New Journal of Physics

The open access journal at the forefront of physics



Published in partnership with: Deutsche Physikalische Gesellschaft and the Institute of Physics



OPEN ACCESS

RECEIVED

4 October 2017

REVISED

10 January 2018

ACCEPTED FOR PUBLICATION

7 March 2018

PUBLISHED

12 April 2018

Original content from this work may be used under the terms of the Creative Commons Attribution 3.0 licence.

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.



PAPER

Influence of magnetic disorders on quantum anomalous Hall effect in magnetic topological insulator films beyond the two-dimensional limit

Yanxia Xing¹, Fuming Xu², King Tai Cheung³, Qing-feng Sun^{4,5}, Jian Wang³ and Yugui Yao¹

- Beijing Key Laboratory of Nanophotonics and Ultrafine Optoelectronic Systems, School of Physics, Beijing Institute of Technology, Beijing 100081, People's Republic of China
- Shenzhen Key Laboratory of Advanced Thin Films and Applications, College of Physics and Energy, Shenzhen University, Shenzhen 518060, People's Republic of China
- Department of Physics and the Center of Theoretical and Computational Physics, The University of Hong Kong, Pokfulam Road, Hong Kong, People's Republic of China
- International Center for Quantum Materials, School of Physics, Peking University, Beijing 100871, People's Republic of China
- Collaborative Innovation Center of Quantum Matter, Beijing 100871, People's Republic of China

E-mail: vgvao@bit.edu.cn

Keywords: magnetic topological insulator film, magnetic disorder, quantum anomalous hall effect

Abstract

Quantum anomalous Hall effect (QAHE) has been experimentally realized in magnetic topological insulator (MTI) thin films fabricated on magnetically doped (Bi, Sb)₂Te₃. In an MTI thin film with the magnetic easy axis along the normal direction (z-direction), orientations of magnetic dopants are randomly distributed around the magnetic easy axis, acting as magnetic disorders. With the aid of the non-equilibrium Green's function and Landauer–Büttiker formalism, we numerically study the influence of magnetic disorders on QAHE in an MTI thin film modeled by a three-dimensional tight-binding Hamiltonian. It is found that, due to the existence of gapless side surface states, QAHE is protected even in the presence of magnetic disorders as long as the z-component of magnetic moment of all magnetic dopants are positive. More importantly, such magnetic disorders also suppress the dissipation of the chiral edge states and enhance the quality of QAHE in MTI films. In addition, the effect of magnetic disorders depends very much on the film thickness, and the optimal influence is achieved at certain thickness. These findings are new features for QAHE in three-dimensional systems, not present in two-dimensional systems.

1. Introduction

The quantum anomalous Hall effect (QAHE) is of interest to both fundamental research and spintronic applications [1–4]. QAHE was originally proposed in various ideal two-dimensional (2D) systems, including 2D honeycomb lattices with periodic pseudo-magnetic fields [5, 6], MnHgTe magnetic quantum wells [7], monolayer [8] or bilayer [9] graphenes, and multilayer topological insulators with magnetic doping [10, 11].

After the theoretical prediction of QAHE in three-dimensional (3D) magnetic topological insulator (MTI) thin films [12], the existence of QAHE was recently verified by a series of experiments in magnetically doped (Bi, Sb)₂Te₃ systems [13–16]. Different from the conventional QAHE discussed in 2D cases [5, 7–11], the quantized Hall conductance in 3D MTI films is jointly contributed by the top and bottom massive Dirac-like surface states which have opposite signs in their effective masses [12, 17]. Furthermore, the gapless side surfaces are still crucial for QAHE in 3D MTI films. Since the top and bottom surfaces are gapped, the chiral edge modes actually propagate through the gapless side surface states. Besides the side surface states, a constant exchange field **M** is essential for QAHE in MTI films, responsible for breaking the time-reversal symmetry and consequently opening the nontrivial energy surface gap.

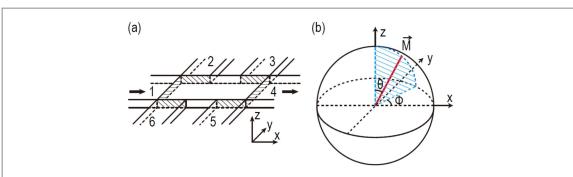


Figure 1. Panel (a) schematic plot of a six-terminal Hall device. The current is injected from terminal-1 to terminal-4. Panel (b) angular representation of **M**.

