

1 **Increase in the nasopharyngeal carriage of non-vaccine serogroup 15 *Streptococcus***  
2 ***pneumoniae* after introduction of children pneumococcal conjugate vaccination in Hong**  
3 **Kong**

4  
5 Pak-Leung Ho<sup>1\*</sup>, Susan S. Chiu<sup>2</sup>, Pierra Y. Law<sup>1</sup>, Eunice L. Chan<sup>1</sup>, Eileen L. Lai, Kin-Hung  
6 Chow<sup>1</sup>

7 <sup>1</sup>*Department of Microbiology and* <sup>2</sup>*Department of Paediatrics and Adolescent Medicine, The*  
8 *University of Hong Kong, Pokfulam Road, Hong Kong Special Administrative Region,*  
9 *CHINA*

10

11 **Keywords:** *Streptococcus pneumoniae*, drug resistance, serotype, prevalence

12 \*corresponding author: Division of Infectious Diseases, Department of Microbiology and  
13 Centre of Infection, The University of Hong Kong, Queen Mary hospital, Pokfulam Road,  
14 Pokfulam, Hong Kong SAR, CHINA. Tel.: +852-2855 4897; fax: +852-2855 1241.

15 *E-mail address:* plho@hkucc.hku.hk (P. L. Ho).

16

17

18 **Abstract**

19 This study assessed pneumococcal carriage in the early periods after routine use of 13-valent  
20 pneumococcal conjugate vaccine (PCV13) in Hong Kong. Nasopharyngeal swabs were  
21 obtained from 1110 children (<5 years) admitted with acute illness during September  
22 2010-August 2013. Pneumococcal carriage rate was 13.5% in unvaccinated children, 14.1%  
23 in children who had  $\geq 1$  PCV dose and 15.3% in children who had  $\geq 3$  PCV doses.  
24 Nonv-PCV13 serotypes comprised 56.4% of all isolates. The most common serogroup/types  
25 were 15 (15A, 5.1%; 15B, 10.3%; 15C, 9.6%; 15F, 0.6%), 19F (17.9%), 6A (7.1%) and 6C  
26 (7.1%). Carriage of serogroup 15 was more common among vaccinated children (4.1% vs.  
27 0.6%,  $P=0.033$ ). Molecular typing revealed that expansion of several clones (clonal complex,  
28 CC63, CC199, CC1262, CC3397) was responsible for the increase in serogroup 15. Almost  
29 all CC63 and CC3397 isolates were nonsusceptible to both penicillin and erythromycin. The  
30 finding highlights the emergence of serogroup 15 following PCV13 use.

31  
32 (150 words)

33  
34

## 35 **Introduction**

36       Routine use of 7-valent pneumococcal conjugate vaccine (PCV-7) has been followed by  
37 reductions in vaccine serotypes invasive pneumococcal disease (IPD) and nasopharyngeal  
38 carriage among children targeted for vaccination (Ho et al., 2006; Ho et al., 2011b; Miller et  
39 al., 2011). The IPD rates have also reduced in older children and adults through herd  
40 immunity (Miller et al., 2011). However, these benefits have been counteracted by increased  
41 rates of IPD and carriage with non-PCV7 serotypes, notably multidrug-resistant 19A (Moore  
42 et al., 2008). However, the factors affecting serotype replacement are complex (Mehr and  
43 Wood, 2012; Dagan, 2009). Post-PCV7 surveillances have found variability in the pace of  
44 serotype replacement and in the predominating nonvaccine serotypes (Mehr and Wood, 2012).  
45 Broader coverage is provided by the 10-valent and 13-valent pneumococcal conjugate  
46 vaccines (PCV10 and PCV13) which were first approved by the European Medicines Agency  
47 in January 2009 and by the Food and Drug Administration in the United States in February  
48 2010, respectively for use in children. In addition to the seven serotypes included in PCV7 (4,  
49 6B, 9V, 14, 18C, 19F and 23F), PCV10 contains serotypes 1, 5, 7F, and PCV13 contains 1, 3,  
50 5, 6A, 7F and 19A. PCV13 has been approved in 128 countries and at least 83 of them have  
51 put PCV13 into routine use for children (Chiba et al., 2014). Concerns have been raised that  
52 increasing use of PCV13 would drive a shift towards non-PCV13 serotypes in both IPD and  
53 carriage (Mehr and Wood, 2012). Nonetheless, information on the changes in serotype

54 distribution and antimicrobial susceptibilities of pneumococcal isolates in the post-PCV13 era  
55 is limited (Chiba et al., 2014; Zuccotti et al., 2014).

56 In Hong Kong, PCV7 has been available in the market since October 2005 (Ho et al.,  
57 2011a). PCV10 and PCV13 were later marketed in August 2009 and May 2010, respectively.  
58 In Asia, Hong Kong is one of the first cities to introduce PCV7 into the childhood  
59 immunization program and this was implemented since September 2009 (Ho et al., 2011a).  
60 From October 2010 onward, PCV7 was replaced by PCV10. This was mainly driven by  
61 vaccine cost consideration and the non-inferior safety and efficacy of PCV10 against IPD,  
62 when compared to PCV7. From December 2011 onward, PCV10 was replaced by PCV13  
63 (Ho et al., 2011b). No catch-up vaccination was arranged at the time of switching to PCV10  
64 and PCV13. For all formulations, the immunization schedule consists of a standard  
65 three-dose primary series (at 2, 4 and 6 months of age) and a booster dose at age 12-15  
66 months. Before its routine use in our children, PCV7 was available as a self-financed item  
67 and its uptake in the vaccine target population had been low (Ho et al., 2011b). Here, we  
68 investigated the further changes in pneumococcal carriage and of the serotypes and  
69 antimicrobial resistance in children aged <5 years from 2010 to 2013.

