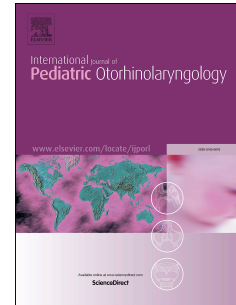


Accepted Manuscript

Lugol's solution eradicates *Staphylococcus aureus* biofilm in vitro

Torstein Grønseth, Lene K. Vestby, Live L. Nesse, Even Thoen, Olivier Habimana, Magnus von Unge, Juha T. Silvola



PII: S0165-5876(17)30458-5

DOI: [10.1016/j.ijporl.2017.09.025](https://doi.org/10.1016/j.ijporl.2017.09.025)

Reference: PEDOT 8712

To appear in: *International Journal of Pediatric Otorhinolaryngology*

Received Date: 16 July 2017

Revised Date: 22 September 2017

Accepted Date: 22 September 2017

Please cite this article as: T. Grønseth, L.K. Vestby, L.L. Nesse, E. Thoen, O. Habimana, M. von Unge, J.T. Silvola, Lugol's solution eradicates *Staphylococcus aureus* biofilm in vitro, *International Journal of Pediatric Otorhinolaryngology* (2017), doi: 10.1016/j.ijporl.2017.09.025.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

1 ABSTRACT

2 Objectives

3 The aim of the study was to evaluate the antibacterial efficacy of Lugol's solution, acetic acid,
4 and boric acid against *Staphylococcus aureus* biofilm.

5 Methods

6 The efficacy of Lugol's solution 1%, 0.1%, and 0.05%, acetic acid 5% or boric acid 4.7% for
7 treatment of *Staphylococcus aureus* biofilm in vitro was tested using 30 clinical strains.

8 Susceptibility in the planktonic state was assessed by disk diffusion test. Antiseptic effect on
9 bacteria in biofilm was evaluated by using a Biofilm-oriented antiseptic test (BOAT) based on
10 metabolic activity, a biofilm bactericidal test based on culturing of surviving bacteria and
11 confocal laser scanning microscopy combined with LIVE/DEAD staining.

12 Results

13 In the planktonic state, all tested *S. aureus* strains were susceptible to Lugol's solution and
14 acetic acid, while 27 out of 30 tested strains were susceptible to boric acid. In biofilm the
15 metabolic activity was significantly reduced following exposure to Lugol's solution and 5%
16 acetic acid, while boric acid exposure led to no significant changes in metabolic activities. In
17 biofilm, biocidal activity was observed for Lugol's solution 1% (30/30), 0.1% (30/30), and
18 0.05% (26/30). Acetic acid and boric acid showed no bactericidal activity in this test.

19 Confocal laser scanning microscopy, assessed in 4/30 strains, revealed significantly fewer
20 viable biofilm bacteria with Lugol's solution (1% $p < 0.001$, 0.1% $p = 0.001$ or 0.05% $p = 0.001$),
21 acetic acid 5% for 10 minutes ($p = 0.001$) or 30 minutes ($p = 0.015$), but not for acetic acid for 1
22 minute or boric acid.

23 Conclusion

24 Lugol's solution 1.0% and 0.1% effectively eradicated *S. aureus* in biofilm and could be an
25 alternative to conventional topical antibiotics where *S. aureus* biofilm is suspected such as
26 external otitis, pharyngitis and wounds.

27

28 **Keywords:** Boric acid, Lugol's solution, acetic acid, biofilm, Staphylococcus aureus, Confocal
29 laser scanning microscopy.

30

31

32 1. INTRODUCTION

33 *Staphylococcus aureus* is commonly identified in the secretion of purulent draining ears,
34 pharynx and chronic suppurating wounds and is known to be a potential biofilm producer [1-
35 4]. Bacteriological analysis and antimicrobial treatments have traditionally focused on
36 bacteria in their planktonic state without considering them as biofilm. In recent years biofilm
37 formation has received more attention. The bacteria within the biofilm exhibit altered
38 metabolism, gene expression and protein production compared to their planktonic
39 counterparts [5]. The biofilm can also serve as a protected reservoir for pathogenic bacteria
40 [6-8].

41 The altered characteristics of biofilm bacteria cause antimicrobial resistance through several
42 mechanisms such as, a dormant phenotype, or a high proportion of persister cells [6, 9]. The
43 metabolic quiescent state inactivates antimicrobial targets or reduces the requirements for
44 their cellular function [6]. The biofilm can also act as a diffusion barrier, with reduced
45 antimicrobial permeability through the biofilm matrix, or by deactivation of the antimicrobial
46 substances in the surface layer of the biofilm [7, 10, 11]. Furthermore, the biofilm prevents
47 immune cells and components from engulfing and eradicating the bacteria [12, 13]. The MIC
48 values of biofilm can reach 500-1000 times that of their planktonic counterparts [7, 14].
49 Mature biofilms can shed planktonic bacteria or micro colonies into the local environment, or
50 migrate and attach to other parts of the body, causing relapsing infections if not treated
51 appropriately [10].

52 Because of these biofilm defense mechanisms and growing antimicrobial resistance [15, 16],
53 we urgently need new treatment options. Antiseptics have many advantages over antibiotics,
54 such as generally acting on several targets in the microorganism instead of one specific site
55 only, and demonstrating less risk of antimicrobial resistance and a broader spectrum of
56 antimicrobial activity. Antiseptics have proven efficacy against different groups of bacteria,

57 fungi, viruses, and protozoa [17]. However, the use of antibiotics has reduced the scientific
58 attention to antiseptics.

59 Lugol's solution and boric acid have been used as antiseptics in medical practice since the
60 19th century. In 1829 the French physician J.G.A. Lugol created the disinfectant Lugol's
61 solution, which consists of 5g iodine (I₂) and 10g potassium iodide (KI) mixed with 85mL
62 distilled water [18]. The effect of boric acid in modern medical practice was first described by
63 Lord Lister in 1875 [19, 20]. Acetic acid was used by Hippocrates to treat wounds [21].

64 The present study aims to evaluate the efficacy of Lugol's solution, boric and acetic acid on
65 biofilms produced by *S. aureus*, and thereby, potential candidates for topical treatment of
66 diseases with *S. aureus* biofilm, such as external otitis, pharyngitis and wounds.

