

1 **ORIGINAL ARTICLE**

2 **A cost-effectiveness comparison between early surgery and non-surgical approach for**

3 **incidental papillary thyroid microcarcinoma (PTMC)**

4 **Running head:** Non-surgical approach is always cost-effective

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24

25 **ABSTRACT**

26 **Background**

27 The issue of whether all incidental papillary thyroid microcarcinoma (PTMC) should be
28 managed by early surgery (ES) has been questioned and there is a growing acceptance that a
29 non-surgical approach (NSA) might be more appropriate. We conducted a cost-effectiveness
30 analysis comparing **the two strategies** in managing incidental PTMC.

31 **Methods**

32 Our base case was a hypothetical 40 year-old female diagnosed with a unifocal intra-thyroidal
33 9mm PTMC. The PTMC was considered suitable for either strategy. A Markov decision tree
34 model was constructed to compare the estimated cost-effectiveness between ES and NSA after
35 20 years. Outcome probabilities, utilities and costs were derived from the literature. The
36 threshold for cost-effectiveness was set at USD50,000/quality-adjusted life year (QALY). **A**
37 **further analysis was done for patients <40 and ≥40 years**. Sensitivity and threshold analyses were
38 used to examine model uncertainty.

39 **Results**

40 Each patient who adopted NSA over ES cost an extra USD682.54 but gained an additional 0.260
41 QALY. NSA was cost-saving (i.e. less costly and more effective) up to 16 years from diagnosis
42 and remained cost-effective from 17 years onward. In the sensitivity analysis, NSA remained
43 cost-effective regardless of **patient age (<40 and ≥40 years)**, complications, rates of progression,
44 year cycle and discount rate. In the threshold analysis, none of the scenarios that could have
45 changed the conclusion appeared clinically likely.

46 **Conclusions**

47 For a selected group of incidental PTMC, adopting NSA was not only cost-saving in the initial
48 16 years but also remained cost-effective thereafter. This was irrespective of **patient age**,
49 complication rate or rate of PTMC progression.

50 INTRODUCTION

51 Papillary thyroid carcinoma (PTC) is the most common type of thyroid carcinoma and its age-
52 related incidence has doubled in the last two decades [1]. However, despite this rise, the
53 associated mortality has remained largely unchanged [2,3]. One explanation for this is that this
54 rise has been mainly due to an increased discovery of seemingly-harmless papillary thyroid
55 microcarcinoma (PTMC) (≤ 1 cm) rather than clinically-significant PTCs [2,3]. Autopsy studies
56 have shown that occult PTMCs are present in up to one-third of patients who died of unrelated
57 disease [4]. Therefore, most PTMCs probably never cause any harm or become clinically-
58 significant over one's life-time. This has led to the question of whether all incidental PTMCs
59 should be managed by immediate or early surgery (ES) in the same way as other larger PTCs.
60 To date, two Japanese centers (namely, the Kuma Hospital and Cancer Institute Hospital) have
61 challenged this conventional dogma by conducting non-surgical or observation trials for
62 incidental PTMC for the last 10 years or more [5-8]. Under these trials, patients with
63 cytologically-confirmed PTMC were continuously monitored by regular ultrasound (USG)
64 examination and were offered ES only when the PTMC exhibited signs of progression or spread
65 [5-8]. Their results so far have shown that the great majority (>90%) of PTMCs do not progress
66 with time and more importantly, under the non-surgical approach (NSA), none of the patients
67 developed distant metastasis or die from PTC as a result of delayed surgery [5-8]. Given these
68 findings, NSA has been accepted and adopted by the Japanese Society of Thyroid Surgeons and
69 Japan Association of Endocrine Surgeons as an alternative treatment of PTMC [9]. The
70 feasibility of adopting a similar strategy has been increasingly discussed in the West [10,11].
71 However, while NSA may serve as an acceptable alternative to ES in the management of
72 incidental PTMC, its cost-effectiveness has rarely been discussed. Although NSA may cost less

73 initially because of fewer operations and less procedural-related complications, it requires life-
74 time surveillance and also over time, more patients are expected to need surgery because of
75 tumor progression. Therefore, we hypothesized that perhaps over time, NSA might become more
76 costly and even less cost-effective than ES from an institution's perspective. The present study
77 aimed to compare the long-term cost-effectiveness between ES and NSA for cytologically-
78 confirmed incidental PTMC based on the published literature.

