

1 **Nitrogen-containing bisphosphonates are associated with reduced**
2 **risk of pneumonia in patients with hip fracture**

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31

32 **Abstract**

33 **Objective** To study the risk of pneumonia and pneumonia mortality among patients receiving
34 nitrogen-containing bisphosphonates (N-BPs), non-N-BPs anti-osteoporosis medications, and no
35 anti-osteoporosis medications after hip fracture.

36 **Methods** This is a historical cohort study using a population-wide database. Patients with first hip
37 fracture during 2005-2015 were identified and matched by time-dependent propensity score. The
38 cohort was followed until December 31 2016 to capture any pneumonia and pneumonia mortality.
39 Hazard ratios (HR) and 95% confidence intervals (CI) were estimated using Cox-proportional
40 hazards regression. Absolute risk differences (ARD) and number needed to treat (NNT) were
41 calculated.

42 **Results** This study identified 54,047 patients with hip fracture. Of these, 4,041 patients who
43 received N-BPs and 11,802 without anti-osteoporosis medication were propensity score matched.
44 N-BPs was associated with a significantly lower risk of pneumonia compared with no treatment
45 (6.9 vs 9.0 per 100 person-years; HR 0.76, 95% CI 0.70-0.83), resulting in an ARD of 0.02 and
46 NNT of 46. A similar association was observed with pneumonia mortality (HR 0.65, 95% CI 0.56-
47 0.75). When N-BPs were compared with non-N-BPs anti-osteoporosis medications, the
48 association remained significant.

49 **Conclusions** N-BPs were associated with lower risks of pneumonia and pneumonia mortality. N-
50 BP may be a new non-vaccine based medication to reduce pneumonia incidence in high risk groups.

51 **Keywords:** antiresorptives, osteoporosis, epidemiology, general population studies

52 **Introduction**

53 Nitrogen-containing bisphosphonates (N-BPs) are widely used in the treatment of postmenopausal
54 osteoporosis, with alendronate being the first-line medication in many countries. Early studies
55 have suggested potential beneficial effects of alendronate and related N-BPs on the lung. A
56 pharmacokinetic study showed the highest concentration of alendronate in the trachea among all
57 non-bone tissues studied and it was retained in the trachea >60 days after a single or 7-day repeated
58 intravenous administration.(1) Alendronate was still detected in the trachea with a concentration
59 of 607 ng/ml (vs 1370 ng/ml detected in vertebra) seventy-two hours after oral ingestion.(2)
60 Moreover, alendronate targets the same pathway as statins. A previous animal study and a recent
61 randomized controlled trial (RCT) showed that statins possess immunomodulatory effects(3) and
62 improve pneumonia survival(4).

63

64 Based on of the above evidence, we hypothesized that N-BP could protect humans from
65 pneumonia and its associated mortality. To test this hypothesis, we conducted a real-world
66 population-based propensity score (PS) matched cohort study in hip fracture patients, in which
67 pneumonia was the leading cause of death.(5) The risk of incident pneumonia and pneumonia
68 mortality in hip fracture patients receiving N-BPs, compared with no anti-osteoporosis medication
69 or non-N-BPs anti-osteoporosis medications, was studied.

70

71 **Materials and Methods**

72 **Data source**

73 Data was collected from the Clinical Data Analysis and Reporting System (CDARS), an electronic
74 medical database managed by the HKHA. HKHA is a public healthcare provider, serving >80%
75 of hospital admissions in Hong Kong. CDARS is a centralized database developed for research
76 and audit. It includes anonymized records of demographics, admission, prescription, diagnosis,
77 procedures, laboratory tests results, and deaths. The database has been widely used in population-
78 based studies(5, 6) and specifically validated for bone fractures studies.(7)

79

80 **Study cohort**

81 We identified a historical study cohort using CDARS. Patients aged ≥ 50 years who were admitted
82 via an emergency room between January 1 2005 and December 31 2015, with an incident hip
83 fracture (ICD-9 code 820.XX) were included. To reduce selection bias and/or competing risk of
84 death, we excluded patients who had i) previous exposure to anti-osteoporosis medications since
85 1993 when data were first available in CDARS; ii) prolonged length of stay (LOS) after hip
86 fracture, defined as >60 days according to the general LOS of patients with hip fracture in Hong
87 Kong(8). , as patients with prolonged LOS might be physically unfit to receive anti-osteoporosis
88 medications; or iii) history of cancer since bone targeting agents are often prescribed. All patients
89 in the study cohort were followed until December 31 2016 (end of study) to allow at least one-year
90 of follow-up.

91

92 **Exposure and outcomes**

93 Patients were classified as “N-BPs-exposed” if they had a prescription record of any N-BPs,
94 including alendronate, ibandronate, risedronate, and zoledronate, before the end of study.

95 Bisphosphonates can accumulate in the skeleton(9) and their residual effects after treatment
96 withdrawal can be sustained up to 7 years.(10) Due to the above characteristics and to emulate the
97 “intention-to-treat” principle, the patients were considered exposed to the drug if they received
98 any treatment with bisphosphonates until the end of follow-up.

99

100 In the primary analysis, we compared patients treated with N-BPs (N-BPs-exposed) to patients
101 without any anti-osteoporosis medication treatment (non-exposed). In the secondary analysis, we
102 compared patients treated with N-BPs to patients treated with non-N-BP anti-osteoporosis
103 medications with different mechanism-of-actions, namely denosumab, raloxifene, salcatonin,
104 strontium ranelate, and teriparatide.

