Postoperative rigid cervical collar leads to less axial neck pain in the early stage after open-door laminoplasty – a single-blinded randomized controlled trial

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#### Abstract

- 3 **Background:** Cervical collars are used after laminoplasty to protect the hinge opening, reduce
- 4 risks of hinge fractures and avoid spring-back phenomena. However, their use may lead to
- 5 reduced range of motion and worse neck pain.
- 6 **Objective:** We aim to investigate the clinical, radiological and functional outcomes of patients
- 7 undergoing single-door laminoplasty with or without collar immobilization.
- 8 **Methods:** This was a prospective, parallel, single-blinded randomized controlled trial. Patients
- 9 underwent standardized single-door laminoplasty with mini-plates for cervical myelopathy and
- were randomly allocated into two groups based on the use of collar postoperatively. Clinical
- assessments included cervical range of motion, axial neck pain (VAS), and objective scores (SF-
- 12 36, NDI, mJOA). All assessments were performed preoperatively and at postoperative 1-week,
- 2-weeks, 3-weeks, 6-weeks, 3-months, 6-months and 12-months. Comparative analysis was
- performed via analysis of variance adjusted by baseline scores, sex and age as covariates.
- 15 **Results:** 35 patients were recruited and randomized to collar use (n=16) and without (n=19).
- 16 There were no dropouts or complications. There were no differences between groups at baseline.
- 17 Subjects had comparable objective scores and range of motion at postoperative timepoints.
- Patients without collar use had higher VAS at postoperative 1-week (5.4 vs 3.5; p=0.038) and 2-
- weeks (3.5 vs 1.5; p=0.028) but subsequently follow-up revealed no differences between the two
- 20 groups.
- 21 Conclusions: The use of a rigid collar after laminoplasty leads to less axial neck pain in the first
- 22 two weeks after surgery. However, there is no additional benefit with regards to range of motion,
- 23 quality of life, and complication risk.

- **Level of Evidence:** 1
- **Running title:** Less pain with collars in single-door laminoplasty
- 4 Key Words: Cervical myelopathy; neck collar; randomized controlled trial; open-door
- 5 laminoplasty; plates

#### Introduction

The hugely popularized "open-door" technique, originally reported by Hirabayashi *et al*<sup>1</sup>, is commonly used for cervical myelopathy treatment. The opening created by this technique is historically held open by sutures but this carries risk of "spring-back" phenomenon where the lamina opening closes leading to recurrence of symptoms.<sup>2</sup> Recent trends in cervical laminoplasty are for the adoption of more rigid devices like mini-plates to maintain the laminar opening.<sup>3-7</sup> These stronger fixation methods may avoid complications such as loss of fixation, hinge fracture and spring-back deformity. Titanium plates for fixation has long lasting patency with biological healing of the laminar arch without much complications.<sup>7</sup>

There is still no consensus regarding the use of rigid cervical collar immobilization in the early postoperative period. Collars are commonly used by surgeons especially after anterior cervical surgery to avoid instrumentation complications and to improve fusion rates despite limited evidence. 8,9 For laminoplasty, its use may be helpful to protect the hinge, reduce risks of hinge fractures and avoid springback phenomena. However, certain complications have been reported with rigid neck collars. Its use has been shown to reduce cervical range of motion on average by 62.9%. <sup>10</sup> To perform the usual functional activities of daily living one requires less than half of the normal cervical range of motion, 11 but with 5 days of rigid collar use, there is a decreased velocity of voluntary eye movement and subtle deterioration in anterior-posterior body sway induced by vibration of calf muscles. 12 Prolonged cervical movement restriction may have a detrimental effect on static postural control and balance during dynamic movement.<sup>12</sup> Although, the duration of neck collar use has also been attributed to postoperative axial neck pain 13-15, Hida et al 16 suggested that there were no differences in pain and outcomes with 2weeks postoperative use of rigid neck collars after double-door laminoplasty. However, results should be interpreted with caution due to unknown sensitivity of secondary outcomes (range of motion, lordotic angle or functional scores) with a high dropout rate.

With the trend towards more rigid fixation for maintaining hinge opening, plates may preclude the need for cervical collars. This may allow for earlier mobilization, less neck stiffness and axial neck pain while reducing the cost for manufacturing neck collars, daily fitting and maintenance. Ultimately, this may improve patient-reported outcomes. Hence, we aim to conduct a randomized controlled trial to investigate the clinical, radiological and functional outcomes of

1 patients undergoing open-door laminoplasty with or without cervical neck collar for

postoperative immobilization.

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#### Methods

### Study design and participants

The study was a prospective, parallel single-blinded randomized controlled trial conducted during the study period from April 2015 to February 2018. Patient recruitment was performed at two tertiary referral centers by the attending spine specialist. Inclusion criteria was any male or female patient aged over 18 years, clinical and radiological signs compatible with cervical myelopathy undergoing open-door laminoplasty for one or more spinal compression levels. Patients were required to be literate and able to comprehend the study to be enrolled. Exclusion criteria included all patients with previous cervical spine surgery, congenital deformities, spine infection or inflammation, tumor, previous spinal fusion surgery, non-Chinese ethnicity, undergoing workman's compensation and unable or refuse to follow the standardized rehabilitation protocol. All patients were given information sheets regarding the study protocol and both verbal and written informed consents were obtained at the time of recruitment. Patients were consented for a study comparing the clinical, radiological and functional parameters with or without rigid neck collar use after single-door laminoplasty. Details regarding each study parameter were not divulged to the study participants. Ethics approval was obtained from the local institutional review board. There were no changes to the methods after trial commencement.