79 **MATERIALS AND METHODS**

80 A decision tree model using TreeAge Software Pro version 2013 (TreeAge Software, Inc.,
81 Williamstown, MA, US) was constructed to compare the long-term cost-effectiveness between
82 two strategies, namely NSA and ES, in the management of a cytologically-confirmed incidental
83 PTMC. **According to the observation trial protocol**, after diagnosis, patients were continuously
84 monitored half-yearly with USG examination until there were signs of tumor progression or
85 spread [5-8]. These included the index tumor enlarging $\geq 3\text{mm}$ from its original size, new
86 appearance of regional nodal metastasis confirmed on fine-needle aspiration cytology (FNAC)
87 and / or the index PTMC enlarging to $\geq 12\text{mm}$ in size [5-8]. For the first and third scenarios, a
88 hemithyroidectomy (HT) + unilateral central neck dissection (CND) was considered sufficient
89 [5-8]. For the second scenario, a total thyroidectomy + unilateral central neck dissection + lateral
90 selective neck dissection (TT+ CND + SND) was necessary because new nodal metastases were
91 mostly found in the lateral compartment [5-8]. In addition, over time, some patients would
92 undergo thyroidectomy for a non-cancerous cause (such as Graves' disease, multinodular goiter
93 or change in patient or physician preference) and in such situations, a TT + CND was usually
94 performed [5,6]. In contrast, under the ES strategy, all patients underwent a HT + CND shortly
95 after diagnosis. Figure 1 outlines the decision-analytic modelling.

96 **Base-case patient**

97 A 40 year-old female who was incidentally diagnosed with a unifocal intra-thyroidal 9mm
98 PTMC in the right lobe. The diagnosis was confirmed on FNAC and the tumor was considered
99 suitable for NSA because it was located far from the trachea or recurrent laryngeal nerve and
100 there was no associated nodal or distant metastasis or history of previous thyroidectomy, familial
101 syndrome or neck irradiation [5-8]. She was managed expectantly for 20 years.

102 **Probabilities**

103 The proportions of PTMCs showing signs of progression or spread (such as interval size
104 enlargement ≥ 3 mm, development of new regional nodal metastasis and PTMC enlarging to
105 ≥ 12 mm) and chance of needing thyroidectomy for a non-cancerous cause **over 1 year** were
106 derived from data **that had spanned for over 10 years** [5-8]. Estimate on surgical complications
107 after HT + CND, TT + CND and TT + CND + SND came from relevant studies in the literature
108 [12-14]. Base-case values were derived by pooling the results of all retrieved studies. The annual
109 mortality rate of female patients by 10-year age groups was quoted from the US Centers for
110 Disease Control and Prevention [15]. Table 1 summarizes outcome probabilities used.

111 **Cost data**

112 Our model only looked at the cost of NSA and ES from an institution's perspective. Total cost
113 included procedural cost, complication cost, and hospitalization. Indirect costs such as loss of
114 productivity and wages were not included. **For simplicity, the life-time cost of thyroxin**
115 **replacement was not included as the majority of operations were HT + CND.** Unit costs of HT +
116 CND, TT + CND and TT + CND + SND were estimated based on Medicare reimbursement for
117 surgical procedure obtained from public access file from Centers for Medicare and Medicaid
118 Services [16-18]. Unit costs of surgically-related complications and half yearly USG surveillance
119 were based on data obtained from previous cost-effectiveness analyses [13,14]. Table 2
120 summarizes the unit costs used.