67

68 2. MATERIALS AND METHODS

69 A relatively large number of clinical wildtype strains were tested, since the susceptibility to
70 antimicrobials may differ between clones [22, 23]. The *S. aureus* strains were obtained at
71 Oslo University Hospital, an academic tertiary referral center, in the period from April 2014
72 to October 2014. The strains were collected consecutively from 29 unique; 15 strains from
73 blood culture and 14 strains from draining ears. *S. aureus* 1378-1, a previously described
74 strain known for its biofilm-producing capabilities, was used as a positive control [24]. The
75 strains from the draining ears were obtained by using an otomicroscope and a sterile swab
76 (VWR transport swabs, Copan, Brescia, Italy). The identification and antibiotic
77 susceptibility testing did not reveal any MRSA strains. (MALDI-TOF-MS, Bruker Daltonik
78 GmbH, Bremen, Germany, VITEK® 2, bioMérieux S.A. France). The bacteria were stored in
79 a freezing storing broth at -70°C (Frysebuljong, Oslo University Hospital, Oslo, Norway)

80 before being plated on to blood agar plates for amplification and verification of purity. The
81 blood agar plates were incubated for 24 hours at $37 \pm 1^\circ\text{C}$.

82 **2.1 Disk diffusion test.** Each of the strains was tested in its planktonic state to evaluate the
83 efficacy of the antiseptics by a disk diffusion test according to the EUCAST disk diffusion
84 method, version 5. Single colonies from a fresh overnight bacterial culture on blood agar were
85 picked and transferred into sterile saline. The suspension was measured to McFarland 0.5 and
86 the spread on Müller Hinton agar plates using an automated plate spreader. Aliquots of $50\mu\text{L}$
87 of antiseptic were applied to a diffusion disk (6mm Blank Paper Discs, Becton, Dickinson and
88 Company, Sparks, MD, USA) that was applied to the agar plates. Inhibition zones were
89 evaluated after 18 hours of incubation at $36 \pm 1^\circ\text{C}$ with calipers.

90 **2.1 Biofilm assay.** The ability of the *S. aureus* strains to form biofilm was tested in a 96-well
91 microtiter plate (Nunclon Delta Surface, Thermo Fisher Scientific, Roskilde, Denmark)
92 according to a previously published method [25]. One colony of each bacterial strain was
93 inoculated in 5mL of tryptic soy broth (TSB) which was cultured over night at $37 \pm 1^\circ\text{C}$. The
94 next day, $180\mu\text{L}$ of TSB w/ 1% glucose/ 1% NaCl was transferred to each of the wells on the
95 microtiter plate, except for the first three blank control wells to which $200\mu\text{L}$ were transferred.
96 The overnight cultures were then vortexed at 222 rpm for 40 secs and $20\mu\text{L}$ were transferred
97 to all the wells, except for the blank control. Each strain of the *S. aureus* was tested in three
98 parallel wells. The microtiter plate was incubated at $37 \pm 1^\circ\text{C}$ for 24 hours. The wells were
99 then washed three times with $220\mu\text{L}$ of tap water and left to dry at room temperature for 30
100 min. After drying, $220\mu\text{L}$ of crystal violet (1% solution, Sigma Aldrich, St. Louis, MO, USA)
101 was added and incubated for 30 min. The wells were washed five times with $220\mu\text{L}$ of tap
102 water. To extract the crystal violet from the biofilm, $220\mu\text{L}$ of ethanol:acetone (70:30 w:w)
103 was added to the wells. The results were then calculated by measuring the optical density at
104 595nm (Multiscan MS, Thermo Fisher Scientific Inc., Waltham, MA, USA).

105 **2.3 Antiseptics.** Antiseptics and exposure times are shown in Table 1. The exposure times
106 were chosen from a pilot test and after considering what would be a practical duration in a
107 clinical setting.

108 **2.4 Biofilm-oriented antiseptics test (BOAT).** To test the efficacy of the antiseptics on the
109 bacterial strains in biofilm, the Biofilm-oriented antiseptics test (BOAT) was applied [26],
110 with some modifications. The same 96-well microtiter plate was used as in the biofilm assay
111 and the biofilm was produced as described above with six parallel wells for each strain. After
112 24 hours of incubation, the wells were washed with 220 μ L sterile 0.85 % NaCl, before adding
113 the undiluted antiseptics and sterile 0.85% NaCl for the selected contact time. For each strain
114 three parallel wells were exposed to antiseptics and three were controls. The antiseptic and
115 0.85% NaCl were then removed and Dey Engley neutralizing broth was added for 5 minutes.
116 The wells were filled with 200 μ L of TSB:tetrazolium chloride (TSB:TTC) in the ratio of 20:1.
117 The microtiter plate was incubated at 37 ± 1 °C for 12 hours. The results were evaluated
118 visually by color change and measured calorimetrically. The amount of formazan produced
119 was calculated calorimetrically by measuring the optical density at 492nm (Siemens BEP
120 2000 Advance, Germany). In the presence of viable metabolic active bacteria, TTC is reduced
121 from a colorless compound to red formazan, which correlates to the number of viable cells
122 [27-29]. The experiment was repeated three times.

123 **2.5 Biofilm bactericidal test.** To confirm the eradication effects of antiseptics on *S. aureus*
124 biofilm, a model described by T. Mah was used, modified for *S. aureus* [23]. All 30 strains
125 were tested. The first steps of establishing a biofilm, and applying antiseptics, sterile 0.85%
126 NaCl, and neutralizing broth was identical to the BOAT method described above. However,
127 instead of then adding TSB:TTC, 200 μ L of TSB was added to each well and incubated at 37
128 ± 1 °C for 24 hours. Of the overnight culture 5 μ L was transferred from each well onto a blood

129 agar plate and incubated at 37 °C for 24 hours before the results were evaluated visually. If
130 there was no growth, the antiseptic was considered bactericidal.

131 **2.6 Confocal laser scanning microscopy.** Three strains were chosen randomly from the
132 previous experiment among those which were susceptible to Lugol`s solution 0.05%, and one
133 random strain from those which were not susceptible to Lugol`s solution 0.05%. The tested
134 strains were; 14BA 010 492, 14BA 010 425, 14BA 020 489 and 14BA 020 499. The first
135 steps of establishing a biofilm and applying antiseptics, sterile 0.85% NaCl and neutralizing
136 broth was identical to the BOAT method described above, except that a Lab-Tek II
137 Chambered Coverglass with cover 8-wells, (Thermo Fisher Scientific, Inc., Waltham, MA,
138 USA) was used instead of a microtiter plate. Each strain was exposed to the antiseptics or to
139 sterile 0.85% NaCl as a control. The slides were stained with Filmtracer™ LIVE/DEAD®
140 Biofilm Viability Kit, (Molecular Probes, Thermo Fisher Scientific, Inc., Waltham, MA, USA)
141 according to the manufacturer's specifications. Images of the stained biofilm were generated
142 on a confocal laser scanning microscope (Zeiss LSM 710, Germany), employing a 488 nm
143 argon laser line for the SYTO® 9 and a 561 nm DPSS laser line for the propidium iodide. The
144 ratio of dead or dying cells to the total number of cells in the biofilm was determined by
145 ImageJ software (open source, public domain). Four scans were performed per strain per
146 antiseptic and control. In order to ensure that the antiseptics had penetrated the whole biofilm,
147 scans were performed to the bottom layers of biofilm.

