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Oncogenic role of clusterin overexpression in multistage colorectal tumorigenesis and progression

Dan Xie, Jonathan S.T. Sham, Wei-Fen Zeng, Li-Hong Che, Meng Zhang, Hui-Xi Wu, Han-Liang Lin, Jian-Ming Wen, Sze Hang Lau, Liang Hu, Xin-Yuan Guan

Abstract

AIM: To investigate the expression pattern of clusterin in colorectal adenoma-carcinoma-metastasis series, and to explore the potential role of clusterin in multistage colorectal tumorigenesis and progression.

METHODS: A colorectal carcinoma (CRC)-tissue microarray (TMA), which contained 85 advanced CRCs including 43 cases of Dukes B, 21 of Dukes C and 21 of Dukes D tumors, were used for assessing the expression of clusterin (clone 41D) and tumor cell apoptotic index (AI) by immunohistochemistry and TUNEL assay, respectively. Moreover the potential correlation of clusterin expression with the patient’s clinical-pathological features were also examined.

RESULTS: The positive staining of clusterin in different colorectal tissues was primarily a cytoplasmic pattern. Cytoplasmic overexpression of clusterin was detected in none of the normal colorectal mucosa, 17% of the adenomas, 46% of the primary CRCs, and 57% of the CRC metastatic lesions. In addition, a significant positive correlation between overexpression of clusterin and advanced clinical (Dukes stage) was observed (P<0.01). Overexpression of cytoplasmic clusterin in CRCs was inversely correlated with tumor apoptotic index (P<0.01), indicating the anti-apoptotic function of cytoplasmic clusterin in CRCs.

CONCLUSION: These data suggests that overexpression of cytoplasmic clusterin might be involved in the tumorigenesis and/or progression of CRCs. The anti-apoptotic function of cytoplasmic clusterin may be responsible, at least in part, for the development and biologically aggressive behavior of CRC.
MATERIALS AND METHODS

Patients and tissues
Eighty-five surgically resected CRC specimens were obtained from the First Affiliated Hospital of Sun Yat-Sen University, Guangzhou, China as previously described[12]. The age of these patients ranged from 36 to 85 years at the time of surgery (mean age, 59.6 years) and the male/female ratio was 1:2:1. All cases selected were moderately differentiated adenocarcinomas. According to Dukes staging system, the distribution for clinical stage of these CRCs were as follows: Dukes B, 43 cases; Dukes C, 21 cases; Dukes D, 21 cases. A previously constructed TMA block containing all 85 CRC cases was used for immunohistochemical (IHC) and TUNEL assay[13]. In this CRC-TMA, six samples from different pathologic and progressive loci were selected from each of the 85 advanced CRC cases. Tissue samples from adjacent non-neoplastic mucosa, primary carcinomas in inner layers (confined to the layer of mucosa and/or submucosa), muscularis layer and serosa layer of the bowel wall were selected for all CRC cases. Tissue samples from matched adenomatous polyps were obtained from 22 cases (tubular, n = 12; tubulo-villous, n = 3; and villous, n = 7) and tissues from matched lymph node and distant metastases were selected from 21 cases.

IHC staining

IHC studies were performed using a standard streptavidin-biotin-peroxidase complex method[12]. In brief, TMA sections were deparaffinized and rehydrated. Endogenous peroxidase activity was blocked with 3% hydrogen peroxide for 20 min. For antigen retrieval, CRC-TMA slides were microwave-treated in 10 mmol/L citrate buffer (pH 6.0) for 10 min. Nonspecific binding was blocked with 10% normal rabbit serum for 10 min. The TMA slides were incubated with a monoclonal anti-clusterin (Clone 41D; Upstate Biotechnology, Lake Placid, NY, USA, 1:1200 dilution) and a monoclonal anti-Ki-67 (Dako, Glostrup, Denmark, 1:1100 dilution) for 60 min at 37 °C in a moist chamber, respectively. For antigen retrieval, CRC-TMA slides were microwave-treated in 10 mmol/L citrate buffer (pH 6.0) for 5 min. After washing, the slide was examined with a Zeiss Axiophot fluorescence microscope. Negative controls were obtained by replacing the TdT solution with distilled water. The presence of clear nuclear staining (TUNEL-positive, green color) was indicative of apoptotic cells (Figure 2). Apoptotic bodies were defined as TUNEL-positive, single, relatively large (≥ 4 µm diameter) and rounded bodies existing in extra- or intra-tumor cells with intense staining. The number of TUNEL-positive tumor cell nuclei was counted and the apoptotic index (AI) was determined as the percentage of apoptotic cells in the tumor. Because the mean value of AI for all informative samples in this study was 1.9, tumors were classified into two groups according to their AI: low AI group (AI ≤ 1.9) and high AI group (AI > 1.9).