121 **Effectiveness data**

122 Effectiveness was measured by quality-adjusted life years (QALYs) gained. QALY adjusts the
123 life-expectancy through the multiplication of quality of life adjustment with duration stayed at

124 each health state. The quality of life adjustment is quantified by a utility score ranging from zero
125 to one. Table 1 lists the utility score for each health state.

126 **Assumptions**

127 All surveillance USG examinations were performed by dedicated radiologists using standardized
128 technique with minimal inter-observer variability. The proportion of PTMC showing signs of
129 progression (such as tumor size enlargement, nodal appearance and tumor becoming $\geq 12\text{mm}$)
130 was assumed to be constant throughout the entire observation period and similar for patients of
131 all ages. All patients in both strategies were assumed cured and disease-free after surgery (i.e. no
132 locoregional recurrence) as these tumors are considered very low risk [19]. A permanent
133 complication resulted from surgery was assumed to be the only factor to impair the health state
134 of patients. Temporary complications and patient anxiety during observation were not accounted
135 for. Full compliance was assumed for all kinds of assessment, treatment and surveillance.

136 **Base-case analysis**

137 This was done according to the established guideline for cost-effectiveness analysis [20]. The
138 incremental cost-effectiveness ratio (ICER) was the only outcome measurement and equaled to
139 $[\text{cost of NSA} - \text{cost of ES}] / [\text{QALYs of NSA} - \text{QALYs of ES}]$. A strategy was “cost-saving” if
140 it was costing less and also more effective over the competing strategy (i.e. that strategy
141 dominated the competing strategy). A strategy was regarded cost-effective over another strategy
142 if the ICER was $< \text{USD}50,000$ per QALY gained. This threshold was chosen based on analysis
143 of the cost of current healthcare resource allocation decisions in the US [20]. **Since age was**
144 **shown to be a critical determinant of tumor progression and spread [6], a subgroup analysis was**
145 **done for those aged <40 years and those aged ≥ 40 years.**

146 **Sensitivity analysis**

147 Univariate sensitivity analysis was performed to evaluate the impact of various outcome
148 probabilities on the base-case analysis. Each clinical parameter varied from the lowest to the
149 highest values as suggested in the literature while other parameters remained constant. Since ES
150 would be less effective than NSA (a positive incremental effectiveness), a negative incremental
151 cost meant NSA was a dominant strategy. A threshold analysis was undertaken to capture the
152 threshold clinical values at which the ICER of NSA relative to ES became zero (cost equivalence)
153 or infinity (QALY equivalence). The range of threshold analysis was considerably expanded by
154 adopting the theoretical range from 0 to 100%.

155 **RESULTS**

156 **Base-case analysis**

157 Table 3 shows the results of base-case analysis. After a 20-year period, each patient in NSA
158 spent an extra USD682.54 but also gained an additional 0.260 QALY over ES. Therefore,
159 following the base-case assumptions and model inputs, NSA became more costly but was also
160 more effective than ES in the institution's perspective. The ICER of USD2630.00 for NSA
161 relative to ES was far below the recommended threshold of USD50,000 per QALY. **In the**
162 **subgroup analysis, those aged <40 years had an ICER which was higher than that of those aged**
163 **≥40 years (USD 11501.750 vs. USD 1262.696). Nevertheless, both were still far below the**
164 **threshold of USD 50,000 per QALY.**

165 **Sensitivity analysis**

166 Table 3 shows the univariate sensitivity analysis. No change in the conclusion was observed
167 when key parameters such as complication rates and annualized rate of progression under NSA
168 varied. Varying these parameters still yielded positive incremental effectiveness and ICERs
169 implying NSA remained cost-effective or cost-saving. Similarly, varying the number of year-
170 cycles (from 10 to 50 years) or discount rate (from 0 to 5%) did not change the base-case
171 conclusion. **The same analysis was performed for the two subgroups, patients <40 years and ≥40**
172 **years, but again, despite varying complication rates, year cycle and discount rate, NSA remained**
173 **cost-effective or cost saving (data not shown).** Figure 2 shows the changes in average cost for
174 NSA relative to ES over a 50-year period. Relative to ES, NSA was cost-saving up to 16 years
175 and thereafter, became cost-effective.