105

106 The outcomes of interest were pneumonia incidence and death (pneumonia mortality). Diagnosis
107 of pneumonia was defined as in-patient/out-patient records coded with ICD-9 codes 480-487.0.
108 Pneumonia mortality was defined by a cause of death with ICD-10 codes J12-J18.

109

110 **Propensity Score matching**

111 Propensity scores (PS) were used to reduce potential confounding by non-randomized treatment
112 allocation.(11) Propensity score was defined as a conditional probability of receiving certain
113 treatment given a patient’s characteristics. Details and covariates used to estimate PS are provided
114 in Supplementary Method and Supplementary Table S1. Immortal time bias that favours the
115 treatment group might arise due to the differences in follow-up start day between patients in non-

116 exposed and N-BPs-exposed groups. To address the bias, we adopted a time-dependent PS
117 matching approach, where N-BPs-exposed patients were matched with patients who were not yet
118 exposed to N-BPs at the particular time point, allowing the comparison groups to be followed
119 from the same starting point.(12) Details of the time-dependent PS matching are described in the
120 Supplementary Methods. (13) To reduce any unmeasured confounding, PS trimming was
121 performed before matching, in which patients with treatment status contrary to the prediction, i.e.
122 patients with PS<5th percentile of treated or >95th percentile of untreated, were excluded. (14)

123
124 Given that the exposure status is time-dependent, a patient was considered as non-exposed until
125 the initial prescription of N-BP. In the primary analysis, each N-BPs-exposed patient was matched
126 with up to three non-exposed patients using sequential greedy matching(15) with a caliper of 0.2
127 standard deviations (SD), without replacement. In the secondary analysis, each patient in the non-
128 N-BP anti-osteoporosis medication group was matched with up to three patients in the N-BPs-
129 exposed group, without replacement, since more patients were treated with N-BPs than non-N-BP
130 anti-osteoporosis medications. To assess the quality of matching, the absolute standardized
131 differences (ASD) in covariates between treatment groups were estimated. ASD<0.1 was
132 considered as well-balanced matching.(16) Any covariate with ASD≥0.1 was further adjusted in
133 the regression analysis.

134
135 Patients were followed from the index date until the occurrence of a pneumonia event, switch to
136 another anti-osteoporosis medication, death, or end of study, whichever occurred first. The index
137 date in N-BP-exposed patients was the date of first prescription while the index date in non-
138 exposed patients was matched with N-BPs-exposed patients.

139

140 **Statistical analysis**

141 Continuous variables were presented as mean±SD and categorical variables as frequency
142 (percentage). Incidence rates per 100 person-years and 95% confidence intervals (CIs) were
143 estimated using Poisson distribution. Time-to-event analysis was used to evaluate the association
144 of N-BPs with outcomes. Hazard ratios (HRs) and 95% CIs were estimated using Cox proportional
145 hazards regression models stratified on the matched pairs. Kaplan–Meier curves comparing
146 treatment groups were plotted and tested using a stratified log-rank test on matched pairs. The
147 absolute risk difference (ARD) between treatment groups was estimated using the formula
148 $(\text{incidence rate ratio} - 1) \times \text{incidence rate of event in non-exposed group}$, where incidence rate
149 ratio was interpreted as HR, given the large sample size. The number needed to treat (NNT) was
150 calculated as the reciprocal of ARD.

151

152 **Additional analyses**

153 Subgroup analyses were performed by sex and history of pneumococcal/seasonal influenza
154 vaccination. Sensitivity analysis was conducted to detect any residual and unmeasured
155 confounding. First, we excluded patients receiving late treatment (start of first treatment > 180 days
156 from the time of discharge from hip fracture). Since a longer time from hip fracture is associated
157 with a lower pneumonia risk, patients with delayed treatment were excluded to prevent bias. The
158 180 days cut-off was used because mortality of hip fracture stabilized after 180 days.⁽⁵⁾ Second,
159 we repeated the analysis in the unmatched cohort using inverse probability of treatment weighting
160 (IPTW). PS-matching excluded unmatched subjects in the analysis, limiting the sample size and

161 generalizability of the study. Conversely, IPTW retains all subjects in the study cohort and
162 overcomes the limitation in PS-matching. Details of IPTW and calculation of the weights using
163 PS were discussed elsewhere.(17) In this study, we conducted IPTW using stabilized weights with
164 truncation at 5%. Finally, the E-value(18, 19) was also computed to further evaluate the robustness
165 of the findings to unmeasured confounding.(20)

166

167 R was used for all statistical analyses. A two-sided p-value<0.05 was considered significant.

168

169 **Results**

170 **Baseline characteristics**

171 We identified 54,047 patients from the database. Among the 43,349 patients included after
172 screening (Figure 1), 6,467 (14.9%) were prescribed anti-osteoporosis medication by the end of
173 study. In the primary analysis, 4,041 N-BPs-exposed patients were matched with 11,802 non-
174 exposed patients. The covariates were well-matched (ASD<0.1) except for the year of index date,
175 frequency of in-patient admissions, and the use of anticoagulants and nonsteroidal anti-
176 inflammatory drugs in the past 180 days (Table 1), which were later adjusted in the analysis. In
177 the secondary analysis, 1,284 N-BP exposed patients were matched with 507 non-N-BP anti-
178 osteoporosis medications exposed patients (166 on strontium ranelate, 161 on salcatonin, 135 on
179 denosumab, 38 on teriparatide, and 7 on raloxifene). All covariates were well-matched
180 (Supplementary Table S2). The Kaplan–Meier curves between treatment groups showed
181 significant difference in the pneumonia events (Figure 2).

