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<th>Linear-to-circular Polarization Conversion Using Metasurface</th>
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Abstract—A metasurface (MS) used to convert the linearly polarized (LP) signal from a source antenna into a circularly polarized (CP) signal is proposed and studied. The MS consists of 16 unit cells arranged in a $4 \times 4$ layout. Each unit cell is a rectangular loop with a diagonal microstrip. By placing close to a source antenna, the MS converts the LP signal generated from the source antenna into a CP signal. Two source antennas (patch and slot antennas) are used for studies. The source antenna together with the MS is here called a MS antenna. A total of four low-profile MS antennas operating at the frequency of about 2.45 GHz are designed using computer simulation. For verification of simulation results, the MS antennas are fabricated and measured. Simulated and measured results show good agreements. Results show that the MS antennas have substantially better performances, in terms of gain, return-loss bandwidth (RLBW), axial-ratio bandwidth (ARBW) and radiation pattern, than the source antennas. Moreover, the ARBW of the MS antennas is mainly determined by the MS.

Index Terms—Axial-ratio bandwidth (ARBW), metasurface, metasurfaced antenna, polarizer, return-loss bandwidth (RLBW).

I. INTRODUCTION

METASURFACE (MS), a two-dimensional equivalent of metamaterial, has been attracting attention for researchers [1]–[3]. Some researchers have considered MS as a particular case of frequency-selective surface (such as split-ring resonators, complementary split-ring resonators [4], [5], thin wire media [6] and isotropic elements [7]), which has been well-known for the past 50 years. However, other researchers have considered MS as a new periodic structure different from frequency-selective surface [2]. Many studies have been carried out on using MSs in different applications [1]–[3] and in well-known applications such as compact cavity resonators [8]–[10] and controllable smart surfaces [11]–[13]. Planar antennas have many advantages such as low profile and low cost, but one of the main disadvantages is low gain. The typical directivity of a patch antenna is only about 6 dB and the return-loss bandwidth (RLBW) is proportional to the ratio of the dielectric-slab thickness to the operating wavelength in free space [14]. In general, the thickness of the dielectric-slab is much smaller than the wavelength in free space, so the RLBW of a patch antenna is very narrow (0.5%–3%). These factors restrict the uses of patch antennas to low-gain and narrowband communication systems. MS has a planar structure and can be easily combined with a planar antenna to form new applications, yet maintaining the advantage of low profile and low cost. In [15]–[17], it was proposed to use MS to enhance the RLBW and gain of planar antennas (which are called the source antennas in such applications), yet achieving the low profile characteristic.

In this paper, we propose to use MS to convert the linearly polarized (LP) signal generated from a source antenna into a circularly polarized (CP) signal with wider RLBW and higher gain. In our proposed configuration, the source antenna is placed at a short distance of $1/17\lambda_0$ (where $\lambda_0$ is the operating wavelength in free space) from a MS which consists of 16 identical unit cells on a substrate having a size of $\lambda_0 \times \lambda_0$. The same unit cell was used to enhance the performance of a CP patch antenna in [15] which inspired us to use here to convert a LP source antenna into a CP antenna. The main advantages of our proposed design are 1) compact size, 2) simple configuration to achieve LP-to-CP conversion, 3) low cost, 4) using a simple source antenna (patch or slot antenna), and 5) wide operating bandwidth. The basic idea of the MS proposed here was briefly introduced in [18]. As a progress and much more detailed study compared to [18], two simple source antennas, a patch antenna and a slot antenna, together with two MSs having mirror images of each other, are used for detailed investigation in this paper. Results show that the MS can convert a LP signal into a left-handed circularly polarized (LHCP) or right-handed circularly polarized (RHCP) signals at microwave frequencies with excellent performances in terms of the RLBW, axial-ratio bandwidth (ARBW) and realized gain.

To the best knowledge of the authors, there is no other antenna of this kind using the similar approach to convert a LP signal into a CP signal. The one relatively close our approach was presented in [19] which employed a CP reflect array to convert a LP signal generated from a Vivaldi antenna (the source antenna) into a CP signal. The reflect array consisted of 37 unit cells with different sizes in 3 layers and was placed at a distance of about $28\lambda_0$ away. The design had a much larger volume and higher profile than our proposed designs.

II. DESIGN OF MS ANTENNAS

The MS antenna proposed here is composed of a MS and a source antenna, both designed using planar technology. The source antenna generates a LP signal which is converted into a CP signal through the use of the MS. Fig. 1(a) shows the
Fig. 1. (a) Unit cell, (b) RH-MS, and (c) LH-MS.