176 Table 4 shows the threshold analyses. To make NSA cost-saving relative to ES beyond 20 years,
177 there were 2 possible scenarios. First, the permanent vocal cord palsy (VCP) after HT + CND

178 increased from 0.85% to $\geq 1.34\%$. Second, the hematoma rate after HT + CND increased from
179 0.69% to $\geq 15.33\%$. In contrast, to render ES cost-saving relative to NSA, there were 5 possible
180 but unlikely scenarios. They were permanent VCP after TT + CND increased from 1.70% to
181 $\geq 47.04\%$, annualized rate of tumor size enlargement increased from 0.84% to $\geq 88.74\%$,
182 annualized rate of new nodal metastasis increased from 0.32 to $\geq 13.95\%$, annualized rate of
183 becoming clinical disease increased from 0.73% to $\geq 88.62\%$ and annualized rate of other
184 indications for surgery increased from 1.03% to $\geq 14.65\%$.

185 **DISCUSSION**

186 To our knowledge, this is the first study to examine the cost-effectiveness of adopting NSA in
187 the management of incidental PTMC. Our data showed that adopting a strategy of NSA in a well
188 selected group of PTMC was not only cost-saving (i.e. costing less) for the initial 16 years but
189 also more cost-effective (i.e. ICER < USD50,000 per QALY) after 17 years than the ES strategy.
190 However, this finding was not surprising because based on the pooled data, less than 3% of
191 patients under the NSA strategy each year actually required ES (i.e. roughly 2% per year
192 showing signs of tumor progression and 1% per year undergoing surgery for unrelated cause)
193 whereas under the ES strategy, 100% of patients needed upfront surgery. Therefore, the initial
194 cost of the ES strategy would be significantly higher than the NSA strategy. However, with the
195 continuing half-yearly USG examination and the **accumulative** possibility of more costly “late”
196 surgery and its related complications over time, our data showed that the NSA strategy became
197 more costly over time. In fact, after 17 years, the NSA strategy became more expensive and its
198 total cost continued to rise faster than that of ES (see **Figure 2**). Therefore, when only cost is
199 considered, observation is only preferable for patients with advanced age or patients with a
200 shortened (≤ 16 years) life-expectancy while ES is more preferable in younger and healthier
201 patients (with long life-expectancy).

202 However, in terms of effectiveness as measured by QALY gained, the NSA strategy was more
203 effective than the ES strategy regardless of the length of observation. This was because the
204 former resulted in less procedural-related permanent complications. In fact, in the sensitivity
205 analysis, the incremental QALYs were always positive implying the fact that NSA was always
206 more effective than ES regardless of surgical complications, rate of tumor progression, year
207 cycle or discount rate. Furthermore, the range of ICERs was far below the threshold of USD

208 50,000 per QALY. Therefore, NSA was always the more cost-effective option. Even when the
209 year cycle was extended to 50 years (i.e. to the time of death), the ICER was still far below the
210 threshold (USD3098.83 per QALY). Therefore, contrary to our initial hypothesis, our study
211 showed that observation was always going to be a cost-saving or cost-effective strategy in the
212 management of incidental PTMC.

213 From the threshold analyses, although there were 5 possible scenarios which could have rendered
214 the ES strategy cost-saving when compared to NSA, they were clinically unlikely to happen
215 because they all involved dramatically increasing the permanent VCP after TT + CND or
216 annualized base rates of progression. For example, interval size enlargement increased by more
217 than 100 times (from 0.84% to $\geq 88.74\%$) or appearance of nodal metastasis increased by more
218 than 40 times (from 0.32% to $\geq 13.95\%$). Based on the current **knowledge**, these increases would
219 seem unrealistic.

