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Hemispheric Asymmetry in Perception: A Differential Encoding Account

Janet H. Hsiao1, Ben Cipollini2, and Garrison W. Cottrell2

Abstract
Hemispheric asymmetry in the processing of local and global features has been argued to originate from differences in frequency filtering in the two hemispheres, with little neurophysiological support. Here we test the hypothesis that this asymmetry takes place at an encoding stage beyond the sensory level, due to asymmetries in anatomical connections within each hemisphere. We use two simple encoding networks with differential connection structures as models of differential encoding in the two hemispheres based on a hypothesized generalization of neuroanatomical evidence from the auditory modality to the visual modality. The connection structure between columns is more distal in the language areas of the left hemisphere and more local in the homotopic regions in the right hemisphere. We show that both processing differences and differential frequency filtering can arise naturally in this neurocomputational model with neuroanatomically inspired differences in connection structures within the two model hemispheres, suggesting that hemispheric asymmetry in the processing of local and global features may be due to hemispheric asymmetry in connection structure rather than in frequency tuning.

INTRODUCTION
How the brain processes visual stimuli at the global and local level has been extensively examined. Navon (1977) proposed the "global precedence hypothesis" and argued that the global form of a visual stimulus is unavoidably recognized before the local forms. This effect was later shown to depend on both the characteristics of the local and global forms and the hemispheric asymmetry in the perception of local and global features (Hoffman, 1980). Follow-up studies further confirmed that there is a right visual field (RVF)/left hemisphere (LH) advantage for responses to local features and a left visual field (LVF)/right hemisphere (RH) advantage for responses to global features (e.g., Flevaris, Bentin, & Robertson, 2010; Weissman & Woldorff, 2005; Han et al., 2002; Ivy & Robertson, 1998; Proverbio, Minniti, & Zani, 1998; Martinez et al., 1997; Robertson, Lamb, & Zaidel, 1993; Van Kleeck, 1989; Delis, Robertson, & Efron, 1986; Robertson & Delis, 1986; Sergent, 1982; Martin, 1979). For example, by using hierarchical letter stimuli due to Navon (1977), where a large letter is made up of many smaller letters (Figure 1A), it has been shown that participants are faster at detecting small letters when they are presented to the RVF/LH and faster at detecting large letters when presented to the LVF/RH (e.g., Ivy & Robertson, 1998; Van Kleeck, 1989; Sergent, 1982; Figure 1B). Accordingly, Sergent (1982) concluded that global precedence in form analysis is a property of the RH but not the LH. She referred to the two levels of visual stimuli as having differential spatial frequency contents, low frequency for global features and high frequency for local features, and argued that the LH is more adept in processing high-frequency information, whereas the RH is more efficient in processing low-frequency information. This differential frequency processing account was supported by some follow-up studies (Ivy & Robertson, 1998), using tasks such as spatial frequency identification (Kitterle, Christman, & Hellige, 1990) and discrimination (Proverbio, Zani, & Avella, 2002), face recognition (Keenan, Whitman, & Pepe, 1989), and in fmRI (Han et al., 2002) and EEG (Flevaris, Bentin, & Robertson, 2011) studies.

A fundamental problem with this proposal is that studies examining grating detection do not support hemispheric specialization for particular frequency ranges (e.g., Peterzell, 1991; Fendrich & Gazzaniga, 1990; Kitterle et al., 1990; Peterzell, Harvey, & Hardvyck, 1989; Di Lollo, 1981; Rijsdijk, Kroon, & Van der Wildt, 1980). For example, Peterzell et al. (1989) presented vertical gratings to the LVF and the RVF of the participants and found no difference between the two hemispheres in contrast-sensitivity functions measured or in visible persistence durations. Fendrich and Gazzaniga (1990) presented a pair of Gaussian windowed sinusoidal gratings either within the LVF and the RVF of both commissurotomy patients and healthy controls and asked them to judge whether the pair had the same orientation; they showed that there was no indication of an interaction between visual field and spatial frequency of the gratings in this task (Ivy & Robertson, 1998, have argued that this result is due to the
Their model (Figure 2A) postulated different frequency tuning units and modules, and the output from each module was combined through an attentional weighting layer. They used one-dimensional hierarchical patterns (Figure 2B) in their simulations. Consistent with human data, the model exhibited a hemisphere-by-level interaction and a global level advantage (Figure 2C). Nevertheless, the underlying neural mechanism of this differential frequency filtering phenomenon remains unclear.