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## Randomization and masking

Patients who fulfilled the inclusion and exclusion criteria and agreed for participation in the study were consecutively recruited and randomized at the time of surgery to either receive a Philadelphia collar for 3 weeks postoperatively or to be allowed free mobilization without any collar immobilization. Random allocation was performed using a computer program with a block size of 10. One independent investigator generated the random allocation sequence, enrolled

participants and assigned participants to interventions. It was not possible for patients and operating surgeons to be blinded to the group allocation. All assessors of clinical, radiological and objective scores were blinded to patient details. All patients randomized to the neck collar group had the collar removed prior to assessments to maintain blinding.

#### Sample size calculation

Due to lack of previous available data comparing patients with collar immobilization after open-door laminoplasty, we performed a pilot study and periodically assessed the difference in axial neck pain (primary outcome) between the two groups measured by the visual analogue scale (VAS). Based on the 2 weeks postoperative data for the first 20 subjects recruited, we found that a sample size of 16 patients in each group could achieve a power of >80% with a significance level of 0.05 to detect a minimal VAS difference of 1.5 as reported as the minimal clinically important difference (MCID). Hence, based on this, we included a total of 35 patients assuming a 10% attrition rate.

#### Treatment procedure

Operative techniques were standardized and performed by four surgeons from the same institute. After exposure of the bony posterior elements, a full-thickness trough was drilled on the side of the laminae with a high-speed burr. The side chosen was based on the side with more significant clinical symptoms. On the contralateral side, a partial-thickness trough was created at the junction of the lamina and the lateral mass using the same burr. The lamina was opened toward the side with the partial-thickness trough as a hinge. The spinal canal was thus expanded and maintained open by titanium mini-plates at all levels. After fixation was completed, the wound was closed over a deep drain which was kept until the 24-hour blood loss was less than 50ml. For patients allocated to the collar group, they were allowed to remove the collar temporarily whilst resting in bed. All patients were prescribed pregabalin 75mg twice daily for the first week after surgery and allowed paracetamol 500mg 4 times daily as needed postoperatively. For the postoperative rehabilitation protocol, all patients were allowed

respiratory and circulatory exercises, transfer and walking training as well as other activities of daily living. Patients were allowed discharge to home once independent living or carer was arranged. General home training program included exercises, postural training and walking. Both groups were restricted from contact sports, heavy lifting or outer-range cervical spine movements within 3 months of operation.

#### Study parameters and outcome measures

All primary and secondary outcome measures were recorded immediately preoperatively (day before surgery), at 1, 2, 3 and 6 weeks postoperatively, and at 3, 6 and 12 months postoperatively. This was standardized and followed without any changes during the trial. A 1-year follow-up was deemed adequate as collar use was only an early postoperative intervention with unlikely long-term influences. The primary outcome measure was reported axial neck pain by VAS. Secondary outcome measures were divided into clinical, radiological and objective scores, namely short-form 36-item questionnaire (SF-36) and neck disability index (NDI).

Clinical assessments included the cervical spine range of motion measured in a standardized order: active extension, flexion, lateral flexion (right then left) and rotation (right then left). The range of motion was measured by a fluid goniometer (MIE Medical Research Ltd., Leeds, UK). The modified Japanese Orthopaedic Association (mJOA) score was measured for functional outcomes. Recovery rate was measured by the Hirabayashi method<sup>21</sup>: Recovery rate (%) = (postoperative JOA – preoperative JOA)/(17 [full score] – preoperative JOA) x 100. All clinical assessments were performed by an independent investigator who maintained blinding.

Lateral cervical spine radiographs were obtained at each time-point. The anteroposterior canal diameter was measured using Wolf's method, 2, 22, 23 from the middle of the posterior border of the vertebral body to the anterior border of the lamina. Cervical sagittal alignment was measured at C2-7 from the lower endplate of C2 to the lower endplate of C7. All lateral radiographs were obtained with the patient erect, standing against a board with set shoulder position. Patients were advised to have a horizontal gaze during the imaging. The focus film distance was set as 180cm while centering at the angle of the mandible. The exposure was 62-

peak-kilovoltage and 8-10 milliamperage-seconds of x-ray energy. All images were assessed by three independent readers who maintained blinding. When the difference in measurements was less than 1mm or 5 degrees, the mean of the three measurements were recorded. If there was a discrepancy of more than 1mm or 5 degrees, a joint concluded value for reporting was decided based on consensus between the readers. Inter- and intrarater reliability analyses were performed for the radiographic measurements via intraclass correlation coefficient (ICC). The 2<sup>nd</sup> round of reliability measurements were performed 1 month after the 1<sup>st</sup> round of measurements.

Any complications including perioperative events, surgical site infections, post-laminoplasty C5 palsy and reoperations were recorded. Radiographs were assessed for fracture or loosening of implants, and spring-back as determined by >1mm loss of initial anteroposterior canal diameter expansion.<sup>22</sup>

#### Statistical analysis

Due to the multiple covariates and time-points, one-way analysis of covariance (ANCOVA) was conducted for assessing whether the collar and without-collar groups were statistically different having adjusted for covariates. Data were presented by mean scores  $\pm$  standard deviation unless stated otherwise. Statistical significance was tested at 5% level for all tests. Intention to treat principle was used for continuous and discrete data. As alluded to in the sample size calculation, post-hoc analysis was performed with G\*Power (version 3.0.10, Heinrich Heine University, Dusseldorf, Germany) to determine whether difference in axial VAS between groups achieved adequate power of >80%. 95% confidence intervals (95% CIs) were reported when appropriate. Post-hoc power analysis (Cohen effect size) was used to assess the sensitivity for secondary parameters.