In the experiments of QAHE in MTI films such as $(Bi, Sb)_2 Te_3$, magnetic doping gives rise to a macroscopic magnetism along the z-direction (perpendicular to the film), which is necessary to produce QAHE. However, it is very difficult to align all magnetic moments of magnetic dopants experimentally. The random deviation of magnetic moments from the macroscopic magnetization in z-direction forms a type of magnetic disorders. It would be interesting to investigate the effect of magnetic disorders on QAHE in a 3D MTI film.

In this paper, with the aid of the non-equilibrium Green's functions and Landauer–Büttiker formula, we study the influence of magnetic disorders on QAHE in a six-terminal Hall bar constructed on an MTI film, which is illustrated in figure 1(a). In the calculation, the orientation of exchange field \mathbf{M} is represented by (θ, ϕ) , as shown in figure 1(b). In this representation, $\mathbf{M}_z = |\mathbf{M}| \cos \theta$, $\mathbf{M}_{xy} = |\mathbf{M}| \sin \theta$, where \mathbf{M}_z is the z-component of the exchange field while \mathbf{M}_{xy} is its projection in the x-y plane. To include contributions of side surface states, we adopt the 3D Hamiltonian [18] derived from bulk (Bi, Sb)₂Te₃ material instead of the previous 2D effective Hamiltonian [12, 19, 20]. Our numerical results suggest that, in the presence of random magnetic disorders, QAHE is always robust as long as $\mathbf{M}_z > 0$ for all magnetic dopants, regardless of the x-y component \mathbf{M}_{xy} . More importantly, the random distribution of \mathbf{M} can suppress the dissipation of the chiral edge states. It should be emphasized that these conclusions are valid only in 3D systems, where the side surface in the 3D thin film plays a crucial role. In fact, 3D QAHE relies much on the thickness C_z of the MTI film and is most sensitive to the distribution of \mathbf{M} at the film thickness of $C_z \simeq 5$ nm. Since magnetic disorders are inevitable in three-dimensional MTI films, these findings can serve as an useful guide for the application of QAHE.

The rest of the paper is organized as follows. Using a four-band tight-binding model, the Hamiltonian of the six-terminal Hall system is introduced in section 2. The formalisms for calculating the longitudinal resistance and Hall resistance are also derived. Section 3 gives numerical results of the effect of magnetic disorders on QAHE in the 3D MTI film, accompanied with detailed discussions. Finally, a brief summary is presented in section 4.

2. Model and theory

Using $k \cdot p$ perturbation theory, the low energy spectrum of (Bi, Sb)₂Te₃ can be approximated by the four-band Hamiltonian $H_0(k)$ which is written as [21, 22]

$$H_0(k) = \epsilon_k + \mathbf{p} \cdot \boldsymbol{\sigma} \otimes \tau_x + m_k \sigma_z \otimes \tau_0, \tag{1}$$

with $\mathbf{p} = [A_x k_x, A_y k_y, A_z k_z]$ and $m_k = m_0 - m_x k_x^2 - m_y k_y^2 - m_z k_z^2$. Equation (1) is the Dirac equation of 3D systems, consists of four-component Dirac matrices $\sigma_{i=x,y,z} \otimes \tau_x$ and $\sigma_z \otimes \tau_0$, where σ and τ are Pauli matrices for spin (\uparrow / \downarrow) and orbit (P_{1z}^+/P_{2z}^-) , respectively. σ_0 and τ_0 are 2 \times 2 unit matrices. For simplicity, we set $\epsilon_k = 0$ in the calculation since it does not change the topological structure of the Hamiltonian. To study the magnetic doping effect, we express the Hamiltonian as

$$H(k) = H_0(k) + \mathbf{M} \cdot \boldsymbol{\sigma} \otimes \boldsymbol{\tau}_0, \tag{2}$$

where **M** is the exchange field induced by magnetic doping. Generally speaking, both external magnetic fields [23] and local magnetic moments [24–26] can induce spin-dependent energy split for electrons. In this work, we consider only the later as the magnetic field is weak in our calculation. To describe a multi-terminal device fabricated on magnetically doped (Bi, Sb)₂Te₃ films, a real-space Hamiltonian is needed. Replacing $k_{x,y,z}$ by $-i\nabla_{x,y,z}$ we get the 3D effective tight-binding Hamiltonian on a cubic lattice [18]:

$$H = \sum_{\mathbf{i}} d_{\mathbf{i}}^{\dagger} H_{\mathbf{i}} d_{\mathbf{i}} + \sum_{\mathbf{i}, \delta} [d_{\mathbf{i}}^{\dagger} H_{\delta} d_{\mathbf{i} + \delta} + d_{\mathbf{i} + \delta}^{\dagger} H_{\delta}^{\dagger} d_{\mathbf{i}}], \tag{3}$$

