supplementary Material.

335 *O*-Sulphated isomers **6** and **7** were synthesized using 2,2,2-trichloroethyl chlorosulphate
336 (TCECS, **13**) as sulphate “donor” in presence of Et₃N, DMAP in CH₂Cl₂, starting respectively
337 from the corresponding 5-(hydroxyphenyl)-valerolactone **1** and **2** (Figure 2, eq a). The next
338 removal of TCE moiety with Zn dust and ammonium formate afforded the target products as
339 ammonium salts, with 63% and 81% of yield, respectively, after two steps. Following the
340 aforementioned synthetic way, the di-*O*-sulphated metabolite **8** was prepared starting from the
341 related aglycone (**3**) with a 52% overall yield (Figure 2, eq a).

342 The phenolic group of aglycone (*R*)-**2** was also conjugated with benzyl glucuronate
343 “donor” **14** to give the protected glucuronidated adduct as an anomeric 0.4:1 α : β mixture with
344 78% of yield. Finally, total debenylation with H₂ Pd/C in a 1:1 EtOH/AcOEt mixture afforded
345 the desired metabolite **9** in quantitative yield (Figure 2, eq b).

346 The two monosulphate regioisomers **10** and **10'** of 5-(3,4-dihydroxyphenyl)- γ -
347 valerolactone (**3**) were synthesized starting from orthogonally protected precursors **15** and **15'**, as
348 depicted in Figure 2 (eqs c, d). For the synthesis of 3'-*O*-sulphated metabolite **10**, precursor **15**
349 was desilylated by HF \cdot Py unmasking the phenolic group at the 3' position, which was sulphated

350 with compound **13** with a good 70% yield (Figure 2, eqs c). At this point, removal of the benzyl
351 group with H₂, Pd/C in AcOEt and the cleavage of TCE group with Zn dust, ammonium formate
352 afforded metabolite **10'** with a good 60% yield after two steps. The same treatment of
353 desilylation, sulphation, benzyl and TCE cleavages converted the regioisomer precursor **15'** into
354 the target molecule **10'** in a nice 38% overall yield (Figure 2, eq d).

355 Due to the chemical equivalence of the two phenolic groups in 3' and 5' position of
356 valerolactone **4**, the synthesis of mono-conjugated metabolites **11** and **12** did not require the
357 orthogonal protection strategy. As shown in Figure 2 (eq e), the dibenzylated valerolactone
358 scaffold **17** was subjected to a mild deprotection with NiCl₂, NaBH₄ in MeOH, giving the mono-
359 protected compound **18** with an acceptable 52% of yield. The intermediate **18** represented a
360 divergent point toward the final products **11** and **12**. In fact, the sulphation and sequential
361 reductive cleavage of benzyl and TCE moieties afforded the final target **12** with 52% yield after
362 three steps (Figure 2). On the other hand, coupling **18** with the trichloroacetimidate **14** gave the
363 protected glucuronide as anomeric 0.35:1- α/β mixture with a very good 85% yield. Treatment of
364 this polybenzylated mixture with H₂, Pd/C afforded in only one step the total cleavage of all
365 benzyl groups yielding the targeted metabolite **12** in quantitative yield.

366 Despite the synthesis of some phenyl- γ -valerolactone scaffolds has already been reported
367 [26, 27, 33], this is the first time, to the best of our knowledge, that the synthesis of authentic
368 bioanalytical standards of sulphate- and glucuronide-conjugated phenyl- γ -valerolactones is
369 reported.

370

371 **3.2. Development and optimization of the UHPLC-ESI-MS/MS method**

372 One of the aims of this work was to develop a quick method to quantify phenyl- γ -
373 valerolactones in human urine. Six UHPLC columns (Knauer BlueOrchid C18, Restek Ultra AQ
374 C18, Waters Acquity UPLC HSS T3, Phenomenex Kinetex PFP, Phenomenex Kinetex EVO C18
375 2.6 μ m, and Phenomenex Kinetex EVO C18 1.7 μ m) often used for the separation of phenolic