182

183 **N-BPs and risk of pneumonia**

184 The median follow-up time was 2.7 years (interquartile range 1.3 to 5.1 years). The incidence of
185 pneumonia was 9.0 and 6.9 per 100 patient-years for the non-exposed and N-BPs-exposed groups,
186 respectively (Table 2). N-BPs were associated with a reduced risk of incident pneumonia (HR 0.76,
187 95% CI 0.70 to 0.83; Table 2), with an ARD of 0.02 (95% CI 0.03 to 0.02), and 46 (95% CI 37 to
188 65) patients were required to treat to prevent one pneumonia. Similar significant findings were
189 observed for alendronate exposure (Table 2).

190

191 No significant interaction was observed for N-BPs with gender and vaccination, and subgroup
192 analyses revealed similar findings (Supplementary Table S3). In the sensitivity analyses
193 (Supplementary tables S4), late treatment and IPTW analysis revealed similar findings. The E-
194 value for point estimate and upper confidence limit was 1.96 (1.7).

195

196 **N-BPs and risk of pneumonia mortality**

197 The pneumonia mortality was 3.5 and 2.3 per 100 patient-years for the non-exposed and N-BPs-
198 exposed groups, respectively (Table 2). N-BPs were associated with a reduced risk of pneumonia
199 mortality (HR 0.65, 95% CI 0.56 to 0.75; Table 2). Similar significant findings were observed for
200 alendronate exposure (Table 2). No significant interaction was observed for N-BP with gender and
201 vaccination on pneumonia mortality (Supplementary Table S3). Similar results were observed in
202 the subgroup (Supplementary Table S3) and sensitivity analyses (Supplementary Tables S4). The
203 E-value for point estimate and upper confidence limit was 2.45 (2).

204

205 **N-BPs vs. non-N-BP anti-osteoporosis medications**

206 To avoid confounding by indication, non-N-BP anti-osteoporosis medications was considered as
207 the comparator. Similarly, the association of N-BPs was significant (pneumonia: HR 0.68, 95%
208 CI 0.53 to 0.87; pneumonia mortality: HR 0.60, 95% CI 0.41 to 0.89; Table 3). Using the IPTW
209 method with maintained sample size, significant associations of N-BPs with reduced risk of
210 pneumonia incidence and mortality were observed (pneumonia: HR 0.52, 95% CI 0.44–0.61;
211 pneumonia mortality: HR 0.38, 95% CI 0.3–0.47; Supplementary Table S4).

212

213 **Discussion**

214 This is the first real-world population-based study using a large electronic clinical database to
215 examine the potential effect of N-BPs on post-hip fracture pneumonia risk. Patients prescribed N-

216 BPs had a significantly reduced pneumonia risk and mortality when compared with those without
217 any treatment or with non-N-BP anti-osteoporosis medications. The effect was robust in various
218 sensitivity and subgroup analyses.

219

220 **Potential mechanisms**

221 This hypothesis-testing study was based on evidence suggesting that N-BPs may have similar
222 effects on both alveolar macrophages and osteoclasts, cells that share the same lineage, and thus
223 may influence i the pathogenesis of pneumonia characterized by lung parenchyma inflammation.
224 Alveolar macrophages play an important antibacterial role in defending against pneumonia by
225 early phagocytosis of pathogens and subsequent induction of apoptosis to minimize
226 inflammation.(21) A clinical study showed that N-BP reduced macrophage lineage cells(22)
227 through the reduction of mcl-1 expressions in both macrophages(23) and osteoclasts(24), which
228 might subsequently reduce inflammation(21). The pharmacology(21, 24) and pharmacokinetics(1,
229 2) of N-BPs also make it a potential drug for pneumonia as previously mentioned. N-BP
230 (pamidronate) expands human V γ 9V δ 2 T-cell populations in humanized mice, kills influenza -
231 infected cells, inhibits *in vitro* influenza viral replication, and subsequently reduces the severity of
232 influenza infection and the associated mortality.(25) Moreover, both N-BP and statins target the
233 same pathway, and possess similar anti-inflammation and immunomodulatory effects.(3, 26) In a
234 recent RCT, statin improved neutrophil function and hospital-free survival in pneumonia.(4)
235 Furthermore, that study also proposed that N-BP (zoledronate) could maintain physiological
236 reserve, thus enhancing the ability to recover from acute illnesses.(27) Together with the current
237 study, this evidence suggested N-BPs as a new promising drug class in reducing risk of pneumonia
238 and its associated mortality.

239

240 **Comparison with other studies**

241 Our study is in agreement with a post-hoc analysis(27) of HORIZON Recurrent Fracture Trial,
242 which demonstrated that zoledronate reduced pneumonia mortality by ~50% after a mean follow-
243 up of 2 years. Similarly, we observed HRs of 0.65 in N-BPs group at a 3-year (median) follow-up
244 for pneumonia mortality, respectively. Although the post-hoc analysis of the RCT showed only a
245 slightly lower incidence of pneumonia in the zoledronate group (5.5% in zoledronate group vs.
246 5.6% in placebo group), such a discrepancy could be due to the highly selected patients in the
247 HORIZON RCT, which excluded patients who were unwilling/unable to take oral bisphosphonate
248 and had life expectancy<1 year as judged by the investigators. Patients with high risk of pneumonia
249 might be excluded, leading to reduced statistical power. Moreover, the self-reported pneumonia
250 incidence was subjected to under-diagnosis, loss of follow-up, and recall and misclassification bias,
251 resulting in a bias towards the null hypothesis. Conversely, the larger sample size of the current
252 study has increased the power to detect differences with statistical significance. Also, we used the
253 clinical diagnosis of pneumonia by physicians, which is more accurate and less biased. It should
254 be noted that our study included mainly alendronate-exposed patients (81.6%); however given that
255 alendronate and zoledronate have the same pharmacology with different potency(28), it is possible
256 that alendronate could have similar effects on reducing pneumonia, which is supported by our
257 findings. Further studies investigating the link between alendronate and pneumonia are warranted.