220 However, despite these results, we do acknowledge several shortcomings. First, some of the
221 assumptions were over-simplified. For example, although USG examination remains the best
222 imaging modality for tumor surveillance **and monitoring**, it is generally more difficult to
223 accurately measure the size of more posteriorly-located tumors and also tumors in a background
224 of chronic thyroiditis [21]. These situations may lead to less reliable monitoring and lower the
225 cost-effectiveness of the NSA strategy. Second, although the present model assumed a constant
226 rate of progression and spread for all patients regardless of age and other clinicopathologic
227 features, recent studies found young age [6] and pregnant patients [22,23] had greater chance of
228 tumor progression and therefore, these factors could potentially lower the cost-effectiveness of
229 the NSA strategy. Third, despite a comprehensive literature search, selection biases could not be
230 completely ruled out as all of these observation trials had been non-randomized. Perhaps,

231 patients who preferred NSA were also those who were less likely to progress or spread over time.
232 **Fourth, given that indirect costs such as loss of productivity and wages were not included, we**
233 **believe their inclusion would have further favored NSA over ES.** Last, despite the evidence that
234 most PTMC do not progress or spread over time, when both NSA and ES were equally offered,
235 the majority of patients and physicians might still prefer ES over NSA because of anxiety and
236 uncertainty [5,6,10,11]. Therefore, perhaps the most effective way of lowering cost would be to
237 adopt a policy of avoiding FNAC on nodules <10mm [24].

238

239 **CONCLUSION**

240 In the institution's perspective, NSA was cost-saving relative to ES in the first 16 years of
241 diagnosis and thereafter, it continued to be a more cost-effective option than ES for a selected
242 group of PTMC. This was regardless of patients aged <40 or \geq 40 years. Although there were 5
243 possible clinical scenarios which could have rendered ES as cost-saving compared to NSA, all
244 seemed clinically unlikely as all involved a significant increase in the published rates of
245 complication and signs of PTMC progression.

246

247 **Declaration of interest**

248 The authors declare that they have no competing interests

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250 None

251 **Authors contributions**

252 Lang / Wong were involved in the review of literature, acquisition of data and drafting and

253 completing the manuscript. Lang / Wong were also involved in the review of literature and

254 drafting the manuscript. Lang / Wong conceived the study, participated in the co-ordination and

255 the acquisition of data and helped to draft the manuscript. All authors read and approved the final

256 manuscript.

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Table 1. Literature-based probabilities

| Clinical Parameters | Base-case (%) | Range for sensitivity analysis (%) | References |
|--|----------------------|---|-------------------|
| Surgical complications | | | |
| - Temporary vocal cord palsy | | | |
| - HT + CND | 1.64 | 0.00 – 3.63 | 25,26 |
| - TT + CND | 3.28 | 0.00 – 7.26 | 12-14 |
| - TT + CND + SND | 3.28 | 0.00 – 7.26 | 12-14 |
| - Permanent vocal cord palsy | | | |
| - HT + CND | 0.85 | 0.00 – 1.25 | 25,26 |
| - TT + CND | 1.70 | 0.00 – 2.51 | 12-14 |
| - TT + CND + SND | 1.70 | 0.00 – 2.51 | 12-14 |
| - Temporary hypoparathyroidism | | | |
| - TT + CND | 20.64 | 8.70 – 42.86 | 12-14 |
| - TT + CND + SND | 20.64 | 8.70 – 42.86 | 12-14 |
| - Permanent hypoparathyroidism | | | |
| - TT + CND | 1.47 | 0.00 – 5.88 | 12-14 |
| - TT + CND + SND | 1.47 | 0.00 – 5.88 | 12-14 |
| - Hematoma formation | | | |
| - HT + CND | 0.69 | 0.00 – 1.50 | 12-14 |
| - TT + CND | 1.29 | 0.00 – 2.20 | 12-14 |
| - TT + CND + SND | 1.79 | 0.00 – 2.50 | 12-14 |
| - Chyle leakage | | | |
| - TT + CND + SND | 5.81 | 5.80 – 5.83 | 27 |
| Proportion of patients showing signs of progression in 1 year under NSA | | | |
| - Interval size enlargement (≥ 3 mm) | 0.84 | 0.56 – 0.98 | 5-8 |
| - < 40 years old | 1.60 | 1.60 | 6 |
| - ≥ 40 years old | 0.81 | 0.81 | 6 |
| - Appearance of nodal metastasis | 0.32 | 0.18 – 0.38 | 5-8 |
| - < 40 years | 1.04 | 1.04 | 6 |