where $\mathbf{i} = [\mathbf{i}_x, \mathbf{i}_y, \mathbf{i}_z]$ labels the site in real space, $\delta = \mathbf{a}_\alpha$ with $\alpha = x, y, z$ and \mathbf{a}_α are basis vectors of the cubic lattice, $H_{\mathbf{i}}$ describes the on-site potential, while H_δ and H_δ^\dagger denotes hopping to the six nearest neighbors in the cubic lattice. Their expressions are given by, respectively

$$H_{i} = \mathbf{M}_{i} \cdot \sigma \tau_{0} + (m_{0} + 2 \sum_{\alpha} \frac{m_{\alpha}}{a^{2}}) \sigma_{0} \tau_{z},$$

$$H_{\delta} = H_{\mathbf{a}_{\alpha}} = \left[-\frac{M_{\alpha}}{a^{2}} \sigma_{0} \tau_{z} - i \frac{A_{\alpha}}{2a} \sigma_{\alpha} \tau_{x} \right] e^{i\phi_{i,i+\mathbf{a}_{\alpha}}},$$
(4)

where $\mathbf{M_i}$ is the random exchange field at site i. Since orientations of the local magnetic moments randomly distribute, we assume that the angle (θ,ϕ) (see figure 1(b)) of $\mathbf{M_i}$ is random, while its magnitude $|\mathbf{M_i}|$ is a constant. In equation (4), $a=|\mathbf{a}|$ is the lattice constant of the cubic lattice. In the presence of a perpendicular magnetic field $\mathbf{B}=[0,0,B]$, an extra phase $\phi_{\mathbf{i},\mathbf{i}+a_\alpha}=\frac{e}{\hbar}\int_{\mathbf{i}}^{\mathbf{i}+a_\alpha}\mathbf{A}\cdot\mathbf{dl}$ is induced in the nearest neighbor coupling term H_δ with $\mathbf{B}=\nabla\times\mathbf{A}$.

In order to calculate the Hall resistance ρ_{xy} and longitudinal resistance ρ_{xx} we consider the six-terminal Hall bar system shown in figure 1(a). In this setup, the central scattering region is connected to six semi-infinite leads. In the Coulomb gauge, the vector potential is chosen as $\mathbf{A} = [-By, 0, 0]$ in lead-1, lead-4, and the central scattering region, which does not depend on x. For lead-2, lead-3, lead-5 and lead-6 $\mathbf{A} = [0, Bx, 0]$ is used which is independent of y. Then, the magnetic flux in each unit cell in x-y plane satisfies $\Phi_c = \oint_{\text{cell}} \mathbf{A} \cdot \mathbf{dl} = Ba^2$ for every layer in x-y plane. Here we define the dimensionless magnetic flux $\Phi = \Phi_c/(\hbar/e)$ where \hbar/e is the magnetic flux quantum. In the following, we use Φ to describe the strength of magnetic field. Since two different gauges are used for different regions, we have to be careful in maintaining constant magnetic flux at the boundaries between scattering region and leads. This can be done through gauge transformation in the boundaries between the scattering region and lead-2, lead-3, lead-5 and lead-6. In the following calculation, other parameters in equations (3) and (4) are set as a = 5 Å, $|\mathbf{M}| = 0.15$ eV, $m_0 = 0.28$ eV [21], $m_x = m_y = 56.6$ eV Å², $m_z = 10$ eV Å², $A_z = 2.2$ eV Å and $A_x = A_y = 4.1$ eV Å, respectively [22]. The current from the mth lead can be calculated using Landau–Büttiker formalism [27], which expresses as

$$J_{m} = \frac{e^{2}}{h} \sum_{n} T_{mn} (V_{m} - V_{n}), \tag{5}$$

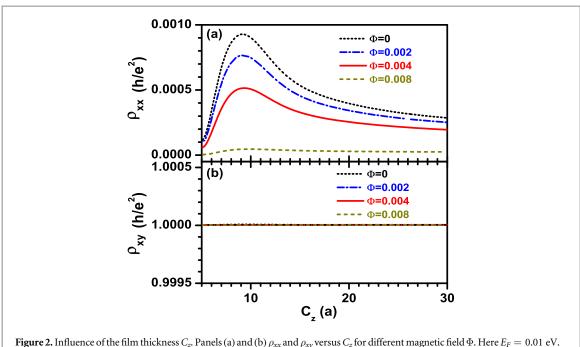
where m, n = 1, 2, ..., 6 label the leads, and T_{mn} is the transmission coefficient from lead n to lead m. The transmission coefficient is expressed as $T_{mn} = \text{Tr}[\Gamma_m G^r \Gamma_n G^a]$, where 'Tr' denotes the trace. The line width function Γ_m is defined as $\Gamma_m = \mathrm{i}[\Sigma_m^r - \Sigma_m^a]$, and G^r is the retarded Green's function $G^r = [G^a]^\dagger = (EI - H_c - \sum_m \Sigma_m^r)^{-1}$, where H_c is the Hamiltonian of the central scattering region. I is an unit matrix with the same dimension of H_c , and Σ_m^r is the retarded self energy contributed by the semi infinite lead-m which can be obtained using the transfer-matrix method [28, 29].