258

259 Previous RCTs and observational studies have suggested 10% to 60% reduction of all-cause
260 mortality by bisphosphonates (29-31) Among these studies, only a few reported the cause of death

261 (30) or the risk of pneumonia mortality(31). Apart from the post-hoc analysis of the HORIZON
262 Recurrent Fracture Trial as previously mentioned, a prospective study involving 78
263 bisphosphonates users (63 on alendronate) and 1,923 non-users has reported a reduced risk of
264 death due to infection (including pneumonia) but the association did not reach statistical
265 significance (HR 0.64, 95%CI 0.35-1.19, p = 0.16).(31) Indeed, the point estimate reported in this
266 study is similar in magnitude to our finding; however the lack of statistical significance possibly
267 could be due to the lack of power with a small sample. Therefore, more studies on the effect of N-
268 BPs on cause-specific mortality are warranted. On the other hand, a recent meta-analysis of RCTs
269 reported no association between bisphosphonates and overall mortality.(32) This meta-analysis of
270 38 RCTs included patients with osteoporosis, osteopenia, and osteoporotic fractures. Indeed, if
271 RCTs of patients with osteoporotic fractures was selected, a reduced risk of mortality (pooled risk
272 ratio 0.79; 95% CI 0.65 – 0.95; I² = 0%) would be observed. Although the pooled risk ratio was
273 largely driven by one RCT which studied zoledronate, as mentioned previously, it is possible that
274 alendronate would have similar effects as zoledronate. In addition, there is a longstanding debate
275 that RCTs excluding patients with chronic health conditions result in a healthier cohort that is
276 unrepresentative of the real-world setting. (33) Bisphosphonate studies are susceptible to such bias
277 because in clinical settings, the drugs are commonly used in old patients with multiple chronic
278 diseases. The effect of bisphosphonates in reducing mortality might be more pronounced in higher-
279 risk groups e.g. patients with osteoporotic fractures, or critically ill patients(34) than lower-risk
280 groups. We, therefore, suggest the inclusion of high-risk patients in future anti-osteoporosis
281 treatment RCTs.

282

283 Since frail patients would be less likely to receive anti-osteoporosis medications after hip fracture,
284 bias in the prescribing of treatment could exist. As shown in Table 1, N-BPs exposed patients in
285 the pre-matched cohort generally had less comorbidity compared with the non-exposed group. We,
286 therefore, used patients receiving non-N-BP anti-osteoporosis medications as a comparator. A
287 significant reduced risk of pneumonia and pneumonia mortality was still observed. On the other
288 hand, patients receiving medication treatment could be wealthier and thus could get a better
289 medical care, resulting in a lower risk of infections and mortality. Nonetheless, such bias should
290 be minimal because the cost of N-BPs are highly subsidized in Hong Kong and also we used non-
291 N-BP anti-osteoporosis medications, which are more expensive than N-BPs, as a comparator. In
292 addition, the analyses were repeated using injury or trauma hospitalization as a negative control
293 outcome.(35) No significant association was observed (Supplementary Table S5), suggesting
294 minimal confounding bias.

295

296 **Clinical implication**

297 Currently, vaccination is the only medication that can prevent pneumonia. In our subgroup analysis,
298 we showed that N-BPs were associated with reduced pneumonia risk and mortality, regardless of
299 the vaccination status. N-BPs could therefore confer additional protection against pneumonia to
300 compensate for the reported shortage and low acceptance of vaccine, as well as the high cost of
301 large-scale vaccination program. Drug repositioning of N-BPs as a pneumonia-prevention drug,
302 especially in high-risk groups (e.g. patients with osteoporosis), may be of public health importance.
303 The multiple benefits of using N-BPs in hip fracture patients may help to promote the use of these
304 medications, especially in light of the fact that anti-osteoporosis medications are under-utilized.(36)
305 Given the potential importance of N-BPs, further investigation or a RCT is warranted.

306

307 **Strengths and limitations**

308 Our study has several strengths. A clinical database capturing most records with high validity(37)
309 was used to conduct this population-based study to provide ample power for association detection.
310 We also used non-N-BP anti-osteoporosis medications as a comparator to minimize confounding
311 by indication. The finding is likely generalizable to hip fracture patients, who are susceptible to
312 pneumonia.

313

314 Limitations of the study included the unavailability of data regarding infection type. There might
315 also be competing risk of death. However, such effect should be minimal since pneumonia is the
316 top leading cause of death and similar results were observed using competing risk regression (data
317 not shown). In addition, there might be potential residual confounding. Nonetheless, the calculated
318 E-values of incident pneumonia and pneumonia mortality were greater than any risk factors
319 included in the PS model (Supplementary Table S6), suggesting the presence of unmeasured
320 confounding that could overcome the effect of N-BPs treatment observed was unlikely. Moreover,
321 our previous study has revealed association between N-BP and reduced risk of myocardial
322 infarction(5) and the association was subsequently observed in a large-scale RCT of
323 zoledronate.(38) Such external and independent validation in RCT provided a strong support for
324 the validity and causality of our findings. Besides, further studies are required to determine if the
325 results are generalizable to other disease groups.