148 **2.7 Statistical analysis.** All statistical analyses were performed using SPSS statistical
149 software (release 22.0 SPSS Inc., Chicago, IL, USA)

150 When comparing inhibition zone diameter between the antiseptics, a paired t-test was
151 performed.

152 To compare the amount of biofilm produced by *S. aureus* strains taken from ear cultures and
153 blood cultures an independent t-test was applied.

154 In the BOAT test, each strain was tested in three parallel wells for both the antiseptics and the
155 controls. The median value was calculated for the antiseptic and the control in order to reduce
156 the possibility of one well distorting the results. The experiment was repeated three times and
157 the average of the medians for each strain was calculated. A paired t-test was performed to
158 identify any significant difference between the antiseptic-treated groups compared to controls.

159 In the biofilm bactericidal test, the bactericidal activity of each antiseptic, was tested in three
160 parallels for both the antiseptics and the controls. If there was no growth, the antiseptic was
161 considered bactericidal. For the antiseptics that were bactericidal for only some strains, the
162 McNemar test was applied to determine statistical significance.

163 The effect of antiseptics displayed in confocal laser scanning microscopy was measured by
164 comparing the ratio of compromised cells in the antiseptic-treated groups to the control group.
165 For statistical significance, an average ratio for each group was calculated and a paired t-test
166 used.

167 **2.8 Approval.** The collection of specimens from human subjects was approved by REK, the
168 regional ethical committee.

169

170 3. RESULTS

171 **3.1 Disk diffusion test.** All antiseptics at all concentrations showed clear inhibition zones in
172 the disk diffusion tests, with the exception of three strains for boric acid (Fig. 1, Table 2). The
173 difference in inhibition zone diameters between all the different antiseptics and concentrations
174 were significant ($p < 0.02$), with the one exception between boric acid 4.7% and Lugol's
175 solution 0.05% ($p = 0.35$).

176 **3.2 Biofilm assay.** All strains of *S. aureus* grew biofilm within 24 hours. The amount of
177 biofilm measured varied between the strains (Table 3). There were no statistical differences in
178 the amount of biofilm produced between the group of strains taken from blood cultures and the
179 group of strains from ear cultures. ($p = 0.534$).

180 **3.3 Biofilm-oriented antiseptics test (BOAT).** The reduction in metabolic activity was
181 significant for all concentrations of Lugol's solution and for all three exposure times of acetic
182 acid compared with controls (Table 4). Although acetic acid and Lugol's solution showed
183 significant reduction in metabolic activity, there were important differences in efficacy. The
184 optical densities of all three concentrations of Lugol's solution were close to the blank control
185 while acetic acid was not (Table 4). This was also observed visually where all wells treated
186 with Lugol's solution appeared blank (Fig. 2) while the wells exposed to acetic acid produced
187 different shades of red, indicating surviving metabolic active bacteria (Fig. 3). Exposure to
188 boric acid for 30 min did not significantly reduce the metabolic activity compared with
189 control strains (Table 4), visualized by no clear difference in color intensity between the
190 antiseptic and control groups.

191 **3.4 Biofilm bactericidal test.** Only Lugol's solution 1.0% and 0.1% fully eradicated all 30
192 strains of *S. aureus* biofilm (Table 4). Lugol's solution 0.05% eradicated 26 out of the 30

193 strains, which is statistically significant ($p < 0.001$). Acetic acid and boric acid did not display
194 any bactericidal effect (Table 4).

195 **3.5 Confocal laser scanning microscopy.** Lugol's solution 1.0%, 0.1% and 0.05%, and
196 acetic acid with 10 and 30 min exposure showed significant reduction in viable cells (Table 4).
197 For Lugol's solution the ratios of compromised cells to the total number of cells were close to
198 1, indicating that all bacteria were dead or dying. Boric acid and acetic acid did not reach a
199 ratio of 1, indicating there were surviving bacteria (Table 4). The results suggest that only
200 Lugol's solution effectively eradicated the biofilm bacteria (Fig. 4).

201 **4. DISCUSSION**

202 The results show significant differences in the bactericidal effect of antiseptics on *S. aureus* in
203 biofilm in all three test systems. For stronger evidence of antiseptic efficacy, a large number
204 of different clinical strains were tested, since previous studies indicated that different
205 antimicrobial effects were found in laboratory and wild strains [23, 30, 31]. The risk of
206 confounding factors was reduced by diluting the antiseptics in sterile H₂O and not combined
207 with other possible substances. This measure, combined with the use of three different
208 evaluation methods, make us more confident in drawing conclusions about the effect of
209 antiseptics on *S. aureus* biofilm.

210 When tested using relevant concentrations and exposure times, Lugol's solution was by far
211 the most effective antiseptic, whereas acetic acid and boric acid were less successful. The
212 strains used are from patients with no known epidemiological relationships, and the results are
213 therefore believed to be representative for clinical isolates from ear and blood. The results
214 from this study indicate that Lugol's solution could be potential supplement to antibiotic
215 topical treatment of diseases with *S. aureus* biofilm, such as external otitis, pharyngitis and
216 wounds. If the efficacy and safety regarding ototoxicity is established *in vivo*, Lugol's

217 solution could become a supplement in the treatment arsenal and thereby reduce the need for
218 topical application of antibiotics.

219 . The heterogeneity in biofilm-producing capabilities among our strains, combined with the
220 use of three different evaluation methods, make us more confident in drawing conclusions
221 about the effect of antiseptics on *S. aureus* biofilm. To reduce the risk of confounding factors,
222 Lugol's solution, boric acid and acetic acid were diluted in sterile H₂O and not combined with
223 other possible substances.