#### **Results**

A total of 35 patients with mean age of  $64.9\pm11.4$  years at surgery were consecutively recruited and randomized to collar use (n=16) and without collar immobilization (n=19). **Figure** 1 shows the flow diagram of patient enrollment and follow-up. The first patient was enrolled on

- 1 April 2015 and the last 1-year follow-up assessment was performed on February 2018. There
- were no dropouts nor complications. All subjects were analyzed by all primary and secondary
- outcomes. Preoperatively, both groups (Table 1) were comparable with no differences in age,
- 4 VAS, range of motion, cervical spine alignment, canal diameter and outcome scores. The inter-
- 5 and intrarater reliability were good (Table 2). Sensitivities for outcome parameters are listed in
- 6 **table 3**.
- 7 The mean absolute and change in VAS are listed in **Table 4**. There was statistically
- 8 significantly lower mean VAS for the collar group at postoperative 1 (3.5±2.0 versus 5.4±2.5,
- 9 p=0.038), 2 (1.5 $\pm$ 1.4 versus 3.5 $\pm$ 2.4, p=0.028) and 3 (1.3 $\pm$ 1.0 versus 2.8 $\pm$ 1.9, p=0.031) weeks
- 10 (Figure 2). Significant reductions in postoperative VAS compared to preoperative VAS were
- observed only at postoperative 1 (0.8 $\pm$ 2.4 versus 3.8 $\pm$ 3.5, p=0.016) and 2 (-0.9 $\pm$ 3.4 versus
- 12  $1.8\pm3.2$ , p=0.004) weeks for the collar group. There were no differences at postoperative 3
- weeks to 12 months follow-up assessments.
- Regarding the outcome measures, subjects had comparable NDI scores (**Table 5**)
- between groups. Patients with collar use appeared to have fewer limitations and bodily pain
- subscores (p=0.032) of SF-36 (**Table 6**) than those without collar use. However, these
- differences were only in the immediate postoperative period, and did not affect the overall
- 18 physical and mental component summaries. There were overall gradual improvements in mJOA
- 19 (**Table 7**) from preoperative to postoperative 12 months follow-up. Similar findings were
- observed for the recovery rate (**Figure 3**).
- 21 From the one-way ANCOVA analysis, there were no differences in the adjusted mean
- spinal canal diameter between the two groups (**Table 8**). Based on the absolute canal widening at
- postoperative 1 week, there were also no significant losses in canal expansion suggesting no
- 24 spring-back phenomena. The cervical alignment was preserved in both groups and was
- consistent throughout follow-up.
- Both groups recorded similar cervical range of motion (**Table 9**) at all follow-up
- assessments, except for the larger range of rotation at right side for the without-collar group at
- postoperative 3-weeks (p=0.046). Limitations of extension, flexion, lateral flexion and rotation

- 1 were observed within the first two weeks postoperatively for both groups. There were gradual
- 2 improvements observed up till postoperative 6 weeks and were consistent beyond that.

#### Discussion

This study assessed whether rigid cervical collar immobilization in the early postoperative period for open-door laminoplasty is necessary. Previously, there was no evidence to support the use of neck collars after open-door laminoplasty. Establishing all the benefits of collar use is necessary to improve our postoperative recovery protocols. Results from our study suggest that collar immobilization reduces the severity of early postoperative axial neck pain. However, due to limited differences in overall patient-reported outcomes and no reduced complication rate, the authors suggest that postoperative collar use should only be recommended for patients for concerns of postoperative axial neck pain, and not be strictly enforced.

Based on the VAS assessments, benefits of postoperative rigid cervical neck collar use are limited to reduced axial neck pain within the initial 2 weeks after surgery. Beyond 2 weeks, the VAS between both groups were similar. Although the VAS was also significantly lower for the collar group at postoperative week 3, the difference did not reach MCID and was considered not clinically relevant. There was a 2-3-point lower VAS on average with collar immobilization consistent until postoperative week 2. From postoperative week 3 to 1 year, there were no differences between the two groups. It is likely that the use of postoperative collars may help patients cope with the initial postoperative pain and disability. Furthermore, resisting excessive neck mobilization may aid with wound pain, which typically recovers by the end of postoperative week 2.

Although there were observed differences in axial neck pain VAS and bodily pain (SF-36 subscale) between the two study groups, this did not translate into detectable differences in patient-perceived outcomes via NDI and SF-36 (both physical and mental component summary scores). The bodily pain component scores were superior in the neck collar group in the first 3 postoperative weeks and were only balanced at the postoperative 6 weeks reading. The absence of such impact on the overall physical and mental component summaries suggest that pain was not the predominant clinical symptom driving satisfaction after surgery. Perhaps the

improvements in myelopathy were far more impactful to their health perception. This is a drawback of utilizing general health questionnaires as compared to disease specific questionnaires.

It was crucial to determine if there were any differences in clinical and radiological outcomes for efficacy and safety concerns. Both groups observed gradual improvements postoperatively from 1 week to 1 year in mJOA (mean 3.8) and recovery rate (54%), which is similar to previous reports. <sup>24-26</sup> This is to be expected as collar use should not impact the rate of improvement in myelopathic symptoms and hence despite a small effect size, it should not be an important secondary outcome to consider for differentiating the two groups. Despite having no patients requiring reoperation, spring-back is a concern for patients undergoing laminoplasty due to the re-narrowing of the spinal canal and diminished symptomatic relief. Traditionally, spring-back has a reported rate of 10% if stay sutures are used to hold open the laminae. <sup>2</sup> By comparison, mini-plates provide more rigid fixation <sup>27, 28</sup> and our measured canal sizes suggest that spring-back does not occur in plated levels even without postoperative immobilization. In addition, no differences in cervical alignment suggests that collar use does not aid maintenance of lordotic posture.