70

## 71 **2. Materials and method**

### 72 *2.1 Study design and data collection*

73 Nasopharyngeal swabs were collected from children aged <5 years hospitalized in a  
74 regional hospital from September 2010 to August 2013. A standardized questionnaire was  
75 used to obtain the following participant information from parents of participating children:  
76 demographic characteristics, number of siblings (and their ages), day care attendance, prior  
77 antibiotic treatment (in the last 3 months), current antibiotic treatment, smoking habit of  
78 family members, the physician's diagnosis on the screening day, medical and immunization  
79 history (PCV7, PCV10 and PCV13). Young children aged <5 years who were hospitalized  
80 for acute illness (e.g. respiratory illness, diarrhea) were enrolled. Children with major  
81 underlying condition (e.g. immunosuppression, malignancy, chronic heart disease, chronic  
82 lung disease, chronic renal disease, diabetes mellitus) were excluded. The protocol is  
83 approved by the Institutional Review Board at the Hong Kong West Cluster/University of  
84 Hong Kong.

## 85 *2.2 Microbiological methods*

86 Nasopharyngeal cultures were obtained with alginate-tipped swabs (Ho et al., 2011b).  
87 All specimens were obtained by trained nurses. The swabs were transferred to the  
88 microbiology laboratory at the University of Hong Kong within 6 hours of collection in  
89 Amies transport media (TRANSWAB per nasal; Medical Wire and Equipment, Wilts, United  
90 Kingdom). For selective isolation of *S. pneumoniae*, nasopharyngeal swabs were inoculated  
91 onto 5% horse blood agar supplemented with gentamicin (2 µg/ml) and incubated in 5% CO<sub>2</sub>

92 for 16 to 24 hours. The isolates were identified by colony morphology, Gram stain, optochin  
93 susceptibility, bile solubility and a slide co-agglutination test (Phadebact Pneumococcus Test,  
94 Remel). Susceptibility of the isolates was determined by Etest (penicillin, cefotaxime) or disc  
95 diffusion method (erythromycin) (Clinical and Laboratory Standard Institute, 2014). The  
96 criteria for penicillin-nonsusceptible and cefotaxime-nonsusceptible were  $\geq 0.12\mu\text{g/ml}$  (oral  
97 penicillin breakpoint) and  $\geq 2\mu\text{g/ml}$  (nonmeningitis breakpoint).

### 98 *2.3 Molecular studies*

99 The serotypes of the *S. pneumoniae* isolates were determined by multiplex PCR and the  
100 Quellung reaction (Ho et al., 2011a; Ho et al., 2011b). The isolates were initially tested by a  
101 sequential multiplex PCR approach (Pai et al., 2006). Strains that could not be serotyped and  
102 those that required further testing to the serotype level were then tested by the Quellung  
103 method with group, type and factor antisera from the Statens Seruminstitut (Copenhagen,  
104 Denmark). Serotype 6C and 6D were identified by PCR assays (Ho et al., 2010). Multilocus  
105 sequence typing (MLST) was performed as previously described  
106 (<http://pubmlst.org/spneumoniae/>) and results were analyzed by eBURST v3 (Enright and  
107 Spratt, 1998).

### 108 *2.4 Statistical analysis*

109 The serotype coverage for the 7-valent and 13-valent PCVs for carriage isolates was  
110 calculated as the proportion of all isolates included in the vaccine formulations, without

111 taking into account the potential serogroup cross-protection. Potential risk factors for  
112 pneumococcal carriage were studied by univariate analysis using Chi square or Student's *t*  
113 test. Variables that were significant in the univariate analysis and those that could increase  
114 the risk of carriage from a clinical point of view were further tested by logistic regression  
115 using the forward-conditional models. The following parameters were entered for analysis in  
116 the logistic regression models: age, gender, having sibling aged <5 years, having smoker in  
117 household, day care center (DCC) attendance, recent antibiotic use and any use of PCV. To  
118 further understand how pneumococcal carriage might have changed before and at different  
119 time periods after implementation of PCVs, selected data from this study was tabulated with  
120 those from two previous studies conducted by us (Chiu et al., 2001; Ho et al., 2011b). A *P*  
121 value of <0.05 was considered to indicate statistical significance. All statistical analysis was  
122 performed by the SPSS statistic package (version 20.0, SPSS Hong Kong Ltd., Hong Kong).

123

### 124 **3. Results**

#### 125 *3.1 Demographics*

126 A total of 1110 children were enrolled in the study. The mean age ( $\pm$  standard deviation  
127 [SD]) of the children was  $1.7 \pm 1.2$  years. The mean  $\pm$  SD household size was  $3.6 \pm 1.3$ .  
128 Fifty-eight percent of the children were male. In this cohort of children, 29.6% had household  
129 siblings aged  $\leq 5$  years; 53.4% had recent antibiotic use; 27.6% had exposure to household

130 smokers and 86.0% had at least one dose of any PCVs (79.7% in 2010/2011, 86.3% in  
131 2011/2012 and 95.2% in 2012/2013). Among vaccinated children, 44.1% had  $\geq 1$  dose PCV7,  
132 39.1% had  $\geq 1$  dose PCV10, 29.9% had  $\geq 1$  dose PCV13 and 67.7% had  $\geq 3$  doses of any PCVs.  
133 Combination of  $>1$  PCV formulations was received by 24.9% of the vaccinated children.

