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<tr>
<td>Citation</td>
<td>Hong Kong Medical Journal, 2006, v. 12 n. 2, p. 141-148</td>
</tr>
<tr>
<td>Issued Date</td>
<td>2006</td>
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<tr>
<td>URL</td>
<td><a href="http://hdl.handle.net/10722/45198">http://hdl.handle.net/10722/45198</a></td>
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Optimising antimicrobial prescription in hospitals by introducing an antimicrobial stewardship programme in Hong Kong: consensus statement

Key words:
Antibacterial agents; Cross infection; Drug resistance, microbial; Hong Kong; Prescriptions, drug

Objective. To discuss the implementation of an ‘antimicrobial stewardship programme’ as a means to improve the quality of antimicrobial use in a hospital setting in Hong Kong.

Participants. Consensus working group on ‘antimicrobial stewardship programme’, The Scientific Committee on Infection Control, Centre for Health Protection, Department of Health, comprised 11 experts. The remit of the working group was to discuss the rationale and requirement for optimising antimicrobial prescriptions in hospitals by the introduction of an ‘antimicrobial stewardship programme’.

Evidence. PubMed articles, national and international guidelines, and abstracts of international meetings published between January 2000 and December 2004 on programmes for improving the use of antimicrobials in hospitals. Only English medical literature was reviewed.

Consensus process. Data search was performed independently by three members of the working group. They met on three occasions before the meeting to discuss all collected articles. A final draft was circulated to the working group before a meeting on 3 January 2005. Five commonly asked questions about an ‘antimicrobial stewardship programme’ were selected for discussion by the participants. Published information on the rationale, components, outcome measures, advantages, and disadvantages of the programme was reviewed. Recent unpublished data from local studies of an ‘antimicrobial stewardship programme’ were also discussed. The timing, potential problems, and practical issues involved in the implementation of an ‘antimicrobial stewardship programme’ in Hong Kong were then considered. The consensus statement was circulated to and approved by all participants.

Conclusion. The continuous indiscriminate and excessive use of antimicrobial agents promotes the emergence of antibiotic-resistant organisms. Antimicrobial resistance substantially raises already-rising health care costs and increases patient morbidity and mortality. Pattern of prescriptions in hospitals can be improved through the implementation of an ‘antimicrobial stewardship programme’. A ‘universal’ and ‘continuous’ ‘antimicrobial stewardship programme’ should now be established in Hong Kong hospitals.
Introduction

The discovery of penicillin by Alexander Fleming was a major breakthrough in the battle against infectious diseases. Today, antibiotics are the most widely prescribed drugs, yet their value is being threatened by an alarming increase in antibiotic-resistant bacteria. Multidrug-resistant strains of many commonly encountered bacteria such as *Staphylococcus aureus*, *Escherichia coli*, *Klebsiella spp*, *Neisseria gonorrhoeae*, and *Pseudomonas aeruginosa* have already emerged. In Hong Kong, there is evidence that the drug-resistant pneumococci, methicillin-resistant *Staphylococcus aureus* (MRSA), extended-spectrum β-lactamases (ESBLs)-producing Enterobacteriaceae, and carbapenemase-producing *Acinetobacter* are more prevalent than in many other countries. The indiscriminate and excessive use of antimicrobial agents within hospitals promotes the emergence of such antibiotic-resistant organisms. Strategies that optimise antimicrobial use are thus essential if this microbial threat is to be minimised.

The World Health Organization (WHO) defines optimal prescribing (prudent prescribing) as “the cost-effective use of antimicrobials which maximizes their clinical therapeutic effect, while minimizing both drug-related toxicity and the development of antimicrobial resistance.” In the United Kingdom, the Clinical Prescribing Subgroup (established in September 1999 as part of the response to the House of Lords report on ‘Resistance to Antibiotics and Other Antimicrobial Agents’) uses a more comprehensive definition: “The use of antimicrobials in the most appropriate way for the treatment or prevention of human infectious diseases, having regard to the diagnosis, evidence of clinical effectiveness, likely benefits, safety, cost, and propensity for the emergence of resistance. The most appropriate way implies that the choice, route, dose, frequency and duration of administration have been rigorously determined.” In line with the above concepts, optimal antibiotic use should mean both ‘less’ use (ie less unnecessary use), and ‘appropriate’ use (ie not only the right antibiotic but also the right dosage, route, and duration to effect a cure while minimising side-effects and the development of resistance according to current knowledge). In hospitals, the concept of an ‘antimicrobial stewardship programme’ (ASP) as a means to achieve optimal prescribing is being increasingly discussed and adopted. This consensus group was formed to discuss the implementation of an ASP in Hong Kong.