What could cause this asymmetry? One possibility is that there are anatomical differences between the hemispheres that influence processing. Recent research has shown that, in the left posterior superior temporal lobe, a region associated with language processing, pyramidal cells have longer dendrite lengths and contact fewer adjacent columnar units than do those in the RH (Hutsler & Galuske, 2003; Buxhoeveden, Switala, Litaker, Roy, & Casanova, 2001; Anderson, Southern, & Powers, 1999). A similar asymmetry also exists in the macrocolumnar structures (Galuske, Schloete, Bratzke, & Singer, 2000). In addition, Galuske et al. (2000) found that in the posterior part of BA 22, which involves language-relevant processing of auditory signals, there were modular networks of long-range intrinsic connections linking regularly spaced clusters of neurons; although the cluster size was similar in the two hemispheres, the spacing between clusters in the networks in the LH was about 20% larger than those in the RH. This asymmetry was not observed in the primary auditory area. Although relevant anatomical data do not currently exist for the visual cortex, the behavioral asymmetry has been observed in both visual and auditory modalities (e.g., Hutsler & Galuske, 2003; Poeppel, 2003; Ivry & Robertson, 1998). We therefore hypothesize that there may be similar spacing differences in the left extrastriate areas versus the right.

Here we test the hypothesis that the perceptual asymmetry results from differential connection configurations at an encoding stage beyond the sensory level through computational modeling. In our model we use autoencoders (Figure 3), neural networks that learn compressed encodings of their input at the hidden layer (Cottrell, Munro, & Zipser, 1987; Rumelhart, Hinton, & Williams, 1986). The distribution of connections between the encodings and the input units is determined by a Gaussian probability density function (pdf). While holding the number of connections in each model fixed, we use a wide pdf to model longer-range connections between columns in the LH and a narrow pdf to model short-range connections in the RH network. We then use a single-layer perceptron to extract from these encodings whether there is a target in the stimulus (either global or local). The error in the output reflects how informative the encoding is given the task, analogous to human RT—greater uncertainty leads to longer RTs. Note here that the model’s asymmetry is very different from the Gaussian receptive field functions used in previous models of hemispheric asymmetry. We sample from the Gaussian to
allocate the occurrence of a fixed number of connections whose weights are set by learning, not as the activation function of a radial basis function (RBF) unit (e.g., Monaghan & Shillcock, 2004) or as the weighting of the inputs (e.g., Ivry & Robertson, 1998). In fact, the receptive field widths in these prior models are the opposite of ours, that is, wide in the RH, and narrow in the LH (e.g., Monaghan & Shillcock, 2004).

We conducted two simulations. In the first simulation, we used the same one-dimensional hierarchical pattern stimuli as the DFF simulation (Figure 2B; Ivry & Robertson, 1998). In the second simulation, we used hierarchical letter patterns similar to those used in Sergent’s experiment (Sergent, 1982; Figure 4); we also examined the resulting spatial frequency content after the differential encoding scheme was applied.
METHODS

Here we ran two types of simulations, both using two target patterns and two distracter patterns that could be combined into local targets and global distracters and vice versa. In the first experiment, we used the simplified one-dimensional hierarchical stimuli used in Ivry and Robertson’s (1998) simulation. Each stimulus was 29 units long, constructed by combining two patterns so that one pattern forms the local features and the other forms the global pattern of the stimulus, with a blank (0) unit between each local pattern (Figure 2B). In the second simulation, we replicated Sergent’s experiment using two-dimensional hierarchical letter patterns. Each pattern could appear at the local or global level, for a total of 16 input patterns (Sergent, 1982). In this simulation, each pattern was 31 × 13 (403) pixels, with the same letters and same assignments of letters to targets and distracter sets as used in Sergent’s experiment (Figure 4).