224

225 **4.1 Lugol's solution.** The results from the present study showed that Lugol's solution was
226 effective in eradicating *S. aureus* in biofilm. To our knowledge, there are no previous studies
227 describing the effect of Lugol's solution, and only a few previous studies exploring the effect
228 of iodine-containing antiseptics on *S. aureus* biofilm. In those studies, the tested iodine
229 concentration was higher or iodine was combined with other substances, such as ethanol, or in
230 a combination with carrier molecules. Apart from one other study [32], previous studies found
231 different iodine combinations to be effective against *S. aureus* biofilm [24, 26, 33]. This is in
232 line with our findings of elemental iodine's effectiveness in the eradication of biofilm bacteria,
233 even at concentrations as low as 0.01% of Lugol's solution.

234 The exact antimicrobial action of iodine is unknown. It has been suggested that iodine attacks
235 proteins, nucleotides and fatty acids [17], which are key components of the extracellular
236 protective matrix of *S. aureus* [34]. A disturbance of these components may disrupt the
237 biofilm matrix, leaving the bacterial cells less protected against the antiseptic. The promising
238 results of Lugol's solution need to be confirmed in *in vivo* studies.

239 **4.2 Acetic acid.** A concentration of 5% acetic acid was used since it is widely available in
240 many commercial products. Several previous studies have found acetic acid effective in

241 treating chronic suppurative otitis media (CSOM) [35] and venous leg ulcers [36], and in
242 inhibiting [37] and eradicating [38] *S. aureus* biofilm formation. Contrary to these findings,
243 acetic acid 5% did not eradicate any of the bacterial strains in biofilm in our study.

244 There are several possible explanations for acetic acid being less effective in killing biofilm
245 bacteria in vitro. It could be that the pH of the extra cellular matrix is too high. The
246 bactericidal effect of acetic acid results from the undissociated form of the acid that freely
247 crosses the cell membrane, dissociates and acidifies the cytoplasm. This leads to a strong
248 reduction of metabolic activity and disruption in the electrochemical gradient across the cell
249 membrane causing cell death. A strong inorganic acid, HCl, mainly acidifies the growth
250 medium and not microbial cytosol, as protons diffuse poorly through the cell envelope [39,
251 40], and is therefore less effective in reducing the biofilm of *S. aureus* at the same pH
252 compared with acetic acid [41]. The variance in metabolic activity reduction between strains
253 could be explained by a difference in extracellular matrix (Figure 3). One reason for better
254 results in clinical studies may be mechanical rinsing which is important for the outcome [42].
255 Another possible reason is longer exposure time, though we did not see any additional effect
256 in the reduction of metabolic activity when increasing the exposure time from 10 to 30
257 minutes. The present and previous studies show the importance of evaluating antiseptics by
258 different measuring methods and on several bacterial strains before drawing any definitive
259 conclusions.

260 **4.3 Boric acid.** The efficacy of boric acid in the treatment of draining ears has been reported
261 [43] as well as its bactericidal effects on *S. aureus* [44]. Like many antiseptics, boric acid is
262 thought to exert its action on multiple targets in the microbial cell, but the exact mechanism is
263 unknown[45]. Boric acid is a non-polar molecule and only the undissociated form is believed
264 to be capable of crossing the microbial cell membrane[45]. The tested concentration of 4.7%
265 is close to the maximum concentration possible to dissolve in H₂O at room temperature [46].

266 We did not obtain a significant reduction in metabolic activity, bactericidal effect and a
267 significant increase in the ratio of dead to viable bacterial cells. One possible explanation
268 could be that boric acid is often dissolved in ethanol, which in itself has been shown to have a
269 bactericidal effect on *S. aureus* in biofilm [24, 47]. Another possible explanation is that the
270 exposure time was too short to kill all the bacteria [45]. This could explain why boric acid
271 powder is described as effective in draining ears, where it may be present for a longer and
272 thus more effective time [43].

273 **4.4 Side effects of antiseptic.** Studies regarding ototoxicity in humans are quite scarce, and
274 most studies are performed on animals. In animal experiments ototoxicity from iodine
275 solutions seems to be related to the iodine concentration and additives such as ethanol [48-50].
276 Based on the available studies, it seems likely that the concentrations of iodine in Lugol's
277 solution in our study are safe in regards to ototoxicity, however, own experiments with
278 Lugol's solution are needed before concluding. Although documentation is limited, there is
279 some concern about ototoxicity caused by acetic acid [51, 52], but boric acid diluted in sterile
280 H₂O has been reported as safe [53, 54].

281 Another concern is wound healing and wound strength after application of antiseptics [55-58].
282 Some studies report povidone-iodine and acetic acid as having no effect on reepithelization
283 [59, 60], while others report delayed reepithelization [55]. Some reports have found that
284 povidone-iodine reduces tensile strength [55, 61], some no effect, while others show increased
285 strength [62]. Numerous clinical studies have evaluated the effect of povidone-iodine on
286 wound healing, and most of them conclude that there is no decrease in wound healing effects
287 [63, 64].

288 Unjustified fear of allergic reactions has prevented wide-scale use of iodine-containing
289 products. One possible reason for this unfavorable reputation may be hypersensitive-type

290 reactions experienced by some with iodine-containing contrast media. These reactions were
291 more commonly experienced in earlier years, when the contrast media were hypertonic and
292 ionic solutions. Lugol's solution contains only H₂O, potassium iodine and elementary iodine,
293 which can be found in the body, and allergic reactions should therefore not be of any concern
294 [65, 66].

295 5. CONCLUSION

296 Lugol's solution 1.0% and 0.1% was bactericidal for all clinical wild type strains of *S. aureus*
297 when in biofilm, while 0.05% was bactericidal for 26 out of the 30 strains. Acetic acid 5%
298 and boric acid 4.7% did not eradicate any of the biofilm strains in vitro. We therefore
299 conclude that Lugol's solution could be an alternative to antibiotics for topical applications in
300 diseases such as external otitis, pharyngitis and wounds where a *S. aureus* biofilm is
301 considered part of the pathogenesis. Further in vivo studies are required, regarding its efficacy,
302 as well as ototoxicity.

303

304

305 REFERENCES:

306 [1] DeAntonio R, Yarzabal JP, Cruz JP, Schmidt JE, Kleijnen J., Epidemiology of otitis media in
307 children from developing countries: A systematic review, *Int. J. Pediatr. Otorhinolaryngol.* 2016; 85:
308 65-74.

309 [2] Percival SL, Hill KE, Williams DW, Hooper SJ, Thomas DW, Costerton JW., A review of the
310 scientific evidence for biofilms in wounds., *Wound. Repair Regen* 2012; 20: 647-657.