Consensus process

Data in this review were identified by searches of PubMed, references from relevant articles, national and international guidelines, and abstracts of recent international meetings on programmes to improve the use of antimicrobials and reduce bacterial resistance in hospitals. Search terms were “antibiotics”, “antimicrobials”, “antimicrobial agents”, “steward”, “stewardship”, “antimicrobial resistance”, and “program”. Recent reviews on related topics were also checked for additional references. Only papers in English language were reviewed. The review focused primarily on data published during the 5-year period from January 2000 to December 2004. The search was performed independently by three clinicians who met on three occasions to discuss all collected manuscripts and presented a final draft to all members of the consensus group. The group comprised local and overseas experts in the fields of clinical microbiology, clinical virology, dentistry, epidemiology, infection control, infectious diseases, and safety and environmental protection. The consensus meeting on “Optimizing antimicrobial prescriptions in hospitals by antimicrobial stewardship program in Hong Kong: rationale and requirement”, organised by the Scientific Committee on Infection Control, Centre for Health Protection, Department of Health, Hong Kong, was held on 3 January 2005. The document was discussed and subsequently revised before being re-circulated and finalised.

Questions and discussion

What is the rationale for optimising antimicrobial use?

The impetus behind the promotion of optimal antimicrobial use lies in the growing concern about antimicrobial resistance. As antimicrobial resistance increases, many previously time-honoured, first-line therapies (eg ampicillin for *E coli*, erythromycin for *Streptococcus pneumoniae*, fluoroquinolone for *N gonorrhoeae*) are rapidly losing their efficacy and are becoming obsolete. Antimicrobial resistance substantially raises already-rising health care costs: more expensive second- and third-line drugs must be prescribed; the infectious period for individuals is prolonged; morbidity, length of hospital stay, and mortality are increased. Infection with MRSA is a prime example. Nosocomial bloodstream infection prolongs hospitalisation by a mean of 8 days, longer than similar infections caused by methicillin-susceptible *S aureus*. This results in a tripling of direct cost. Treatment of MRSA may increase the cost per case by as much as US$2500 to $3700. In the United States, infection caused by nosocomial antibiotic-resistant organisms is estimated to result in an additional expenditure of US$1.3 to 4 billion yearly.
There is an increasing amount of data linking antibiotic use with the development of bacterial resistance. In-vitro studies reveal that drug exposure selects for resistance, ecological studies correlate drug exposure with resistance, and clinical studies reveal that patients prescribed antimicrobial drugs are more likely to be colonised or infected with resistant bacteria. In the last two decades, bacterial resistance has evolved and spread rapidly in the health care setting. The treatment of several multidrug-resistant pathogens that have become widespread, including MRSA, vancomycin-resistant enterococci (VRE), ESBLs-producing Enterobacteriaceae, and multidrug-resistant Pseudomonas aeruginosa (MRPA) is difficult. This is largely due to the overuse and misuse of antimicrobial drugs.

As many as 30% to 40% of hospital in-patients in developed countries are prescribed antimicrobial agents. Reasons for prescription vary widely and prescriptions are often suboptimal, indicating a need to standardise antimicrobial use. An attempt was made as early as the 1970s to assess the quality of antimicrobial drug prescription using specifically designed flowchart and quality indicators. When such quality indicators were applied as tools for clinical audit of antimicrobial prescription, 49% to 55% of prescriptions for hospitalised patients were reported to be suboptimal. This adversely affects patient outcome and increases the risk of antimicrobial resistance. For example, the selection of antimicrobial resistance in nosocomial pneumonia has been linked to suboptimal antimicrobial exposure. In Hong Kong, suboptimal antibiotic prescription has been reported to contribute strongly to the emergence of levofloxacin-resistant S pneumoniae.