| | | | |
|---|------|---------------|------------|
| - ≥ 40 years old | 0.19 | 0.19 | 6 |
| - Clinical disease (tumor ≥ 12 mm) | 0.73 | 0.68 – 0.78 | 5-8 |
| - < 40 years | 1.72 | 1.72 | 6 |
| - ≥ 40 years | 0.52 | 0.52 | 6 |
| Other indications for surgery* | 1.03 | 0.58 – 1.15 | 5,6 |
| Number of deaths per 1000 population | | | |
| - 40 – 44 | | 1.4 | 15 |
| - 45 – 54 | | 3.2 | 15 |
| - 55 – 64 | | 6.4 | 15 |
| - 65 – 74 | | 15.3 | 15 |
| - 75 – 84 | | 41.4 | 15 |
| - 85+ | | 132.2 | 15 |
| Healthy state in model | | Utility score | |
| - Alive without permanent complication | | 1.00 | Assumption |
| - Alive with permanent complication | | 0.54 | 28 |
| - Death | | 0.00 | Definition |

Abbreviations: HT + CND = Hemithyroidectomy + unilateral central neck dissection; TT + CND = Total thyroidectomy + unilateral central neck dissection; TT + CND + SND = Total thyroidectomy + unilateral central neck dissection + lateral selective neck dissection; NSA = non-surgical approach

* such as patients who developed Grave's disease or benign multinodular goiter or those who changed their preference (from NSA to ES) over time

Table 2. Unit cost (USD) for each service component for post-thyroidectomy

| Cost component | Unit cost (USD) | Reference |
|--|------------------------|-----------------------|
| Surgical procedure | | |
| - Hemithyroidectomy + central neck dissection | 4513 | 16-18 |
| - Total thyroidectomy + central neck dissection | 6013 | 16-18 |
| - Total thyroidectomy + central neck dissection + selective neck dissection | 12495 | 16-18 |
| Each visit with ultrasound examination | 300 | 16-18 |
| Surgical complications | | |
| - Temporary vocal cord palsy+ - including consultation, laryngoscopy and speech therapy | 564 | 16-18 |
| - Permanent vocal cord palsy# - including consultations, laryngoscopy, speech and medialization | 10367 | 16-18 |
| - Temporary hypoparathyroidism+ - including follow-up visits, blood tests and medications | 144 | 16-18 |
| - Permanent hypoparathyroidism (annual cost)# - Follow-up visits, blood tests, medications | 863 | 16-18 |
| - Hematoma requiring neck re-exploration | 5754 | 16-18 |
| - Chyle leak* | 15404 | 16-18 |

+ assumed an average of 2-month duration

includes monthly visit for the first 6 months and then thereafter 6-monthly follow-up

* assumed to be managed conservatively

Table 3. Results of base-case and sensitivity analysis

| | Cost (in USD) per patient | QALYs per patient | | ICER per patient | |
|--|----------------------------------|------------------------------------|-------|-------------------------|----------|
| <i>Base-case Analysis</i> | | | | | |
| Non-surgical approach (NSA) | 7204.33 | 15.228 | | 473.099 | |
| - < 40 years | 8859.86 | 15.172 | | | |
| - ≥ 40 years | 6862.29 | 15.238 | | | |
| Early surgery (ES) | 6521.80 | 14.968 | | 435.703 | |
| - < 40 years | 6521.80 | 14.968 | | | |
| - ≥ 40 years | 6521.80 | 14.698 | | | |
| Incremental (NSA – ES) | 682.54 | 0.260 | | 2629.998 | |
| - < 40 years | 2338.06 | 0.203 | | 11501.750 | |
| - ≥ 40 years | 340.50 | 0.270 | | 1262.696 | |
| <i>Univariate Sensitivity Analysis</i> | | | | | |
| Parameters | Parameter Range (%) | Range for Incremental QALYs | | Range for ICER | |
| Surgical complications | | | | | |
| Temporary vocal cord palsy | | | | | |
| HT + CND | 0.00 – 3.63 | 0.260 | 0.260 | 2658.878 | 2594.955 |