In the simulations, we used two autoencoder networks (Cottrell et al., 1987; Rumelhart et al., 1986) with different connectivity configurations as a way to learn an efficient encoding from the input data. While holding the number of connections for each hidden unit fixed, the LH network had a comparatively wider pattern of connectivity than the RH network (Figure 3), in accordance with the asymmetry reported between long-range connections in LH and RH BA 22 (Galuske et al., 2000). More specifically, each hidden unit had a fixed number of connections to the input layer, and these connections were randomly drawn from a Gaussian pdf. Each hidden unit within a model hemisphere used a Gaussian pdf with an identical \( \sigma \) (variance), with the LH \( \sigma \) (\( \sigma_{1D} = 1.2, \sigma_{2D} = 18 \); the subscripts 1D and 2D refer to the stimulations with one- and two-dimensional stimuli, respectively) greater than the RH \( \sigma \) (\( \sigma_{1D} = 1.8, \sigma_{2D} = 4 \); see Figure 3). The variances were chosen as two extreme cases of denseness/sparseness of the connections to examine the qualitative differences between the LH and RH networks; a wide range of values for the variances were tested, and similar results were found. The connection pattern from the hidden layer to the output layer was completely symmetric to those from the input layer to the hidden layer. Each hidden unit was associated with a position in the input space such that the set of hidden units were evenly distributed across the input space. When selecting the connections for a particular hidden unit, the Gaussian pdf was centered at that hidden unit’s location in the input space.

After selecting all connections and constructing a network, the network was trained on all 16 input patterns until the network reached a fixed error (summed across all output units and patterns; see below for more details). Similar to Monaghan and Shillcock (2004), we trained to a performance criterion, rather than for a fixed number of iterations, because the networks with different connectivity patterns learned the patterns at different rates. Once a network was trained, hidden unit encodings for each input pattern were computed by presenting the input pattern and then recording the hidden unit activities. These hidden unit encodings were compressed encodings that reflect the result of having differential connectivity to the hidden units.

After obtaining the compressed encodings of the input stimuli, we used a perceptron (i.e., a one-layer neural network) with a sigmoidal output function to classify the encodings according to whether there was a target or not (at either level) in the input stimuli, the same task participants were required to do in Sergent’s (1982) experiment. The output layer of the perceptron had a single node; the node had value “1” when a target was present at either level (75% of the stimuli) and “0” otherwise (25% of the stimuli). The error was measured as the difference between the output of the perceptron and the desired output (0 or 1). As has

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Figure 3. LH and RH autoencoder networks; both have the same number of connections. Each hidden node has a fixed number of symmetric connections to the input and output layers, respectively.

Figure 4. Hierarchical letter patterns used in our second simulation. Each pattern is 31 × 13 (403) pixels. They are composed of the same letters used in Sergent’s (1982) experiment.
been done in previous studies, this error was considered to be a measure of uncertainty, and compared directly with human RT (e.g., Dailey, Cottrell, Padgett, & Adolphs, 2002; Seidenberg & McClelland, 1989).

In the simulation with one-dimensional stimuli, we explored the parameter space by testing the model with different combinations of the parameters, ranging from 11–15 hidden nodes and 5–10 connections from each hidden node. In the simulation with hierarchical letter patterns, the combinations ranged from 11–15 hidden nodes and from 170 to 220 connections from each hidden node.

For both the autoencoder networks and the perceptron, the training algorithm was gradient descent (Rumelhart et al., 1986) using sum-square error (SSE) for the objective function. The learning rate started at a constant (0.05 for the perceptron) and was adapted during training: If the error decreased in the current epoch, the learning rate for the next epoch increased by a factor of 1.05; if the error increased, the new learning rate was decreased by a factor of 1.25. Training of the autoencoders proceeded until the average SSE across all output nodes reached a predetermined threshold (0.025) within a predetermined maximum number of iterations (max1D = 1000, max2D = 250). Rare cases where the autoencoder could not reach the SSE performance criterion within the maximum number of training iterations were marked as rejections. Little effect was seen in varying this threshold in the ranges of 0.05 (requiring very few training iterations) to 0.01 (requiring many training iterations and leading to a high incidence of rejections). Training for the perceptron classifiers stopped after 250 iterations; values between 100 and 1000 iterations showed similar performance. After training the perceptron had 100% classification accuracy.