The problem of antimicrobial resistance may be further complicated by an uncertain supply of new agents and a dwindling number of companies investing in antimicrobial agents. In the 1930s and 1940s, four new classes of antibiotics were approved: sulphonamides, β-lactamase, aminoglycosides, and chloramphenicol. In the 1950s and 1960s, a further six antibiotic classes were added: tetracycline, macrolides, glycopeptides, rifamycins, quinolones, and trimethoprim. From the 1970s to 1990s, no novel classes were licensed and all new drugs were derivatives of existing agents. Between 2000 and 2004, only two new classes of antibiotics have been approved: oxazolidinones (linezolid) and the cyclic lipopeptides (daptomycin). Additional novel antibacterial agents may be a future possibility but improvements by clinicians in their use of existing antibiotics is imperative.

**What is an ‘antimicrobial stewardship programme’? Who are the advocates?**

The dangerous repercussions of antibiotic abuse have been recognised by institutions and hospitals for over half a century. Professional societies and public health guardians including the WHO, Infectious Diseases Society of America (IDSA), Alliance for the Prudent Use of Antibiotics, Food and Drug Administration, Centers for Disease Control and Prevention, and National Institutes of Health all support programmes that promote optimal antimicrobial use, and some have proposed an action plan.

‘Antimicrobial stewardship’ involves the optimal selection, dosage, and duration of antimicrobial treatment that results in the best clinical outcome for the treatment or prevention of infection, with minimal toxicity to the patient and minimal impact on subsequent resistance. In practice, this involves prescribing antimicrobial therapy only when it is beneficial to the patient, targeting therapy to the desired pathogens, and using the appropriate drug, dosage, and duration. It should not be viewed simply as reduced use or a strategy for cost containment. Instead, minimising exposure to drugs, performing dosage adjustments, reducing redundant therapy, and targeting therapy to the likely pathogens, can be viewed as a strategy to enhance patient safety.

The programme involves a multidisciplinary, programmatic, prospective, interventional approach to optimising the use of antimicrobial agents. The multidisciplinary team typically includes the clinical microbiologist, infectious diseases specialist, infection-control practitioner, and clinical pharmacist. Recruitment of members from other medical specialties, such as surgery and paediatrics, is also recommended. Multiple approaches have been employed to enforce hospital policies that limit or control antimicrobial use (Box). Under the auspices of an ASP, several behavioural methods have been used successfully to effect changes, including problem-based education, consensus guidelines, peer review, concurrent review, data feedback, computer-based reminders, financial incentives, and the use of opinion leaders.

The importance of a committed hospital administration is well recognised. The Society for Healthcare Epidemiology of America (SHEA) consensus statement, titled “Strategies to prevent and control the emergence and spread of antimicrobial resistance” (2000) recommended that hospital administrations be actively involved in day-to-day programmatic activities at the ASP level, and that ASP members should be involved in hospital policy committees. Factors that influence ASP success include the level of commitment to the programme, the skills of ASP members, the existing institutional culture, and the educational level and support of hospital management.

The various methods that can be employed to implement antimicrobial control are summarised in the table below.

### Methods to implement antimicrobial control

1. **Provision of written hospital guidelines**
2. **Educational efforts aimed at changing prescribing practices of physicians**
3. **Providing consultation from clinical microbiologist/infectious diseases specialist**
4. **Restriction of hospital formulary through the Drug and Therapeutics Committee**
5. **Utilisation review with guidelines for rational and appropriate usage**
6. **Ongoing monitoring and analysis of antimicrobial usage**
7. **Ongoing surveillance of antimicrobial susceptibility**
8. **Monitoring adherence to advice on choice of antimicrobial agents**
9. **Feedback to physicians**
spread of antimicrobial-resistant microorganisms in hospitals," is the first document to state that without clear commitment from the hospital leadership, programmes to improve and optimise antibiotic use will never be successful. The willingness and interest of infectious disease specialists or infection-control practitioners will never be sufficient if the hospital administration does not emphasise antibiotic control as a priority. These sentiments are echoed in the SHEA/IDSA guidelines and by several opinion leaders.

The development of programmes to control and promote the rational use of antimicrobial drugs has become more prevalent in the United States, United Kingdom, and European countries, in the past few decades in an attempt to curb the development of multidrug-resistant bacteria.
in hospitals. Likewise in Hong Kong, there is considerable public, political, and professional awareness of the increasing burden of antimicrobial resistance.