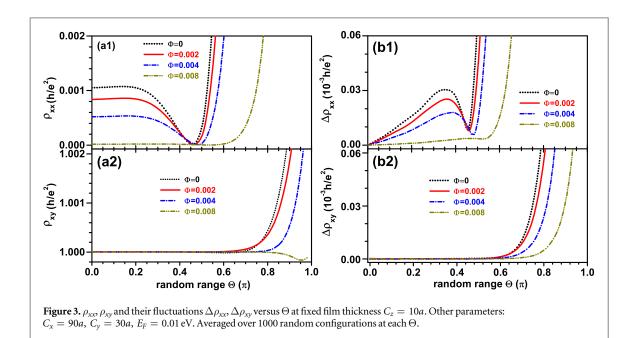
In the measurement of QAHE, a bias voltage is applied across terminal-1 and terminal-4 to drive the current. The other four terminals serve as voltage probes. By requiring $J_{2,3,5,6}=0$, voltages $V_{2,3,5,6}$ can be determined. With these voltages, together with $V_1=V$ and $V_4=0$, we can calculate the current $J_1=-J_4$ from equation (5). Finally, the longitudinal resistance $\rho_{xx}\equiv (V_2-V_3)/J_1$ and Hall resistance $\rho_{xy}\equiv (V_6-V_2)/J_1$ are obtained. For a perfect Hall effect, ρ_{xx} is exactly zero and ρ_{xy} is ideally quantized.

3. Numerical results and discussions

For QAHE in an MTI film with finite thickness, the dimension along z which is perpendicular to the film is important for two reasons. First of all, the top and bottom surface states are coupled to each other in z-direction. This coupling results in an effective mass term which in turn leads to the band inversion. Consequently this gives rise to the topological transition, i.e., QAHE, in the simplest low-energy effective Hamiltonian consisting of Dirac-type surface states only [12, 19, 20]. Secondly, in the presence of exchange field **M** along z-direction, the top and bottom surface states are gapped while the side surfaces are gapless. As a result, the chiral edge states of the bottom and top surfaces are actually propagating through gapless side surfaces. Therefore, it is necessary to study the influence of the film thickness on QAHE.

To begin with, we plot in figure 2 the longitudinal resistance ρ_{xx} and the Hall resistance ρ_{xy} against thickness C_z of the MTI film for different magnetic field strengths Φ in the presence of constant exchange field, i.e., $M_z=0.15$ eV and $M_{xy}=0$. As expected, the Hall resistance ρ_{xy} is quantized when Fermi energy $E_F=0.01$ eV is in the surface gap. Compared with the quality of Hall resistance, however, the longitudinal resistance ρ_{xx} is not so ideal which shows significant deviation from zero even in the presence of a large magnetic field. From figure 2 we find that with the increasing of film thickness C_z , ρ_{xx} increases quickly and reaches the maximum at $C_z\simeq 10a$, regardless of the magnetic field strength. Therefore, for a constant exchange field, the edge states are most





dissipative at the thickness of $C_z = 10a$. Here, a magnetic field is also applied to suppress the dissipation and thereby improve the quality of chiral edge states of QAHE. As the observations in experiments, the stronger the magnetic field, the smaller the longitudinal resistance.

Next, at fixed film thickness $C_z = 10a$ where the edge states are most dissipative in the clean sample, we allow the direction of local exchange field to fluctuate and study the influence of the random fluctuation on QAHE. Specifically, we denote the orientation of exchange field **M** as (θ, ϕ) as shown in figure 1(b). Since the macroscopic magnetization direction of the MTI film is along z-direction, we assume that ϕ and θ are in the range of $[0, 2\pi]$ and $[0, \Theta]$, respectively, where Θ measures the largest angular deviation from z-direction. In figure 3, we show the average longitudinal resistance ρ_{xx} , the average Hall resistance ρ_{xy} and their fluctuations against Θ at different magnetic fields. From figure 3(a1) and figure 3(a2), we find that when Θ is small $(\Theta<0.2\pi)$ ρ_{xx} obviously deviates from zero. Upon increasing Θ further, ρ_{xx} starts to decrease and reaches a minimum at $\Theta \approx 0.46 - 0.5\pi$, where $\rho_{xx} \approx 0$ for any magnetic field strength. When $\Theta > 0.5\pi$, ρ_{xx} increases abruptly with increasing of Θ . At the same time, ρ_{xy} is always perfectly quantized until $\Theta > 0.6\pi$. When $\Theta > 0.6\pi \rho_{xy}$ starts to deviate from the quantized value abruptly. Besides ρ_{xx} and ρ_{xy} , we also plot their