To match the statistical power found in Sergent’s experiment, we ran the model 68 times in each simulation, giving us approximately the same number of total trials (68 models × 16 trials per model hemisphere) as Sergent’s human data (12 participants × 90 trials per visual field).

To examine encoding differences between LH and RH networks in terms of spatial frequency, output images were computed for each network. This was done by presenting each input image to a trained network and then recording the output unit activities. These output images were then analyzed for spatial frequency content. To compare and visualize, we took the log power at each frequency and then computed the difference in log power between RH and LH networks. We used hierarchical letter patterns (Sergent, 1982) for this analysis.

RESULTS

Results of the Simulation with One-dimensional Stimuli

We first report the results of the simulation in which we used the same one-dimensional stimuli as those used in Ivry and Robertson’s (1998) model (Figure 2B). To verify that the results were robust to the parameters defining the model architecture, we ran the model with different parameter combinations, ranging from 11 to 15 hidden nodes and from 5 to 9 connections from each hidden node (in total 25 different combinations). We used repeated-measures ANOVA to analyze the data; the within-subject variable was Target Level (global vs. local), and the between-subject variables were Hemisphere (LH vs. RH networks), Number of Hidden Nodes (11, 12, 13, 14, and 15), and Number of Connections from each hidden node (5, 6, 7, 8, and 9). The dependent variable was the Error in the output layer of the perceptron.

Consistent with human data, the results showed that the model had better performance when the target was at the global level \((F(1, 3350) = 1092.823, p < .001)\), and there was a significant interaction between Hemisphere and Target Level \((F(1, 3350) = 756.923, p < .001; \text{Figure 5A})\); although both of these effects interacted with either the number of hidden nodes \((\text{Target Level} \times \text{Number of Hidden Nodes}, F(4, 3350) = 38.347, p < .001; \text{Target Level} \times \text{Hemisphere} \times \text{Number of Hidden Nodes}, F(4, 3350) = 20.456, p < .001)\) or number of Connections \((\text{Target Level} \times \text{Number of Connections}, F(4, 3350) = 11.927, p < .001; \text{Target Level} \times \text{Hemisphere} \times \text{Number of Connections}, F(4, 3350) = 4.805, p = .001)\), when we split the data according to either number of hidden nodes or number of connections, both effects were significant in all cases \((p < .001\) for all cases; Figure 5B). Nevertheless, in Sergent’s (1982) human data, there was no main effect of Hemisphere; the two hemispheres had a similar performance level on average. In contrast, our model showed a main effect of Hemisphere: the LH network performed better than the RH network, \(F(1, 3350) = 154.231, p < .001\); this effect interacted with number of hidden nodes, \(F(1, 3350) = 12.808, p < .001\); Performance difference between the two hemisphere networks was significant when the network had 11 \([F(1, 670) = 69.770, p < .001]\), 12 \([F(1, 670) = 63.882, p < .001]\), 13 \([F(1, 670) = 16.954, p < .001]\), or 14 hidden nodes \([F(1, 670) = 19.119, p < .001]\), but not when it had 15 hidden nodes \([F(1, 670) = 2.383, p = .123; \text{Figure 5B})\]. This suggests that performance difference between the two hemisphere networks can be influenced by parameter settings.

Results of the Simulation with Hierarchical Letter Pattern Stimuli

In the second simulation, we used the hierarchical letter patterns used in Sergent’s study. We explored how the performance changed with different parameter combinations, ranging from 22 to 30 hidden nodes and 40 to 120 connections from each hidden node (in total 25 different combinations). As in the first simulation, we used repeated-measures ANOVA to analyze the data; the within-subject variable was Target Level (global vs. local), and the between-subject variables were Hemisphere (LH
vs. RH networks), Number of Hidden Nodes (22, 24, 26, 28, and 30), and Number of Connections from each hidden node (40, 60, 80, 100, and 120). The dependent variable was the Error in the output layer of the perceptron.