### 134 *3.2 Pneumococcal serotype distribution and antimicrobial susceptibilities*

135 Pneumococcal carriage was detected in 14.1% (156/1110) of the children (16.7% in  
136 2010/11, 12.5% in 2011/12, and 12.5% in 2012/13). Table 1 showed distribution of the  
137 serotypes and antimicrobial resistance. Overall, PCV13 serotypes accounted for 43.6%  
138 (68/156) and non-vaccine serotypes accounted for 56.4% (88/156). Four PCV13 serotypes  
139 including 1, 7F, 9V and 18C were not found. The common serotypes were 19F (17.9%), 15B  
140 (10.3%), 15C (9.6%), 6A (7.1%) and 6C (7.1%). Serogroup 15 accounted for 45.5% (40/88)  
141 of all non-vaccine isolates (including 15A, 9.1% [8/88]; 15B, 18.2% [16/88]; 15C, 17.0%  
142 [15/88] and 15F, 1.1% [1/88]). MIC<sub>50</sub>/MIC<sub>90</sub> (range) of penicillin and cefotaxime for all the  
143 isolates were 0.125/4  $\mu\text{g/ml}$  (0.002–4  $\mu\text{g/ml}$ ) and 0.125/4  $\mu\text{g/ml}$  (0.008–16  $\mu\text{g/ml}$ ),  
144 respectively. Isolates with penicillin (MIC  $\geq 0.12\mu\text{g/ml}$ ), cefotaxime (MIC  $\geq 2\mu\text{g/ml}$ ),  
145 erythromycin and dual penicillin/erythromycin nonsusceptibility were found among a wide  
146 range of different serotypes (Table 1). Eighteen isolates had penicillin MIC  $\geq 4 \mu\text{g/ml}$ . They  
147 belong to serotypes 19F (n=11), 19A (n=2), 15C (n=2), 15B (n=1), 6C (n=1) and 34 (n=1).

148



149 *3.3 Changes in serotypes of carried pneumococci over time*

150 The data from this study was tabulated with those from two previous studies (Table 2).  
151 Compared to the previous two groups of children, there was a small decline in overall  
152 pneumococcal carriage and antibiotic-resistant pneumococcal carriage rates. Prevalence of  
153 PCV7 serotypes progressively declined. In contrast, pneumococcal isolates of non-PCV13  
154 serotypes, especially serogroup 15 have increased in prevalence over time (Table 2). Within  
155 the three years in period 3, no significant trends in serotype replacement were observed. The  
156 prevalence of carriage of serogroup 15 was 3.6% in 2010/2011, 3.3% in 2011/2012 and 4.0%  
157 in 2012/2013 ( $P=0.883$ ). Serogroup 15 accounted for 21.7% of all pneumococcal isolates in  
158 2010/2011, 26.4% in 2011/2012 and 32.4% in 2012/2013 ( $P=0.504$ ).

159

160 *3.4 Pneumococcal carriage and PCV use*

161 In the current cohort (period 3), total pneumococcal carriage was not associated with  
162 pneumococcal immunization status. Carriage rate was 13.5% in unvaccinated children, 14.1%  
163 in children who had  $\geq 1$  PCV dose ( $P = 0.845$ ) and 15.3% in children who had  $\geq 3$  PCV doses  
164 ( $P = 0.437$ ). The effect of PCVs on pneumococcal carriage was further investigated by  
165 multivariate analysis. A history of PCV use was independently associated with lower carriage  
166 of PCV7 serotypes ( $P=0.042$ ; odds ratio [OR], 0.5; 95% confidence interval [CI], 0.2 to 0.9)  
167 and higher carriage of non-PCV13 serotypes ( $P=0.025$ ; OR, 2.9; 95% CI, 1.1 to 7.2).

168 Carriage of serogroup 15 was significantly more common among children with a history of  
169 PCV use (4.1% [39/955] vs. 0.6% [1/155],  $P=0.033$ ). However, the association failed to  
170 reach statistical significance in the multivariate analysis ( $P=0.064$ , OR, 6.6; 95% CI, 0.9 to  
171 48.1).

172

### 173 *3.5 Changes in antimicrobial resistance and clonal structure of serogroup 15 isolates*

174 A total of 82 serogroup 15 isolates (serotype 15A, 17 isolates; 15B, 26 isolates; 15C, 35  
175 isolates; and 15F, 4 isolates) were obtained during the three time periods and all were  
176 investigated by MLST. The relationship between MLST and serogroup 15 subtypes was  
177 summarized in Table 3. An eBURST analysis of our isolates with all other serogroup 15  
178 isolates in the MLST database was shown in Figure S1 (supplementary file). In the three time  
179 period, either 15C or 15B was the most prevalent subtype. The isolates belonged to 24  
180 different sequence types (STs) of which 68 isolates belonged to five clonal complexes (CCs,  
181 with 6 to 27 isolates each) and 14 isolates were singletons (12 different STs). The prevalence  
182 of four clones had increased over time (Figure 1): CC199-serotype 15B/C clone, 1.3%, 2.6%  
183 and 8.3% ( $P < 0.001$ ); CC1262-serotype 15B/C clone, 0%, 0.9% and 6.4% ( $P<0.001$ );  
184 CC63-serotype 15A/C/F clone, 0.3%, 1.4% and 5.8% ( $P < 0.001$ ); and CC3397-serotype  
185 15B/C clone, 0%, 0.6% and 2.6% ( $P = 0.004$ ) in period 1, period 2 and period 3, respectively.  
186 The nonsusceptibility rates among serogroup 15 isolates from period 1, period 2 and period 3