311 [3] Burmolle M, Thomsen TR, Fazli M, Dige I, Christensen L, Homoe P, Tvede M, Nyvad B, Tolker-
312 Nielsen T, Givskov M, Moser C, Kirketerp-Moller K, Johansen HK, Hoiby N, Jensen PO, Sorensen SJ,

- 313 Bjarnsholt T., Biofilms in chronic infections - a matter of opportunity - monospecies biofilms in
314 multispecies infections., 2010. *FEMS Immunol. Med. Microbiol* 2010; 59: 324-336.
- 315 [4] Lowy FD., *Staphylococcus aureus* Infections., *N. Engl. J. Med.* 1998; 339: 520-532.
- 316 [5] Donlan RM, Costerton JW., Biofilms: survival mechanisms of clinically relevant microorganisms.,
317 *Clin. Microbiol. Rev* 2002; 15: 167-193.
- 318 [6] Lewis K., Persister cells., *Annu. Rev. Microbiol* 2010; 64: 357-372.
- 319 [7] Nickel JC, Ruseska I, Wright JB, Costerton JW., Tobramycin resistance of *Pseudomonas*
320 *aeruginosa* cells growing as a biofilm on urinary catheter material., *Antimicrob. Agents Chemother*
321 1985; 27: 619-624.
- 322 [8] Stewart PS, Costerton JW., Antibiotic resistance of bacteria in biofilms., *Lancet* 2001; 358: 135-
323 138.
- 324 [9] Hall-Stoodley L, Stoodley P., Evolving concepts in biofilm infections, *Cell. Microbiol.* 2009; 11:
325 1034-1043.
- 326 [10] Hall-Stoodley L, Costerton JW, Stoodley P., Bacterial biofilms: from the natural environment to
327 infectious diseases., *Nat. Rev. Microbiol* 2004; 2: 95-108.
- 328 [11] Singh R, Ray P, Das A, Sharma M., Penetration of antibiotics through *Staphylococcus aureus*
329 and *Staphylococcus epidermidis* biofilms., *J. Antimicrob. Chemother* 2010; 65: 1955-1958.
- 330 [12] Vuong C, Voyich JM, Fischer ER, Braughton KR, Whitney AR, DeLeo FR, Otto M., Polysaccharide
331 intercellular adhesin (PIA) protects *Staphylococcus epidermidis* against major components of the
332 human innate immune system., *Cell. Microbiol.* 2004; 6: 269-275.
- 333 [13] Leid JG, Shirtliff ME, Costerton JW, Stoodley P., Human leukocytes adhere to, penetrate, and
334 respond to *Staphylococcus aureus* biofilms., *Infect. Immun* 2002; 70: 6339-6345.

- 335 [14] Costerton JW, Lewandowski Z, Caldwell DE, Korber DR, Lappin-Scott HM., Microbial biofilms.,
336 *Annu. Rev. Microbiol* 1995; 49: 711-745.
- 337 [15] Linares J, Ardanuy C, Pallares R, Fenoll A., Changes in antimicrobial resistance, serotypes and
338 genotypes in *Streptococcus pneumoniae* over a 30-year period., *Clin. Microbiol. Infect* 2010; 16:
339 402-410.
- 340 [16] Moxnes JF, de Blasio BF, Leegaard TM, Moen AE., Methicillin-resistant *Staphylococcus aureus*
341 (MRSA) is increasing in Norway: a time series analysis of reported MRSA and methicillin-sensitive *S.*
342 *aureus* cases, *PLoS. One* 2013; 8: e70499.
- 343 [17] McDonnell G, Russell AD., Antiseptics and disinfectants: activity, action, and resistance., *Clin.*
344 *Microbiol. Rev* 1999; 12: 147-179.
- 345 [18] Petruzzi M, Lucchese A, Baldoni E, Grassi FR, Serpico R., Use of Lugol's iodine in oral cancer
346 diagnosis: an overview., *Oral Oncol.* 2010; 46: 811-813.
- 347 [19] Woods WG., An introduction to boron: history, sources, uses, and chemistry., *Environ. Health*
348 *Perspect* 1994; 102 Suppl 7: 5-11.
- 349 [20] JORDAN JW, CRISSEY JT., Boric acid poisoning; a report of fatal adult case from cutaneous use;
350 a critical evaluation of the use of this drug in dermatologic practice., *AMA. Arch. Derm* 1957; 75:
351 720-728.
- 352 [21] Johnston CS, Gaas CA., Vinegar: medicinal uses and antiglycemic effect., *MedGenMed* 2006; 8:
353 61.
- 354 [22] Atshan SS, Nor SM, Lung LT, Sekawi Z, Pei PC, Karunanidhi A, Jeevajothi NJ, Mateg AA,
355 Ghaznavi-Rad E, Abduljaleel SA, Awang HR., Genotypically different clones of *Staphylococcus*
356 *aureus* are diverse in the antimicrobial susceptibility patterns and biofilm formations., *Biomed. Res.*
357 *Int* 2013; 2013: 515712.

- 358 [23] Mah TF., Establishing the minimal bactericidal concentration of an antimicrobial agent for
359 planktonic cells (MBC-P) and biofilm cells (MBC-B)., *J. Vis. Exp* 2014: e50854.
- 360 [24] Vestby LK, Nesse LL., Wound care antiseptics - performance differences against
361 *Staphylococcus aureus* in biofilm., *Acta Vet. Scand* 2015; 57: 22.
- 362 [25] Stepanovic S, Vukovic D, Hola V, Di BG, Djukic S, Cirkovic I, Ruzicka F., Quantification of biofilm
363 in microtiter plates: overview of testing conditions and practical recommendations for assessment
364 of biofilm production by staphylococci., *APMIS* 2007; 115: 891-899.
- 365 [26] Junka A, Bartoszewicz M, Smutnicka D, Secewicz A, Szymczyk P., Efficacy of antiseptics
366 containing povidone-iodine, octenidine dihydrochloride and ethacridine lactate against biofilm
367 formed by *Pseudomonas aeruginosa* and *Staphylococcus aureus* measured with the novel biofilm-
368 oriented antiseptics test., *Int. Wound. J* 2013; 11: 730-734.
- 369 [27] Moussa SM TA, Al-Hassan AA, Farouk A., Tetrazolium/Formazan Test as an Efficient Method to
370 Determine Fungal Chitosan Antimicrobial Activity., *Journal of Mycology* 2013; 7536922013.
- 371 [28] Tengerdy RP, Nagy NJ, Martin B., Quantitative Measurement of Bacterial Growth by the
372 Reduction of Tetrazolium Salts. *Appl Microbiology* 1967; 954-955.
- 373 [29] Perez LM, Alvarez BL, Codony F, Fittipaldi M, Adrados B, Penuela G, Morato J., A new
374 microtitre plate screening method for evaluating the viability of aerobic respiring bacteria in high
375 surface biofilms., *Lett. Appl. Microbiol* 2010; 51: 331-337.
- 376 [30] Zenga J, Gagnon PM, Vogel J, Chole RA., Biofilm formation by otopathogenic strains of
377 *Pseudomonas aeruginosa* is not consistently inhibited by ethylenediaminetetraacetic acid., *Otol.*
378 *Neurotol* 2012; 33: 1007-1012.
- 379 [31] Wang EW, Agostini G, Olomu O, Runco D, Jung JY, Chole RA., Gentian violet and ferric
380 ammonium citrate disrupt *Pseudomonas aeruginosa* biofilms., *Laryngoscope* 2008; 118: 2050-2056.