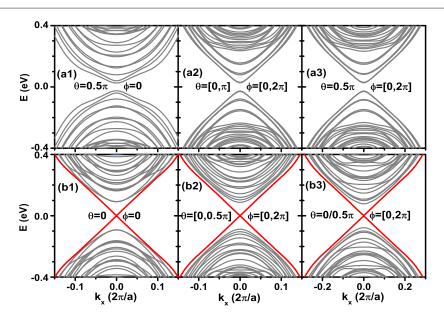


Figure 4. Band structures of periodic MTI strips with various exchange fields **M**. Red lines denotes the chiral edge states. Panel (a1) the orientation **M** is fixed in *x*-direction: $\mathbf{M} = \mathbf{M}_{x}$; panel (a2) the orientation of **M** uniformly distributes in the whole 4π solid angle: $\theta = [0, \pi]$, $\phi = [0, 2\pi]$; panel (a3) **M** is located in the *x*-*y* plane, and the orientation of **M** uniformly distributes in the whole *x*-*y* plane: $\mathbf{M}_{z} = 0$, $\phi = [0, 2\pi]$; panel (b1) the direction of **M** is fixed in *z*-direction: $\mathbf{M} = \mathbf{M}_{z}$; panel (b2) **M** is uniformly distributed in the upper hemisphere: $\Theta = 0.5\pi$, $\phi = [0, 2\pi]$; panel (b3) the hybrid of $\mathbf{M} = \mathbf{M}_{xy}$ and $\mathbf{M} = \mathbf{M}_{z}$.

fluctuations $\Delta \rho_{xx}$ and $\Delta \rho_{xy}$ in figures 3(b1) and (b2), which are defined as the root of mean square of the corresponding resistances. It is found that when $\Theta=0$, both $\Delta \rho_{xx}$ and $\Delta \rho_{xy}$ are zero, and ρ_{xx} and ρ_{xy} do not fluctuate since there is no randomness. With the increasing of Θ , $\Delta \rho_{xx}$ increases to its local maximum and then drops to a local minimum at $\Theta\approx0.46-0.5\pi$. When $\Theta>0.5\pi$, $\Delta \rho_{xx}$ increases abruptly. On the other hand, when $\Theta<0.6\pi$ the fluctuation of ρ_{xy} is very small compared with ρ_{xx} . It starts to increase drastically for $\Theta>0.6\pi$. Notice that, at $\Theta\approx0.46-0.5\pi$, the direction of exchange field $\mathbf M$ is almost randomly distributed in the entire upper hemisphere, suggesting that QAHE in an MTI film is very robust in the presence of magnetic disorders, as long as all $\mathbf M_z>0$. More importantly, the moderate angular randomness of magnetic dopants can lead to the suppression of dissipation and improve the quality of chiral edge sates, since both ρ_{xx} and its fluctuation $\Delta \rho_{xx}$ drop to the minimum at $\Theta\approx0.46-0.5\pi$. Furthermore, external magnetic field Φ obviously suppresses ρ_{xx} but hardly affects ρ_{xy} when $\Theta<0.5\pi$, which is consistent with previous experimental observations [13, 14].