187 were 7.1%, 32.1% and 37.5% for penicillin ( $P = 0.102$ ), 50.0%, 57.1% and 47.5% for  
188 erythromycin ( $P = 0.732$ ), and 7.1%, 32.1% and 30.0% ( $P = 0.185$ ) for dual  
189 penicillin/erythromycin nonsusceptibility, respectively. The penicillin- and  
190 erythromycin-nonsusceptibility rates by clonal groups were as follows: CC199-serotype  
191 15B/C, 11.1%/18.5%; CC63-serotype 15A/C/F, 80%/100%; CC1262-serotype 15B/C,  
192 7.7%/15.4%; CC156-serotype 15A/C/F, 14.3%/57.1%; CC3397-serotype 15B/C,  
193 100%/100% and singletons, 14.3%/71.4%. High level penicillin resistance (MIC  $\geq 4$   $\mu\text{g/ml}$ )  
194 was observed in four isolates, three from period 3 and one from period 2. The isolates  
195 belonged to ST3397-serotype 15C (n=2), ST199-serotype 15B (n=1) and ST1262-serotype  
196 15C (n=1).

197

#### 198 **4. Discussion**

199 This study extends our previous observations on the changes in pneumococcal carriage,  
200 antimicrobial resistance rates and serotype distribution of nasopharyngeal isolates before and  
201 since the routine use of PCVs in our children (Ho et al., 2011b). The findings showed that  
202 overall carriage declined and was due mainly to a loss of PCV7 serotypes. At the same time,  
203 there was replacement with non-vaccine serotypes. We previously noted an increase in  
204 several PCV13-nonPCV7 (6A and 19A) and nonPCV13 serogroup/serotypes (6C, 23A and

205 15) among children from period 2 (Ho et al., 2011b). Here, we found that the incidences of  
206 6A, 19A and 6C have declined or stabilized following a switch to PCV13 for routine use.

207 Of note, serogroup 15 isolates continued to rise and it is now the predominant colonizing  
208 serogroup/serotype. When all the subtypes of serogroup 15 are considered together, their  
209 prevalence had increased by 6.9 folds from 3.7% in 1999-2000 to 8.1% in 2009-2010 and  
210 25.6% in 2010-2013. In serially sampled Massachusetts communities, 15B/C had increased  
211 from 6% in 2001 to 11% in 2008-2009 following the widespread use of PCV7 (Wroe et al.,  
212 2012). Among Pittsburgh-area's children presenting with acute otitis media (Martin et al.,  
213 2014), an increase in the carriage of serogroup 15 pneumococci was also noted. In 2012-2013  
214 (Martin et al., 2014), serogroup 15 (all subtypes) was the most frequently occurring  
215 serogroup/serotype comprising 23% of all colonizing pneumococcal isolates. At present, the  
216 clinical significance of the emergence of serogroup 15 in NP carriage is unclear. Previous  
217 studies found that serotype 1, 3, 5, 7F and 19A (total 7.7% in this study) have a high  
218 propensity to cause invasive disease while the ability of serogroup 15 to cause invasive  
219 disease is low (Del et al., 2014; Yildirim et al., 2010). In the post-PCV13 era, only small  
220 numbers of invasive pneumococcal disease were caused by serogroup 15 (Levy et al., 2014).  
221 In Japan where PCV7 vaccination in children began in 2010, an increase in the rate of  
222 pediatric invasive pneumococcal disease caused by 15A, 15B and 15C during 2010-2012 was  
223 noted (Chiba et al., 2014). In our locality, ongoing surveillance has not detected any increase

224 in the frequency of occurrence of serogroup 15 among children with invasive pneumococcal  
225 disease (Ho et al., 2011a).

226 Genotypic analysis of the serogroup 15 strains by MLST revealed that increasing  
227 prevalence of serogroup 15 was mainly caused by expansion of several preexisting clones  
228 (CC63-serotype 15A/C/F, CC199-serotype 15B/C, CC1262-serotype 15B/C and  
229 CC3397-serotype 15B/C). Two of them, CC63-serotype 15A/C/F and CC199-serotype 15B/C  
230 are internationally recognized clones designated by the Pneumococcal Molecular  
231 Epidemiology Network ([http://web1.sph.emory.edu/PMEN/pmen\\_table1.html](http://web1.sph.emory.edu/PMEN/pmen_table1.html)) as  
232 Sweden<sup>15A</sup>-25/ST63 and Netherlands<sup>15B</sup>-37/ST199, respectively. In the MLST database (last  
233 accessed 25 August 2014) CC/ST63 and CC/ST199 are the commonest genotypes associated  
234 with serotype 15A and 15B/C, respectively. Besides serogroup 15 subtypes, ST63 was also  
235 commonly associated with serotype 14, 19A and 19F, and ST199 associated with serotypes 3,  
236 6, 19A, 19F, 23A and 23F. Prior to the introduction of PCV13, ST199 is one of the major  
237 STs involved in the massive expansion of serotype 19A (Scott et al., 2012). ST1262 and  
238 ST3397 are minor genotypes among serogroup 15 strains in the MLST database. All ST3397  
239 strains deposited in the database were of serogroup 15 and all were recovered from patients in  
240 China (Li et al., 2011). As suggested previously (Scott et al., 2012), some STs may be  
241 preferentially favored in serotype replacement. This could occur as existing clones switch

242 their capsules or by expansion of preexisting STs with nonvaccine serotypes (Croucher et al.,  
243 2013).