- 381 [32] Akiyama H, Huh WK, Yamasaki O, Oono T, Iwatsuki K., Confocal laser scanning microscopic
382 observation of glycocalyx production by *Staphylococcus aureus* in mouse skin: does *S. aureus*
383 generally produce a biofilm on damaged skin?, *Br. J. Dermatol* 2002; 147: 879-885.
- 384 [33] Akiyama H, Oono T, Saito M, Iwatsuki K., Assessment of cadexomer iodine against
385 *Staphylococcus aureus* biofilm in vivo and in vitro using confocal laser scanning microscopy., *J.*
386 *Dermatol* 2004; 31: 529-534.
- 387 [34] Kiedrowski MR, Horswill AR., New approaches for treating staphylococcal biofilm infections.,
388 *Ann. N. Y. Acad. Sci* 2011; 1241: 104-121.
- 389 [35] Aminifarshidmehr N., The management of chronic suppurative otitis media with acid media
390 solution., *Am. J. Otol* 1996; 17: 24-25.
- 391 [36] Hansson C, Faergemann J., The effect of antiseptic solutions on microorganisms in venous leg
392 ulcers., *Acta Derm. Venereol* 1995; 75: 31-33.
- 393 [37] Nostro A, Cellini L, Ginestra G, D'Arrigo M, di GM, Marino A, Blanco AR, Favalaro A, Bisignano
394 G., Staphylococcal biofilm formation as affected by type acidulant., *APMIS* 2013; 122: 648-653.
- 395 [38] Bjarnsholt T, Alhede M, Jensen PO, Nielsen AK, Johansen HK, Homoe P, Hoiby N, Givskov M,
396 Kirketerp-Moller K., Antibiofilm Properties of Acetic Acid., *Adv. Wound. Care (New Rochelle.)* 2015;
397 4: 363-372.
- 398 [39] Trcek J, Mira NP, Jarboe LR., Adaptation and tolerance of bacteria against acetic acid., *Appl.*
399 *Microbiol. Biotechnol* 2015; 99: 6215-6229.
- 400 [40] Cherrington CA, Hinton M, Mead GC, Chopra I., Organic acids: chemistry, antibacterial activity
401 and practical applications., *Adv. Microb. Physiol* 1991; 32: 87-108.
- 402 [41] Akiyama H, Yamasaki O, Tada J, Arata J., Effects of acetic acid on biofilms formed by
403 *Staphylococcus aureus*., *Arch. Dermatol. Res* 1999; 291: 570-573.

- 404 [42] Paranhos HF, Silva-Lovato CH, Souza RF, Cruz PC, Freitas KM, Peracini A., Effects of mechanical
405 and chemical methods on denture biofilm accumulation., *J. Oral Rehabil* 2007; 34: 606-612.
- 406 [43] Looock JW., A randomised controlled trial of active chronic otitis media comparing courses of
407 eardrops versus one-off topical treatments suitable for primary, secondary and tertiary healthcare
408 settings., *Clin. Otolaryngol* 2012; 37: 261-270.
- 409 [44] Yilmaz MT, Minimum inhibitory and minimum bactericidal concentrations of boron
410 compounds against several bacterial strains. *Turk J Med Sci* 2012: 1423-1429.
- 411 [45] Borokhov O, Schubert D., Antimicrobial properties of boron derivatives, In Zhu PC (ed), *New*
412 *Biocides Development. The Combined Approach of Chemistry and Microbiology. New Biocides*
413 *Development, ACS Symposium Series: ACS Publications, Washington, DC., 2007; 418-419.*
- 414 [46] National Pesticide Information Center,
415 <http://npic.orst.edu/factsheets/archive/borictech.html#prop>. Accessed 9th July 2017.
- 416 [47] Macfadyen C, Gamble C, Garner P, Macharia I, Mackenzie I, Mugwe P, Oburra H, Otworld K,
417 Taylor S, Williamson P., Topical quinolone vs. antiseptic for treating chronic suppurative otitis
418 media: a randomized controlled trial., *Trop. Med. Int. Health* 2005; 10: 190-197.
- 419 [48] Ichibangase T, Yamano T, Miyagi M, Nakagawa T, Morizono T., Ototoxicity of Povidone-Iodine
420 applied to the middle ear cavity of guinea pigs., *Int. J. Pediatr. Otorhinolaryngol* 2011; 75: 1078-
421 1081.
- 422 [49] Perez R, Freeman S, Sohmer H, Sichel JY., Vestibular and cochlear ototoxicity of topical
423 antiseptics assessed by evoked potentials., *Laryngoscope* 2000; 110: 1522-1527.
- 424 [50] Aursnes J., Ototoxic effect of iodine disinfectants., *Acta Otolaryngol.* 1982; 93: 219-226.
- 425 [51] Ikeda K, Morizono T., The preparation of acetic acid for use in otic drops and its effect on
426 endocochlear potential and pH in inner ear fluid., *Am. J. Otolaryngol* 1989; 10: 382-385.