376 metabolites were utilized. Column length was a critical characteristic to allow the separation of
377 isomers **6** and **7**, for which long columns were required (100 mm). Both Kinetex EVO C18 and
378 the Acquity HSS T3 columns provided the best peak resolutions at their optimal flow rates, but
379 the EVO C18 2.6 μm was preferred since lower operating pressures were achieved due to its
380 higher particle size (2.6 μm in comparison with 1.8 μm of the Acquity and 1.7 μm of the other
381 Kinetex EVO C18). Despite the Restek Ultra AQ C18 was similar to the EVO C18 2.6 μm in
382 terms of length and particle size, peak shape for sulphated derivatives was poor in the former
383 after repeated analyses. Flow rates under 0.4 mL/min resulted in poor peak resolution. Regarding
384 mobile phase solvents, acetonitrile but not methanol improved peak shape for sulphated
385 conjugates (approximately 35%). All phenyl- γ -valerolactones eluted within 12 minutes and all
386 compounds, including isomers, were well separated under the above described chromatographic
387 conditions. However, this method did not succeed to separate co-eluting isomers **10** and **10'**, and
388 analysis times longer than 30 minutes were required to achieve an acceptable separation. This
389 fact had been previously observed by other authors using longer gradients [18].

390 The MS/MS related parameters were optimized for each individual compound separately,
391 by performing direct infusion experiments (Table 1). A greater sensitivity was reached in negative
392 ionization condition for all the compounds. In general, sulphated compounds responded better to
393 ES ionization conditions with respect to their glucuronidated counterparts and to free forms of
394 phenyl- γ -valerolactones. As it had been previously reported [13], the deprotonated aglycone ions
395 of phenyl- γ -valerolactone conjugates were always the predominant peaks in the fragment ion MS
396 spectra. Two selective SRM transitions were used for each metabolite, making a robust
397 qualitative and quantitative information easily achievable [34].

398 A particular behaviour in terms of peak resolution and ionization was observed for 5-
399 phenyl- γ -valerolactone-3',4'-di-*O*-sulphate (**8**). This compound showed an asymmetric peak
400 shape, characterised by a severe peak tail, and also showed a limited ionization, characterized by
401 the co-presence of four different molecular ions: the doubly-charged molecular ion ($[\text{M}-2\text{H}]^{2-}$) at

402 m/z 183 (100% of relative abundance), two in-source fragments of one and two sulphate moieties
403 yielding molecular ions at m/z 287 and 207 (30% of relative abundance for both ions), and the
404 single molecular ion ($[M-H]^-$) at m/z 367 (10% of relative abundance). Unfortunately, it was not
405 possible to improve its chromatographic and ionization features, despite multiple efforts.

406 Despite the feasibility of analysing different classes of flavan-3-ol metabolites, this
407 method was exclusively developed for phenyl- γ -valerolactones because the lack of authentic
408 standards has hindered accurate calibration and absolute quantification of these phenolic
409 metabolites so far [25]. The method allowed the simultaneous resolution and quantification of 12
410 authentic standards of phenyl- γ -valerolactones within 12 minutes. The analysis time was short if
411 compared to other methods that detected phenyl- γ -valerolactones as well as other flavan-3-ol
412 metabolites in 26-70 min [11, 13, 14, 18, 19, 35, 36], and in line with other UHPLC methods
413 resolving a high number of phenolic metabolites in 10-12 min [21-24].

414

415 **3.3. Method validation**

416 **3.3.1. Selectivity**

417 To determine whether endogenous peaks from human urine or other sample components
418 co-eluted with the analytes of interest, selectivity was evaluated in diluted blank matrix spiked or
419 not with phenyl- γ -valerolactones. In all cases, no interference signals from the matrix at the
420 specific SRM transitions were observed. The concomitant presence of 5-(3'-hydroxyphenyl)- γ -
421 valerolactone (**2**) and 5-phenyl- γ -valerolactone-3'-*O*-sulphate (**7**) in the sample caused a loss of
422 selectivity for the former due to the in-source fragmentation of the latter. For all the other
423 analysed compounds, the method was characterized by a high selectivity.

424

425 **3.3.2. Linearity, limit of detection and limits of quantification**

426 Calibration curves were established using diluted blank urine for matrix-match
427 calibration. Different concentrations levels, covering the expected range for each compound and

428 ranging from its LLOQ to its UPLOQ were used. Calibration curves were forced to pass through
429 the origin and the regression line best fitting data (linear or quadratic) was used. Most of the
430 compounds were fitted linearly, but compounds **5**, **10**, and **10'** fitted quadratic calibration curves
431 (Table 2). All the compounds showed R^2 higher than 0.987 (Table 2).