244 This study has some potential limitations. First, we studied a convenient sample of  
245 children hospitalized to a regional hospital. The fact that many children had history of recent  
246 antibiotic use may influence the results of this study. Therefore, caution is required in  
247 interpreting the findings involving comparisons with results from our previous territory-wide  
248 carriage studies (Chiu et al., 2001; Ho et al., 2011b). Second, the number of children with  
249 carriage was relatively small. Therefore, it was not possible to stratify the findings by age  
250 groups. Third, the methodology that we adopted for culture is not capable of detecting  
251 carriage of multiple serotypes. The strengths of this study include molecular typing of the  
252 serogroup 15 isolates, and analysis of the culture result against the vaccination history and  
253 other epidemiological data.

254 In conclusion, this study showed that nasopharyngeal carriage by previously prevalent  
255 pneumococcal vaccine serotypes have largely been replaced by non-PCV13 types, especially  
256 serogroup 15. The results demonstrate that expansion of preexisting clones was mainly  
257 responsible for the recent increase in serogroup 15. Future pneumococcal vaccines may need  
258 to be planned according to increasing serogroup 15.

259

260 **Acknowledgements**

261 This study is supported by research grants from the Health and Medical Research Fund  
262 (formerly Research Fund for the Control of Infectious Diseases) of the Food and Health  
263 Bureau of the Hong Kong SAR Government and the RGC Collaborative Research Fund  
264 Project on Syndromic Surveillance and Modelling for Infectious Diseases. Authors had no  
265 conflict of interest to declare.

266

267

268 Table 1  
 269 Distribution of antimicrobial non-susceptible nasopharyngeal *Streptococcus pneumoniae*  
 270 isolates according to serotypes, Hong Kong 2010-2013

	Total		% with resistance phenotype <sup>d</sup>			
	%	n	Pen-NS	Ctx-NS	Ery-NS	Pen/Ery-NS
PCV13 types <sup>a</sup>						
<u>19F</u>	17.9	28	78.6	53.6	92.9	71.4
6A	7.1	11	63.6	0	100	63.6
19A	5.1	8	87.5	37.5	87.5	87.5
<u>14</u>	4.5	7	100.0	0	85.7	85.7
<u>23F</u>	3.8	6	50.0	0	66.7	50.0
<u>6B</u>	1.9	3	66.7	0	100	66.7
3	1.9	3	0	0	33.3	0
<u>4</u>	0.6	1	0	0	100.0	0
5	0.6	1	0	0	0	0
Non-PCV13 types						
15B	10.3	16	18.8	6.3	25.0	6.3
15C	9.6	15	46.7	13.3	40.0	40.0
6C	7.1	11	81.8	18.2	81.8	72.7
15A	5.1	8	50.0	12.5	100.0	50.0
23A	3.2	5	60.0	0	100.0	60.0
34	2.6	4	75.0	25.0	25.0	25.0
35B	2.6	4	50.0	0	0	0
11D	1.3	2	0	0	50.0	0
28A	1.3	2	0	0	100.0	0
35A/C/42	1.3	2	0	0	100.0	0
35F	1.3	2	0	0	50.0	0
15F	0.6%	1	100.0	0.0	100.0	100.0
Others <sup>b</sup>	5.1	8	0	0	50.0	0
NT	5.1	8	37.5	0	62.5	37.5
Total	100.0	156	53.2	16.0	69.2	46.2

271 <sup>a</sup> PCV7 serotypes were underlined. Four PCV13 serotypes including 1, 7F, 9V and 18C were  
 272 not found.

273 <sup>b</sup> Including one isolate each of the following eight serotypes: 11A, 13, 17F, 18A, 21, 22F, 29  
 274 and 33F.

275 <sup>d</sup> Penicillin-nonsusceptible (Pen-NS, MIC  $\geq$ 0.12 $\mu$ g/ml), cefotaxime-nonsusceptible (Ctx-NS,  
 276 MIC  $\geq$ 2 $\mu$ g/ml); erythromycin-nonsusceptible (Ery-NS); dual penicillin/erythromycin  
 277 -nonsusceptible (Pen/Ery-NS).