Fig. 2. (a) LP patch antenna (source antenna) and (b) side view of MS antenna.

Fig. 3. (a) LP slot antenna (source antenna) and (b) side view of MS antenna.

TABLE I
DIMENSIONS OF MS (UNIT:mm)

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<tr>
<th>$P_x$</th>
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<td>1.05</td>
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III. STUDY OF METASURFACE

From the optimization process, it is found that the parameters $P_x$, $P_y$, $a$, $b$ and $w_1$ are most sensitive to the two lowest
TABLE II

<table>
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<th>$W_p$</th>
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TABLE III

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<td>34</td>
<td>2.5</td>
<td>3</td>
<td>57.1</td>
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</table>

Fig. 4. (a) LHCP metasurface (LH-MS) and (b) RHCP metasurface (RH-MS).

Fig. 5. (a) Microstrip-fed patch antenna and (b) line-fed slot antenna.

Fig. 6. Simulated AR with different (a) $P_x$, (b) $P_y$, (c) $a$, (d) $b$, and (e) $w_1$.

ARs (dips) at around 2.4 and 2.6 GHz, regardless which source antenna is used. Thus a parametric study is carried out on the effects of these parameters on the AR using MS Ant 3 and results are shown in Fig. 6(a)–(e). In these figures, the blue lines show the optimized performance using $P_x = 26.25$, $P_y = 24.15$, $a = 23.1$, $b = 22.05$ and $w_1 = 1.05$ mm in the MS. It can be seen that the AR has two lowest dips of 1 and 0 dB at 2.42 and 2.58 GHz, respectively, and a peak of 2.8 dB at 2.52 GHz between the two dips.

Fig. 6(a) shows that, when $P_x$ is decreased from 26.25 to 25.75 mm, the two dips move away from each other to 2.32 and 2.6 GHz with AR = 1 and 2.5 dB, respectively, but the peak shoots up to 7 dB at 2.5 GHz between the two dips. When $P_x$ is increased to 26.75 mm, the two dips merge together with a minimum AR of 1 dB at 2.54 GHz. Thus $P_x$ can be used to adjust the ARBW. When $P_y$ is increased from 24.15 to 24.65 mm, Fig. 6(b) shows that again the two dips move away from each other with AR = 1 and 0.2 dB at 2.4 and 2.66 GHz, respectively, and the peak shoots up to 7 dB at 2.56 GHz. When $P_y$ is decreased to 23.65 mm, the two dips move towards each other, but the peak shoots up to 13 dB at 2.55 GHz. So $P_y$ has the similar effect to $P_x$ on the ARBW, but in an opposite way. When $a$ is increased from 23.1 to 23.5 mm, Fig. 6(c) shows that only the lower-frequency dip shifts down to 2.3 GHz. The higher-frequency dip remains unchanged at 2.58 GHz with AR = 0 dB,
and the peak shoots up to 7 dB at 2.5 GHz. When $a$ is decreased to 22.5 mm, the two dips merge together with a minimum AR of 1 dB at around 2.6 GHz. Thus $a$ can also be used to adjust the ARBW by using the lower-frequency dip. Fig. 6(d) shows that the parameter $b$ affects the higher-frequency dip. When $b$ is decreased from 21.05 to 20.5 mm, the AR of the lower-frequency dip decreases to 0.1 dB with frequency remaining at around 2.42 GHz and the higher-frequency dip shifts up to 2.7 GHz with AR $= 1$ dB. The peak goes slightly higher to 5 dB at 2.6 GHz. When $b$ is increased from 21.05 to 21.5 mm, the higher-frequency dip shifts down to 2.55 GHz, but the peak shoots up to 14 dB at 2.5 GHz. So $b$ can be used to adjust the ARBW by moving the higher-frequency dip. Fig. 6(e) shows that $w_1$ affects both the higher- and lower-frequency dips. When $w_1$ is decreased from 1.05 to 0.85 mm, both higher- and lower-frequency dips shift down to about 2.38 and 2.55 GHz, respectively. The peak is about 3 dB at 2.48 GHz. When $w_1$ is increased to from 1.05 to 1.25 mm, the two dips shift up to 2.42 and 2.63 GHz. In these two cases, the peak is about the same at 2.8 dB, so $w_1$ can also be used to adjust the frequencies of both dips.

