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Infection after fracture osteosynthesis – Part I: Pathogenesis, diagnosis and classification

Christian Fang1, Tak-Man Wong1,3, Tak-Wing Lau1, Kelvin KW To2, Samson SY Wong2, and Frankie Leung1,3

Abstract

Bone and surgical site infections after osteosynthesis are notoriously difficult to manage and pose a tremendous burden in fracture management. In this article, we use the term osteosynthesis-associated infection (OAI) to refer to this clinical entity. While relatively few surgically treated fractures become infected, it is challenging to perform a rapid diagnosis. Optimal management strategies are complex and highly customized to each scenario and take into consideration the status of fracture union, the presence of hardware and the degree of mechanical stability. At present, a high level of relevant evidence is unavailable; most findings presented in the literature are based on laboratory work and non-randomized clinical studies. We present this overview of OAI in two parts: an examination of recent literature concerning OAI pathogenesis, diagnosis and classification and a review of treatment options.

Keywords

biofilms, fracture fixation, prosthesis-related infections, surgical wound infection

Introduction

The term osteosynthesis-associated infection (OAI) is defined here as the clinical or subclinical infection of a fracture following surgical fixation with an internally placed implant. Prosthetic joint infection (PJI) is another type of orthopaedic implant infection. Patients with either OAI or PJI may present with implant-bound infections, bone and soft tissue defects, sinuses, osteomyelitis, implant loosening and deformities as well as various adverse microbiological and patient characteristics.1,2 OAI is uniquely challenging, however, due to the presence of trauma, fracture instability, non-union and the need for cartilage preservation. Also, at present, there is a relative lack of consensus among clinicians as to the proper treatment of OAI. PJI has its challenges though effective treatment options are better understood.3–5

While OAI is uncommon – the rate of infection after closed fracture, for instance, is only 1–2%6 – its high complexity of management makes it extremely costly to treat. OAI management frequently involves prolonged hospital stay, rehabilitation, repeated operations and the extensive use of specialized investigation and treatment.7–9 As a result, patients with OAI have high morbidity rates and are less likely to return to duty.10 The increasingly frequent use of internal fixation11–13 and the emergence of antibiotic-resistant microorganisms14 have exacerbated this problem.

Risk factors

Fracture type

Open fractures are most commonly associated with OAI. The risk of secondary infection according to the Gustilo

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and Anderson grading\textsuperscript{15} is 2–4\% for grades I and II, and between 4\% and 52\% for class III fractures, depending on the severity of soft tissue damage.\textsuperscript{15–20} Fractures of the lower limbs, especially near the proximal and distal tibia,\textsuperscript{11,17,21} are at the greatest risk.\textsuperscript{22} Severe soft tissue involvement, polytrauma,\textsuperscript{23} penetrating, blast, combat injuries\textsuperscript{10,24–26} and compartment syndrome are significant risk factors for subsequent infection.\textsuperscript{27,28} Irradiation-related fractures,\textsuperscript{29} pathological fractures,\textsuperscript{30} and pelvic fractures requiring arterial embolization\textsuperscript{31} are all also at increased risk of OAI. In comparison to acute fractures, fixations of non-unions have double the risk of infection.\textsuperscript{17}

**Patient risk factors**

Tobacco smoking, diabetes mellitus, history of stroke, heart failure and multiple previous operations are identified risk factors of OAI.\textsuperscript{32–37} Immunocompromised patients can present atypically,\textsuperscript{38,39} with slow growing atypical organisms\textsuperscript{40} and a combination of less fulminant initial clinical features that lead to a delay in diagnosis but later rapidly deteriorate into life-threatening infections.\textsuperscript{31} Elderly patients,\textsuperscript{42–44} intravenous drug users and socially deprived patients are also shown to be at higher risk.\textsuperscript{45} In fragility hip fractures, both mortality and disability are increased with OAI.\textsuperscript{34,46} HIV-infected patients are at risk of osteoporosis, all types of fractures,\textsuperscript{47–49} infection of non-operated fracture haematoma\textsuperscript{50} and OAI.\textsuperscript{51}