278 Table 2

279 Comparison of selected characteristics of children and nasopharyngeal *Streptococcus*  
 280 *pneumoniae* carriage, Hong Kong

	Sampling time <sup>a</sup>			P value <sup>b</sup>
	Period 1	Period 2	Period 3	
No. of children tested	1978	2221	1110	-
Recent antibiotic use, %	50.1	30.6	53.4	<0.001
Children with at least one dose of				
Any PCVs, %	0.0	28.1	86.0	<0.001
PCV13, %	0.0	0.0	25.8	<0.001
Children with pneumococcal carriage, %				
Any serotypes	19.4	15.6	14.1	<0.001
PCV7 serotypes	12.8	8.6	4.1	<0.001
PCV13-nonPCV7 serotypes	1.3	2.5	2.1	0.019
Non-PCV13 serotypes	5.3	4.6	7.9	<0.001
Serotype 6C	0.4	1.2	1.0	<0.001
Serogroup 15	0.7	1.3	3.6	<0.001
Antibiotic- resistant pneumococcal carriage, %				
Pen-NS	11.3	11.1	7.5	0.428
Ery-NS	14.9	13.6	9.7	0.266
Total no. of pneumococcal isolates	383	347	156	-
Proportion of pneumococci, % all isolates				
PCV7 serotypes	66.1	54.8	28.8	<0.001
PCV13-nonPCV7 serotypes	6.5	15.9	14.7	<0.001
Non-PCV13 serotypes	27.4	29.4	56.4	<0.001
Serotype 6C	1.8	7.8	7.1	0.001
Serogroup 15	3.7	8.1	25.6	<0.001

281 <sup>a</sup> Data for period 1 and period 2 were from two previous carriage studies involving healthy  
 282 children aged ≤5 years attending kindergartens or day care centers (Ho et al., 2011b; Chiu et  
 283 al., 2001) while period 3 involved children hospitalized in a regional hospital. The sampling  
 284 times were as follows: period 1, December 1999-June 2000; period 2, September 2009-April  
 285 2010; and period 3, September 2010-August 2013.

286 <sup>b</sup> Chi Square for comparison of data in the three time periods.

287

288

289 Table 3  
 290 Subtypes of serogroup 15 by pneumococcal clones and collection periods, Hong Kong

Variable	n	Serotype, % by row			
		15A	15B	15C	15F
Collection time					
Period 1	14	21.4	7.1	50.0	21.4
Period 2	28	21.4	32.1	46.4	0.0
Period 3	40	20.0	40.0	37.5	2.5
Clones					
CC199 <sup>a</sup>	27	0.0	48.1	51.9	0.0
CC63	15	80.0	0.0	6.7	13.3
CC1262	13	0.0	46.2	53.8	0.0
CC156	7	57.1	0.0	14.3	28.6
CC3397	6	0.0	16.7	83.3	0.0
Singletons <sup>b</sup>	14	7.1	42.9	50.0	0.0
Total	82	20.7	31.7	42.7	4.9

291 CC, clonal complex; ST, sequence type

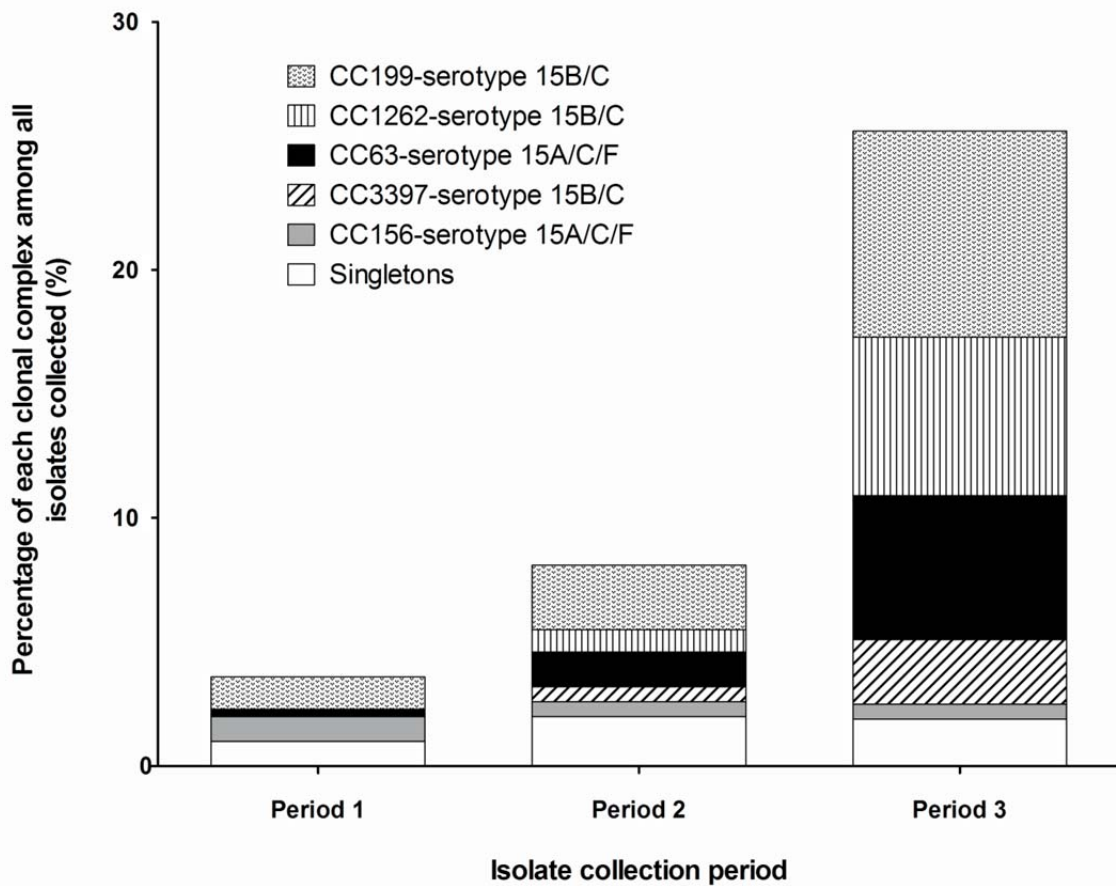
292 <sup>a</sup>The following STs were found in the CCs.: CC199 (ST199, 22 isolates; ST200, 3 isolates;  
 293 ST1200, 1 isolate; ST9763, 1 isolate), CC63 (ST63, 15 isolates); CC1262 (ST1262, 13  
 294 isolates); CC156 (ST2647, 2 isolates; ST5453, 2 isolates; ST6011, 1 isolate; ST1078, 1  
 295 isolate; ST3280, 1 isolate); CC3397 (ST3397, 6 isolates).