One of the advantages of not immobilizing the cervical spine postoperatively is to allow for early range of motion exercises. Furthermore, the use of rigid cervical neck collars has been shown to reduce the usual cervical range of motion by 62.9%. Yet, both study groups had comparably reduced extension, flexion, lateral flexion and rotation in the early postoperative period before returning to near normal range at postoperative 3 weeks. Although right rotation for the without-collar group appeared to normalize quicker at postoperative 3 weeks, there was lack of early or late follow-up differences seen in the two study groups. This suggests that a period of immobilization does not restrict overall range of motion. Rather, early restrictions may be a result of postoperative pain and adjustments to disability. Having a collar may not assist recovery of the posterior musculature that is injured as part of the laminoplasty surgery. Nevertheless, our effect size for range of motion was small and thus may need to be addressed in a larger study.

An important limitation of this study is the placebo effect undoubtedly present due to the study design. Since patients were informed that this was a study comparing the outcomes with or

without neck collar use, study subjects using collars may experience a placebo effect. In contrast, patients not using collars may feel insecure with a perceived unstable cervical spine. Despite not disclosing the exact outcome parameters under study, patient reported measures may very well be affected by such perceptions. An ideal study design would comprise of blinding patients to the reason for this study. Different durations of postoperative immobilization may have additional influences on outcome scores. For this study, we avoided introducing another confounding element by utilizing a standardized 3-week immobilization protocol. Further trials comparing shorter or longer duration immobilization should be performed to study these effects. Although multiple objective scores were utilized in this study, the Japanese Orthopaedic Association Cervical Myelopathy Evaluation Questionnaire (JOACMEQ) is an important patient-perceived health-related quality of life score that should be applied in these outcome comparisons as it includes not only domains of the original JOA score but also patient-perceived health status. 30-32 It is also a disease-specific questionnaire that may identify subtle changes in targeted treatment groups. Regarding the canal measurements, while a well-established radiological method was utilized in this study to identify spring-back complications, the lateral cervical radiographs may not be as sensitive as computed tomography (CT). Nevertheless, we found no differences using a randomized study design and radiographs are much more readily available and with significantly less radiation exposure as compared with CT.

This randomized controlled trial provided level I evidence for the benefits of early postoperative axial neck pain reduction with rigid neck collar use after single-door laminoplasty. These findings should be generalizable with standardized treatment protocols and objective assessments. Our study was adequately powered for this primary outcome with sensitivity tested to be sufficient for canal diameter measurements and NDI, both of which are key secondary outcomes of safety and efficacy, respectively. In this modern age with an increasingly conscious society to health economics, any management must also be balanced in terms of healthcare cost. It is our duty to select the best and most cost-effective treatment option for patients. Despite better reported axial neck pain with collar use, limited benefits are observed in other parameters both in the early postoperative period and at longer follow-up. Hence, surgeons must consider carefully whether the additional costs of a neck collar after surgery is worth the small clinical benefits.

# Conclusion

Many postoperative management protocols consider the use of rigid cervical neck collars after laminoplasty to avoid implant failure, loss of correction and recurrence of neurological deficit due to perceived risk of spring-back. With modern rigid plating fixation, the risk of such complications is low. Via a randomized controlled trial, we determined that postoperative collar use in the first two weeks after surgery leads to reduced axial neck pain. However, this difference in pain response does not impact the overall quality of life of patients. Hence, the authors recommend a temporary two-week use of rigid neck collars postoperatively to patients for better pain relief but strict adherence is not necessary as limited benefits are observed elsewhere with no difference in long-term outcomes.

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# 1 Figure Legends

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**Enrollment** Assessed for eligibility (n=35) Excluded (n=0 Randomized (n=35) Allocation Allocated to postoperative collar use (n=16) Allocated to no collar use (n=19) • Received allocated intervention (n=16) • Received allocated intervention (n=19) Follow-Up Lost to follow-up (n=0) Lost to follow-up (n=0) Discontinued intervention (n=0) Discontinued intervention (n=0) **Analysis** Analysed at 1-year follow-up (n=16) Analysed at 1-year follow-up (n=19) • Excluded from analysis (n=0) • Excluded from analysis (n=0)

- 4 Figure 1: CONSORT Flowchart of the randomized controlled trial. 35 patients were randomized
- 5 into two groups and all patients reached 1-year follow-up without dropout.

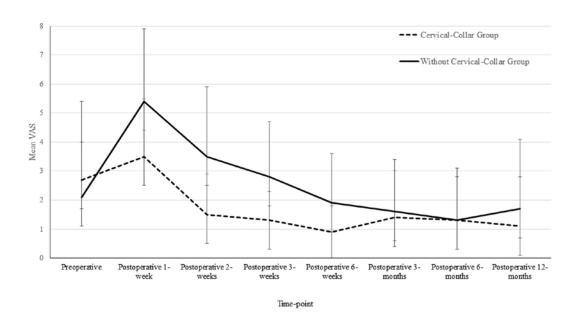


Figure 2: Mean VAS with standard deviation bars at each follow-up. There was less axial neck pain for the cervical collar group as compared to no collar group at early postoperative period.