**Is an ‘antimicrobial stewardship programme’ beneficial? How can benefits be documented? Does an ‘antimicrobial stewardship programme’ result in better and more optimal antibiotic use in the hospital setting?**

An ASP has several benefits (Table 1). It reduces the use of targeted antibiotics and antimicrobial expenditure. Nonetheless crude or infection-related mortality rates, time to receipt of appropriate antibiotics, and length of hospitalisation are not affected by the implementation of ASPs. These findings are important because they indicate that patient safety is not compromised. The impact on antimicrobial resistance varies because the factors that promote resistance are complex. A strong relationship exists between certain antibiotic classes and multidrug-resistant pathogens: vancomycin and VRE; third-generation cephalosporins and ESBLs; as well as fluoroquinolones and MRSA and MRPA. At an institutional level, programmes designed to limit utilisation of agents that exert greater effect on the above have reduced specific resistance rates.

Measurement and monitoring is an essential part of the programme. After an initial implementation of a restricted formulary and antimicrobial approval system, the team should meet regularly to review and update the formulary, assess its effectiveness, provide and coordinate ongoing physician education, and analyse antimicrobial utilisation data within the hospital. The programme should be dynamic and continually reassessed, with new components added and unsuccessful components deleted.

To allow for accurate intra- and inter-institutional comparisons, confounding differences in expenditure related to acquisition costs and variations in the amount of individual antibiotic used for individual patients should be standardised. Each antimicrobial agent should be assigned a fixed or defined daily dose (DDD) in the manner recently supported by the WHO. Defined daily dose is an assumed average maintenance dose per day of a drug used for its main indication in adults. It does not necessarily reflect the recommended or prescribed daily dose. Thus, it can give a rough estimation of consumption of the drugs being monitored but is independent of price or formulation. The following measures can be calculated as standardised rates (in terms of DDDs per denominators): (1) per patient admitted, (2) per patient treated, (3) per 1000 hospital-days, and (4) per 1000 treatment-days.

**Is this the right time for Hong Kong to introduce an ‘antimicrobial stewardship programme’? Are we too early or are we too late, and why?**

In Hong Kong, few would dispute the threat from antimicrobial resistance and the needless expenditure associated with excessive antimicrobial use. Recent surveys show that suboptimal antimicrobial prescriptions may be commonplace in our hospitals, and such practice can be improved. In the two university hospitals, a recent prospective study found that 76% of antibiotic prescriptions for patients hospitalised with exacerbated chronic obstructive pulmonary disease were unjustified according to the prevailing Global Initiative for Chronic Obstructive Lung Disease guidelines. A year later in 2004, real-time audit of “big gun” antibiotics in two hospitals revealed that 20% to 25% of the prescriptions were not justified or suboptimal. The most common errors included treatment of colonisation, omitting to use a more specific and equally effective alternative antimicrobial or less toxic antimicrobial, and inappropriate duration (personal communication). In another prospective study of antibiotic combinations prescribed over a 6-month period, one of the agents was redundant in 80% of 200 prescriptions.

In response to an increasing demand for a local antibiotic reference, a multidisciplinary group produced the IMPACT (Interhospital Multi-disciplinary Programme on Antimicrobial ChemoTherapy) document in 1999 with the vision of introducing antimicrobial stewardship into the Hospital Authority (HA) hospitals. Despite some encouraging progress in the past 5 years, there are problems related to implementation, process evaluation, and programme sustainability. In order to properly address the complex issues in antibiotic prescription, specific mechanisms and designated manpower are required to identify the institutional pattern of use, areas of misuse, and all the suboptimal indications, dosages, formulations, routes, and duration. The issue of sustainability should be dealt with at managerial and professional levels. Without institutional priority, and clearly defined responsibilities and accountability, real improvement is unlikely. Ongoing activities conducted by properly trained personnel such as infection-control doctors and nurses are essential for the implementation of infection-control policies. Infection-control staff and staff promoting an ASP have overlapping roles. Thus it may be prudent to expand the role of existing infection-control teams and introduce ‘antimicrobial and infection-control officers’ and ‘antimicrobial and infection-control nurses’.