296 <sup>b</sup> Two STs (ST8914 and ST9765) has two isolates each. Ten STs had one isolate each: ST83,  
 297 ST193, ST1835, ST2758, ST8496, ST9762, ST9764, ST9766, ST9767 and ST9768.

298 New STs found for the first time in this study were underlined.

299

300 Figure 1  
 301 Changes in the prevalence of *Streptococcus pneumoniae* serogroup 15 isolates according to  
 302 clonal complexes and sampling periods, Hong Kong. The sampling times were as follows:  
 303 period 1, December 1999-June 2000; period 2, September 2009-April 2010; and period 3,  
 304 September 2010-August 2013. The serotypes of the clonal complexes (CCs) were labeled.  
 305



306  
 307  
 308  
 309

310 **References**

311 Chiba N, Morozumi M, Shouji M, Wajima T, Iwata S, Ubukata K. Changes in capsule and  
312 drug resistance of pneumococci after introduction of PCV7, Japan, 2010-2013. *Emerg*  
313 *Infect Dis* 2014;20:1132-1139.

314 Chiu SS, Ho PL, Chow FK, Yuen KY, Lau YL. Nasopharyngeal carriage of  
315 antimicrobial-resistant *Streptococcus pneumoniae* among young children attending 79  
316 kindergartens and day care centers in Hong Kong. *Antimicrob Agents Chemother*  
317 2001;45:2765-2770.

318 Clinical and Laboratory Standard Institute. Performance standards for antimicrobial  
319 susceptibility testing: Twenty-fourth informational supplement M100-S24. Wayne, PA,  
320 Clinical and Laboratory Standard Institute, 2014.

321 Croucher NJ, Finkelstein JA, Pelton SI, Mitchell PK, Lee GM, Parkhill J, et al. Population  
322 genomics of post-vaccine changes in pneumococcal epidemiology. *Nat Genet*  
323 2013;45:656-663.

324 Dagan R. Serotype replacement in perspective. *Vaccine* 2009;27 Suppl 3:C22-C24.

325 Del AE, Brotons P, Monsonis M, Trivino M, Inigo M, Selva L, et al. High invasiveness of  
326 pneumococcal serotypes included in the new generation of conjugate vaccines. Clin  
327 Microbiol Infect 2014;20:684-689.

328 Enright MC, Spratt BG. A multilocus sequence typing scheme for *Streptococcus pneumoniae*:  
329 identification of clones associated with serious invasive disease. Microbiology  
330 1998;144 ( Pt 11):3049-3060.

331 Ho PL, Ang I, Chow KH, Lai EL, Chiu SS. The prevalence and characteristics of  
332 *Streptococcus pneumoniae* isolates expressing serotypes 6C and 6D in Hong Kong  
333 prior to the introduction of the 7-valent pneumococcal conjugate vaccine. Diagn  
334 Microbiol Infect Dis 2010;68:439-444.

335 Ho PL, Chiu SS, Ang I, Lau YL. Serotypes and antimicrobial susceptibilities of invasive  
336 *Streptococcus pneumoniae* before and after introduction of 7-valent pneumococcal  
337 conjugate vaccine, Hong Kong, 1995-2009. Vaccine 2011a;29:3270-3275.

338 Ho PL, Chiu SS, Chan MY, Ang I, Chow KH, Lau YL. Changes in nasopharyngeal carriage  
339 and serotype distribution of antibiotic-resistant *Streptococcus pneumoniae* before and  
340 after the introduction of 7-valent pneumococcal conjugate vaccine in Hong Kong.  
341 Diagn Microbiol Infect Dis 2011b;71:327-334.

342 Ho PL, Chiu SS, Cheung CH, Lee R, Tsai TF, Lau YL. Invasive pneumococcal disease  
343 burden in Hong Kong children. *Pediatr Infect Dis J* 2006;25:454-455.

344 Levy C, Varon E, Picard C, Bechet S, Martinot A, Bonacorsi S, et al. Trends of  
345 Pneumococcal meningitis in children after Introduction of the 13-valent pneumococcal  
346 conjugate vaccine in France. *Pediatr Infect Dis J* 2014 (electronically published on 16  
347 July 2014).

348 Li Y, Tomita H, Lv Y, Liu J, Xue F, Zheng B, et al. Molecular characterization of erm(B)-  
349 and mef(E)-mediated erythromycin-resistant *Streptococcus pneumoniae* in China and  
350 complete DNA sequence of Tn2010. *J Appl Microbiol* 2011;110:254-265.