432 Concentration ranges, LODs, LLOQs, and UPLOQs varied largely among the different
433 analytes (Table 2), with most of the compounds displaying analytical ranges along 3-5 orders of
434 magnitude, with the exception of unconjugated mono- and trihydroxy-phenyl- γ -valerolactones (**1**,
435 **2**, and **5**) and 5-phenyl- γ -valerolactone-3',4'-di-*O*-sulphate (**8**). LOD values varied from 0.2 to
436 1,113 nM and the median LOD was 6.2 nM. With respect to the LLOQ, it ranged from 0.6 to
437 2,227 nM and the median LLOQ was 12.4 nM. UPOQ varied between 66,667 and 133,333 nM,
438 with median values for 1,000,000 nM. LODs of compounds **1**, **2**, **4**, **5**, and **8** were above 20 nM,
439 mostly because of their poor ionization. On the contrary, mono-sulphated hydroxy- and
440 dihydroxy-phenyl- γ -valerolactones (compounds **6**, **7**, **10** and **10'**) had LODs and LLOQs below
441 1.5 and 10 nM, respectively. These LOD and LLOQ values, in the low nM range, were in
442 agreement or even lower than those reported for 5-(3',4'-dihydroxyphenyl)- γ -valerolactone (**3**), 5-
443 (3'-hydroxyphenyl)- γ -valerolactone-4'-*O*-sulphate (**10**), and other phenolic metabolites [19, 23,
444 24, 37, 38].

445

446 **3.3.3. Precision and accuracy**

447 The intra-day and inter-day precision, calculated as the respective relative standard
448 deviation (% RSD), was determined at three concentrations (L1-L3) (Table 2). The intra-day
449 precision was lower than 15% for all the compounds at L2 and L3, while it was within 20% for
450 most of the compounds at the LLOQ (L1). Average intra-day precision values (%) were $10.6 \pm$
451 6.7 , 7.7 ± 4.3 , and 2.2 ± 3.0 for L1, L2, and L3, respectively. The values of the inter-day precision
452 were lower than 20% at L1 and fell within 15% at L2 and L3 for most of the compounds.
453 Average values for inter-day precision (%) were 12.1 ± 6.6 , 8.6 ± 3.7 , and 6.1 ± 2.8 for L1, L2,

454 and L3, respectively. The accuracy was excellent for most of the compounds, with values ranging
455 from 86.6% for 5-(3',4',5'-trihydroxyphenyl)- γ -valerolactone to 121.9% for 5-(4'-
456 hydroxyphenyl)- γ -valerolactone-3'-*O*-sulphate (Table 2). Average accuracy (%) was $101.4 \pm$
457 10.9. Overall, the method met the acceptance criteria of FDA for intra- and inter-day precision,
458 and accuracy [32].

459

460 **3.4. Method application: urinary excretion of phenyl- γ -valerolactones after consumption of** 461 **green tea**

462 Of the 13 metabolites targeted within the present UHPLC-ESI-MS/MS method, 10
463 compounds were identified and quantified in urine samples of subjects consuming green tea and
464 following an unrestricted diet. In this set of analyses, 5-(3'-hydroxyphenyl)- γ -valerolactone (**2**)
465 and 5-phenyl- γ -valerolactone-3',4'-*O*-sulphate (**8**) were not detected, and their absence could
466 be related to their intrinsic poor selectivity and resolution, respectively. Nevertheless, their
467 absence in the samples could not be completely ruled out. On the other hand, it was impossible to
468 distinguish between 5-(3'-hydroxyphenyl)- γ -valerolactone-4'-*O*-sulphate (**10**) and 5-(4'-
469 hydroxyphenyl)- γ -valerolactone-3'-*O*-sulphate (**10'**) due to their chromatographic behaviour.
470 These isomers were quantified using **10'** as reference compound.