| | | | | | | |
|------------------------------|----------------|--------------|-------|-------|----------|----------|
| | TT + CND | 0.00 – 7.26 | 0.260 | 0.260 | 2621.161 | 2640.721 |
| | TT + CND + SND | 0.00 – 7.26 | 0.260 | 0.260 | 2627.238 | 2633.347 |
| Permanent vocal cord palsy | | | | | | |
| | HT + CND | 0.00 – 1.25 | 0.208 | 0.284 | 9003.492 | 428.543 |
| | TT + CND | 0.00 – 2.51 | 0.269 | 0.255 | 1720.515 | 3087.768 |
| | TT + CND + SND | 0.00 – 2.51 | 0.263 | 0.258 | 2338.726 | 2771.194 |
| Temporary hypoparathyroidism | | | | | | |
| | TT + CND | 8.70 – 42.86 | 0.260 | 0.260 | 2621.785 | 2645.283 |
| | TT + CND + SND | 8.70 – 42.86 | 0.260 | 0.260 | 2627.433 | 2634.772 |
| Permanent hypoparathyroidism | | | | | | |
| | TT + CND | 0.00 – 5.88 | 0.268 | 0.234 | 2488.558 | 3115.279 |
| | TT + CND + SND | 0.00 – 5.88 | 0.262 | 0.252 | 2584.851 | 2771.097 |
| Hematoma formation | | | | | | |
| | HT + CND | 0.00 – 1.50 | 0.260 | 0.260 | 2753.961 | 2484.476 |
| | TT + CND | 0.00 – 1.90 | 0.260 | 0.260 | 2694.539 | 2646.765 |
| | TT + CND + SND | 0.00 – 2.50 | 0.260 | 0.260 | 2614.632 | 2636.093 |
| Chyle leakage | | | | | | |

| | | | | | |
|--|----------------|-------|-------|------------|------------|
| TT + CND + SND | 5.80 – 5.83 | 0.260 | 0.260 | 2629.768 | 2630.458 |
| Proportion of patients showing signs of progression or spread in the NSA strategy | | | | | |
| Interval size enlargement (≥3mm) | 0.56 – 0.98 | 0.266 | 0.256 | 2072.152 | 2906.217 |
| Appearance of nodal metastasis | 0.18 – 0.38 | 0.265 | 0.257 | 1679.260 | 3032.938 |
| Clinical disease (tumor ≥12mm) | 0.68 – 0.78 | 0.261 | 0.258 | 2530.663 | 2729.381 |
| Other indications for surgery* | 0.58 – 1.15 | 0.275 | 0.255 | 1193.891 | 3042.330 |
| Year Cycle | 10 to 50 years | 0.077 | 1.397 | Favors NSA | 3098.831 |
| Discount rate | 0 to 5 | 0.376 | 0.206 | 6496.645 | Favors NSA |

Abbreviations: QALYs = Quality-adjusted life-years; ICER = Incremental cost-effectiveness ratio; HT + CND = hemithyroidectomy + central neck dissection; TT + CND = total thyroidectomy + central neck dissection; TT + CND + SND = total thyroidectomy + central neck dissection + lateral selective neck dissection

* such as Grave's disease, benign multinodular goiter or change in patient or clinician preference