326

327 **Conclusion**

328 Among patients with hip fracture, the use of N-BPs was associated with a lower risk of pneumonia
329 and associated mortality when compared to non-N-BP anti-osteoporosis or no anti-osteoporosis
330 medication use. Future RCTs may be warranted to further validate the findings.

331

332 **Contributors:** C.W.S., D.P.K., R.B.H., C.L.C. designed the study. C.W.S. and C.L.C. collected
333 data and conducted data analysis. C.W.S., D.P.K., R.B.H., W.C.L., A.W.K., I.C.W., C.L.C.
334 interpret the data. C.W.S. and C.L.C. drafted the manuscript. C.W.S., D.P.K., R.B.H., W.C.L.,
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341

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458

459 **Figure Legends**

460 Figure 1. Screening flow chart of the study cohort

461 Figure 2. Kaplan–Meier curves comparing treatment groups for a) incident pneumonia and b)

462 pneumonia mortality. (p-value tested with stratified log-rank test on matched pairs)

463 Table 1. Baseline characteristics of the study population before and after propensity score matching, patients treated with N-BPs compared with no
 464 treatment

| | Pre-matched cohort | | | Matched cohort | | |
|-----------------------------------|--------------------|---------------|------|----------------|---------------|-------|
| | Non-exposed | N-BPs exposed | ASD | Non-exposed | N-BPs exposed | ASD |
| Subject, n (%) | 37542 | 5807 | | 11802 | 4041 | |
| Male, n (%) | 12178 (32.4) | 1229 (21.2) | 0.26 | 2791 (23.6) | 928 (23.0) | 0.02 |
| Age, mean (SD) | 81.9 (9.5) | 80.0 (8.6) | 0.22 | 80.3 (9.8) | 80.3 (8.4) | <0.01 |
| 50-69 | 3835 (10.2) | 715 (12.3) | | 1617 (13.7) | 446 (11.0) | |
| 70-89 | 25844 (68.8) | 4458 (76.8) | | 8314 (70.4) | 3131 (77.5) | |
| 90+ | 7863 (20.9) | 634 (10.9) | | 1871 (15.9) | 464 (11.5) | |
| Year of index date, n (%) | | | 0.66 | 0 | | 0.31 |
| 2005 | 3048 (8.1) | 46 (0.8) | | 419 (3.6) | 43 (1.1) | |
| 2006 | 3325 (8.9) | 95 (1.6) | | 376 (3.2) | 71 (1.8) | |
| 2007 | 3323 (8.9) | 272 (4.7) | | 962 (8.2) | 196 (4.9) | |
| 2008 | 3555 (9.5) | 350 (6.0) | | 1092 (9.3) | 271 (6.7) | |
| 2009 | 3252 (8.7) | 584 (10.1) | | 1279 (10.8) | 401 (9.9) | |
| 2010 | 3201 (8.5) | 808 (13.9) | | 1272 (10.8) | 485 (12.0) | |
| 2011 | 3493 (9.3) | 661 (11.4) | | 1154 (9.8) | 472 (11.7) | |
| 2012 | 3487 (9.3) | 564 (9.7) | | 1023 (8.7) | 396 (9.8) | |
| 2013 | 3406 (9.1) | 636 (11.0) | | 1126 (9.5) | 445 (11.0) | |
| 2014 | 3662 (9.8) | 762 (13.1) | | 1407 (11.9) | 493 (12.2) | |
| 2015 | 3498 (9.3) | 693 (11.9) | | 1367 (11.6) | 532 (13.2) | |
| 2016 | 292 (0.8) | 336 (5.8) | | 325 (2.8) | 236 (5.8) | |
| Admission hospital cluster, n (%) | | | | | | 0.09 |
| Hong Kong West Cluster | 2842 (7.6) | 409 (7.0) | | 1056 (8.9) | 314 (7.8) | |
| Hong Kong East Cluster | 4307 (11.5) | 937 (16.1) | | 1925 (16.3) | 596 (14.7) | |
| New Territories West Cluster | 4562 (12.2) | 307 (5.3) | | 650 (5.5) | 227 (5.6) | |
| New Territories East Cluster | 6252 (16.7) | 1026 (17.7) | | 2252 (19.1) | 842 (20.8) | |
| Kowloon West Cluster | 7442 (19.8) | 1309 (22.5) | | 2588 (21.9) | 844 (20.9) | |