471 There were no statistically significant differences in the excretion of most of the phenyl- γ -
472 valerolactones between the water control and the green tea supplementation periods ($p > 0.05$)
473 (Table 3). This fact could be linked to the limited contribution of normal dosages of green tea to
474 the total pool of circulating phenyl- γ -valerolactones under free-living conditions (with no dietary
475 restrictions). However, green tea supplementation guaranteed the presence of phenolic scaffolds
476 allowing the formation of 5-(3',4',5'-trihydroxyphenyl)-phenyl- γ -valerolactone (**5**), since it is
477 mainly produced by the colonic catabolism of (-)-epigallocatechin (EGC) and (-)-
478 epigallocatechin-3-gallate (EGCG) [11], contained in green tea but not in other flava-3-ol rich
479 sources, like cocoa or red wine. Maximum urinary concentrations varied between 515 nM for 5-

480 phenyl- γ -valerolactone-4'-*O*-sulphate (**6**) and 132,111 nM for 5-(hydroxyphenyl)- γ -
481 valerolactone-*O*-sulphate isomers (**10/10'**) (Table 3). In terms of absolute excretion, maximum
482 values ranged from 84 nmol/mmol creatinine for metabolite **6** to 15,697 nmol/mmol creatinine
483 for isomers **10/10'** (Supplementary Material, Table 1). The most abundant compounds were **4** and
484 **10/10'**, although the relative contribution of each phenyl- γ -valerolactone to the total urinary
485 excretion varied notably among subjects. With respect to minimum urinary concentrations, it
486 should be noted that some phenyl- γ -valerolactones were not produced/excreted by some
487 volunteers (Table 3), and this can be related to the large inter-individual variability existing in the
488 production of these colonic metabolites [3, 18, 26, 35, 39-44].

489 The urinary concentrations recorded for some phenyl- γ -valerolactones, in particular **4** and
490 **10/10'**, were quite high (reaching 132 μ M). Comparison with other works is avoided, since most
491 of them quantified phenyl- γ -valerolactones without using synthesised exact standards, or because
492 the analysed samples were hydrolysed by using sulphatase and β -glucuronidase enzymes before
493 analysis [14, 35, 36]. In this sense, these data and the accurate quantification of phenyl- γ -
494 valerolactones with their respective reference compounds may lead to the redefinition of the
495 recovery and bioavailability of flavan-3-ols.

496

497 **4. Conclusions**

498 This work described for the first time the synthetic procedure for 8 sulphate- and
499 glucuronide-conjugated phenyl- γ -valerolactones. A quick, selective, sensitive, and reproducible
500 validated UHPLC-ESI-MS/MS method allowing the quantification of up to 13 phenyl- γ -
501 valerolactones in human urine was also developed. Moreover, the analytical challenges faced
502 when dealing with some of these molecules were reported to save researchers in the field from
503 further future unsuccessful attempts. The analytical method allowed, for the first time, the
504 accurate quantification of 10 phenyl- γ -valerolactones in urine samples of subjects consuming
505 green tea, by using exact reference compounds. Additional efforts are needed to extrapolate the

506 application of this analytical method to other biological samples, such as plasma and faeces,
507 likely by using clean-up steps. However, this point requires further investigations since
508 optimization of solid-phase extraction may be of critical importance. In addition, thanks to the
509 information on the ionization properties of the synthesized compounds, the method could be
510 extended to other phenyl- γ -valerolactones for which their pure forms are still lacking.

511 The availability of phenyl- γ -valerolactone conjugates as authentic bioanalytical standards
512 will also allow the use of these key flavan-3-ol metabolites in cells assays, in order to shed light
513 on their *in vitro* putative bioactivity. Moreover, the quantification of phenyl- γ -valerolactones in
514 urine samples by comparison with authentic synthesized standards will open the door to better
515 studying the bioavailability of flavan-3-ols and the real exposure of populations to flavan-3-ol
516 sources. Overall, the present work can provide valuable insights in the future study of the fate of
517 flavan-3-ols and phenyl- γ -valerolactones in the human body, as well as help in the understanding
518 of their potential role in the prevention of chronic diseases.

519

520 **Author contributions**

521 Study conception and design: PM, CC, DDR; Acquisition of data: NB, PM, CC, IB, S-WC;
522 Analysis and interpretation of data: NB, PM, LC, CC, DDR; Drafting of manuscript: NB, PM,
523 IB; Critical Revision: LC, IB, FZ, FB, CC, DDR.

524

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528

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659

660

661 **Figure legends**

662 **Figure 1.** Panel of thirteen phenyl- γ -valerolactone metabolites (aglycones and conjugates) **1-12**
663 used in this work for analytical method development. Sulphate-containing molecules 6, 7, 8, 10,
664 10', and 11 do not contain amine groups in circulation or dissolved.

