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Measurements on quantum capacitance of individual single walled carbon nanotubes

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We report measurements of the quantum capacitance of individual semiconducting and small band gap single walled carbon nanotubes (SWNTs). The observed quantum capacitance, 82 aF/μm for a semiconducting SWNT with chiral index (16,8) and 10.3 aF/μm for a small band gap SWNT upon Fermi level lying at the first subband are remarkably smaller than those originating from the density of states. We attribute the discrepancy to a strong electron correlation in SWNTs and derive the Luttinger parameter g of 0.25–0.3 for the (16,8) SWNT and of 0.32 for a small band gap SWNT. © 2009 American Institute of Physics. [DOI: 10.1063/1.3093443]

In the classical regime, capacitance describes the object’s storage capability of electric charges, and it is solely determined by electrostatic force, eventually by the geometry and the medium’s dielectric constant. As the object’s spatial dimensions shrink to nanometer scale where quantum effects have to be taken account, the states accommodating charges are numbered and the electric charges are regulated by the number of available states as well as electrostatic force. The Pauli exclusion principle prohibits electrons/holes from occupying the same states. Besides the modification stemming from finite density of states (DOS), electron-electron interaction may give a further correction on the quantum capacitance under some circumstance. For these nanometer scale samples and low dimensional objects such as two-dimensional electron gas,1,2 nanotubes, nanowires,3–5 nanojunctions,2,6 and quantum dots,7,8 their quantum capacitance is comparable to the classical one and therefore the quantum capacitance measurement as a probe to fundamental aspects of electronic properties is possible and has been discussed theoretically and experimentally since late 1980s. Recently Ilani et al. first reported their observation of quantum capacitance of a top-gated individual carbon nanotube.9 In this letter, we present our experimental measurements on capacitance of individual microscopically long single walled carbon nanotubes (SWNTs). The measured capacitance is remarkably less than the simulated one from DOS based on tight-binding calculation, and the difference may result from the strong electron correlation.

Individual microscopically long and diluted SWNTs were grown on a degenerately doped Si/SiO₂ (oxide thickness = 300 nm) substrate using the chemical vapor deposition method. The catalyst was prepared by selectively dipping the diluted solution of FeCl₃ on the silicon substrate and then by being reduced under Ar/H₂ (400 SCCM/50 SCCM) (SCCM denotes standard cubic centimeters per minute at STP) at 900 °C for 20 min. Individual SWNTs were formed on the substrates in ethanol vapor with the same gas mixture at 900 °C for 1 h. Electric contacts to SWNTs were made by standard optical lithography, Ti/Al metal deposition on top of as-prepared SWNTs on substrates, and finally lift off. The spacing between metal electrodes varies from 10 μm to hundreds of micrometers. For these semiconducting SWNTs contacting to two or more electrodes, field effect transistors (FETs) are formed with the degenerated silicon substrate as a back gate electrode. Electric characterization of these long channel (up to 1000 μm) FETs shows standard p-type or ambipolar behaviors at room temperature. It implies that structural discontinuity or high electric barriers due to defects are rare along SWNTs up to 1000 μm. The capacitance measurement was carried out by adding a small sinusoidal bias on the back gate and monitoring the displace current through the SWNT connected electrodes. To get rid of contribution from the electrodes’ capacitance and the parasite capacitance of the background, we feed both displace currents from a SWNT connected electrode and from a suspended electrode of the identical geometry to a balanced amplifier, as sketch in Fig. 1. So the current arising from the capacitance of electrodes was canceled out and the metal-oxide semiconductor capacitor like behavior of silicon substrates was eliminated as well. Finally the balanced signal was fed to a lock-in amplifier, which extracts the signal amplitude and phase information, and the capacitance and resistance were analyzed. All electric measurements were carried out in vacuum condition (~10⁻² Pa) at the temperature range of 77–300 K. The geometric structure of the specific SWNTs was in situ identified by resonant micro-Raman scattering where 532 and 633 nm lasers were selected as excitation sources.9

FIG. 1. (Color online) (a) Schematic of the capacitance measurement. Based on the equivalent circuit model (b), the capacitance can be derived. (c) A SEM image of devices. Inset is a zoom-in of an electrode connected with an individual SWNT (white).

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amplitudes keeps constant in the amplitude range of 0.8–2 V. While the ac amplitude is lower than 0.7 V, the capacitance drops significantly due to existence of barriers either against propagating electrons/holes or against charge injection and consequently the effective length of SWNT shrinks. Once the ac amplitude is higher than 0.8 V, barriers vanish and the effective SWNT length expands to the whole physical length of 330 μm. The fact that the capacitance at on state keeps unchanged at various temperature also supports this scenario.