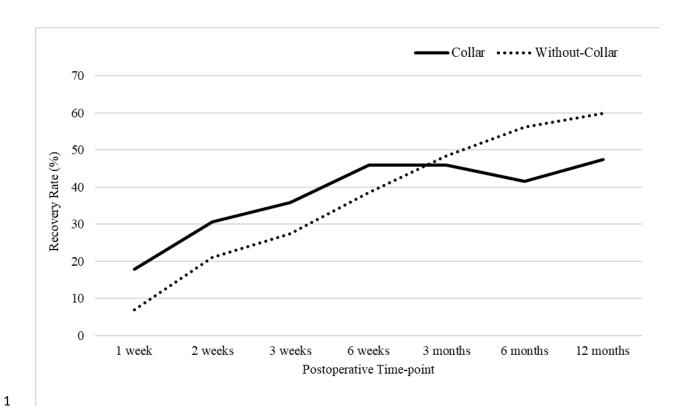


Figure 3: Postoperative recovery rates (%) at each follow-up. The recovery rates were comparable from postoperative 1 week to postoperative 12 months.

Table 1: Baseline demographics and comparison of study groups

Parameters	Whole Study	Cervical-Collar	Without Cervical-	p-value
	Population	Group	Collar Group	
Number (n, %)	35	16 (45.7)	19 (54.3)	-
Male:Female	20:15	10:5	10:10	-
Age at Surgery (years, ±SD)	64.9 ± 11.4	$61.7 \pm 14.3$	$67.2 \pm 8.4$	0.199
Clinical Parameters				
Axial Neck Pain VAS (0-10)	$2.3 \pm 2.2$	$2.9 \pm 0.7$	$2.6 \pm 2.6$	0.298
Cervical Range of Motio	on (degrees, ±SD)			
Extension	$47.8 \pm 13.8$	$44.1 \pm 14.1$	$50.6 \pm 13.2$	0.172
Flexion	$55.0 \pm 15.3$	$55.5 \pm 12.1$	$54.6 \pm 17.6$	0.871
Lateral - Right	$36.3 \pm 11.1$	$35.4 \pm 8.0$	$37.1 \pm 13.0$	0.669
- Left	$35.4 \pm 12.7$	$34.9 \pm 11.3$	$35.9 \pm 13.9$	0.824
Rotation - Right	$59.1 \pm 14.9$	$59.1 \pm 15.9$	59.1 ± 14.6	0.995
- Left	$57.1 \pm 14.4$	$54.7 \pm 14.8$	$58.9 \pm 14.1$	0.410
Radiological Parameters				
Cervical spine	$10.2 \pm 11.4$	$8.0 \pm 11.8$	$12.1 \pm 11$	0.299
alignment				
- Cobb angle				
(degree, mean $\pm$ SD)				
Spinal Canal diameter				
(mm, mean $\pm$ SD)				
C3	$13.6 \pm 2.1$	$13.3 \pm 1.6$	$13.9 \pm 2.6$	0.458
C4	$12.5 \pm 2.2$	$12.1 \pm 1.7$	$11.8 \pm 3.6$	0.729
C5	$12.0 \pm 2.0$	$11.5 \pm 1.5$	$12.5 \pm 2.3$	0.159
C6	$11.5 \pm 2.4$	$11.3 \pm 2.0$	$11.8 \pm 2.8$	0.586
C7	$10.7 \pm 2.7$	$11.0 \pm 2.9$	$10.6 \pm 2.6$	0.701

SD: standard deviation; VAS: Visual Analogue Scale

Table 2: Overall inter- and intrarater reliability

Interrater reliability	95% confidence interval	Intrarater reliability		95% confidence interval
0.89	0.79-0.94	Rater 1 0.96		0.95-0.98
		Rater 2	0.82	0.75-0.88
		Rater 3	0.80	0.70-0.86

Table 3: Sensitivities for outcome parameters

Primary outcome	Cohen's D	Secondary outcomes	Cohen's D
	Effect size (d), 95% CI		Effect size (d), 95% CI
VAS	1.02, 0.72-1.32	Canal diameter	1.43, 1.01-1.85
		NDI	0.99, 0.70-1.28
		Spinal alignment	0.66, 0.47-0.85
		mJOA	0.15, 0.11-0.19
		SF-36 PCS	0.47, 0.33-0.61
		SF-36 MCS	0.19, 0.13-0.25
		ROM	0.28, 0.20-0.36

Values based on the power of 82% obtained for visual analogue scale

Cohen's D: large effect size (d=0.8), medium effect size (d=0.5), small effect size (d=0.2)

VAS: Visual Analogue Scale for axial neck pain; NDI: Neck Disability Index; mJOA: modified Japanese Orthopaedic Association score; SF-36 PCS: Short-form 36-item questionnaire physical component summary; SF-36 MCS: Short-form 36-item questionnaire mental component summary; ROM: cervical range of motion