665 **Figure 2.** Main steps of the synthetic routes towards phenyl- γ -valerolactone conjugates

666

667 **Table 1.** Retention times and optimized SRM conditions for identification and quantification of
 668 phenyl- γ -valerolactones

No.	Compound	RT (min)	Parent ion (<i>m/z</i>)	S-lens	Quantifier		Qualifier	
					Product ion (<i>m/z</i>)	CE (V)	Product ion (<i>m/z</i>)	CE (V)
1	5-(4'-hydroxyphenyl)- γ -valerolactone	5.26	191	70	147	13	106	31
2	5-(3'-hydroxyphenyl)- γ -valerolactone	4.81	191	70	147	20	106	31
3	5-(3',4'-dihydroxyphenyl)- γ -valerolactone	3.94	207	75	163	20	122	25
4	5-(3',5'-dihydroxyphenyl)- γ -valerolactone	3.54	207	75	163	18	123	20
5	5-(3',4',5'-trihydroxyphenyl)- γ -valerolactone	2.19	223	78	179	21	138	26
6	5-phenyl- γ -valerolactone-4'- <i>O</i> -sulphate	4.53	271	93	191	23	147	35
7	5-phenyl- γ -valerolactone-3'- <i>O</i> -sulphate	4.71	271	92	191	23	106	48
8	5-phenyl- γ -valerolactone-3',4'-di- <i>O</i> -sulphate	4.97	367	52	287	12	207	33
9	5-phenyl- γ -valerolactone-3'- <i>O</i> -glucuronide	4.03	367	93	191	25	147	43
10	5-(3'-hydroxyphenyl)- γ -valerolactone-4'- <i>O</i> -sulphate	4.46	287	96	207	23	163	34
10'	5-(4'-hydroxyphenyl)- γ -valerolactone-3'- <i>O</i> -sulphate	4.42	287	96	207	23	163	35
11	5-(5'-hydroxyphenyl)- γ -valerolactone-3'- <i>O</i> -sulphate	3.83	287	96	207	23	163	35
12	5-(5'-hydroxyphenyl)- γ -valerolactone-3'- <i>O</i> -glucuronide	2.11	383	87	207	24	163	40

669 CE: collision energy

670

671 **Table 2.** Parameters for quantification of phenyl- γ -valerolactones in human urine samples by HPLC-ESI-MS/MS

No.	Compound	Calibration curve	R ²	LOD (nM)	LLOQ (nM)	ULOQ (nM)	Precision intra-day (% RSD)			Precision inter-day (% RSD)			Accuracy (%)
							L1	L2	L3	L1	L2	L3	
1	5-(4'-hydroxyphenyl)- γ -valerolactone	y = 2982x	0.989	556	1113	100000	5.2	3.9	4.2	6.9	7.5	4.3	112.6
2	5-(3'-hydroxyphenyl)- γ -valerolactone	y = 891x	0.989	1113	2227	100000	8.6	6.3	3.0	7.7	8.6	6.9	99.8
3	5-(3',4'-dihydroxyphenyl)- γ -valerolactone	y = 93122x	0.991	6.2	12.4	100000	16.8	0.1	1.8	16.1	1.8	3.4	102.6
4	5-(3',5'-dihydroxyphenyl)- γ -valerolactone	y = 6245x	0.996	61.6	123	99852	18.9	5.1	0.1	21.7	7.8	3.6	96.5
5	5-(3',4',5'-trihydroxyphenyl)- γ -valerolactone	y = 39.4x ² + 76.9x	0.998	1112	2223	66695	23.6	12.5	8.8	17.2	15.2	5.3	86.6
6	5-phenyl- γ -valerolactone-4'-O-sulphate	y = 94304x	0.990	1.2	2.5	133333	2.8	10.2	1.0	3.3	7.6	6.8	106.7
7	5-phenyl- γ -valerolactone-3'-O-sulphate	y = 341669x	0.996	1.2	6.2	100000	6.6	9.3	0.2	10.8	4.4	7.0	117.3
8	5-phenyl- γ -valerolactone-3',4'-di-O-sulphate	y = 131.85x	0.993	1110	2220	100000	6.0	2.1	1.3	16.2	13.8	11.1	94.3
9	5-phenyl- γ -valerolactone-3'-O-glucuronide	y = 16626x	0.993	2.5	12.4	66722	8.2	13.0	0.0	17.6	12.8	7.8	87.9
10	5-(3'-hydroxyphenyl)- γ -valerolactone-4'-O-sulphate	y = -2231x ² + 396261x	0.995	0.2	0.6	100000	12.9	7.8	7.9	13.6	5.8	6.5	94.7
10'	5-(4'-hydroxyphenyl)- γ -valerolactone-3'-O-sulphate	y = -1856x ² + 428010x	0.988	0.6	1.2	100000	4.9	5.6	0.1	1.0	8.5	6.8	121.9
11	5-(5'-hydroxyphenyl)- γ -valerolactone-3'-O-sulphate	y = 136366x	0.989	6.2	12.3	100000	4.9	10.0	0.2	5.5	8.9	9.7	104.6
12	5-(5'-hydroxyphenyl)- γ -valerolactone-3'-O-glucuronide	y = 11046x	0.993	12.3	24.7	66667	17.8	14.3	0.1	19.5	9.7	0.3	92.8