| | | | | | | |
|---|--------------|-------------|------|--------------|-------------|-------|
| Kowloon East Cluster | 4698 (12.5) | 1339 (23.1) | | 2190 (18.6) | 858 (21.2) | |
| Kowloon Central Cluster | 7439 (19.8) | 480 (8.3) | | 1141 (9.7) | 360 (8.9) | |
| Nursing home residency, n (%) | 10706 (28.5) | 778 (13.4) | 0.38 | 1426 (12.1) | 518 (12.8) | 0.02 |
| Seasonal influenza / Pneumococcal vaccination, n (%) | 4004 (10.7) | 1020 (17.6) | 0.20 | 1683 (14.3) | 637 (15.8) | 0.04 |
| Surgical operation for hip fracture, n (%) | 33158 (88.3) | 5650 (97.3) | 0.35 | 11448 (97.0) | 3948 (97.7) | 0.04 |
| Frequency of healthcare service in past one year, mean (SD) | | | | | | |
| In-patient admission | 1.9 (3.3) | 1.5 (2.0) | 0.13 | 1.6 (1.5) | 1.4 (1.1) | 0.16 |
| Out-patient clinics | 7.9 (10.1) | 11.6 (11.8) | 0.34 | 9.9 (10.5) | 10.2 (9.8) | 0.03 |
| Intensive care units | 0.02 (0.13) | 0.01 (0.12) | 0.01 | 0.02 (0.13) | 0.01 (0.10) | 0.05 |
| Medical history, n (%) | | | | | | |
| Coronary heart disease | 5043 (13.4) | 602 (10.4) | 0.10 | 1276 (10.8) | 390 (9.7) | 0.04 |
| Congestive heart failure | 4147 (11.0) | 445 (7.7) | 0.12 | 908 (7.7) | 287 (7.1) | 0.02 |
| Arrhythmia and conduction disorders | 4917 (13.1) | 592 (10.2) | 0.09 | 1261 (10.7) | 403 (10.0) | 0.02 |
| Arterial disease | 1814 (4.8) | 246 (4.2) | 0.03 | 487 (4.1) | 161 (4.0) | 0.01 |
| Hypertensive disease | 16216 (43.2) | 2584 (44.5) | 0.03 | 5037 (42.7) | 1669 (41.3) | 0.03 |
| Cerebrovascular disease | 7032 (18.7) | 872 (15.0) | 0.10 | 1732 (14.7) | 610 (15.1) | 0.01 |
| Chronic obstructive pulmonary disease | 3829 (10.2) | 531 (9.1) | 0.04 | 998 (8.5) | 328 (8.1) | 0.01 |
| Other lung diseases | 7547 (20.1) | 1070 (18.4) | 0.04 | 2021 (17.1) | 694 (17.2) | <0.01 |
| Diabetes | 8296 (22.1) | 1356 (23.4) | 0.03 | 2662 (22.6) | 854 (21.1) | 0.03 |
| Hyperlipidemia | 3473 (9.3) | 681 (11.7) | 0.08 | 1214 (10.3) | 394 (9.8) | 0.02 |
| Obesity | 102 (0.3) | 23 (0.4) | 0.02 | 44 (0.4) | 10 (0.2) | 0.02 |
| Renal failure | 2119 (5.6) | 135 (2.3) | 0.17 | 302 (2.6) | 95 (2.4) | 0.01 |
| Chronic liver disease | 304 (0.8) | 35 (0.6) | 0.03 | 88 (0.7) | 24 (0.6) | 0.02 |
| Osteoporosis | 1576 (4.2) | 849 (14.6) | 0.36 | 551 (4.7) | 224 (5.5) | 0.04 |
| Fall | 36431 (97.0) | 5691 (98.0) | 0.06 | 11504 (97.5) | 3957 (97.9) | 0.03 |
| Other major fractures | 4408 (11.7) | 885 (15.2) | 0.10 | 1584 (13.4) | 560 (13.9) | 0.01 |
| Connective tissue disease | 221 (0.6) | 128 (2.2) | 0.14 | 104 (0.9) | 46 (1.1) | 0.03 |
| Dementia | 3416 (9.1) | 236 (4.1) | 0.20 | 392 (3.3) | 140 (3.5) | 0.01 |
| Thyroid disorders | 1007 (2.7) | 171 (2.9) | 0.02 | 336 (2.8) | 109 (2.7) | 0.01 |

Prescription in past 180 days, n (%)

| | | | | | | |
|--|--------------|-------------|------|-------------|-------------|-------|
| Digoxin | 1256 (3.3) | 123 (2.1) | 0.08 | 271 (2.3) | 90 (2.2) | 0.01 |
| Loop diuretics | 5074 (13.5) | 571 (9.8) | 0.12 | 1241 (10.5) | 377 (9.3) | 0.04 |
| Other diuretics | 2797 (7.5) | 401 (6.9) | 0.02 | 841 (7.1) | 305 (7.5) | 0.02 |
| Anti-arrhythmics class I and II | 668 (1.8) | 69 (1.2) | 0.05 | 197 (1.7) | 43 (1.1) | 0.05 |
| Beta blockers | 7977 (21.2) | 1218 (21.0) | 0.01 | 2538 (21.5) | 823 (20.4) | 0.03 |
| Angiotensin receptor blocker/ angiotensin converting enzyme inhibitor/ renin inhibitor | 8692 (23.2) | 1415 (24.4) | 0.03 | 2761 (23.4) | 939 (23.2) | <0.01 |
| Nitrates | 3973 (10.6) | 460 (7.9) | 0.09 | 953 (8.1) | 309 (7.6) | 0.02 |
| Calcium channel blockers | 14296 (38.1) | 2137 (36.8) | 0.03 | 4204 (35.6) | 1465 (36.3) | 0.01 |
| Peripheral vasodilators | 701 (1.9) | 56 (1.0) | 0.08 | 119 (1.0) | 35 (0.9) | 0.02 |
| Anticoagulants | 2375 (6.3) | 316 (5.4) | 0.04 | 766 (6.5) | 150 (3.7) | 0.13 |
| Platelet inhibitors | 10405 (27.7) | 1407 (24.2) | 0.08 | 2836 (24.0) | 935 (23.1) | 0.02 |
| Lipid regulating drugs | 5119 (13.6) | 1042 (17.9) | 0.12 | 1804 (15.3) | 694 (17.2) | 0.05 |
| Antipsychotics | 4216 (11.2) | 306 (5.3) | 0.22 | 642 (5.4) | 217 (5.4) | <0.01 |
| Antidepressants | 3500 (9.3) | 461 (7.9) | 0.05 | 934 (7.9) | 294 (7.3) | 0.02 |
| Antidiabetic drugs | 7244 (19.3) | 1171 (20.2) | 0.02 | 2290 (19.4) | 784 (19.4) | <0.01 |
| Oral corticosteroids | 1677 (4.5) | 313 (5.4) | 0.04 | 506 (4.3) | 161 (4.0) | 0.02 |
| Non-steroidal anti-inflammatory drugs | 3360 (8.9) | 589 (10.1) | 0.04 | 1315 (11.1) | 325 (8.0) | 0.10 |
| Proton pump inhibitors | 4393 (11.7) | 523 (9.0) | 0.09 | 1051 (8.9) | 344 (8.5) | 0.01 |
| Beta2 agonists | 3831 (10.2) | 488 (8.4) | 0.06 | 901 (7.6) | 304 (7.5) | <0.01 |
| Inhaled corticosteroids | 1633 (4.3) | 246 (4.2) | 0.01 | 440 (3.7) | 145 (3.6) | 0.01 |
| Immunosuppressant | 40 (0.1) | 21 (0.4) | 0.05 | 15 (0.1) | 5 (0.1) | <0.01 |