- 427 [52] Haynes DS, Rutka J, Hawke M, Roland PS., Ototoxicity of ototopical drops--an update.,
428 Otolaryngol. Clin. North Am 2007; 40: 669-683, xi.
- 429 [53] Ozturkcan S, Dundar R, Katilmis H, Ilknur AE, Aktas S, Haciomeroglu S., The ototoxic effect of
430 boric acid solutions applied into the middle ear of guinea pigs. Eur. Arch. Otorhinolaryngol 2009;
431 266: 663-667.
- 432 [54] Ozdemir S, Tuncer U, Tarkan O, Akar F, Surmelioglu O., Effects of topical oxiconazole and boric
433 acid in alcohol solutions to rat inner ears., Otolaryngol. Head Neck Surg 2013; 148: 1023-1027.
- 434 [55] Lineaweaver W, Howard R, Soucy D, McMorris S, Freeman J, Crain C, Robertson J, Rumley T.,
435 Topical antimicrobial toxicity., Arch. Surg 1985; 120: 267-270.
- 436 [56] Goldenheim PD., An appraisal of povidone-iodine and wound healing., Postgrad. Med. J 1993;
437 69 Suppl 3: S97-105.
- 438 [57] Burks RI., Povidone-iodine solution in wound treatment., Phys. Ther 1998; 78: 212-218.
- 439 [58] Leaper DJ, Durani P., Topical antimicrobial therapy of chronic wounds healing by secondary
440 intention using iodine products., Int. Wound. J 2008; 5: 361-368.
- 441 [59] Geronemus RG, Mertz PM, Eaglstein WH., Wound healing. The effects of topical antimicrobial
442 agents., Arch. Dermatol 1979; 115: 1311-1314.
- 443 [60] Gruber RP, Vistnes L, Pardoe R., The effect of commonly used antiseptics on wound healing.,
444 Plast. Reconstr. Surg 1975; 55: 472-476.
- 445 [61] Kashyap A, Beezhold D, Wiseman J, Beck WC., Effect of povidone iodine dermatologic
446 ointment on wound healing., Am. Surg 1995; 61: 486-491.
- 447 [62] Kramer SA., Effect of povidone-iodine on wound healing: a review. J. Vasc. Nurs 1999; 17: 17-
448 23.

- 449 [63] Niedner R., Cytotoxicity and sensitization of povidone-iodine and other frequently used anti-
450 infective agents., *Dermatology* 1997; 195 Suppl 2: 89-92.
- 451 [64] Drosou A, Falabella A, Kirsner RS., Antiseptics on Wounds: An Area of Controversy. *Wounds*
452 2003:149-66.
- 453 [65] Schabelman E, Witting M., The relationship of radiocontrast, iodine, and seafood allergies: a
454 medical myth exposed., *J. Emerg. Med* 2010; 39: 701-707.
- 455 [66] Katelaris CH, Smith WB, 'Iodine allergy' label is misleading. *Australian Prescriber* 2009; 125-128.
- 456

457 **Figure legends**

458 **Fig. 1.** Disk diffusion test: a; 0.85% saline, b; acetic acid 5%, c; Lugol's solution 0.1%, d;
459 Lugol's solution 0.05%, e; Lugol's solution 0.005%, f; boric acid 4.7%. *Mueller Hinton Agar*

460 **Fig. 2.** Biofilm-oriented antiseptics test (BOAT), Lugol's solution 1min exposure. First 6
461 wells are control, 6 continuous wells per *Staphylococcus aureus* strain. The three lateral wells
462 on each side treated with 0.85% saline. The 6 middle wells treated with antiseptic. Red
463 formazan is a sign of viable cells. *96 well microtiter plate (Nunclon Delta Surface, Thermo)*

464 **Fig. 3.** Biofilm-oriented antiseptics test (BOAT), acetic acid 5% 30 min exposure. First 6
465 wells are control, 6 continuous wells per *Staphylococcus aureus* strain. The three lateral wells
466 on each side treated with 0.85% saline. The 6 middle wells treated with antiseptic. Red
467 formazan is a sign of viable cells. *96 well microtiter plate (Nunclon Delta Surface, Thermo*
468 *Fischer Scientific)*

469 **Fig. 4.** CLSM stacks of *Staphylococcus aureus* biofilm exposed to antiseptics and a control; **a**
470 Control, **b** Acetic acid 1min, **c** Acetic acid 10min, **d** Acetic acid 30min, **e** Boric acid, **f**
471 Lugol's solution 0.05%, **g** Lugol's solution 0.1%, **h** Lugol's solution 1.0%. The units are in
472 μm .

473

1 Lugol's solution eradicates Staphylococcus aureus biofilm in vitro

2

3 Torstein Grønseth,^{a,b}# Lene K. Vestby,^c Live L. Nesse,^c Even Thoen,^c Olivier Habimana^d4 Magnus von Unge,^{e,f} Juha T. Silvola^{e,a}

5

6 University of Oslo, Oslo, Norway^a; Department of Otolaryngology, Head and Neck Surgery,7 Oslo University Hospital, Norway^b; Norwegian Veterinary Institute, Oslo, Norway^c; School

8 of Biological Sciences, The University of Hong Kong, Pok Fu Lam Road, Hong Kong SAR,

9 China^d; Department of Otolaryngology, Head and Neck Surgery, Akershus University10 Hospital and Campus Ahus, University of Oslo, Norway^e; Center for Clinical Research,11 Västerås, Uppsala University, Sweden^f

12

13 Running title: Lugol's solution as treatment of *S. aureus* biofilm

14

15 #Address correspondence to Torstein Grønseth, t.gronseth@gmail.com**16 CONFLICTS OF INTEREST AND SOURCE OF FUNDING:****17 FUNDING INFORMATION**

18 The project was funded by University of Oslo.

19

20 DISCLOSURES

21 The authors have no conflict of interest in the subject matter or materials discussed in this

22 manuscript.

1 **Table 1.** Antiseptics and exposure time

| Antiseptic | Exposure time |
|--|----------------------|
| <i>Acetic acid</i> | |
| Acetic acid 5% | 1 minute |
| Acetic acid 5% | 10 minutes |
| Acetic acid 5% | 30 minutes |
| <i>Lugol's solution</i> | |
| Lugol's solution 1.0% (1% iodine-2% potasiumiodide in sterile H ₂ O) | 1 minute |
| Lugol's solution 0.1% (by diluting 1.0% Lugol's solution in sterile H ₂ O) | 1 minute |
| Lugol's solution 0.05% (by diluting 1.0% Lugol's solution in sterile H ₂ O) | 1 minute |
| <i>Boric acid</i> | |
| Boric acid 4.7% | 30 minutes |

2 All antiseptics were dissolved in sterile H₂O and not ethanol. All antiseptics were from Oslo
3 University Hospital, Oslo, Norway