**Controllable risk factors**

There is robust evidence to show that antibiotic prophylaxis is highly effective in reducing the risk of OAI for both open and closed fractures.\textsuperscript{15,17,52} In open fractures, the infection risk is profoundly increased when the administration of prophylactic antibiotics is delayed for more than 6 h.\textsuperscript{53} In open fractures, a minor delay in initial surgical debridement of more than 6 h is not associated with significantly increased risk,\textsuperscript{54,55} but patients operated on by inexperienced surgeons are at higher risk.\textsuperscript{56} Early closure of the primary open wound appears to be beneficial.\textsuperscript{57–59} Prolongation of surgery is associated with infection.\textsuperscript{23,27,60} The use of plastic adhesive drapes can reduce bacterial load at the surgical site.\textsuperscript{61} Overzealous powered reaming or drilling causes heat necrosis.\textsuperscript{62} The risk is increased with multiplicity and size of implants and the presence of bone grafts, allografts or other foreign materials.\textsuperscript{63–67} Prolonged use of surgical drains is thought to increase the risk of OAI, but the issue remains controversial.\textsuperscript{45} Indwelling catheters and blood transfusions may carry a small rise in the likelihood of infection.\textsuperscript{68–71} There is weak evidence showing that prolonged use of external fixators for more than 28 days before internal fixation is associated with a higher likelihood of infection.\textsuperscript{23,72,73}

From a randomized study, smoking cessation effectively halved infection and other complications.\textsuperscript{74} Routine auditing of infection rates and clinical practice is effective in minimizing OAI at the hospital management level.\textsuperscript{75}

**Pathogenesis**

**Microbiology**

*Staphylococcus aureus* causes the majority of OAI cases. Methicillin-resistant *S. aureus* is now more frequent than methicillin-susceptible *S. aureus* in some areas and not limited to institutionalized patients.\textsuperscript{7,34,36,76–80} OAI caused by less virulent skin organisms, such as coagulase-negative staphylococci (CNS), can be as frequent as *S. aureus*.\textsuperscript{6,46} and 80–90\% of CNS cases are methicillin resistant.\textsuperscript{81} Lower virulence skin flora, such as *Corynebacterium*,\textsuperscript{82,83} and *Propionibacterium*,\textsuperscript{84–86} are increasingly identified as diagnostic techniques are improved and should not be regarded as contaminants.

Gastrointestinal tract organisms, including *Escherichia coli*, *Enterobacter*, *Enterococci*, *Klebsiella* and *Proteus*, are important causes of OAI in sites near the perineum.\textsuperscript{28} Gram-negative glucose non-fermenters, such as *Pseudomonas aeruginosa* and *Acinetobacter baumannii*, are frequently found both inside and outside the hospital. They have a minimal nutritional requirement, tolerance to relatively high temperature and resistant to many antibiotics. There is an increasing frequency of infections caused by multidrug-resistant *P. aeruginosa* and *A. baumannii*.\textsuperscript{57,88} *P. aeruginosa* is associated with significantly higher recurrence rates and failure of control as compared with *Staphylococcus*.\textsuperscript{89,90}

Polymicrobial infection is linked to open fractures\textsuperscript{78,79} and poor outcome.\textsuperscript{91} *Clostridium* species, which is found in the soil, and *Aeromonas* species, which resides in brackish water, are highly virulent organisms associated with life-threatening necrotizing infections, which require urgent surgical intervention.\textsuperscript{92–97} Nontuberculous mycobacterium and fungi are environmental organisms resistant to conventional antibiotics and disinfectants and occasionally introduced via open wounds.\textsuperscript{98–100} *Mycobacterium tuberculosis*\textsuperscript{101,102} is rare even in endemic countries.\textsuperscript{103} When atypical slow-growing pathogens are identified, a pre-existing bone infection, pathological fracture and an immunocompromised state must be suspected.\textsuperscript{104–107}

**Biofilm formation and development of drug resistance**

At inoculation, bacteria are in planktonic form and susceptible to host defence and antibiotics. Successful bacteria initiate infection in the soft tissue and replicate rapidly. Bone and implant involvement increase over the following 1–2 weeks characterized by osteitis, bone necrosis, reduced the new bone formation and implant loosening. Organisms became chronically entrenched within implant surface
Biofilm adhesion is affected by bacterial length scales, which are prone to increased surface area and greater resistance to shear forces. Different microorganisms have different affinity for surfaces depending on factors, such as surface topology, chemical composition, charge, hydrophobicity and physical configuration, as well as environmental factors, such as temperature, pH, electrolyte concentration, flow and the presence of serum proteins and antibiotics.