Table 4. Threshold analyses with ICER becoming zero or infinity

| Parameters | Base-case (%) | Threshold values (%) at ICER=0 / infinity | Values (%) at ICER>0 | Values at which NSA became cost-saving | Values at which ES became cost-saving |
|--|---------------|---|----------------------|--|---------------------------------------|
| Complications | | | | | |
| Permanent vocal cord palsy after HT+CND | 0.85 | 1.34 | 0.00 to 1.34 | 1.34 to 100.00 | NA |
| Permanent vocal cord palsy after TT+CND | 1.70 | 47.04 | 0.00 to 47.04 | NA | 47.04 to 100.00 |
| Hematoma formation after HT+CND | 0.69 | 15.33 | 0.00 to 15.33 | 15.33 to 100.00 | NA |
| Proportion of patients showing signs of progression under NSA | | | | | |
| Interval size enlargement (≥ 3 mm) | 0.84 | 88.74 | 0.00 to 88.74 | NA | 88.74 to 100.00 |
| Appearance of nodal metastasis | 0.32 | 13.95 | 0.00 to 13.95 | NA | 13.95 to 100.00 |
| Clinical disease (tumor ≥ 12 mm) | 0.73 | 88.62 | 0.00 to 88.62 | NA | 88.62 to 100.00 |
| Other indications for surgery* | 1.03 | 14.65 | 0.00 to 14.65 | NA | 14.65 to 100.00 |
| Year cycle | 20 | 17 | 17 to 50 | 1 to 16 | NA |
| Discount rate | 3.00 | 4.60 | 0.00 to 4.60 | 4.60 to 5.00 | NA |

Abbreviations: HT + CND = Hemithyroidectomy + central neck dissection; TT + CND = total thyroidectomy + central neck dissection; ICER = incremental cost-effectiveness ratio; NA = not applicable

* such as Grave's disease, benign multinodular goiter or change in patient or clinician preference

Figure 1

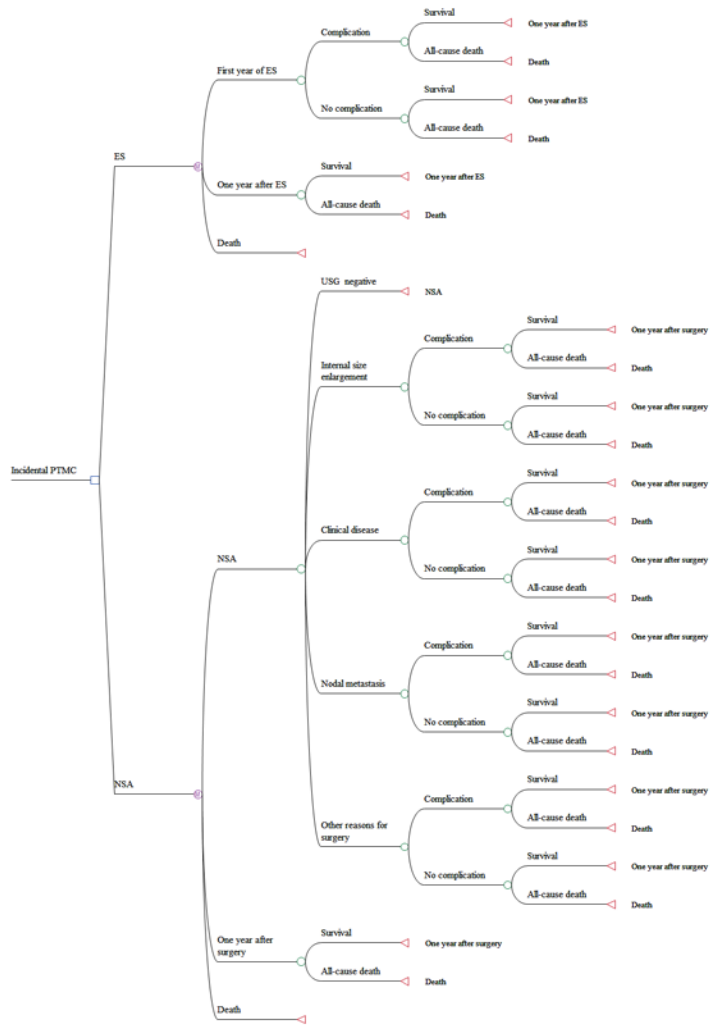


Figure 2.