More action is required in areas where antimicrobial resistance is most serious. In Hong Kong, there is evidence that antibiotic resistance of some important nosocomial pathogens is worse than that in many other parts of the world. 1 Methicillin-resistant *Staphylococcus aureus* is endemic among local hospitals with 30% to 50% of all *S. aureus* resistant to methicillin. In intensive care units, 70% to 80% of *S. aureus* is MRSA. Most MRSA are also resistant to a number of other drugs. The incidence of VRE in Hong Kong is low at present: the first isolate was imported in 1997. Since then sporadic cases have been identified in at least five public and one private hospitals. In two public hospitals, clustering and nosocomial trans-
mission has been reported. The ESBLs are bacterial enzymes that are capable of inactivating third-generation cephalosporins. A survey of four Hong Kong hospitals in 1997/1998 revealed rates of 6% to 23% for *Klebsiella pneumoniae* and 9% to 14% for *E coli*.

In the United States, a “Public health action plan to combat antimicrobial resistance (action plan)” was developed in 1999. In the United Kingdom, significant progress has been made in optimising the clinical use of antimicrobials since 2000 in terms of governmental directives, strategy, and action plan. Similar initiatives have also been launched in Taiwan and South Korea. Many studies have found that optimisation of antibiotics in hospitals is feasible, safe, and effective. A diversity of approaches have been reported and the experience accumulated so far indicates that a multi-faceted ‘stewardship’ approach has clear advantages. A systematic review of the quality of interventions to improve hospital antibiotic prescription reveals that the existing approaches are not ideal and further refinement will be required.

A ‘universal’ and ‘continuous’ ASP should now be established in Hong Kong hospitals. With the establishment of the Centre for Health Protection, this is a timely initiative. A closer collaboration between partners in different sectors including the HA, Department of Health, Hong Kong Medical Association, the private hospitals, the two medical schools, patient’s groups, and pharmaceutical companies is essential. During the process of introduction, the Centre for Health Protection could take the lead and work out the directions and strategies for public and private hospitals. Implementation of an ASP should not be hasty. Instead, definite targets should be set in order to monitor the uptake, progress, effectiveness, and safety of such a programme. The assistance of local experts who have the experience of ASP should also be sought.

What are the disadvantages of an ‘antimicrobial stewardship programme’? What problems have been reported? Are there any arguments against having the programme? Is there a role for an alternative mechanism?

The stewardship programme involves proactive monitoring and feedback. An alternative approach is ‘no control’ (ie only by passive means). Such an approach relies heavily on the distribution of national guidelines and has been shown not to work. Guidelines are seldom studied thoroughly by clinicians, and even if read, are unlikely to be incorporated into everyday practice. There are concerns about ASP that should also be addressed (Table 2).

The perception of ‘threatened physician autonomy’ can be a significant hindrance. Previous studies and local experience have indicated that this is often an ‘emotional’ response that can be resolved by immediate concurrent feedback, consensus building, involvement of institutional opinion leaders, and attention to process measures. Similar programmes have been launched successfully in some HA hospitals for other drugs, including the statins, calcium channel blockers, and acid-suppressive agents.

Another barrier to implementation is the perception that an ASP is solely cost-driven and patient safety may be compromised. For this reason, recent reports have emphasised the inclusion of quality indicators such as time to reception of appropriate empirical antibiotics. Other suggested indicators include: (1) clinical outcomes of bacteraemia due to gram-negative organisms, (2) mortality for all patients, for those treated with antimicrobials, and for those with an infection, (3) duration of hospital stay for all patients and for those treated with antimicrobials, and (4) re-hospitalisation rate within 30 days after discharge for all patients and those treated with antimicrobial drugs. As in any quality improvement programme, a financial incentive is important to secure support by

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**Table 2. Potential barriers to reaching the strategic goals**