465 N-BPs nitrogen-containing bisphosphonates

466 ASD Absolute standardized difference, compared with non-exposed group

467 Table 2. Risk of pneumonia in patients treated with N-BPs after hip fracture, compared with no treatment

| Outcome | Group | Subject, n | Event, n | Mortality / Incidence rate, per 100 person-years | Hazard ratio ^b (95% CI) | P |
|---------------------|------------------------|------------|----------|--|------------------------------------|--------|
| Incident pneumonia | Non-exposed | 11,802 | 3,595 | 9.0 (8.7-9.3) | 1 | - |
| | All N-BPs ^a | 4,041 | 977 | 6.9 (6.5-7.3) | 0.76 (0.70-0.83) | <0.001 |
| | Alendronate | 3,298 | 732 | 6.8 (6.3-7.3) | 0.74 (0.67-0.81) | <0.001 |
| Pneumonia mortality | Non-exposed | 11,802 | 1,550 | 3.5 (3.3-3.7) | 1 | - |
| | All N-BPs | 4,041 | 354 | 2.3 (2-2.5) | 0.65 (0.56-0.75) | <0.001 |
| | Alendronate | 3,298 | 270 | 2.3 (2-2.6) | 0.63 (0.54-0.74) | <0.001 |

468 a N-BPs included alendronate, ibandronate, zoledronate, and risedronate. Models were adjusted for

469 imbalanced variables (year of index date, frequency of in-patients admission, use of anticoagulants and

470 nonsteroidal anti-inflammatory drugs in the past 180 days)

471

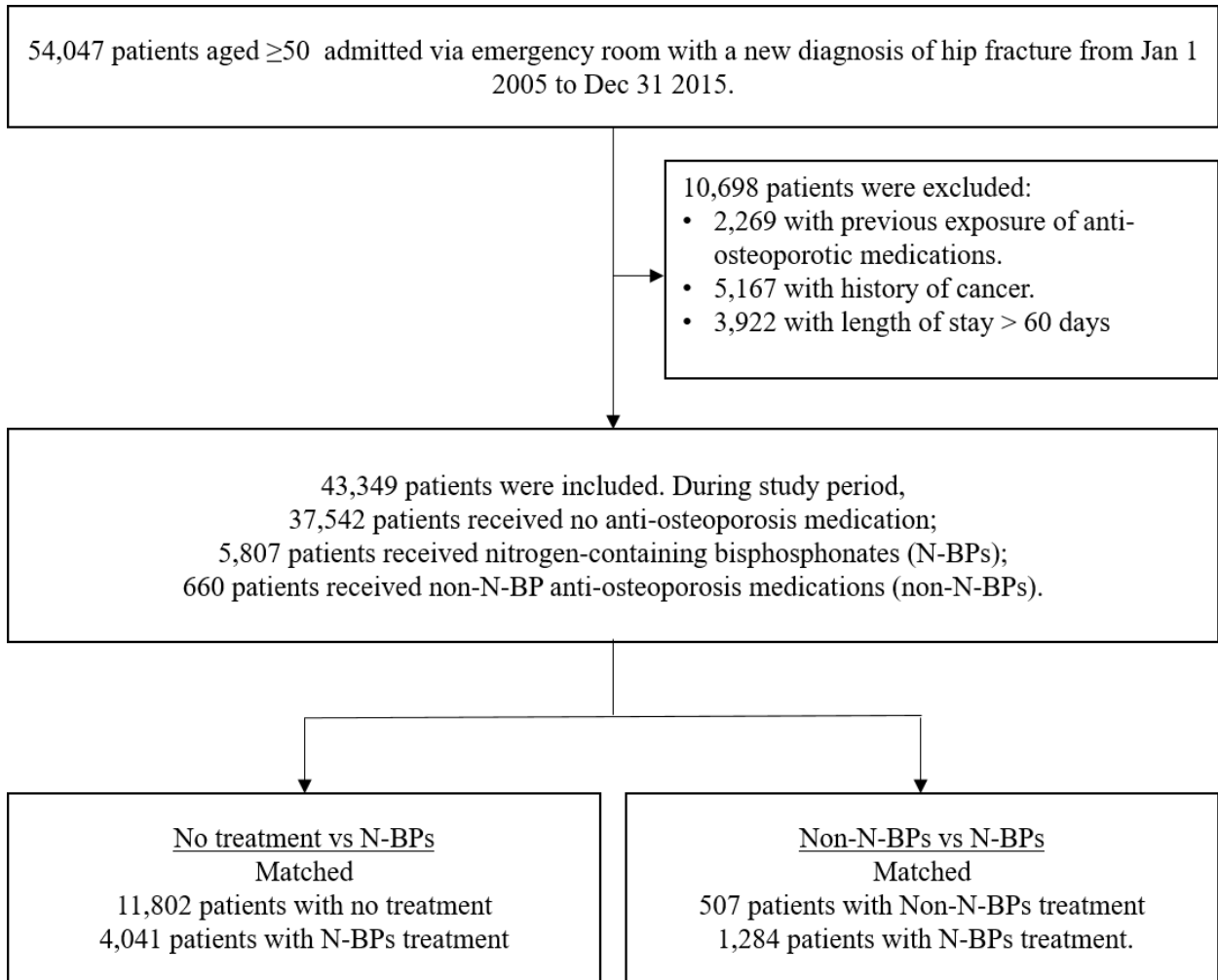
472 Table 3. Risk of pneumonia in patients treated with N-BPs after hip fracture, compared with non-N-BP
 473 anti-osteoporosis medications