The E-field distribution has been used to further study the operation of the antenna. The simulated E-field distribution on the MS in the opposite side of the source antenna at 2.4 GHz is shown in Fig. 7, where it is assumed that the phase at which the vertical E-field reaches the maximum is $0^\circ$. It can be seen that strong E-fields are emitted from the gaps between the unit cells. At $0^\circ$ and $180^\circ$, the E-fields are mainly emitted from the horizontal gaps, producing vertically LP signals. While at $90^\circ$ and $270^\circ$, the E-fields are mainly emitted from the vertical gaps between the unit cells, producing horizontally LP signals. Thus the vertical and horizontal gaps between the unit cells on the MS take turn to emit a horizontally and vertically LP signals, respectively, generating a CP signal. We have also carried out the same study using the MS without having the diagonal microstrips on the unit cells. Results showed that only the vertical gaps would emit E-fields and so produce no CP signal.

IV. EQUIVALENT CIRCUIT OF METASURFACE

Here we use equivalent circuits to explain how the proposed MS structure can convert LP to CP. Consider the LH-MS shown in Fig. 1(c) which is used in our proposed antenna to converts LP to CP. The MS is re-drawn in Fig. 8(a), where the pattern enclosed by the red square can be regarded as a new unit cell of the same MS. For convenience in description, the new unit cell is enlarged and shown in Fig. 8(b) with the strips numbered as $s_1, s_8, \ldots, s_9$. Fig. 8(c) shows the same new unit cell with diagonal strips $s_8$ and $s_9$ removed. When the LH-MS in Fig. 8(a) is placed close to a source antenna which generates a LP signal, the E-field distribution on MS with diagonal line. (a) $0^\circ$; (b) $90^\circ$; (c) $180^\circ$; (d) $270^\circ$.

where $C$ is the capacitance formed by the E-fields across the gaps between strip pairs $(s_2, s_5)$, $(s_3, s_6)$ and between $(s_4, s_7)$ and $(s_5, s_8)$. $L$ is the inductance formed by the currents flowing on the strips, and $R$ is the resistance of the strips. For symmetry, the component $\vec{E}_2$ will also see the same impedance given by (1). If diagonal strips $s_8$ and $s_9$ are present in the new unit cell as shown in Fig. 8(b), the impedances $Z_1$ and $Z_2$ seen by $\vec{E}_1$ and $\vec{E}_2$, respectively, will be changed and different. For $\vec{E}_1$, the additional E-fields landed on strip $s_8$ and $s_9$ will produce capacitance, denoted as $C_p$, here, in parallel with $C$, with the equivalent shown in Fig. 9(b). The resultant capacitance of $C'/C_p$ is less than $C$ and so making $Z_1$ less capacitive than $Z$. Whereas for $\vec{E}_2$, the additional currents flowing on strips $s_8$ and $s_9$ will produce inductance, denoted as $L_p$, here, in parallel with $L$, with the equivalent circuit shown in Fig. 9(c). The resultant inductance of $L'/L_p$ is less than $L$ and so making $Z_2$ less inductive. Since $Z_1$ is less capacitive than $Z$ and $Z_2$ is less inductive than $Z$, $\vec{E}_1$ will lead $\vec{E}_2$ by $\omega L_p/(C'/L_p) > 90^\circ$, after going through the MS. From Fig. 9(b) and (c), the impedances $Z_1$ and $Z_2$ can be written, respectively, as

$$
Z_1 = R_1 + j \left( \omega L_1 - \frac{1}{\omega C} \right)
$$

$$
Z_2 = R_2 + j \left( \omega L_2 - \frac{1}{\omega C} \right)
$$

If the MS is designed such that $|Z_1| = |Z_2|$ and $\text{angle}(Z_2 - Z_2) = 90^\circ$, the resultant E-field will be LHCP and rotating in the anticlockwise direction. In the above analysis, if the RH-MS in Fig. 1(b) is used instead, then the diagonal strips will cause $Z_1$ and $Z_2$ to be less inductive and less capacitive, respectively, than $Z$. As a result, $\vec{E}_1$ will be lagging $\vec{E}_2$ and the resultant E-field will be RHCP and rotating in the clockwise direction. This analysis agrees with our simulation and measurement.
V. SIMULATION AND MEASUREMENT RESULTS

The patch antenna, the slot antenna and the MS antennas have been studied, designed and optimized using computer simulation. For verification of the simulation results, these antennas have been fabricated using our prototype machine and measured using the antenna measurement system, Satimo Starlab.