Table 4: Comparison of postoperative axial neck pain

Time-point	VAS (mean $\pm$ SD)	p-value	
	Cervical-Collar Group	Without Cervical-Collar	
		Group	
Preoperative	$2.7 \pm 2.7$	$2.1 \pm 1.9$	0.659
Postoperative 1-week	$3.5 \pm 2.0$	$5.4 \pm 2.5$	0.038*
Postoperative 2-weeks	$1.5 \pm 1.4$	$3.5 \pm 2.4$	0.028*
Postoperative 3-weeks	$1.3 \pm 1.0$	$2.8 \pm 1.9$	0.031*
Postoperative 6-weeks	$0.9 \pm 0.9$	$1.9 \pm 1.7$	0.139
Postoperative 3-months	$1.4 \pm 1.6$	$1.6 \pm 1.8$	0.873
Postoperative 6-months	$1.3 \pm 1.8$	$1.3 \pm 1.5$	0.811
Postoperative 12-months	$1.1 \pm 1.7$	$1.7 \pm 2.4$	0.607
	Change of VAS score with	preoperative VAS as reference	
Postoperative 1-week	$0.8 \pm 2.4$	$3.8 \pm 3.5$	0.023*
Postoperative 2-weeks	$-0.9 \pm 3.4$	$1.8 \pm 3.2$	0.046*
Postoperative 3-weeks	$-1.2 \pm 2.8$	$1.1 \pm 2.6$	0.148
Postoperative 6-weeks	$-2.0 \pm 2.9$	$-0.5 \pm 2.6$	0.125
Postoperative 3-months	$-1.1 \pm 2.5$	$-0.5 \pm 2.4$	0.630
Postoperative 6-months	$-1.5 \pm 3.0$	$-0.8 \pm 2.3$	0.918
Postoperative 12 -months	-1.8 ± 3.2	$-0.5 \pm 3.1$	0.430

VAS: Visual Analogue Scale; SD: standard deviation \*denotes statistical significance (p<0.05)

Table 5: Comparison of Neck Disability Index scores

Time-point	NDI (expressed as %, mean	NDI (expressed as %, mean $\pm$ SD)			
	Cervical-Collar Group	Without Cervical-Collar			
		Group			
Preoperative	$31.8 \pm 16.9$	$31.0 \pm 11.0$	0.931		
Postoperative 1-week	$34.2 \pm 17.7$	$44.5 \pm 11.2$	0.252		
Postoperative 2-weeks	$32.0 \pm 15.9$	$47.0 \pm 14.4$	0.094		
Postoperative 3-weeks	$28.4 \pm 19.5$	$33.1 \pm 14.0$	0.573		
Postoperative 6-weeks	$24.8 \pm 10.0$	$34.0 \pm 9.5$	0.147		
Postoperative 3-months	$22.5 \pm 18.1$	$22.8 \pm 7.7$	0.796		
Postoperative 6-months	$22.4 \pm 18.3$	$23.1 \pm 12.5$	0.608		
Postoperative 12 months	$20.8 \pm 18.0$	$22.9 \pm 10.7$	0.695		

NDI: Neck Disability Index; SD: standard deviation

<u>Table 6: Comparison of Short Form 36-item Questionnaire scores</u>

Time-point	Preopera	tive	Postop 1	-week	Postop 2	-weeks	Postop 3	-weeks	Postop 6	-weeks	Postop 3	-months	Postop 6	-months	Postop 1	2-months
	Collar	No	Collar	No	Collar	No	Collar	No	Collar	No	Collar	No	Collar	No	Collar	No
		collar		collar		collar		collar		collar		collar		collar		collar
Subscale																
Physical	32.7	43.3	26.3	28.8	37.5	32.6	41.1	44.7	53.6	47.2	51.7	47.4	54.5	54.1	64.6*	50.6*
functioning	±21.8	±32.4	±26.5	±22.7	±33.4	±23.7	±32.9	±25.0	±28.3	±26.3	±26.7	±27.4	±30.0	±25.1	±18.4	±25.4
Role																
limitations due	6.8	12.5	13.3	13.2	16.1	7.4	25.0	6.9	23.2	11.1	25.0	7.4	22.7	25.0	25.0	32.4
to physical	$\pm 16.2$	±31.1	$\pm 28.1$	$\pm 33.2$	±31.9	±24.6	±40.8	±24.0	±38.6	±28.7	$\pm 40.1$	$\pm 24.6$	±28.4	±35.4	±40.8	±41.2
health																
Role																
limitations due	36.4	47.2	26.7	27.5	31.0	27.5	35.9	29.6	42.9	33.3	40.0	33.3	21.2	43.1	43.6	52.9
to emotional	±45.8	±50.2	±38.2	±41.2	±40.2	±31.7	±46.1	±41.0	±47.9	±44.3	±40.2	±45.6	±37.3	±46.8	±45.9	±47.2
problems																
Vitality	20.7	20.2	40.5	444	<b>7</b> 6 0	40.7		40.4	<b>#</b> 0.0	<b>50.</b> 1	<b>7</b> 0.0	<b>70.</b> 1			40.0	7.0
, runity	39.5	38.3	48.7	44.1	56.8	43.5	51.5	48.1	50.0	53.1	50.0	52.1	44.5	55.3	49.2	56.2
	±21.7	±24.2	±16.6	±15.0	±23.7	±16.5	±19.5	±17.9	±22.2	±16.1	±21.2	±22.1	±25.6	±22.7	±27.5	±16.3
Mental health	54.5	67.7	58.7	57.2	67.1	62.8	67.1	67.7	65.1	68.9	64.0	65.2	62.9	71.5	74.8	70.8
	$\pm 28.7$	±20.7	±14.5	±18.4	±25.3	±16.5	±22.0	±20.7	±25.1	±12.8	$\pm 20.8$	±14.9	±20.3	±10.6	±20.7	±16.5
Social																
functioning	40.9	52.1	50.0	47.8	51.8	46.3	51.0	50.0	62.5	57.6	55.0	63.2	52.3	69.9	76.9	66.9
ranctioning	±30.2	±26.0	±32.0	±30.1	±33.9	±26.4	±32.9	±23.9	±29.4	±27.5	±25.4	±26.3	±26.1	±23.4	±19.7	±26.1
Bodily pain																
- starty passes	42.2	47.1	44.9	34.9	54.9*	34.5*	52.5	43.1	52.0	51.7	54.1	60.3	54.1	56.6	61.4	62.8
	$\pm 26.2$	±22.1	$\pm 17.2$	±19.0	±26.9	±23.3	±23.6	±16.4	±21.0	±17.7	$\pm 28.4$	±25.4	±26.5	±20.5	±32.9	±24.5
General health																
perception	39.6	43.3	48.1	43.6	53.9	47.8	48.8	51.9	47.8	55.0	47.2	54.0	44.6	53.4	50.9	53.0
perception	±16.4	±20.8	±18.6	±16.3	±22.3	±14.2	±20.2	±16.3	±18.9	±19.2	±21.0	$\pm 24.4$	±25.5	±21.4	±25.4	±17.9
Physical	28.8	30.4	29.6	28.3	32.6	27.8	33.2	31.4	35.2	33.2	35.5	34.5	36.3	36.0	39.0	36.7
Component	$\pm 5.8$	±9.5	±7.9	±6.4	±12.3	±7.8	±12.9	±6.8	$\pm 10.8$	±7.8	$\pm 10.2$	±7.7	±9.8	±9.0	±98	±9.2
Summary																
Mental	41.7	46.1	43.4	42.9	45.7	43.9	45.4	43.8	45.8	46.1	44.5	45.2	41.0*	48.3*	48.3	48.9
Component	$\pm 11.7$	±11.4	±8.4	$\pm 8.7$	±10.0	±8.7	±9.7	±9.1	±11.5	±9.7	±8.3	±9.8	±10.0	$\pm 8.4$	±11.2	±9.0
Summary																

