<table>
<thead>
<tr>
<th><strong>Title</strong></th>
<th>Finite-Temperature Conductivity and Magnetoconductivity of Topological Insulators</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Author(s)</strong></td>
<td>Lu, H; Shen, S</td>
</tr>
<tr>
<td><strong>Citation</strong></td>
<td>Physical Review Letters, 2014, v. 112 n. 14, article no. 146601, p. 146601:1-146601:5</td>
</tr>
<tr>
<td><strong>Issued Date</strong></td>
<td>2014</td>
</tr>
<tr>
<td><strong>URL</strong></td>
<td><a href="http://hdl.handle.net/10722/197847">http://hdl.handle.net/10722/197847</a></td>
</tr>
<tr>
<td><strong>Rights</strong></td>
<td>Physical Review Letters. Copyright © American Physical Society.; This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License.</td>
</tr>
</tbody>
</table>
Finite-Temperature Conductivity and Magnetoconductivity of Topological Insulators

Hai-Zhou Lu and Shun-Qing Shen
Department of Physics, The University of Hong Kong, Pokfulam Road, Hong Kong, China
(Received 5 December 2013; published 7 April 2014)

The electronic transport experiments on topological insulators exhibit a dilemma. A negative cusp in magnetoconductivity is widely believed as a quantum transport signature of the topological surface states, which are immune from localization and exhibit the weak antilocalization. However, the measured conductivity drops logarithmically when lowering temperature, showing a typical feature of the weak localization as in ordinary disordered metals. Here, we present a conductivity formula for massless and massive Dirac fermions as a function of magnetic field and temperature, by taking into account the electron-electron interaction and quantum interference simultaneously. The formula reconciles the dilemma by explicitly clarifying that the temperature dependence of the conductivity is dominated by the interaction, while the magnetoconductivity is mainly contributed by the quantum interference. The theory paves the road to quantitatively study the transport in topological insulators, and can be extended to other two-dimensional Dirac-like systems, such as graphene, transition metal dichalcogenides, and silicene.

Introduction.—The experiments on the electronic transport in topological insulators [1–4] present a dilemma. A negative cusp in weak-field magnetoconductivity [solid curve in Fig. 1(a)] was measured in various topological insulators [5–9] and commonly regarded as a signature of the weak antilocalization (WAL) [10] of the surface states. For topological surface states, WAL stems from a $\pi$ Berry phase [11] acquired by electrons after circling around the spin-momentum-locked Fermi surface [12]. When lowering temperature, the $\pi$ Berry phase produces a destructive interference between backscattered electrons, then enhances the conductivity [dashed line in Fig. 1(b)] [13,14]. A magnetic field can destroy the interference and the conductivity enhancement, showing the negative magnetoconductivity cusp as the signature of WAL. The dilemma is, opposite to the enhancement expected from WAL, the conductivity was observed to decrease logarithmically with decreasing temperature [15–20] [solid line in Fig. 1(b)], indicating a behavior of the weak localization (WL) [10]. However, WL should exhibit a positive magnetoconductivity [dashed curve in Fig. 1(a)]. It has been suggested [15,16] that the electron-electron interaction could be the possible mechanism [21], but has not been fully appreciated [22], mainly because the quantitative comparison so far [15–20] was using the theories established for the conventional electrons [23–25]. While in topological insulators, it is well accepted that the topological surface electrons are massless Dirac fermions [1–3,22,26,27] and the bulk electrons have to be described by a massive Dirac model to account for the topological properties properly [4,28].

In this Letter, we resolve the dilemma by calculating the corrections to the conductivity from both the electron-electron interaction and quantum interference for disordered massless and massive Dirac fermions in two dimensions. We derive a formula of conductivity as a function of temperature and magnetic field, using the diagrammatic technique (Fig. 2). The formula reveals explicitly that in topological insulators such as $\text{Bi}_2\text{Se}_3$ and $\text{Bi}_2\text{Te}_3$ (i) the interaction always suppresses the conductivity with a strength stronger than the enhancement from the quantum interference of the surface states, leading to the WL-like temperature dependence in the conductivity, (ii) both the interaction and quantum interference of the surface electrons produce negative magnetoconductivity, but the portion from the interaction is at least 1 order smaller, so the signature of WAL in magnetoconductivity mainly comes from the quantum interference, (iii) both phenomena are attributed to a small screening factor of interaction resulting from a large permittivity in these materials and (iv) the results agree well with the experiments [15–20] at comparable temperatures (0.1 to 10 K) and magnetic fields (0 to 5 T). We quantitatively compare the theory with a set of experiments.