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<tr>
<th>Barrier</th>
<th>Countermeasures and improvement strategies</th>
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<tr>
<td>Ownership and accountability</td>
<td>Designate responsibility and accountability for the process</td>
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<td>Lack of ownership and accountability for recognising and reporting trends</td>
<td>Set up a multidisciplinary team to develop a collaborative system and monitor results</td>
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<tr>
<td>Failure to integrate work of laboratory, infection-control, medical, nursing, and care-unit staff</td>
<td>Ensure adequacy of laboratory and infection-control staffing and prioritise activities of staff so that data can be generated and analysed</td>
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<tr>
<td>Staff knowledge and practice</td>
<td>Report data in an easy-to-read/interpret format and, when appropriate, include data interpretation in the report</td>
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<td>Lack of time for the laboratory and/or infection-control staff to generate and analyse data</td>
<td>Use a data-driven approach to cultivate trust, eg communicate regularly with physicians about trends in antimicrobial usage, cost, and resistance, feedback to individual physicians about their performance results</td>
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<tr>
<td>Lack of time for health care providers to examine and discuss data, and inconsistent or erroneous interpretation of data by staff</td>
<td>Ensure availability of consultants, especially when designing analytical strategy and interpreting trend data</td>
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<tr>
<td>Physician attitudes</td>
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<tr>
<td>Lack of trust in the hospital administration</td>
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<tr>
<td>Expertise</td>
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<tr>
<td>Lack of expertise in biostatistics (eg presenting trends and analysing data)</td>
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the hospital management. The ASP is no exception. Good leadership and effective communication are essential to keep members, prescribers, and patients focused on the problem. This may be enhanced by the establishment of a multidisciplinary steering committee, and by regular use of data feedback on the patterns of use, patient outcomes, and antimicrobial resistance data. In principle, committee members should have a strong sense of commitment and cooperation. The composition of the multidisciplinary steering committee may be unique to each institute.

**Strategic directions**

In the face of rising rates of drug resistance among microorganisms and no promise of novel antibiotics, strategies are needed to minimise the development and spread of antimicrobial resistance. These strategies have in common three key and inter-related components: surveillance, prudent antimicrobial use, and infection control. With regard to promoting prudent antimicrobial use through implementation of ASP in the hospital setting, the present meeting group proposed the following strategic directions.

**Focus on antimicrobial resistance containment**

Containment of antimicrobial resistance is the main rationale for promoting optimal antimicrobial use. Since antimicrobial resistance may spread across health care boundaries, efforts directed at their containment by promoting better use of existing antimicrobial drugs should be on a territory-wide basis, and involve the public and private sectors. The hospital-based component should be part of a more comprehensive strategy for Hong Kong to be centrally coordinated by the Centre for Health Protection.

**Focus on overcoming existing barriers to prudent prescribing by coordinated efforts**

The concept of promoting prudent antimicrobial use by antimicrobial stewardship has clear merits. It is supported by a number of international and professional bodies, and should be given higher priority by hospitals in Hong Kong. In promoting the implementation of ASP, there should be coordinated efforts by professionals, administrative staff, regulatory agencies, pharmaceuticals, and the public directed at overcoming the existing barriers through a range of measures such as education, guidelines, prescribing support, organisational support, feedbacks, and data-driven communications. As an initial step, each institute or hospital will need to form a steering group to identify the institutional issues and priorities, and to devise a working plan.

**Focus on health care quality improvement**

The implementation of ASP to promote prudent antimicrobial use may be viewed as an example of quality improvement. Quality indicators and timely feedback of data are essential to safeguard health care quality. Before launching, specific programme targets should be set and process and outcome measures laid down. Subsequently, programme findings should be regularly monitored and feedback provided to parties concerned.

**Conclusion**

Antimicrobial drug resistance is an important public health threat because it endangers our ability to effectively treat infection. A multi-faceted approach that involves the continuous application of a package of interventions should be implemented at regional and international levels. In the health care setting, efforts should focus on infection prevention, effective diagnosis, early treatment, wise use of antimicrobials, and breaking the chain of transmission. In Hong Kong, there is room for improvement in the use of antimicrobial drugs in hospitals. Recent research indicates that improvement in the pattern of prescriptions is feasible and can be implemented by means of ASP in a safe, scientific, and professional manner. As antibiotic-resistant bacteria become more widespread, such initiatives will become increasingly important. As the fight against antimicrobial resistance continues, a major challenge in future will be maintaining the viability of and sustaining an ASP in the long term.

**Acknowledgements**

The authors thank the Centre for Health Protection for organising this consensus meeting and for the excellent secretariat support and the HA for support in organising the programme. We also thank Dr PY Lam, Director, Department of Health, Hong Kong for granting approval to release this meeting material for publication.

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Hong Kong Med J Vol 12 No 2 April 2006