Data represented as mean  $\pm$  standard deviation

\*denotes statistical significance (p<0.05)

Table 7: Comparison of modified Japanese Orthopaedic Association scores

Time-point	mJOA (mean ± SD)	p-value	
	Cervical-Collar Group	Without Cervical-Collar	
		Group	
Preoperative	$9.8 \pm 4.0$	$10.7 \pm 3.0$	0.610
Postoperative 1-week	$11.7 \pm 2.6$	$12.1 \pm 2.9$	0.706
Postoperative 2-weeks	$11.9 \pm 3.2$	$12.3 \pm 2.2$	0.970
Postoperative 3-weeks	$12.5 \pm 2.7$	$12.5 \pm 2.2$	0.794
Postoperative 6-weeks	$13.8 \pm 1.5$	$13.3 \pm 1.9$	0.613
Postoperative 3-months	$14.0 \pm 1.4$	$13.8 \pm 1.6$	0.798
Postoperative 6-months	$13.8 \pm 1.3$	$14.0 \pm 2.0$	1.000
Postoperative 12 months	$14.1 \pm 1.4$	$14.5 \pm 1.8$	0.636

mJOA: modified Japanese Orthopaedic Association; SD: standard deviation

Table 8: Comparison of adjusted means of spinal canal diameter measurements

Level	vel Adjusted means of spinal canal diameter $\pm$ SE (mm)			
	Cervical-Collar Group	Without Cervical-Collar Group	7	
Postoperative 1-week				
C3	$18.9 \pm 0.5$	$19.5 \pm 0.5$	0.272	
C4	$17.6 \pm 0.5$	$18.6 \pm 0.4$	0.138	
C5	$18.2 \pm 0.6$	$18.2 \pm 0.6$	0.998	
C6	$17.1 \pm 0.6$	$17.8 \pm 0.4$	0.321	
C7	$13.1 \pm 0.9$	$13.7 \pm 0.8$	0.580	
Postoperative 2-weeks				
C3	$18.8 \pm 0.3$	$19.1 \pm 0.3$	0.457	
C4	$18.2 \pm 0.2$	$17.8 \pm 0.2$	0.198	
C5	$17.8 \pm 0.3$	$18.3 \pm 0.2$	0.177	
C6	$16.9 \pm 0.3$	$17.3 \pm 0.3$	0.392	
C7	$12.8 \pm 0.5$	$12.4 \pm 0.4$	0.542	
Postoperative 3-weeks				
C3	$19.2 \pm 0.4$	$19.0 \pm 0.3$	0.730	
C4	$17.8 \pm 0.3$	$17.6 \pm 0.3$	0.726	
C5	$17.3 \pm 0.4$	$18.0 \pm 0.4$	0.212	
C6	$16.3 \pm 0.5$	$17.0 \pm 0.5$	0.303	
C7	$11.3 \pm 0.6$	$11.6 \pm 0.5$	0.661	
Postoperative 6-weeks				
C3	$19.2 \pm 0.3$	$18.7 \pm 0.2$	0.182	
C4	$18.1 \pm 0.3$	$17.4 \pm 0.3$	0.159	
C5	$17.3 \pm 0.5$	$18.1 \pm 0.5$	0.265	
C6	$16.5 \pm 0.5$	$17.1 \pm 0.5$	0.439	
C7	$12.8 \pm 0.7$	$13.1 \pm 0.7$	0.771	
Postoperative 3-months				
C3	$18.8 \pm 0.3$	$19.2 \pm 0.3$	0.387	
C4	$17.6 \pm 0.3$	$17.8 \pm 0.3$	0.753	
C5	$16.8 \pm 0.4$	$18.0 \pm 0.4$	0.061	
C6	$16.6 \pm 0.4$	$17.0 \pm 0.4$	0.472	
C7	$12.8 \pm 0.6$	$13.0 \pm 0.5$	0.852	
Postoperative 6-months				
C3	$18.6 \pm 0.3$	$18.4 \pm 0.3$	0.656	
C4	$17.8 \pm 0.3$	$16.8 \pm 0.3$	0.038	
C5	$17.4 \pm 0.5$	$16.9 \pm 0.4$	0.465	
C6	$16.6 \pm 0.6$	$16.0 \pm 0.5$	0.459	
C7	$12.7 \pm 0.5$	$12.5 \pm 0.4$	0.765	
Postoperative 12-months			1004	
C3	$17.9 \pm 0.4$	$18.0 \pm 0.3$	0.844	
C4	$17.3 \pm 0.3$	$16.9 \pm 0.3$	0.444	
C5	$16.7 \pm 0.5$	$17.4 \pm 0.4$	0.273	
C6	$16.6 \pm 0.5$	$16.1 \pm 0.4$	0.487	
C7	$13.0 \pm 0.6$	$13.5 \pm 0.5$	0.520	