672

673

674

675 **Table 3.** Urinary concentrations of phenyl- γ -valerolactones (nM) following an unrestricted diet with or without green tea consumption, at baseline and
 676 after a 7-day supplementation period (n=16).

No.	Compound	Green tea supplementation										Hot water control									
		Day 0					Day 7					Day 0					Day 7				
		Mean \pm SD	CV	Median	Max.	NP	Mean \pm SD	CV	Median	Max.	NP	Mean \pm SD	CV	Median	Max.	NP	Mean \pm SD	CV	Median	Max.	NP
1	5-(4'-hydroxyphenyl)- γ -valerolactone	6480		6480	6480	94	nd	-	0	0	100	nd	-	0	0	100	10014		10014	10014	94
3	5-(3',4'-dihydroxyphenyl)- γ -valerolactone	64 \pm 77	120	54	322	25	66 \pm 87	133	22	288	50	95 \pm 91	96	68	338	20	130 \pm 273	210	44	1074	38
4	5-(3',5'-dihydroxyphenyl)- γ -valerolactone	28 \pm 76	276	0	249	88	173 \pm 288	167	0	908	64	60 \pm 178	296	0	666	87	64 \pm 123	193	0	408	75
5	5-(3',4',5'-trihydroxyphenyl)- γ -valerolactone	7383 \pm 17454	236	0	67510	75	8474 \pm 14969	177	0	54681	57	2795 \pm 5426	194	0	18375	73	7849 \pm 13508	172	0	49686	56
6	5-phenyl- γ -valerolactone-4'- <i>O</i> -sulphate	nd	-	0	0	100	36 \pm 94	258	0	335	71	39 \pm 133	339	0	515	87	33 \pm 71	213	0	199	69
7	5-phenyl- γ -valerolactone-3'- <i>O</i> -sulphate	65 \pm 142 ab	219	0	528	63	137 \pm 213 a	156	47	682	36	13 \pm 28 b	210	0	83	73	15 \pm 33 ab	211	0	119	69
9	5-phenyl- γ -valerolactone-3'- <i>O</i> -glucuronide	122 \pm 306	251	0	1230	56	433 \pm 769	178	41	2230	43	111 \pm 306	275	0	1179	73	33 \pm 78	235	0	275	81
10'	5-(4'-hydroxyphenyl)- γ -valerolactone-3'- <i>O</i> -sulphate	13724 \pm 23592	172	5136	73092	0	13118 \pm 17955	137	8956	66074	0	14414 \pm 23039	160	6016	85864	0	18078 \pm 36051	199	2495	132111	0
11	5-(5'-hydroxyphenyl)- γ -valerolactone-3'- <i>O</i> -sulphate	5658 \pm 13970	247	381	46444	19	12895 \pm 22031	171	4584	81979	0	1444 \pm 1721	119	1386	6310	20	5871 \pm 14914	254	172	46615	19
12	5-(5'-hydroxyphenyl)- γ -valerolactone-3'- <i>O</i> -glucuronide	1981 \pm 5468	276	137	21023	44	2421 \pm 3236	134	1063	9929	0	400 \pm 329	82	330	1242	7	1373 \pm 3538	258	75	12210	44

677 Values are in reported in nM, except for CV and NP, which are reported as %. Max, maximum concentration; nd, non-detected or below the LLOQ; NP, non-producer subjects (%).

678 Means within a row followed by different letters are significantly different at $p < 0.05$.