FIG. 1 (color online). (a) The magnetoconductivity $\delta \sigma = \sigma(B) - \sigma(0)$, i.e., the change of the electrical conductivity $\sigma$ in a magnetic field $B$. (b) $\sigma$ vs temperature $T$. The dotted arrows in (b) show how $\sigma$ shifts in response to $B$, leading to $\delta \sigma$ in (a). The solid curves schematically show the contradictory $\delta \sigma(B)$ and $\sigma(T)$ measured in topological insulators: the negative $\delta \sigma(B)$ is a signature of the WAL, but the logarithmically decreasing $\sigma(T)$ with decreasing $T$ implies a tendency of the WL.
for the slope of the conductivity vs temperature, by using the Dirac mass as the fitting parameter for both the gapless surface and gapped bulk states. The theory is developed for massless and massive Dirac fermions; hence, it paves the road towards the quantitative study of the electronic transport in topological insulators, and can be extended to other Dirac-like systems, such as graphene, transition metal dichalcogenides [29–32], and silicene [33–35] after intervalley scattering and interaction are taken into account.

Model.—We start with the two-dimensional (2D) Dirac model

\begin{equation}
H = \begin{bmatrix}
\Delta/2 & i\gamma(k_x - i k_y) \\
-i\gamma(k_x + i k_y) & -\Delta/2
\end{bmatrix},
\end{equation}

where \( \gamma = v \hbar \), \( v \) is the effective velocity, \( \hbar \) is the reduced Planck constant, and \( (k_x, k_y) \) is the wave vector. \( H \) describes two energy bands with strong spin-orbit coupling, separated by a gap opened by the Dirac mass \( \Delta \) [see Fig. 3(a)]. We assume that the Fermi energy \( E_F \) crosses the higher band. The model has two limits: one is the massless limit with \( \Delta/2E_F = 0 \), e.g., for the surface states in topological insulators; the other is the large-mass limit, which has a finite gap and the Fermi level at the band bottom such that \( \Delta/2E_F \rightarrow 1 \), and is applicable to the bulk electrons in topological insulator thin films near the band edges [38,39].

Conductivity formula.—We reexamine the finite-temperature conductivity for 2D Dirac fermions in magnetic field. Disorder scattering and electron-electron interaction are considered when calculating the conductivity (see Sec. S2 of Ref. [37] for details). With the help of the diagram techniques (see Fig. 2), we find that the temperature and magnetic field dependent conductivity can be written into two parts \( \sigma = \sigma^\text{ii} + \sigma^\text{ee} \): (i) the conductivity correction from the quantum interference,

\begin{equation}
\sigma^\text{ii} = \frac{e^2}{\pi \hbar} \sum_{i=0,1} \alpha_i \psi(1/2 + \ell_B^2/\ell_i^2) - \ln(\ell_B^2/\ell_i^2),
\end{equation}

and (ii) the conductivity correction from the electron-electron interaction

\begin{equation}
\sigma^\text{ee} = \frac{\gamma}{2\pi \hbar^2} \sum_{i=0,1} \alpha_i \psi(1/2 + \ell_B^2/\ell_i^2) - \ln(\ell_B^2/\ell_i^2).
\end{equation}
\[ \sigma^{ee} = \frac{e^2}{\pi h} \left( 1 - \eta_M F \right) \ln \frac{2e^2}{e^2} - \frac{e^2}{\pi h} \eta F \psi \left( \frac{1 + e^2}{2 + e^2} \right), \]  

where \( e^2/h \) is the conductance quantum, \( \psi \) is the digamma function, and \( e^2 \) is the mean free path. We define \( \ell' \equiv 1/\ell + 1/e^2 \) and \( \ell'^2_\phi \equiv (-1/2\ell'^2_\phi + 1/\ell'^2_\phi/2\alpha) \), where \( \ell_\phi \) is the Thouless phase coherence length and \( \ell'^2_\phi \) is proportional to \( T^{-p/2} \). The Thouless phase coherence length \( \ell_\phi \) is given by the formula \( \ell_\phi = \sqrt{h/4eB} \) for the magnetic length of a perpendicular magnetic field \( B \). \( \ell'_T \equiv \sqrt{Dh/2\pi k_B T} \) is the thermal diffusion length, with \( k_B \) the Boltzmann constant, the diffusion coefficient \( D = \ell'_T \sin \theta \sqrt{(1 + \cos^2 \theta)/(1 + 3 \cos^2 \theta)} \), and \( \cos \theta = E_{\text{F}}/2E_{\text{F}} \). We find in the Dirac model, the screening factor of the interaction (see Sec. S3 of Ref. [37] for details)