The most commonly used materials are stainless steel and titanium alloy. While stainless steel is less biocompatible and more susceptible to staphylococcal infection in animal models, they are typically manufactured with a polished surface finish. Conversely, the finishing of titanium alloy is usually anodized, and its microrough surface favours biofilm development. In all, there is no definite in vivo evidence to support the superiority of either material.

Implants with internal dead spaces, such as cannulated open section nails, are more prone to OAI. Minimally invasive plating alone does not appear to reduce infection when compared to open surgery. Rather, infection is lowered with low contact plating, because periosteal stripping and disturbance to blood supply are minimized. In limited studies, absorbable polymer implants had similar infection risk compared with metallic implants. There is, however, a general concern for associated infection related to their biocompatibility, associated tissue reaction, osteolysis and retained debris.

**Infection and fracture stability**

An unstable infected fracture is more problematic than a stably infected fracture. Although infection is more likely to occur in conjunction with the presence of a foreign body, stable internal fixation of fractures appears to reduce the likelihood of infection. In a rabbit model, osteotomies inoculated with *S. aureus* were less likely to get infected after stable internal fixation compared to unstable internal fixation. Although the mechanism is still unknown, it is believed that increased soft tissue irritation, difficulty in revascularization, haematoma and evolving dead space resulting from excess motion are all unfavorable factors of infection.

Stability is compromised when there is the persistence of infection for more than 2 weeks. Natural bone turnover is affected by the homeostatic balance between osteoblast and osteoclast activities is disrupted. Surface-associated material from staphylococci induces leukocytes production of tumour necrosis factor alpha (TNF-α, IL-1 and IL-6) and upregulation of receptor activator of the nuclear factor-κB (RANK) in pre-osteoclasts. Osteoclasts are hyperactivated, and the balance is tipped against bone-forming osteoblasts. Excessive bone is resorbed from infected areas adjacent to the implant and the fracture, leading to mechanical failure, instability, non-union and persistence of infection (Figure 1).
Diagnosis

Clinical

The diagnosis of OAI is usually clinical. The presentation varies depending on the virulence of the organism, mode of infection, condition of fracture healing and depth. The typical features are increasing local pain, erythema, swelling, wound breakdown, purulent discharge, sinus formation and systemic symptoms, such as fever. For acute OAI, patients most commonly present in the first month after fracture fixation.\textsuperscript{139} Cast treatment can obscure local features and delay the diagnosis.\textsuperscript{97} Delayed infections often have less severe features as they are often caused by low virulent microorganisms such as CNS. Moreover, OAI manifestations may be masked because of liberal usage of empirical antibiotic treatment.\textsuperscript{140}

Imaging studies

Changes in plain radiographs usually occur late and are therefore not useful in detecting acute infections. Patients with delayed infections may have extensive periosteal reactions, implant loosening and non-union on radiographs.\textsuperscript{139} Gas indicates severe infections or the presence of a sinus tract if not due to recent surgery. Despite a lack of sensitivity and specificity,\textsuperscript{141} routine serial radiographic evaluations serve to provide crucial information on fracture healing and implant stability.