To investigate the correlation of clusterin expression...
Clusterin expression in colorectal tissues

The expression of clusterin was investigated by IHC in a CRC-TMA which contained adjacent normal colorectal mucosa, primary CRC, and metastatic CRC. The antibody (clone 41D) used in this study was a monoclonal anti-human clusterin, which recognizes the a subunit of the clusterin heterodimer. The expression pattern of clusterin was observed in adenomas, 39/85 (46%) primary CRCs, and 20/35 (57%) lymph node and/or distant metastatic lesions, respectively. The increasing frequencies of clusterin overexpression from normal colorectal mucosa, to benign adenomas and primary CRC, and to CRC metastatic lesion were significant ($\chi^2$ test, Table 1). However, no heterogeneous expression of clusterin was observed among the different layers of the bowel wall of CRC.

### Table 1 Expression of clusterin in normal colorectal mucosa and in benign and malignant colorectal tumors

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<th>Clusterin</th>
<th>Normal expression</th>
<th>Overexpression</th>
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<tr>
<td>Normal mucosa</td>
<td>76 (100%)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>Adenoma</td>
<td>20 (83%)</td>
<td>4 (17%)</td>
</tr>
<tr>
<td>Primary carcinoma</td>
<td>85 (54%)</td>
<td>39 (46%)</td>
</tr>
<tr>
<td>Metastases</td>
<td>35 (43%)</td>
<td>20 (57%)</td>
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$^{1}$Values are n (%). A significant increasing frequency of overexpression of clusterin was observed in adenoma, in primary carcinoma and in metastatic lesion ($P<0.01$, $\chi^2$ test for trend).

Association of clusterin expression with clinico-pathological features

The association of cytoplasmic expression of clusterin with patient’s clinico-pathological features were further studied. The results showed that cytoplasmic overexpression of clusterin in primary CRC was significantly associated with the patient’s clinical stage. Overexpression of clusterin was detected in 25/42 (60%) CRCs in late clinical stages (Dukes C or D stage), which was significantly higher than that in early stage (Dukes B) (14/43, 33%) ($P<0.05$, $\chi^2$ test). But no significant association was found between clusterin expression and other clinico-pathological features, such as patient’s gender, age, and tumor location (data not shown).

Correlation of clusterin expression with cell apoptosis

Because clusterin has been associated with the process of cell apoptosis[7], the TUNEL assay was used to study the status of apoptosis in these CRC cohorts. A high apoptotic index was detected in 32/83 (39%) of the informative CRCs. The results showed that the frequency of high apoptotic index was significantly higher in tumors with a
normal expression of clusterin (24/46 cases, 52%) than that in cases with overexpression of clusterin (8/37, 22%) 
(P<0.01, Table 2). In addition, the double fluorescent staining with clusterin and TUNEL showed that apoptosis was more likely to occur in tumor cells with low level expression of clusterin, but not in tumor cells with high level of expression of clusterin (Figure 2A). In general, cell apoptosis in CRC was inversely correlated with the overexpression of clusterin.

### DISCUSSION

Most CRCs arise from adenomas through an archetypal pathogenic pathway, the adenoma-carcinoma-metastasis sequence. CRC is a well-studied example of multistep tumorigenesis and, therefore, offers an excellent model to investigate the accumulation of genetic alterations during this neoplastic process. In the present study, we used a previously constructed TMA-CRC series,[12] which was composed of multiple colorectal tissues from different pathological loci of the same patient samples. These samples represented the full spectrum of CRC pathogenesis, including adjacent normal mucosa, benign adenoma, primary carcinomas and lymph node and distant metastases. Overall, overexpression of clusterin was observed to increase from the earliest detectable stage of abnormal growth, adenoma, to primary carcinoma and to local lymph node or distant metastases. These findings provide evidence that the increased expression of clusterin is involved in CRC tumorigenesis and progression. In addition, we found that the overexpression of cytoplasmic clusterin in primary CRCs was strongly associated with patients’ Dukes stage, i.e., overexpression of clusterin in cytoplasm was more frequently detected in later Dukes stages (C and D) when compared to that in Dukes B. These results suggest that overexpression of cytoplasmic clusterin in CRC may facilitate cancer cell invasion and metastasis. Previous studies have also documented that increased expression of clusterin was involved in the development and progression of several types of carcinomas, including breast, prostate and kidney carcinomas.[8-10]. Taken together, clusterin expression may be an important prognostic factor of aggressive nature of several human cancers, including CRC.