\[ F = \frac{2 \arctan \sqrt{1/x^2 - 1}}{\pi} \sqrt{1 - x^2}, \quad x = \frac{8\pi \ell_\phi \ell T}{e_\ell}, \]  

where \( \ell_\phi \) is the vacuum permittivity, \( \ell_\ell \) is the relative permittivity that takes into account the effects of the lattice ions and valence electrons. In Eqs. (2) and (3), \( \ell'^2_\phi = e^2 \cos^4 (\theta/2)/2\alpha_0 \), \( \ell'^2_\ell = -e^2 \tan^2 \theta/4\alpha_1 \), \( \alpha_0 = 4 \cos^2 \theta \times (1 + \cos^2 \theta)/(1 + 3 \cos^2 \theta)^2 \), \( \alpha_1 = -\sin^2 \theta/2 \times (1 + \cos^2 \theta)/(1 + 3 \cos^2 \theta) \), \( \eta_\Lambda \equiv \eta_\Lambda + \gamma \), and \( \gamma \equiv (1 + \cos^2 \theta)/2 \), \( \gamma_\ell \equiv -(\alpha_1/2) \sin^2 \theta \). In summary, the conductivity formula is a function of temperature \( T \) and magnetic field \( B \), and necessarily depends on the Dirac model parameters \( \Delta/2E_F \) and \( \gamma \) as well as the sample-dependent parameters \( \ell' \), \( \ell^'_\phi \), and \( F \). The formula works in the quantum diffusion regime where \( \ell^'_T \ll \ell^'_T \ll \ell^'_\phi \) and \( \ell^'_T \ll \ell^'_B \) and when the Fermi energy is away from the Dirac point.

**Temperature dependence of conductivity.**—Figure 3 compares \( \sigma^{ee} \) and \( \sigma^{vi} \) as functions of temperature at different magnetic fields. We first introduce the massless limit \( \Delta/2E_F = 0 \) in Figs. 3(b)–(d). At zero filed \( (B = 0) \), when lowering temperature, \( \sigma^{ee} \) decreases while \( \sigma^{vi} \) increases logarithmically, and the total conductivity drops because of stronger \( \sigma^{ee} \). The suppression of the conductivity by the interaction is also found for the leading-order conductivity at \( T = 0 \) [26]. The \( \ln T \) behaviors can be quantitatively described by the slope

\[ \kappa = \left( \pi h/e^2 \right) \partial \sigma / \partial \ln T. \]  