Computed tomography (CT) is useful in confirming the status of fracture healing, the presence of sequestra and infection. Intravenous contrast enhances the visualization of inflamed soft tissue and the rims around abscess. Soft tissue signal findings are 100\% sensitive and 87\% specific for orthopaedic implant-related infection.\textsuperscript{142} Titanium implants are less susceptible artefacts than stainless steel. The implant should be aligned along the axis of the gantry, so that beam traversal is minimized. Other artefact reduction techniques include using high energy settings, narrow collimation, thin sections and extended dynamic ranges.\textsuperscript{143} CT scans contrast sonograms are useful in evaluating deep originating sinuses.\textsuperscript{144} Gadolinium-contrast magnetic resonance imaging (MRI) scans are very prone to metallic artefacts but helpful in mapping out infected soft tissue and sequestrum after implant removal.

Ultrasonography is useful for detecting collections.\textsuperscript{141} Implant and bone surfaces are highly echogenic, fluid signals are well defined and metallic artefacts are minimal compared to CT or MRI scans. Ultrasound-guided aspiration is appropriate when small collections are deeply situated. The limited acoustic window may fail to penetrate very deep locations at the hip and pelvis in obese patients.

Figure 1. The sequence of events occurring in OAI from inoculation to establishment of infection, implant loosening and abscess rupture at different magnifications (refer to pathogenesis). The illustration is not drawn to scale. OAI: osteosynthesis-associated infection.
Radionuclide scans are useful in confirming occult implant related infections or anatomical localization of unrecognized focus. The indium-111-labelled leukocyte scan has a superior accuracy of 90%\textsuperscript{145} compared to bone-gallium 67 or technetium-99m scintigraphy, because they are prone to false positives from the effects of bone healing, trauma, degeneration and surgery. Unfortunately, there are some major drawbacks of leukocyte scanning. The method is time-consuming, technically complex and relatively expensive, typically requiring at least 2 days for leukocyte labelling and scanning. Routine use of leukocyte scanning to exclude infections in non-unions appears to be less accurate and not cost-effective compared to routine blood tests alone.\textsuperscript{146}

**Positron emission tomography**

Until recently, 18F-fluorodeoxyglucose positron emission tomography (FDG-PET) was primarily used for detecting hypermetabolic malignancies. However, PET is discovered to be increasingly useful in infections where glucose metabolism is also increased. The test has a sensitivity of nearly 100% and specificity of above 90% in occult and early musculoskeletal infections, including OAI. Since 2010, the European Medicines Agency (EMEA) considered PET scans to be indicated for the diagnosis of chronic bone and joint and adjacent infection including osteomyelitis, spondylosis, discitis or osteitis including presence of metallic implants.\textsuperscript{147}

When compared to leukocyte scans, PET is considerably quicker, typically requiring only 2–3 h. There is lower radiation exposure and reduced costs.\textsuperscript{148,149} Most importantly, information gathered from PET/CT scans is three-dimensional. The anatomical location of infection is accurately defined. FDG uptake showing moderately raised maximum standardized uptake value circumscribing metallic implants is usually diagnostic of OAI. The images are minimally distorted by metal artefacts. Furthermore, spatial resolution is superior to both leukocyte scans and single photon emission CT. Serial FDG-PET is demonstrated to be useful in monitoring infections.\textsuperscript{150,151} With appropriate use of PET scans, infections can be accurately detected where timely surgical treatment can be more appropriately initiated.\textsuperscript{152} In very early stages of infection, surgical debridement may be totally avoided by early initiation of antibiotics.\textsuperscript{149} Currently, the optimal diagnostic criteria of OAI in PET remain to be studied and accurately defined. False positive exams can rarely occur from acute fracture healing and early post-operative inflammation especially within the first 6 weeks after osteosynthesis.

**Blood tests**

There is robust data to support routine checking of leukocyte count, erythrocyte sedimentation rate (ESR) and C-reactive protein level (CRP) in OAI cases. In non-unions with suspected infection, the positive predictive value is 100, 56 and 18\%, respectively, when three, two and one of the tests are abnormally elevated. In excluding infection, the negative predictive value is 81.6% when all four results are normal.\textsuperscript{146}