A. Return-Loss Bandwidth

The simulated and measured return losses (RLs) of the patch antenna, MS Ants 1 and 2, are shown in Fig. 10, and the RLs of the slot antenna, MS Ants 3 and 4 are in Fig. 11. It can be seen that the simulated results agree well with the measured results. The differences are due to 1) the fabrication tolerances of the prototype machine in our laboratory (which could make the narrowest microstrip line of only 0.2 mm), 2) the alignment tolerance of the source antenna with the MS in assembling the MS antenna, and 3) the measurement uncertainty. Fig. 10 shows that, the patch antenna alone has a measured RLBW (for dB) of about 50 MHz (a fractional bandwidth of only 2%). With the use of the MS, the RLBWs are substantially increased to 380 MHz (a fractional bandwidth of 15.5%), from 2.3 to 2.68 GHz, for MS Ant 1, and to 430 MHz (17.6%), from 2.27 to 2.7 GHz, for MS Ant 2. Note that both MS antennas have similar performances (but different polarizations as shown later) for the reason described previously. For the slot antenna alone, Fig. 11 shows that the measured RLBWs are only about 200 MHz. However, when the MS is used, the RLBWs for MS Ants 3 and 4 are substantially increased to 630 MHz (25.7%), from 2.05 to 2.68 GHz, and to 615 MHz (25.1%), from 2.075 to 2.69 GHz, respectively. Again, both MS antennas have similar performances (but orthogonal polarizations as shown later).

These results show that the RLBW can be substantially increased by using the MSs. The reason for the improvements of RLBW, in fact, can be seen in the results of Figs. 10 and 11, which indicate that the source antennas have only one resonance in the frequency band from 2 to 3 GHz, but the MS antennas have more than one resonance across the same frequency band. Thus to increase the RLBW, the dimensions of MS antenna should be adjusted to generate more resonances at the appropriate frequencies.

B. Axial-Ratio Bandwidth

In the design of CP antennas, AR is one of the important factors to be considered. The simulated and measured ARs in the boresight of the MS antennas are shown in Fig. 12. The simulated and measured results in general agree well. It can be seen that the higher-frequency dips in the measured results are about 40 MHz lower than those of the simulated results and with reduced deepness. As described previously, the differences are due to 1) the fabrication tolerance, 2) the alignment tolerance, and 3) the measurement uncertainty. It should be noted that the AR is quite sensitive to the dimensions of the MS, as shown in Fig. 6(a)–(e). A small change of 0.5 mm in “p,” “P,” or “e” of the unit cells can easily alter the frequency and deepness of the AR dip. Since the smallest dimension that we can fabricate antennas using the prototype-machine in our laboratory is 0.2 mm, the fabrication tolerance could easily cause discrepancies between the simulated and measured results.
The results in Fig. 12 indicate that the ARBW is determined by the MS, instead of the source antenna. The ARBWs of the four MS antennas are less than the RLBWs shown in Figs. 10 and 11, and so determine the operating bandwidths of the antennas.

More simulation tests have been carried out to study the frequency response of AR for the CP signal at different angles of incidence in the far field. MS Ant 3 has been used for study and results are shown in Fig. 13. It can be seen that the ARBW (for $\theta < 3$ dB) reduces as the angle of incidence in the $xz$ or $yz$ planes deviates from $0^\circ$. This is because the MS antennas has been maximized in term of ARBW for AR $< 3$ dB at the boresight, so any change in the optimized condition will reduce the ARBW. Of course, if the MS antenna is designed to operate at a large angle of incidence, then maximizing should be used as the criterion for optimization in the design process.

C. Realized Gain

The simulated and measured results of the realized LHCP and RHCP gains of the MS antennas are shown in Fig. 14. For comparison, the co-polar gains of the LP source antennas are also shown in the same figures. The cross-polar gains of the source antennas are too small to be shown in the same figure and so are omitted. It can be seen that the simulated and measured results have good agreements. Fig. 14(a) shows that the patch antenna alone has a measured 5-dB bandwidth of only 50 MHz (from 2.47 to 2.52 GHz) and a measured peak gain of 5.8 dB at the 2.48 GHz. By adding the LH-MS, MS Ant 1 has the realized LHCP and RHCP gains of about 9 and $-12$ dB, respectively, at 2.45 GHz. The LH-MS, acting like a polarizer, changes the polarization of the source antenna from LP to LHCP. MS Ant 1 offers a 5-dB enhancement in the realized gain at 2.45 GHz and achieves about 8-dB in the operating bandwidth from 2.38 to 2.56 GHz. Fig. 14(b) shows that MS Ant 2 has the very similar
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Fig. 13. AR at different incident angles $\theta$ in (a) xz-plane and (b) yz-plane.

performances, but orthogonal polarization to that of MS Ant 1 due to the mirror image of the MS used. This result indicates that polarization of the MS antenna is determined by the diagonal microstrips on the unit cells.