The results showed an advantage of detecting a global level target, $F(1, 3350) = 1070.838, p < .001$, and an interaction between Hemisphere and Target Level, $F(1, 3350) = 858.284, p < .001$ (Figure 6A); both effects interacted with Number of Connections, $F(4, 3350) = 36.261, p < .001$, but not Number of Hidden Nodes, $F(4, 3350) = 1.933, p = .102$. When we split the data by number of connections, we found that both effects were significant across all cases (Figure 6B). The model also showed a main effect of Hemisphere, $F(1, 3350) = 91.054, p < .001$, and this effect interacted with Number of Connections, $F(4, 3350) = 17.519, p < .001$: When the model had 40 [$F(1, 670) = 58.135, p < .001$], 60 [$F(1, 670) = 112.781, p < .001$], or 80 connections from each hidden node [$F(1, 670) = 14.713, p < .001$], the LH network performed significantly better than the RH network; this difference was not significant when the model had 100 [$F(1, 670) = 1.343, p = .247$] or 120 connections [$F(1, 670) = 0.251, p = .617$].

Thus, the results from the two simulations suggested that although the global level advantage effect and the interaction between hemisphere and target level could be modulated by different parameter settings, the modulation generally only affected the size of the effects, not the direction; in other words, these effects were robust against parameter changes. In contrast, the performance difference between the LH and RH networks was sensitive to parameter settings.1

We also investigated spatial frequency content preserved in the LH and RH encodings. We reproduced input images from their encodings in the output; for hierarchical letter patterns, low frequencies were better reproduced in the RH network, whereas high frequencies were better reproduced in the LH network (Figure 7A and B), consistent with Sergent’s (1982) hypothesis and the DFF theory (Ivry & Robertson, 1998). However, this did not result directly from frequency tuning of the neurons. Rather, differential frequency filtering behavior emerged naturally as the result of the encoding scheme, suggesting that the asymmetry in perception may be due to differences in anatomy rather than frequency tuning per se.

**DISCUSSION**

In the current study, we test the hypothesis that hemispheric asymmetry in the perception of global and local...
features originates from differential encoding beyond the sensory level due to anatomical differences between the two hemispheres, instead of differential frequency filtering as proposed by the DFF theory (Ivry & Robertson, 1998). We first argue that the lack of evidence supporting hemispheric specialization for particular frequency ranges (e.g., Fendrich & Gazzaniga, 1990; Kitterle et al., 1990; Peterzell et al., 1989; Di Lollo, 1981; Rijsdijk et al., 1980) suggests that this hemispheric asymmetry takes place beyond the sensory level (Heinze et al., 1998; Sergent, 1982) and the two hemispheres do not differ in information extraction. We then argue that the difference takes place at an encoding stage due to differences in connection structures. We incorporate evidence about the anatomical differences in columnar and connectional structure in the auditory cortex between the two hemispheres (e.g.,

Figure 6. (A) Results of the simulation with hierarchical letter pattern stimuli. (B) Results of the simulation when splitting the data according to number of connections from each hidden node.

Figure 7. (A) Image reproduction example (global: H; local: F) showing the frequency information in which the two networks significantly differ in power. (B) Spatial frequency analysis of the output from the autoencoders with 26 hidden nodes and 100 connections to/from each hidden node in the simulation with hierarchical letter pattern stimuli. The plots show the difference in log radially averaged power spectrum (i.e., the directional independent mean spectrum) between the two networks (RH–LH); the blue line shows the mean, and the red dash line indicates one standard deviation across the 68 simulation runs. Regions marked in yellow indicate significant difference from zero.
In addition, there is little anatomical evidence suggesting differential frequency tuning in the neurons in the two hemispheres or differential modulation by frequency channels in the two hemispheres similar to that proposed in the DFF model. In contrast, through hypothesizing that hemispheric differences take place at an encoding stage beyond the sensory level and using Gaussian probability distributions to simulate differential connection configurations at the encoding stage, our model naturally develops the hemispheric difference in the frequency content in the encoding.