The CRP usually peaks on the second post-operative day and normalizes after 2–3 weeks.\textsuperscript{153} A persistently raised CRP beyond 4 to 7 days after surgery raises the suspicion of infection.\textsuperscript{154} ESR has high sensitivity but suffers from a long half-life of around 6 weeks and is, therefore, less specific. Serial ESR and CRP levels are very useful in monitoring treatment progress in patients with established OAI. Serum IL-6 levels have a short half-life and have been studied as a possible sensitive and specific alternative to CRP.\textsuperscript{155,156} Unfortunately, IL-6 levels are more prone to an elevation in response to major trauma or surgery and still less specific than CRP.\textsuperscript{157}

Blood cultures should be routinely obtained before surgical treatment. Patients with clinical sepsis have higher yields than those without. False positives due to contamination may be minimized by obtaining two sets of blood culture from two different sites at separate time intervals. Immunocompromised patients with bacteraemia should be suspected to have distant seeding at multiple sites especially when there are multiple implants.

**Local samples**

Superficial swabs are less sensitive than bone, tissue or fluid specimens and should be interpreted together with clinical features.\textsuperscript{158} Superficial swabs are neither sensitive nor specific in chronic wounds and sinus tracts, because contamination and colonization are usual.\textsuperscript{159}

Aspiration of peri-implant collection for culture and susceptibility testing is relatively simple. Before surgical intervention, the procedure is performed using aseptic technique under clinical or image guidance. Unless in clinical sepsis, antibiotics should be withheld for 2 weeks before specimen collection.

Exploration and debridement can establish the diagnosis when the local and systemic clinical features, laboratory findings and radiological pictures are inconclusive. A comprehensive set of specimens, including peri-implant soft tissues, callus and bone, should collect routinely at surgery.\textsuperscript{160} Necrotic and infected materials typically provide higher yields. Sequestrum may harbour slow-growing organisms. Fluids such as joint fluid, pus, infected haematoma or sanguineous collections can be injected into blood culture bottles to improve the recovery of slow-growing microbes. A larger volume of specimen increases the yield.

Histological examination is complimentary to microbiological tests, because infection presents with specific patterns of inflammatory response, leukocyte migration, abscess and tissue necrosis. Atypical low-grade pathogens such as fungus or mycobacterium are sometimes only identifiable through histology.\textsuperscript{161} Antibiotic susceptibility tests
Culture-negative infections

There are several reasons for negative culture results in patients with suspected OAI, the most common of which is the prior use of antimicrobials. Other common factors include insufficient microbiological testing, inadequate quantity of specimens, failure to obtain the representative samples and use of non-routine or unfamiliar testing protocols. Negative cultures may also result from infections by fastidious organisms or organisms that cannot be cultured. Finally, the clinical situation may not be an infection, but rather an allergic implant material reaction or other scenarios clinically indistinguishable from infection.

Mechanical scraping of implants is ineffective in dislodging microorganisms.Fluid bath sonification of retrieved implants has a sensitivity of 90.4% in OAI and an 18–30% increase in pickup rate compared to conventional cultures in implant infections. The sonification equipment uses low-frequency ultrasound to detach biofilm-bound organisms by the formation of transient microscopic bubbles on the implant surface. The process is performed in lactated Ringer’s solution. Vortex mixing, centrifugation and inoculation of the sonicated fluid in blood culture bottles appear to further improve the diagnostic yield.

While laboratory bacterial culture remains standard, molecular diagnosis by polymerase chain reaction (PCR) of specific genes can identify suspected organisms in 9–85% of culture negative orthopaedic implant infections. The broad range PCR can detect a broad variety of organisms with known sequences of the 16s-rRNA gene. A positive test would have a concordance rate of around 90% with positive laboratory cultures. Sensitivity may be further improved by using sonicate fluid and additionally performing pathogen-specific PCR against common pathogens such as . In PCR, slower growing organisms such as Propionibacterium acnes are increasingly identified. The sensitivity of the test varied widely between studies but is thought to depend on the number of pre-determined organisms in the genome database and secondly following strict handling procedures. PCR has a number of limitations, including proneness to contamination, high equipment costs, lack of quantitative assessments, lack of information on antibiotic susceptibility and difficulty in picking up polymicrobial infections. For best cost-effectiveness, PCR test should be reserved for culture negative cases only.