SE: standard error

Table 9: Comparison of cervical range of motion

	Cervical range of mot	ion (degrees, mean ± SD)	p-value
	Cervical-Collar Group	Without Cervical-Collar Group	
Preoperative			
Extension	43.9 ± 13.6	$51.0 \pm 54.5$	0.095
Flexion	$55.5 \pm 11.7$	$54.5 \pm 18.0$	0.909
Lateral - Right	$35.4 \pm 7.8$	$37.2 \pm 13.4$	0.883
- Left	$34.9 \pm 10.9$	$35.9 \pm 14.3$	0.832
Rotation – Right	$58.9 \pm 15.4$	$59.3 \pm 14.9$	0.909
- Left	$54.1 \pm 14.5$	$59.6 \pm 14.1$	0.271
Postop 1-week			
Extension	$34.4 \pm 14.8$	$32.9 \pm 15.9$	0.832
Flexion	$24.5 \pm 10.0$	$26.3 \pm 14.1$	0.909
Lateral - Right	$26.8 \pm 10.8$	$26.4 \pm 11.6$	0.806
- Left	$22.7 \pm 9.1$	$25.9 \pm 13.3$	0.481
Rotation - Right	$41.8 \pm 14.0$	$45.1 \pm 17.7$	0.523
- Left	42.9 ±15.7	$46.9 \pm 18.0$	0.403
Postop 2-weeks	•		
Extension	$43.1 \pm 15.3$	$42.3 \pm 15.2$	0.987
Flexion	$29.1 \pm 9.4$	$34.8 \pm 12.9$	0.161
Lateral - Right	$29.8 \pm 7.5$	$28.6 \pm 8.0$	0.806
- Left	$27.5 \pm 8.7$	$28.0 \pm 9.5$	0.883
Rotation - Right	$48.9 \pm 10.8$	$49.7 \pm 12.9$	0.731
- Left	$51.0 \pm 16.2$	$52.6 \pm 16.7$	0.731
Postop 3-weeks	•		
Extension	47.3 ± 14.4	48.6 ± 11.8	0.528
Flexion	$42.0 \pm 10.5$	44.5 ± 12.4	0.484
Lateral - Right	$38.4 \pm 7.5$	$34.8 \pm 6.0$	0.073
- Left	33.1 ± 10.1	$32.8 \pm 12.0$	0.851
Rotation - Right	$50.9 \pm 10.2$	$56.0 \pm 14.6$	0.046*
- Left	$56.2 \pm 12.5$	$59.4 \pm 16.2$	0.443
Postop 6-weeks	·	•	•

Extension	54.4 ± 13.4	$50.4 \pm 12.3$	0.403
Flexion	$51.6 \pm 7.9$	51.8 ± 12.8	0.707
Lateral - Right	$40.9 \pm 10.2$	$35.4 \pm 9.9$	0.088
- Left	$35.3 \pm 11.5$	$36.2 \pm 10.8$	0.422
Rotation - Right	59.4 ± 12.2	$61.6 \pm 11.2$	0.621
- Left	$63.7 \pm 13.6$	$64.8 \pm 13.2$	0.806
Postop 3-months	l		l .
Extension	$52.5 \pm 19.5$	$55.1 \pm 12.9$	0.973
Flexion	$48.9 \pm 10.8$	$50.1 \pm 12.2$	0.679
Lateral - Right	$40.2 \pm 8.8$	$38.2 \pm 7.8$	0.529
- Left	39.1 ± 8.5	$36.2 \pm 9.3$	0.397
Rotation - Right	$61.4 \pm 10.0$	$63.0 \pm 9.2$	0.553
- Left	$63.4 \pm 10.6$	$62.8 \pm 13.5$	0.788
Postop 6-months			
Extension	$58.5 \pm 18.4$	$58.8 \pm 15.4$	0.707
Flexion	$47.7 \pm 16.0$	$53.3 \pm 8.6$	0.502
Lateral - Right	$40.3 \pm 9.8$	39.1 ± 11.5	0.864
- Left	$36.3 \pm 10.2$	$39.7 \pm 11.2$	0.430
Rotation - Right	$59.7 \pm 8.4$	$63.3 \pm 10.3$	0.319
- Left	$61.0 \pm 8.1$	$65.2 \pm 8.9$	0.202
Postop 12-months			
Extension	$59.6 \pm 12.7$	$57.7 \pm 14.8$	0.683
Flexion	$49.1 \pm 11.3$	$51.9 \pm 7.0$	0.367
Lateral - Right	$37.2 \pm 9.3$	$39.8 \pm 9.3$	0.286
- Left	$34.0 \pm 9.4$	$38.4 \pm 9.7$	0.193
Rotation - Right	$59.4 \pm 11.2$	$61.6 \pm 11.6$	0.781
- Left	$64.4 \pm 9.9$	$66.2 \pm 10.8$	0.545

SD: standard deviation \*denotes statistical significance (p<0.05)