From Eqs. (2) and (3), we found at \( B = 0 \), the total slope \( \kappa = \alpha p + 1 - \eta_M F \), where \( p = 1 \) from the temperature dependence of the phase coherence length \( \ell'_\phi \propto T^{-p/2} \) \([6,8]\), and in the massless limit the Hiliken prefactor [10] \( \alpha = -1/2 \) \([14,36,42,43]\). \( \eta_M = 3/4 \) (Fig. S1 of [37]), and the screening factor \( F < 0.1 \) due to a large permittivity \( \ell_\phi \sim 100 \) (see Figs. 4(d) and 4(e)). Because of the small \( F \), the total slope at \( B = 0 \) in Fig. 3(d) is positive. We ignore the renormalization of the Diffuson and Cooperon by the interaction, because the effect is tiny for small \( F \) \([44]\). Finite \( B \) barely changes \( \sigma^{ee} \), but suppresses \( \sigma^{vi} \), giving rise to the negative magnetoconductivity at \( \Delta/2E_F = 0 \) in Fig. 4(b). At large \( B \), \( \kappa = 1 - \lambda \) for \( F \), which increases [Fig. 3(d)] because the negative \( \kappa^{vi} \) vanishes [Fig. 3(c)]. Now we move on to the large-mass limit \( (\Delta/2E_F \rightarrow 1) \) in Figs. 3(e)–3(g). Figure 3(c) shows that \( \sigma^{ee} \) still decreases with decreasing \( \ln T \) while its magnetic field response is completely suppressed. Figure 3(f) shows that at \( B = 0 \), \( \sigma^{vi} \) behaves in the quantum diffusion regime, because the effect is tiny for small \( F \) \([44]\). Finite \( B \) barely changes \( \sigma^{ee} \), but suppresses \( \sigma^{vi} \), giving rise to the negative magnetoconductivity at \( \Delta/2E_F = 0 \) in Fig. 4(b). At large \( B \), \( \kappa = 1 - \lambda \) for \( F \), which increases [Fig. 3(d)] because the negative \( \kappa^{vi} \) vanishes [Fig. 3(c)]. Now we move on to the large-mass limit \( (\Delta/2E_F \rightarrow 1) \) in Figs. 3(e)–3(g). Figure 3(c) shows that \( \sigma^{ee} \) still decreases with decreasing \( \ln T \) while its magnetic field response is completely suppressed. Figure 3(f) shows that at \( B = 0 \), \( \sigma^{vi} \) becomes negative and decreases with decreasing temperature, and \( \kappa^{vi} \) changes to 1/2 compared to -1/2 in Fig. 3(c). This change can be understood with the Berry phase \( \phi = \pi (1 - \Delta/2E_F) \) for the Dirac model \([36,45]\). In the massless limit, \( \phi_\ell = \pi \), leading to a destructive quantum interference and the WAL effect \([13,14]\). While in the large-mass limit, \( \phi_\ell = 0 \), which changes the quantum interference to constructive, resulting in the crossover to the WL effect \([36,45,46]\) that suppresses the conductivity and reverses the sign of \( \kappa^{vi} \). Figure 3(f) shows that the suppressed \( \sigma^{vi} \) can be recovered by the magnetic field, giving rise to the positive magnetoconductivity as \( \Delta/2E_F \rightarrow 1 \) in Fig. 4(b). Figure 3(g) shows that the total slope is also positive in the large-mass limit. Between the massless and large-mass limits, \( \sigma^{ee} \) and \( \sigma^{vi} \) vary continuously between those in Fig. 3, and the total slope is always positive (Fig. S3 of [37]). Above we show that the interaction dominates the temperature dependence of the conductivity, which always drops with decreasing \( \ln T \). This agrees well with the experiments at comparable temperatures (0.1 to 10 K) and magnetic fields (0 to 5 T) \([15–20]\).

**Magnetococonductivity.**—The change of the conductivity with the magnetic field in Fig. 3 defines the magnetoconduclivity \( \delta \sigma \). Figure 4 compares \( \delta \sigma \) from the interaction and quantum interference. In the massless and large-mass limits, we find that \( \delta \sigma^{vi} \) is linear in \( B \) with \( \delta \sigma^{vi} \approx -(e^2/h)(2ae^2/\pi B)B \) as \( B \rightarrow 0 \) or \( T \rightarrow \infty \) and evolves to \( \propto \ln |B| \) as \( B \rightarrow \infty \) or \( T \rightarrow 0 \) (Sec. S1E of Ref. [37]), consistent with the experiment [9]. Figure 4(b) shows that \( \delta \sigma^{vi} \) changes from negative to positive as \( \Delta/2E_F \) changes from 0 to 1, giving the signature of the WAL-WL crossover \([36,47–50]\). In contrast, Fig. 4(a) shows that the magnetoconductivity from the interaction \( \delta \sigma^{ee} \) is always negative, and proportional to the screening factor \( F \). For the massless fermions in topological insulators, \( F < 0.1 \) (Figs. 4(d) and 4(e)) due to a large relative permittivity \( \ell_\phi \) (typically \( \sim 100 \) \([40]\)). In the large-mass limit \( F \) approaches 1, meanwhile the \( F \)-independent part of \( \delta \sigma^{ee} \) becomes completely suppressed (Fig. 4(a)). As a result, \( \delta \sigma^{ee} \) is at least one order smaller than \( \delta \sigma^{vi} \). Above, we show that even in the presence of interaction the negative magnetoconductivity observed in topological insulators is mainly contributed by the quantum interference.