Classification

OAI may be classified according to the route of infection, the onset of symptoms after implantation, fracture stability, union status, location, the extent of infection and host status. Romano proposed a seven-item classification, which simultaneously considered clinical presentation, aetiology, anatomical location, host-type, microorganism, bone defect and soft tissue defect. So far, the system has not been widely adopted and yet no other classification system appeared sufficiently precise and comprehensive.

According to time after surgery

Chronological classification of OAI is preferred, because it gives guidance on the treatment strategy. Early or acute infections (within ≤2 weeks after implantation) are most common and associated with virulent organisms, such S. aureus, aerobic gram-negative bacilli or Streptococcus pyogenes. Fortunately, organisms at an early stage are susceptible to antibiotics and associated with less biofilm and sequestrum formation.

Delayed infections (3–10 weeks after implantation) are associated with moderate or less virulent skin flora such S. aureus or CNS. Late infections (>10 weeks after implantation) are commonly associated with delayed diagnosis. Typical organisms are S. Aureus, S. epidermidis and Pseudomonas aeruginosa. Delayed and late infections are harder to eradicate due to the presence of biofilm, sequestrum and microbial resistance. Reactivation due to the continuous bacterial residence after successful treatment is common. Management is complicated when there are implant loosening and incomplete union. This will be discussed in the second part of this article (Figure 2).

According to route of infection

The route of infection can be exogenous, contiguous or haematogenous. Direct exogenous inoculation is most common and is associated with adverse wound factors. Contiguous spread of an adjacent local infection, such as cellulitis or a nearby septic joint and haematogenous seeding from distant sites, is relatively less often compared to PJI. It is important to note whether the patient has other foci of infection especially for immunocompromised hosts and those with prosthetic devices.

According to fracture healing

The concern for fracture healing is unique in OAI. Romano proposed a three-category classification based on stability and callus formation. In type I infections, there is stable osteosynthesis and progressive callus formation. Cases may be treated non-operatively with antibiotics and allowing time until union. In type II infections, there is stable osteosynthesis but scarce or absent callus progression. Stability must be maintained, and hardware can be retained. Infection is controlled surgically and with drugs. Bone healing is accelerated through physical stimulation and biological factors. In type III infections, osteosynthesis
According to clinical severity

Many studies classify infections as superficial or deep. Superficial infections are usually early infections, only affecting the overlying soft tissue without collection and osteomyelitis. The definition is controversial, because superficial surgical wounds are nearly always connected to the deep unless the fascial barrier in between is watertight. The so-called milder form of ‘superficial infection’ is less common than deep infection according to a prospective multicenter study of hip fractures. Superficial infections are clinically indistinguishable from deep one in locations with thin soft tissue envelope such as near the ankle. Some classifications considered the presence of an active sinus and size of bone defects to grade clinical severity and guide management.

According to host type

Host immunity and physiological status are an important factor in surgical decision-making. The systems proposed by Cierny and McPherson classify hosts into types A, B and C. Type A hosts have no significant local adverse factors or medical comorbidities and healthy immune response, metabolism and vascularity of the infected area. Type B hosts have one or two significant local or systemic adverse factors, and Type C hosts either have three or more adverse factors or are medically too unwell to undergo surgery. Type C patients are most immunocompromised and unlikely to benefit from multiple stages of surgery, so either drug suppression therapy or amputation is considered. The downgrading of hosts to a more favourable status is possible by control of systemic and local adverse factors (Table 1).

Summary

We have discussed the risk factors, pathogenesis, diagnostic and classification considerations of OAI above. Stability of the fracture appears to be highly relevant. Despite some adverse effects of implants, their maintenance may be beneficial, because stability imparts better overall control of infection. The absence of a rapid, simple and reliable protocol for diagnosis and the lack of consensus in classification is where further research is needed. Understanding the fundamentals above is essential in formulating preventive and treatment tactics. The management of OAI will be discussed in the second part of this article.

Authors’ contribution

This work has not been published and is not being considered for publication elsewhere. All co-authors have made significant contributions to the work and have read and approved the submission of manuscript.
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