Although some hypothetical indirect tumorigenic functions of clusterin could be speculated due to its implication in cell-cell and cell-substratum interactions, and in a cytoprotective role in plasma membrane,[13] the biological role in tumorigenesis remains unclear. Trougakos and colleagues have reported that clusterin knockdown in human cancer cell, by using small interfering RNA, may induce higher rates of spontaneous apoptosis and significantly reduce tumor cell growth.[14] Other groups have reported a significant increase in cell death after transfection of antisense oligonucleotides into clusterin.[15] Some authors have suggested that the anti-apoptotic activity of clusterin may account for the genesis and biologically aggressive behavior of several cancer cells.[10,16] In the present study, a significant inverse correlation of clusterin expression and apoptosis was revealed in our CRC cohorts, i.e., a lower apoptotic index was more likely to be observed in CRCs with overexpression of cytoplasmic clusterin than in those with normal expression of this protein. Furthermore, tumor cells undergoing apoptosis were often found with a lower expression level of cytoplasmic clusterin when compared to their adjacent tumor cells without apoptosis. These results demonstrate as well an anti-apoptotic function of clusterin in CRCs.

Clusterin has been implicated in apoptosis as a pro- or anti-apoptotic molecule in various models under different circumstances, in which clusterin may exert different biological functions.[13] Recently, it has become increasingly clear that the clusterin gene codes for a family of different protein isoforms,[17] which are derived, by alternative posttranslational processes, from the same precursor of 53 ku protein.[8,19]. Different isoforms of clusterin have been previously reported to occur, in apoptotic and surviving cells, in the regressing rat ventral prostate[4]. Nuclear clusterin has been suggested as a cell death protein[20]. Recently, data from in vivo and in vitro studies of clusterin in colon tumorigenesis have demonstrated that nuclear clusterin was predominantly expressed in normal mucosa of colon and may act as a pro-apoptosis protein, while cytoplasmic clusterin may function as an anti-apoptosis protein[16]. In the colorectal tumors of the present study, the antibody (clone 41D) used was a monoclonal anti-human clusterin, which recognizes the α subunit of the clusterin heterodimer. The staining of clusterin in colorectal epithelial cells was only a cytoplasmic pattern and nuclear staining was not observed in colorectal epithelial tissues, non-neoplastic and neoplastic. Although further studies are needed to determine the exact function of the different isoforms of clusterin and how the signaling pathways through clusterin gene are regulated, the present study has demonstrated: (1) a cytoplasmic expression pattern of clusterin in the full spectrum of CRC pathogenesis, from normal mucosa, to adenoma, to carcinoma, to metastasis; and (2) an increased level of cytoplasmic clusterin was directly associated with decreased cell apoptosis, increased aggressiveness and metastasis, suggesting that this protein may play an important role in the multistage development and/or progression of human CRCs.

### Table 2 Correlation of expression of clusterin and apoptotic index (AI) in colorectal carcinomas

<table>
<thead>
<tr>
<th>Clustering</th>
<th>Informative case</th>
<th>High</th>
<th>Low</th>
<th>P*</th>
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<tr>
<td>Overexpression (%)</td>
<td>37</td>
<td>8 (22)</td>
<td>29 (78)</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>Normal expression (%)</td>
<td>46</td>
<td>24 (52)</td>
<td>22 (48)</td>
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*χ2 test.

### Correlation of clusterin expression with cell proliferation

To identify whether or not the overexpression of clusterin was associated with cell proliferation in colorectal cancer, we further analyzed the potential correlation between the expression of clusterin and cell proliferation (via monoclonal anti-ki-67). For the 39 cases with overexpression of clusterin, an average of 36.7% of the tumor cells was positively stained with Ki-67 antibody, which was similar to that (average of 38.1% cells) in the remaining 46 tumors with normal expression of clusterin (P>0.05, unpaired t-test).

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