4

5

6

7

8

9

10

11

12

13

14

15

16

Table 2. A comparison of antiseptic inhibition zone diameter

| Inhibition zone diameter | | | |
|---------------------------------|----------|-------------|---------------|
| Antiseptic | n | Mean | ± 1 SD |
| Lugol's solution 1%, | 30 | 30 | 1,9 |
| Lugol's solution 0.1%, | 30 | 16 | 1,1 |
| Lugol's solution 0.05%, | 30 | 13 | 0,6 |
| Acetic acid 5%, | 30 | 17 | 2,2 |
| Boric acid 4.7%, | 30 | 13 | 4,9 |
| NaCl 0.85% | 30 | 0 | 0 |

17

18 **Table 3.** Study comparing the amount of biofilm produced by *Staphylococcus aureus* from
19 ear and blood cultures

| | Blood culture samples | Ear culture samples |
|----------------------|------------------------------|----------------------------|
| Number of strains | 15 | 14 |
| Mean optical density | 1.202 | 1.364 |
| Standard deviation | 0.584 | 0.789 |
| Minimum | 0.613 | 0.639 |
| Maximum | 2.921 | 3.100 |

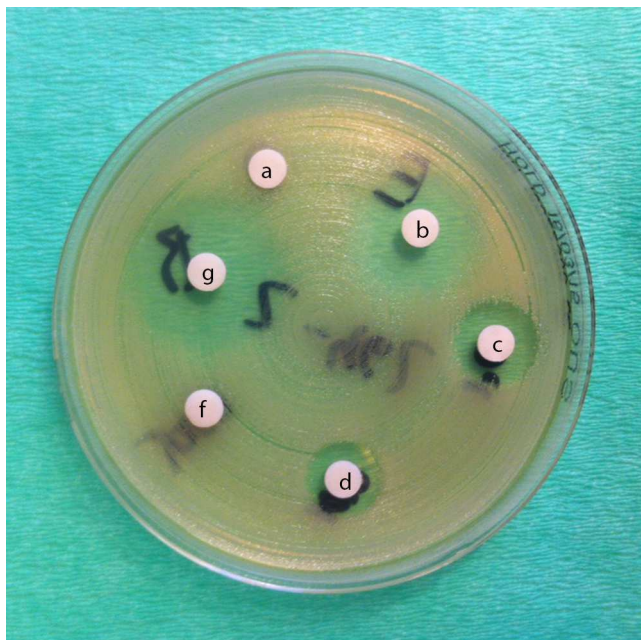
20

21

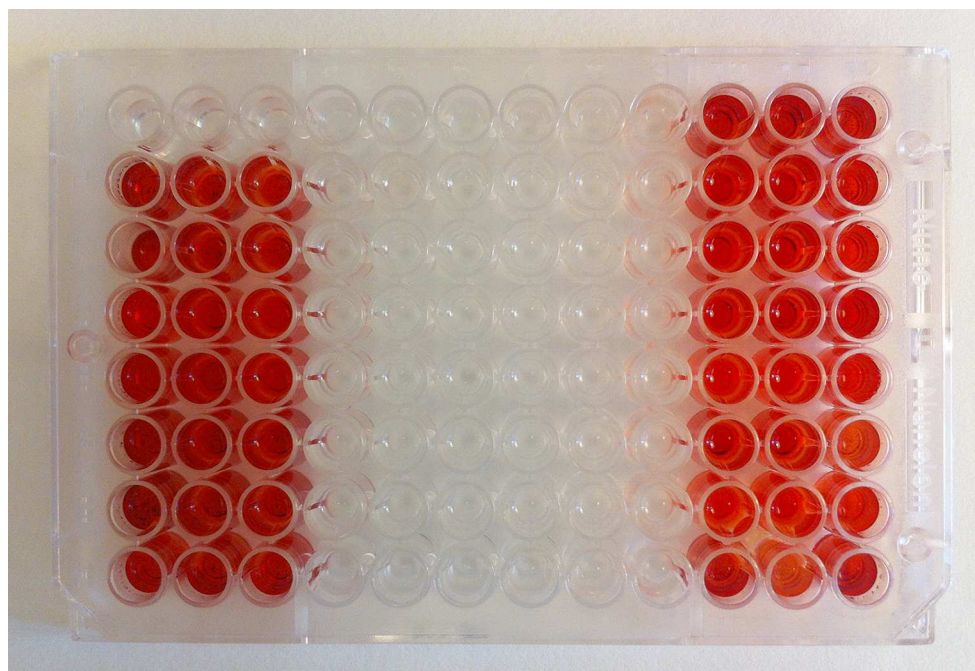
Table 4. A comparison of antiseptic effects versus control

| Antiseptic | n | BOAT test | | | Bactericidal biofilm test | Confocal laser scanning microscopy with LIVE/DEAD staining, | | | |
|--|----|-----------|--------|---------|--|---|---------------------------|--------|---------|
| | | Mean | ± 1 SD | p-value | Number of strains with bactericidal effect | n | Dead to total cell number | ± 1 SD | p-value |
| Untreated bacterial strains | 30 | 2.756 | 0.37 | - | 0/30 | 4 | 0.27 | 0.11 | - |
| Lugol's solution 1%, 1 min exposure | 30 | 0.152 | 0.05 | <0.001 | 30/30 | 4 | 1.03 | 0.10 | <0.001 |
| Lugol's solution 0.1%, 1 min exposure | 30 | 0.232 | 0.05 | <0.001 | 30/30 | 4 | 1.06 | 0.11 | 0.001 |
| Lugol's solution 0.05%, 1 min exposure | 30 | 0.243 | 0.06 | <0.001 | 26/30 | 4 | 1.00 | 0.07 | 0.001 |
| Acetic acid 5%, 1 min exposure | 30 | 2.304 | 0.66 | 0.002 | 0/30 | 4 | 0.37 | 0.10 | 0.093 |
| Acetic acid 5%, 10 min exposure | 30 | 1.140 | 0.67 | <0.001 | 0/30 | 4 | 0.62 | 0.11 | 0.001 |
| Acetic acid 5%, 30 min exposure | 30 | 1.324 | 0.68 | <0.001 | 0/30 | 4 | 0.75 | 0.14 | 0.015 |
| Boric acid 4.7%, 30 min exposure | 30 | 2.745 | 0.40 | 0.117 | 0/30 | 4 | 0.57 | 0.35 | 0.172 |
| Blank control | 6 | 0.131 | 0.05 | - | - | - | - | - | - |

Significance calculated by paired t-test



ACCEPTED MANUSCRIPT



ACCEPTED MANUSCRIPT