In our simulation with one-dimensional stimuli as those used in the DFF model, we explored the parameter space and found that the main effect of global level advantage and the interaction between network and target level were robust against parameter changes, although in some cases there was a significant main effect of LH network advantage. In contrast, in the DFF model, with one given parameter setting, the interaction between network and target level was fragile—the LH network became better at identifying both local and global targets with further training. Also, the simulation of the DFF model used one-dimensional hierarchical stimuli that differed greatly from Sergent’s original hierarchical letter patterns. In contrast, here we used two-dimensional hierarchical letter patterns similar to those used in human studies (Sergent, 1982) and replicated the results, a test that has not been conducted with the DFF model. In addition, through analyzing the spatial frequency content preserved in the encodings from the LH and RH networks, we show that differential frequency filtering behavior emerged naturally as the result of the encoding scheme, suggesting that hemispheric asymmetry in perception may be due to hemispheric differences in connection structures rather than frequency tuning per se.

The modeling results provide support for the idea that a hemispheric difference in cortical columnar and connection structure similar to that in the auditory cortex may also exist in high-level visual areas. We speculate that it may be in the lateral occipital region. It has been reported that there is significantly greater ipsilateral activity (i.e., activation from the other hemisphere after the initial contralateral projection from the visual hemifields to the hemispheres) observed in the area anterior to the retinotopic areas (Tootell, Mendola, Hadjikhani, Liu, & Dale, 1998), suggesting that the lateral occipital region may be a convergence point after the visual field split (Hsiao, Shieh, & Cottrell, 2008). Consistent with this speculation, recent fMRI studies have suggested that the locus of this hemispheric asymmetry in local and global processing is in the occipital/occipitotemporal region (Han et al., 2002; Martinez et al., 1997). Another possibility is the inferior parietal lobesuperior temporal gyrus region, suggested by recent fMRI studies showing that the activation in this region corresponds to the asymmetry observed in human data (Weissman & Woldorff, 2005; Fink et al., 1997; Robertson, Lamb, & Knight, 1988). Further examinations are required to confirm these speculations.
We are currently pursuing the incorporation of more anatomical data into the model, such as using 2D Gabor filters to simulate responses of complex cells in the early visual system (Daugman, 1985) and also using the proposed autoencoder networks as the way to develop efficient encoding in the two hemispheres in modeling more complicated real world visual stimuli (such as faces; cf. the Principal Component Analysis step in many visual perception models, e.g., Hsiao et al., 2008; Dailey et al., 2002; Dailey & Cottrell, 1999) to further examine the cognitive plausibility of this differential encoding mechanism in accounting for other hemispheric asymmetry phenomena in perception, such as the left side bias in face perception (e.g., Gilbert & Bakan, 1973) and the RVF advantage in visual word recognition (e.g., Bryden & Rainey, 1963).

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Notes

1. In our simulations, we consistently found a significant interaction between Target Level and Hemisphere, a significant LH advantage over the RH when the target was at the local level and a significant RH advantage over the LH when the target was at the global level, consistent with the human data. However, when we examined the data of the LH and RH networks separately, although a strong global level advantage over the local level condition was observed in the RH network, there was no apparent local level advantage in the LH network (Figures 5 and 6). In Sargent’s (1982) results (Figure 1), the difference between L+ and L− conditions in the RVF/LH presentation condition was also much smaller than that in the LVF/RH presentation condition; whether this difference was significant was not reported.

2. In a separate simulation, we used low-pass and high-pass filtered hierarchical patterns as the stimuli. We found that the RH network had better performance in reproducing low-pass filtered stimuli than the LH network, whereas the LH network had better performance in reproducing high-pass filtered stimuli. This result further confirms that the RH network is biased to learn and represent low spatial frequency information, and the LH network is biased to learn and represent high spatial frequency information.

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