| Outcome | Group | Subject, n | Event, n | Mortality / Incidence rate, per 100 person-years | Hazard ratio (95% CI) | P |
|---------------------|------------------------|------------|----------|--|-----------------------|-------|
| Incident pneumonia | Non-N-BPs ^b | 507 | 161 | 14 (11.9-16.3) | 1 | 1 |
| | All N-BPs ^a | 1,284 | 366 | 8.5 (7.7-9.4) | 0.68 (0.53-0.87) | 0.002 |
| | Alendronate | 1,009 | 256 | 8.3 (7.3-9.3) | 0.67 (0.52-0.87) | 0.003 |
| Pneumonia mortality | Non-N-BPs | 507 | 75 | 5.6 (4.4-7) | 1 | 1 |
| | All N-BPs | 1,284 | 139 | 2.9 (2.4-3.4) | 0.60 (0.41-0.89) | 0.01 |
| | Alendronate | 1,009 | 103 | 3.0 (2.4-3.6) | 0.65 (0.42-1) | 0.049 |

474 a. N-BPs included alendronate, ibandronate, risedronate, and zoledronate

475 b. Non-N-BP included denosumab, raloxifene, salcatonin, strontium ranelate, and teriparatide

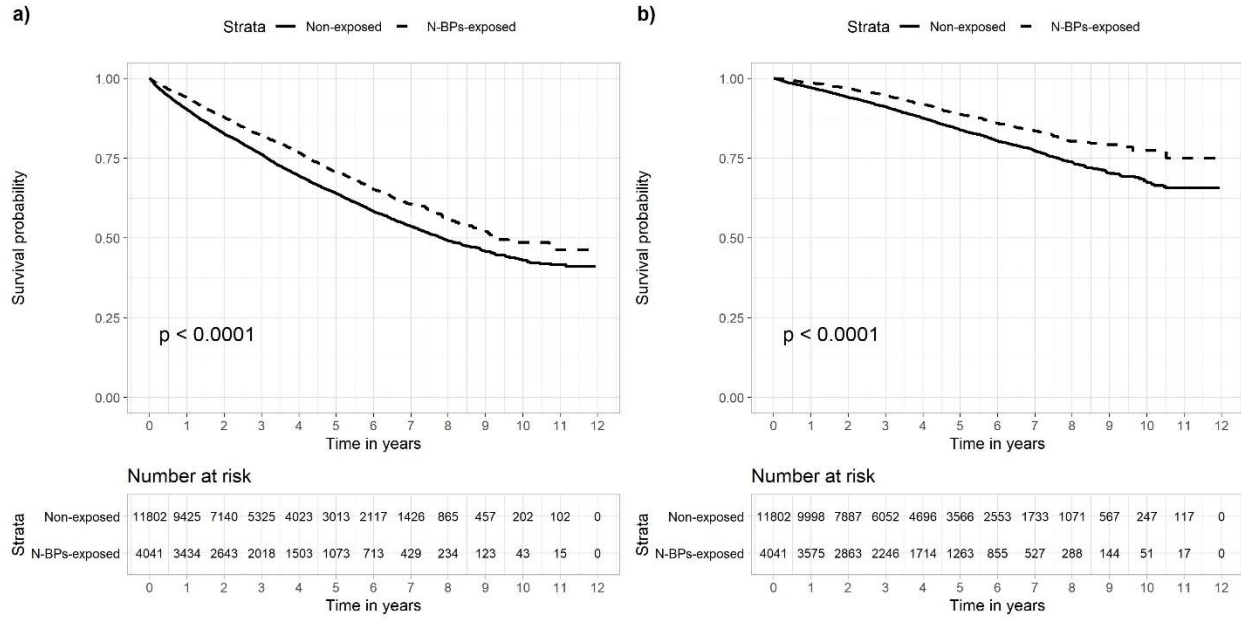
476



477

478 Figure 1. Screening flow chart of the study cohort

479



480

481 Figure 2. Kaplan–Meier curves comparing treatment groups for a) incident pneumonia and b)

482 pneumonia mortality. (p -value tested with stratified log-rank test on matched pairs)