351 Martin JM, Hoberman A, Paradise JL, Barbadora KA, Shaikh N, Bhatnagar S, et al.  
352 Emergence of *Streptococcus pneumoniae* Serogroups 15 and 35 in nasopharyngeal  
353 cultures from young children with acute otitis media. *Pediatr Infect Dis J*  
354 2014;33:e286-e290.

355 Mehr S, Wood N. *Streptococcus pneumoniae*--a review of carriage, infection, serotype  
356 replacement and vaccination. *Paediatr Respir Rev* 2012;13:258-264.

357 Miller E, Andrews NJ, Waight PA, Slack MP, George RC. Herd immunity and serotype  
358 replacement 4 years after seven-valent pneumococcal conjugate vaccination in England  
359 and Wales: an observational cohort study. *Lancet Infect Dis* 2011;11:760-768.

360 Moore MR, Gertz RE, Jr., Woodbury RL, Barkocy-Gallagher GA, Schaffner W, Lexau C, et  
361 al. Population snapshot of emergent *Streptococcus pneumoniae* serotype 19A in the  
362 United States, 2005. *J Infect Dis* 2008;197:1016-1027.

363 Pai R, Gertz RE, Beall B. Sequential multiplex PCR approach for determining capsular  
364 serotypes of *Streptococcus pneumoniae* isolates. *J Clin Microbiol* 2006;44:124-131.

365 Scott JR, Hanage WP, Lipsitch M, Millar EV, Moulton LH, Hinds J, et al. Pneumococcal  
366 sequence type replacement among American Indian children: a comparison of pre- and  
367 routine-PCV7 eras. *Vaccine* 2012;30:2376-2381.

368 Wroe PC, Lee GM, Finkelstein JA, Pelton SI, Hanage WP, Lipsitch M, et al. Pneumococcal  
369 carriage and antibiotic resistance in young children before 13-valent conjugate vaccine.  
370 *Pediatr Infect Dis J* 2012;31:249-254.

371 Yildirim I, Hanage WP, Lipsitch M, Shea KM, Stevenson A, Finkelstein J, et al. Serotype  
372 specific invasive capacity and persistent reduction in invasive pneumococcal disease.  
373 *Vaccine* 2010;29:283-288.

374 Zuccotti G, Mameli C, Daprai L, Garlaschi ML, Dilillo D, Bedogni G, et al. Serotype  
375 distribution and antimicrobial susceptibilities of nasopharyngeal isolates of  
376 *Streptococcus pneumoniae* from healthy children in the 13-valent pneumococcal  
377 conjugate vaccine era. *Vaccine* 2014;32:527-534.

378

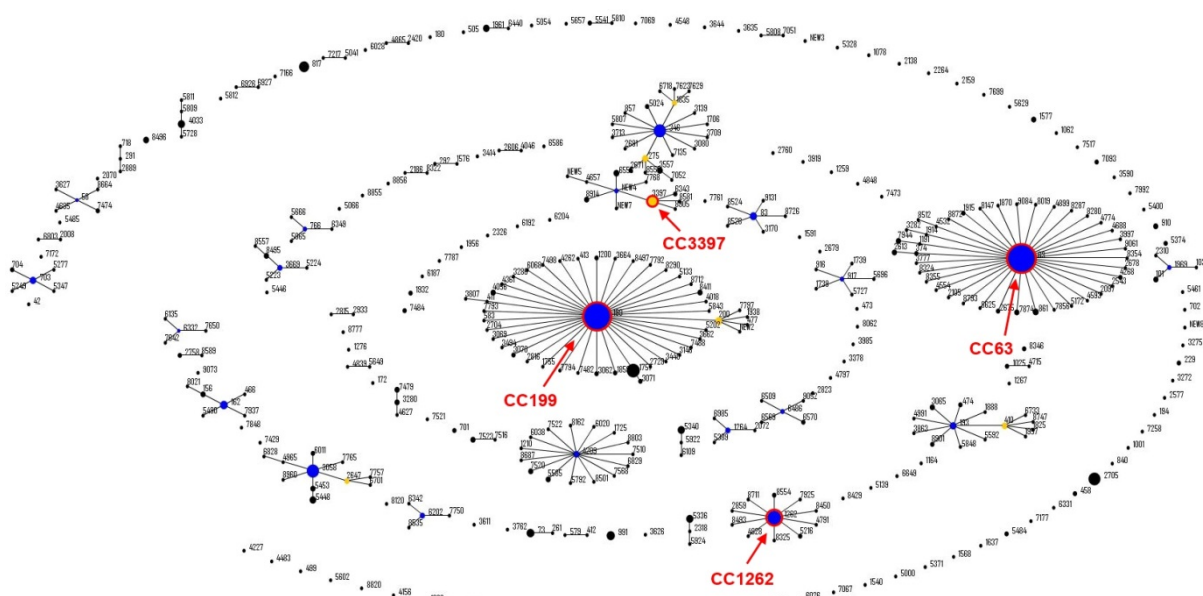
379

380



381 **Figure S1. eBURST analysis showing the ST distribution of serogroup 15 isolates. A**  
382 total of 849 serogroup 15 isolates including 767 isolates from the MLST database (accessed  
383 on 25 August 2014) and 82 isolates from the current study were included. The four major  
384 clonal clusters (CC) found in this study were indicated with arrows.

385  
386



387  
388  
389