For the slot antenna alone, the measured gain at 2.45 GHz is about 3.4 dB. When the LH-MS is used, Fig. 14(c) shows that MS Ant 3 has a LHCP gain of 7.7 dB and a very low RHCP gain of $-11$ dB at 2.45 GHz. Again, the LH-MS acts as a polarizer to convert the LP signal from the slot antenna into a LHCP signal and, at the same time, enhances the gain by 4.3 dB. When the MS is replaced by a RH-MS, the performance of MS Ant 4 remains similar but with polarization changed to RHCP.

D. Radiation Pattern

The simulated and measured radiation patterns of the source antennas, MS Ants 1, 2, 3 and 4 at 2.45 GHz are shown in Figs. 15 and 16. It can be seen good agreements between the simulated and measured results. Moreover, the radiation patterns for MS Ants 1 and 2 (as shown in Fig. 15) are very similar for the reason previously. This also occurs to MS Ants 3 and 4 (as shown in Fig. 16). Fig. 15 shows that, with using the MSs, the radiation patterns of MS Ants 1 and 2 become more directional toward the z-direction when compared with that of the patch antenna alone. Note that the MS is placed in the z-direction of the source antenna and so the power is more concentrated in the direction toward the MS. Here the MS receives the LP signal from the source antenna and re-radiates the signal in CP to the other side in the opposite direction. The increase in directivity can be seen in the half-power beamwidth (HPBW) and front-to-back ratio (FBR) of the radiation pattern. For the patch antenna alone, the measured HPBW in the xz- and yz-planes are both about 74 degrees, as can be seen in Fig. 15. After adding the LH-MS, the HPBW is reduced to about 50 degrees in both the xz- and yz-planes. The measured FBR of the patch antenna is also increased from 16 to 26 dB by using the MS.

For the slot antenna, the increases in directivity using the MSs are particularly obvious, as can be seen in Fig. 16. When no MS is used, the slot antenna has a bi-directional radiation pattern pointing at the positive and negative z-directions, with the measured HPBWs of about 84 degrees and 62 degrees in the xz- and yz-planes, respectively, and the measured FBR of about 0 dB. When the MSs are used, the HPBWs are reduced to about 54 degrees in both the
VI. Conclusion

A MS used for LP-to-CP conversion has been presented in this paper. Two simple antennas, a slot antenna and a patch antenna, are used as source antenna to generate LP signals for conversion. Results have showed that the MS can effectively convert the LP signals from the source antennas into the CP signals, with substantial enhancements in the RLBW, ARBW and realized gain. By using the mirror image of the unit cells on the MS, an orthogonal CP signal is obtained. Thus the MS provides a convenient way to generate CP signals at microwave frequencies.

Fig. 15. Radiation patterns of patch antenna only, with LH-MS at 2.45 GHz in (a) xz-plane and (b) yz-plane, and with RH-MS at 2.45 GHz in (c) xz-plane and (d) yz-plane. (a) Sim. Co-pol, (b) Mea. Co-pol, (c) Sim. Cross-pol, (d) Mea. Cross-pol. (a) Sim. Patch only, (b) Mea. Patch only. (a) MS Ant 1 (xz-plane); (b) MS Ant 1 (yz-plane); (c) MS Ant 2 (xz-plane); (d) MS Ant 2 (yz-plane).

Fig. 16. Radiation patterns of slot antenna only, with LH-MS at 2.45 GHz in (a) xz-plane and (b) yz-plane, and with RH-MS at 2.45 GHz in (c) xz-plane and (d) yz-plane. (a) Sim. Co-pol, (b) Mea. Co-pol, (c) Sim. Cross-pol, (d) Mea. Cross-pol. (a) Sim. Slot only, (b) Mea. Slot only. (a) MS Ant 3 (xz-plane); (b) MS Ant 3 (yz-plane); (c) MS Ant 4 (xz-plane); (d) MS Ant 4 (yz-plane).

xz- and yz-planes, and the FBR increased to about 11 dB, as shown in Fig. 16.

References


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