The numerical results in figure 3 is really counterintuitive, in which a moderate angular randomness does not suppress QAHE but enhance it at $\Theta \approx 0.46 - 0.5\pi$. To understand this phenomenon, in figure 4 we show energy bands of periodic MTI strips with finite width and thickness. Various angular randomness of M are considered in each unit cell with size of width $C_v = 30a$ and thickness $C_z = 10a$, and the disorder profile is the same among these unit cells. In figure 4(a1), the orientation of **M** is fixed in x-direction, i.e., $M = M_{x}$; in figure 4(a2), the orientation of M uniformly distributes in the whole 4π solid angle; in figure 4(a3), M is located in the x-y plane, the orientations of M are uniformly distributed in x-y plane. In these three cases, the chiral edge states do not appear because the average M_z are zero. In the bottom panels, average M has z-component. For instance, in figure 4(b1), the direction of \mathbf{M} is fixed in z-direction, i.e., $\mathbf{M} = \mathbf{M}_z$; in figure 4(b2), \mathbf{M} is uniformly distributed in the upper hemisphere; in figure 4(b3), \mathbf{M} is a combination of $\mathbf{M} = \mathbf{M}_{xy}$ and $\mathbf{M} = \mathbf{M}_{zz}$ and the band structure of this hybrid system is calculated using the supercell method. In these three cases, we find $\mathbf{M}_z \geqslant 0$ for all dopants and the chiral edge state, which is the signature of QAHE, emerges. From figure 4, we tend to conclude that, as long as $\mathbf{M}_z\geqslant 0$ for all dopants QAH states would appear. This fact provides an explanation why magnetic disorders does not suppress the QAHE in MTI films. In the following we try to understand the reason why moderate angular randomness of M favors the formation of QAHE in MTI films. It is known that for 3D MTI films the top and bottom surface states are forbidden in the energy gap induced by M_{2} and the edge states can only propagate along the gapless side surfaces. However, since the MTI film is very thin, the energies of the side surface are quantized. As a result the side surface state may not be available for an electron with a given energy. Angular randomness of **M** is helpful in suppressing the discreteness of the side energy bands and providing surface states for the electron to propagate for the same energy. Consequently, QAHE in MTI films is substantially improved by magnetic disorders.

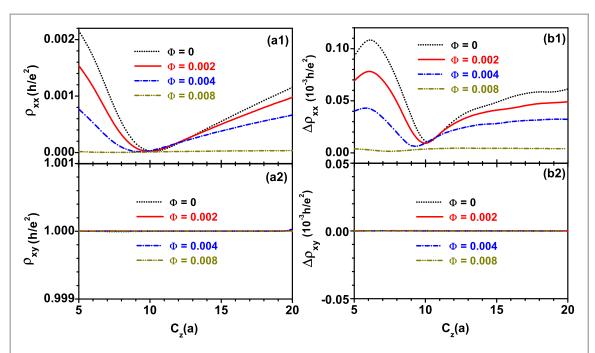


Figure 5. Influence of the film thickness C_z . ρ_{xxy} ρ_{xy} and their fluctuations $\Delta \rho_{xxy}$ $\Delta \rho_{xy}$ versus C_z for different magnetic field Φ . The range of random orientation of the exchange field is set as $\theta = [0, 0.46\pi]$, $\phi = [0, 2\pi]$. Other parameters: $C_x = 90a$, $C_y = 30a$, $E_F = 0.01$ eV. Averaged over 1000 random configurations.

Since the magnetic disorders are beneficial to the formation of QAHE in MTI films, we consider a moderate angular randomness of **M** by choosing $\Theta = 0.46\pi$, and study the effect of magnetic randomness on QAHE in MTI films as we vary the thickness of the thin film. In figure 5 we plot the average ρ_{xx} , the average ρ_{xy} and their fluctuations as a function of film thickness C_z for different magnetic fields Φ . Comparing figure 5(a) with figure 2, we find that with the increase of C_z , the average Hall resistance ρ_{xy} is still perfectly quantized. However, the average longitudinal resistance ρ_{xx} is drastically affected by the randomness. In the presence of random M with $\Theta=0.46\pi$, ρ_{xx} is suppressed abruptly with the increasing film thickness C_z . At $C_z\approx 10a$, ρ_{xx} drops to nearly zero, regardless of the magnetic field strength. For the clean sample the behavior is the opposite. In figure 2, where a fixed M_z is considered, it shows that ρ_{xx} is maximum at $C_z \approx 10a$. Figure 5(b1) shows that accompanying the nearly zero ρ_{xx} its fluctuation $\Delta \rho_{xx}$ reaches the lowest value as well. These observations clearly show that, in an MTI film, angular randomness of M is most effective in suppressing the dissipation of chiral edge states at the film thickness $C_z \approx 10a$. In experiment, the magnetically doped (Bi, Sb)₂Te₃ film consists of periodic five-atomic layers along the z-direction, known as quintuple layers. The thickness $C_z \approx 10a$ is about two quintuple layers, which is almost the thinnest film that consists of intact top and bottom surfaces. Consequently, the thin film with thickness $C_z \approx 10a$ is optimal for QAHE in MTI films. For $C_z > 10a$, $\Delta \rho_{xx}$ increases slowly. Different from $\Delta \rho_{xx}$ the fluctuation of Hall resistance $\Delta \rho_{xy}$ is very small, it is two orders of magnitude smaller than $\Delta \rho_{xx}$, which means that ρ_{xy} is immune to angular randomness of **M**. In summary, magnetic disorders are beneficial to enhance the quality of edge states of QAHE in MTI films, especially at certain film thickness.