Another possible factor affecting our measurement on intrinsic SWNT capacitance lies in the interface capacitance between metal electrode and the SWNT, which can be treated as a serial capacitor connecting to the SWNT. To estimate this capacitance, we performed a direct measurement on several SWNT-FET structures, as sketched in Fig. 3(a). A small low frequency ac bias was fed into the drain electrode. The current through the source electrode was monitored by a lock-in amplifier. The system capacitance and the resistance could be extracted from the current amplitude and phase information according to LRC circuit model. Figure 3(b) shows the conductance and phase through a SWNT-FET with a source-drain channel of 10 μm as a function of $V_g$. Note that the phase signal at low gate voltage corresponding to off state is overwhelmed by electronic noise. Since the inductance of SWNTs is estimated at tens of nH/μm (Kinetic inductance), $R \gg \omega L$, its contribution to the impedance is negligible at low frequency. Based on the simplified RC circuit analysis, we can obtain the interface capacitance around 10 pF at maximum at on state, where the Schottky barrier becomes thinnest. Measurements over several SWNT-FET structures with various channel length show similar results on the interface capacitance. As the interface capacitance (~pF) is three orders of magnitude greater than that the whole capacitance (~fF), as shown in Fig. 2, its contribution to the total capacitance is negligible.

To extract the quantum capacitance of single SWNT (16,8), we assume that (1) $C_{\text{total}}^{-1}=C_g^{-1}+C_Q^{-1}$, where $C_g$ and $C_Q$ are the geometric and quantum capacitance, respectively, and (2) $C_g=2\pi eL/cm^{-1}$, where $d$ and $L$ are the SWNT diameter of 1.67 nm and length of 330 μm, $h=300$ nm (further confirmed with SEM imaging) is the thickness of oxide layer and the dielectric permittivity $\varepsilon=3.9\varepsilon_0$ for SiO$_2$, and (3) the whole capacitance is sum of
where electron-electron interaction qualitatively instead of a one-dimensional interacting electron gas system is determined by the strength of the interaction, saying $V_C = V_f/g$, where $V_C$ denotes the charge velocity and $g$ is a dimensionless parameter describing the electron-electron interaction strength and therefore the compressibility of the liquid. As the electron correlation affect the compressibility of the liquid, the velocity and consequently the capacitance, the factor $g$ can be extracted by $g = \sqrt{C_Q/C_{DOS}}$. Taking this model in mind, we estimate at 77 K $g$ in range of 0.25–0.3 for SWNT (16,8) and $g$ around 0.32 for the small band gap SWNT in Fig. 4 upon the Fermi level lying at the first subband. The values agree with previously reported experiments.\textsuperscript{4,15,18,19}

In conclusion, we developed an experimental method to study the capacitance of individual carbon nanotubes. We obtained the quantum capacitance of 10.3 aF/μm for this semi-conducting SWNT with chiral index of (16,8) and of 10.3 aF/μm for a small band gap SWNTs upon Fermi level sitting around the first subband. The observed quantum capacitance is remarkably smaller than the capacitance originating from DOS and it implies a strong electron correlation in SWNTs. The Luttinger parameter $g$ of 0.25–0.3 for the SWNT of (16,8) and $g=0.32$ for the small band gap SWNT can be derived.

We thank Guanhua Chen, Jian Wang, and Liwei Chen for helpful discussion. The work was supported by Hong Kong GRF under Grant No. HKU 701909.

**Fig. 4.** (Color online) The measured capacitance of the small band gap SWNT at various temperatures.

The quantum capacitance obtained in the experiments is remarkably smaller than that from the DOS simulated with zone folded tight-binding calculation. It implies that a single particle model cannot well describe the situation here and strong electron correlation must be considered. Theoretically a one-dimensional interacting electron gas system is described by Tomonaga–Luttinger liquid instead of Fermi gas, where electron-electron interaction qualitatively instead of perturbatively modifies electric properties due to the reduced dimensionality. Its validation on carbon nanotubes was proved by independent experiments.\textsuperscript{14,15} In Luttinger liquid model, charges propagate in form of charge density wave with a velocity that is different from Fermi velocity and is determined by the strength of the interaction, saying $V_C = V_f/g$, where $V_C$ denotes the charge velocity and $g$ is a dimensionless parameter describing the electron-electron interaction strength and therefore the compressibility of the liquid. As the electron correlation affect the compressibility of the liquid, the velocity and consequently the capacitance, the factor $g$ can be extracted by $g = \sqrt{C_Q/C_{DOS}}$. Taking this model in mind, we estimate at 77 K $g$ in range of 0.25–0.3 for SWNT (16,8) and $g$ around 0.32 for the small band gap SWNT in Fig. 4 upon the Fermi level lying at the first subband. The values agree with previously reported experiments.\textsuperscript{4,15,18,19}

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