**Slope vs magnetic field.**—The \( \ln T \) and magnetic field dependence of the conductivity is characterized by the slope \( \kappa \) as a function of \( B \), which is summarized in Fig. 5 for...
FIG. 4 (color online). (a)–(c) The magnetoconductivity \( \delta \sigma \equiv \sigma(10) - \sigma(0) \) from the electron-electron interaction \( \delta \sigma^{ee} \) (in units of \( F e^2/h \)) and quantum interference \( \delta \sigma^{ii} \) at \( T = 1 \) K. (d) The screening factor of interaction \( F \) as a function of \( \Delta / 2 E_F \) for different \( \varepsilon_r \), the relative permittivity. (e) \( F \) as a function of \( \varepsilon_r \) for different \( \Delta / 2 E_F \). The parameters are the same as those in Fig. 3 except that \( F = 0.5 \) in (c) for a better demonstration. \( \varepsilon_r \) is about 100 in Bi$_2$Se$_3$ and Bi$_2$Te$_3$ [40].

recent experiments. They share two common features. (i) \( \kappa \) is always positive, consistent with Fig. 3. (ii) At finite \( B \), \( \kappa \) increases by a value of \( \delta \kappa \), then saturates beyond a saturation field \( B_{\phi} \). The slope increase is found as \( \delta \kappa \approx -\alpha p \) for small \( F \), where \( \alpha = -1/2 \) at \( \Delta / 2 E_F = 0 \) and \( \alpha = 1/2 \) as \( \Delta / 2 E_F \rightarrow 1 \) (Fig. S1 of [37]), and \( p \approx 1 \) in topological insulators [6,8]. The measured positive \( \delta \kappa \) then means a negative \( \alpha \) effectively, showing that massless Dirac fermions are the majority charge carriers in the experiments. The saturation magnetic field is determined by the phase coherence length by \( B_{\phi} = h/4 e \varepsilon^2_{\phi} \approx 165/\ell_{\phi}^2 \), with \( B_{\phi} \) in units of Tesla and \( \ell_{\phi} \) in nm. Most experiments have a \( \varepsilon_{\phi} > 100 \) nm, then \( B_{\phi} < 0.02 \) T, explaining why \( \kappa \) saturates after \( B \) exceeds 0.1 T. Also, \( \kappa \) could be negative only when \( B \ll B_{\phi}, \Delta / 2 E_F \rightarrow 0 \), and \( \varepsilon_r \rightarrow 1 \) (Sec. S5B of Ref. [37]).

**Fitting experiments.**—As an application of our theory, we fit the experimental slopes in Fig. 5. Take a 80 nm Cu-doped Bi$_2$Se$_3$ thin film [18], for example. Its sheet carrier density is about \( 6.7 \times 10^{12} \) cm$^{-2}$. At this carrier density, the Fermi energy is estimated to cross not only the surface band but also the very bottom of the bulk conduction band [51], where \( \Delta / 2 E_F \rightarrow 1 \). The experiment was originally fitted with the formula \( \kappa = 1 - (3/4) F \) for the conventional electrons [25]. However, \( \kappa = 1.67 \) at high \( B \) yielded a negative \( F \), which by definition should be positive, and \( \kappa \) should range between 0 and 1. Moreover, the slope change was \( \delta \kappa = 0.3 \), differing from the theoretical value 0.5 for a gapless Dirac cone of the surface states [inset of Fig. 3(b)].

The inconsistencies imply the possibility of two channels, channel 1 with \( \Delta / 2 E_F = 0 \) and \( \delta \kappa_1 \sim 0.5 \) for the gapless surface states and channel 2 with a large \( \Delta / 2 E_F \) and \( \delta \kappa_2 \sim -0.2 \) for the gapped band-edge bulk states, then \( \delta \kappa = \delta \kappa_1 + \delta \kappa_2 = 0.3 \) and \( \kappa = \kappa_1 + \kappa_2 \in (1,2) \). With \( \Delta / 2 E_F \) as a fitting parameter, the experimental slope as a function of magnetic field can be fitted by two channels very well (\( * \) in Fig. 5). Furthermore, Fig. 5 shows that other experiments also fall in the vicinity of the \( \kappa - B \) curves calculated from the Dirac model. We find that thicker films (80 nm in [18] and 65 nm in [19]) and thinner films (10 nm in [17], 4 nm in [20]) are better fitted by two channels and one massless channel, respectively, implying the dominance of the surface states in thinner films.

We thank Michael Ma for fruitful discussions. This work was supported by the Research Grant Council of Hong Kong under Grants No. HKU7051/11 P and No. HKUST3/CRF/13G.

---