To further illustrate the effect of magnetic disorders on QAHE, the QAHE with or without magnetic disorders are compared in figure 6. The average longitudinal resistance and Hall resistance with constant and random exchange field ${\bf M}$ are depicted in figures 6(a) and (b), respectively. Here, the constant exchange field ${\bf M}$ is fixed at ${\bf M}_z=0.15$ eV and ${\bf M}_{xy}=0$. Meanwhile, for the case of magnetic disorders, ${\bf M}$ is randomly distributed in almost whole upper hemisphere with $\theta=[0,0.46\pi]$ and $\phi=[0,2\pi]$. From figure 6(a) where ${\bf M}$ is a constant, we find that the average Hall resistance ρ_{xy} is perfectly quantized, but the average longitudinal resistance ρ_{xx} deviates significantly from zero. On the other hand, figure 6(b) shows that the deviation in ρ_{xx} is suppressed by magnetic disorders while the perfect ρ_{xy} is maintained. These findings further confirm that magnetic disorders are useful to eliminate the dissipation of the edge states, regardless of the strength of external magnetic field.

It should be noted that, all conclusions discussed above are only valid for 3D MTI systems with finite thickness, in which edge states can propagate through the gapless side surfaces. For a very thin film, the energy of surface state on side surfaces is discretized so that they may not be available for edge state to propagate. As a result, any type of disorder, magnetic or nonmagnatic, tends to overcome the discrete nature of the side surface states, and is beneficial to the formation of QAHE in MTI films. On the contrary, in two-dimensional systems, edge states reside on the edges in x–y plane. Therefore, disorders would induce the scattering between edge states

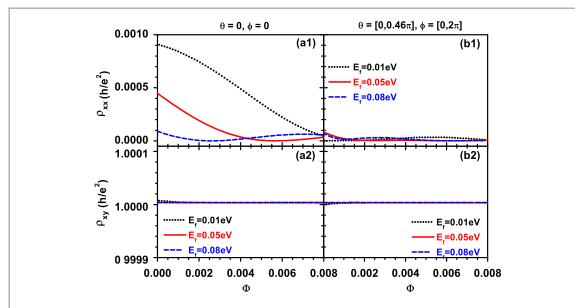


Figure 6. ρ_{xx} and ρ_{xy} versus magnetic field Φ with a constant or random exchange field at different Fermi energies. Left panels: unitary $\mathbf{M}, M_z = 0.15$ eV, $M_{xy} = 0$; right panels: random $\mathbf{M}, M_z = 0$, $|M_{xy}| = 0.15$ eV randomly distributes in the x–y plane, i.e., $\theta = 0.5\pi$, $\phi = [0, 2\pi]$. Averaged over 200 random configurations.

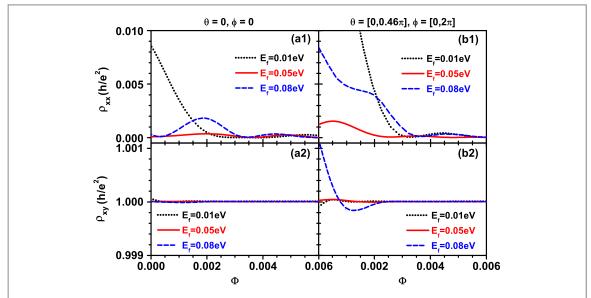


Figure 7. ρ_{xx} and ρ_{xy} versus magnetic field Φ with unitary or random exchange field at different Fermi energies. The two-dimensional effective Hamiltonian is adopted in the calculation and other computational parameters are the same as in figure 6. Averaged over 500 random configurations.

locating in different edges if the disorder strength is large enough and consequently be destructive to QAHE. To verify this statement, we calculate the longitudinal and Hall resistances using the 2D effective Hamiltonian with magnetic disorders [12, 19, 20]. Similar to figure 6, average ρ_{xx} and ρ_{xy} of the 2D effective model with constant or random exchange field **M** are depicted in figures 7(a) and (b), respectively. Comparing figure 7(a) with figure 7(b), we see that in the presence of random magnetic disorders, although ρ_{xy} is roughly the same as that of clean sample for two Fermi energies, ρ_{xx} deviate significantly from zero showing strong back scattering. This means that the edge states of 2D systems are heavily damaged by magnetic disorders. This is totally different from 3D case as shown in figure 6, in which ρ_{xx} is strongly suppressed and ρ_{xy} is perfectly kept in the presence of magnetic disorders.

4. Conclusion

In summary, we have studied the influence of magnetic disorders on QAHE in 3D MTI thin films. Magnetic disorders are modeled by random distribution of orientations of the exchange field \mathbf{M} . It is found that in the presence of angular randomness of exchange field, QAHE is well kept as long as the z-component of \mathbf{M} for all dopants remain positive. Moreover, magnetic disorders are helpful in suppressing the dissipation of the chiral edge states due to the presence of the side surfaces in MTI films. Our results also show that the longitudinal resistance ρ_{xx} relies much on the thickness of the film. At certain film thickness $C_z \approx 10a$, magnetic disorders are most effective in protecting the chiral edge states of QAHE. These findings are new features for QAHE in three-dimensional systems, not present in two-dimensional systems.

Acknowledgments

This work was supported by the National NSF of China (Grant No.11674024, No.11504240, No.11574029 and No.11574007), the MOST Project of China (Grant No. 2015CB921102 and No. 2014CB920903), the National Key R&D Program of China (Grant No. 2017YFA0303301 and No. 2016YFA0300603), the University Grant Council (Contract No.AoE/P-04/08) of the Government of HKSAR, and the grant of BIT (Grant No.20161842028).

ORCID iDs

King Tai Cheung https://orcid.org/0000-0002-3706-3828

References

- [1] Culcer D, MacDonald A and Niu Q 2003 Phys. Rev. B 68 045327
- [2] Yao Y, Kleinman L, MacDonald A H, Sinova J, Jungwirth T, Wang D-S, Wang E and Niu Q 2004 Phys. Rev. Lett. 92 037204
- [3] Berry M V 1984 Proc. R. Soc. A 392 45
- [4] Xiao D, Chang M-C and Niu Q 2010 Rev. Mod. Phys. 82 1959
- [5] Haldane F D M 1988 Phys. Rev. Lett. 61 2015
- [6] Shen S-Q 2012 Topological Insulators, Dirac Equation in Condensed Matter Physics (Berlin: Springer)
- $[7]\ Liu\ C-X, Qi\ X-L, Dai\ X, Fang\ Z\ and\ Zhang\ S-C\ 2008\ Phys.\ Rev.\ Lett.\ {\bf 101}\ 146802$
- [8] Qiao Z, Yang SA, Feng W, Tse W-K, Ding J, Yao Y, Wang J and Niu Q 2010 Phys. Rev. B 82 161414(R)
- [9] Tse W-K, Qiao Z, Yao Y, MacDonald A H and Niu Q 2011 Phys. Rev. B 83 155447
- [10] Jiang H, Qiao Z, Liu H and Niu Q 2012 Phys. Rev. B 85 045445
- [11] Wang J, Lian B, Zhang H, Xu Y and Zhang S-C 2013 Phys. Rev. Lett. 111 136801
- [12] Yu R, Zhang W, Zhang H-J, Zhang S-C, Dai X and Fang Z 2010 Science $329\,61$
- [13] Chang C-Z et al 2013 Science 340 167
- [14] Kou X et al 2014 Phys. Rev. Lett. 113 137201
- [15] Bestwick A J, Fox E J, Kou X, Pan L, Wang K L and Goldhaber-Gordon D 2015 Phys. Rev. Lett. 114 187201
- [16] Chang C-Z, Zhao W, Kim D Y, Zhang H, Assaf B A, Heiman D, Zhang S-C, Liu C, Chan M H W and Moodera J S 2015 Nat. Mater. 14 473
- [17] Chu R-L, Shi J and Shen S-Q 2011 Phys. Rev. B 84 085312
- [18] Zhang L, Zhuang J, Xing Y, Li J, Wang J and Guo H 2014 Phys. Rev. B 89 245107
- [19] Lu H-Z, Zhao A and Shen S-Q 2013 Phys. Rev. Lett. 111 146802
- [20] Zhang S-F, Jiang H, Xie X C and Sun Q-F 2014 Phys. Rev. B 89 155419
- [21] Liu C-X, Qi X-L, Zhang H-J, Dai X, Fang Z and Zhang S-C 2010 Phys. Rev. B 82 045122
- [22] Zhang H, Liu C-X, Qi X-L, Dai X, Fang Z and Zhang S-C 2009 Nat. Phys. 5 438
- [23] Avishai Y and Bar-Touv J 1995 Phys. Rev. B 51 8069
- [24] Bean C P and Rodbell D S 1962 Phys. Rev. 126 104
- [25] Takahashi M 1999 Phys. Rev. B 60 15858
- [26] Ivanov D A, Fominov Y V, Skvortsov M A and Ostrovsky P M 2009 Phys. Rev. B 80 134501
- [27] Datta S 1997 Electronic Transport in Mesoscopic System (Cambridge: Cambridge University Press)
- [28] Lee D H and Joannopoulos J D 1981 Phys. Rev. B 23 4988
- [29] Lee D H and Joannopoulos J D 1981 *Phys. Rev.* B **23** 4997