<table>
<thead>
<tr>
<th><strong>Title</strong></th>
<th>Embeddings of curves in the plane</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Author(s)</strong></td>
<td>Shpilrain, V; Yu, JT</td>
</tr>
<tr>
<td><strong>Citation</strong></td>
<td>Journal Of Algebra, 1999, v. 217 n. 2, p. 668-678</td>
</tr>
<tr>
<td><strong>Issued Date</strong></td>
<td>1999</td>
</tr>
<tr>
<td><strong>URL</strong></td>
<td><a href="http://hdl.handle.net/10722/156079">http://hdl.handle.net/10722/156079</a></td>
</tr>
<tr>
<td><strong>Rights</strong></td>
<td>This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License.</td>
</tr>
</tbody>
</table>
Embeddings of curves in the plane

Vladimir Shpilrain†

and

Jie-Tai Yu∗

Department of Mathematics, University of Hong Kong
Pokfulam Road, Hong Kong
e-mail: shpil@hkusua.hku.hk, yujt@hkusua.hku.hk

Abstract. Let $K[x,y]$ be the polynomial algebra in two variables over a field $K$ of characteristic 0. In this paper, we contribute toward a classification of two-variable polynomials by classifying (up to an automorphism of $K[x,y]$) polynomials of the form $ax^n + by^m + \sum_{im+jn\leq mn} c_{ij} x^i y^j$, $a, b, c_{ij} \in K$ (i.e., polynomials whose Newton polygon is either a triangle or a line segment). Our classification has several applications to the study of embeddings of algebraic curves in the plane. In particular, we show that for any $k \geq 2$, there is an irreducible curve with one place at infinity, which has at least $k$ inequivalent embeddings in $\mathbb{C}^2$. Also, upon combining our method with a well-known theorem of Zaidenberg and Lin, we show that one can decide “almost” just by inspection whether or not a polynomial fiber $\{p(x, y) = 0\}$ is an irreducible simply connected curve.

1. Introduction

Let $K[x,y]$ be the polynomial algebra in two variables over a field $K$ of characteristic 0. Here we contribute toward a classification of polynomials from $K[x,y]$ by proving the following

Theorem 1.1. Let $p(x, y) = ax^n + by^m + \sum_{im+jn\leq mn} c_{ij} x^i y^j$, $a, b, c_{ij} \in K$, $i, j > 0$; $a, b$ are not both zero, and $q(x, y) = Ax^r + By^s + \sum_{is+jr\leq rs} b_{ij} x^i y^j$, $A, B, b_{ij} \in K$, $i, j > 0$; $A, B$ are not both zero. Suppose that $m$ does not divide $n$, $n$ does not divide $m$, $s$ does not divide $r$, $r$ does not divide $s$, and $\max(m, n) \neq \max(r, s)$. Then there is no automorphism $\alpha \in \text{Aut}(K[x,y])$ that takes $p(x, y)$ to $q(x, y)$.

1991 Mathematics Subject Classification: Primary 14E09, 14E25; Secondary 13B10, 13B25.

†) Partially supported by CRCG Grant 25500/301/01.

∗) Partially supported by RGC Fundable Grant 344/024/0002.
Polynomials of the form given in Theorem 1.1 can be considered “canonical models” for their automorphic images. Note that if, say, \( n \) divides \( m \), then the degree of the polynomial \( p(x, y) \) in the statement of Theorem 1.1 can be reduced by applying an automorphism of the form \((x \to x + \mu \cdot y^{m/n}; \ y \to \lambda y)\) with \( \mu, \lambda \in K \). However, there is no guarantee that the resulting polynomial will have the same form. This shows how subtle the situation is.

In some special cases though, we can handle those polynomials with \( m \) divisible by \( n \) or vice versa. This is possible, for example, if some fiber of a given polynomial admits a one-variable polynomial parametrization \( x = u(t); \ y = v(t) \):

**Proposition 1.2.** Suppose the fibers \( \{p(x, y) = 0\}, \{q(x, y) = 0\} \) of two polynomials \( p, q \in \mathbb{C}[x, y] \), admit one-variable polynomial parametrizations. Then one can effectively find out (even without knowing the parametrizations) if there is an automorphism of \( \mathbb{C}[x, y] \) that takes \( p \) to \( q \).

In particular, if some fiber of a given polynomial is an irreducible simply connected curve, then, by a well-known theorem of Zaidenberg and Lin [10], this fiber admits a one-variable polynomial parametrization. More precisely, they prove that (in case \( K = \mathbb{C} \)) every polynomial like that has a canonical model of the form \( x^k - y^l \) with \( (k, l) = 1 \). Upon combining this with our method, we have the following

**Theorem 1.3.** Let \( p(x, y) \in \mathbb{C}[x, y] \) be a polynomial whose fiber \( \{ p(x, y) = 0 \} \) is an irreducible simply connected curve. Then some automorphism of \( \mathbb{C}[x, y] \) takes \( p(x, y) \) to \( x^k - y^l \) with \( (k, l) = 1 \), and:

(a) \( \max(k, l) \leq \deg(p(x, y)) \); 
(b) either \( k \) or \( l \) divides \( \deg(p(x, y)) \); 
(c) the Newton polygon of \( p(x, y) \) is either a triangle or a line segment, i.e., \( p(x, y) \) is of the form \( ax^n + by^m + \sum_{im+jn \leq mn} c_{ij}x^iy^j, \ m, n \geq 0 \). If \( m \) does not divide \( n \), then \( m = k \) or \( l \), and \( n = l \) or \( k \), respectively. Otherwise, either \( p(x, y) \) is linear, or the “leading” part \( ax^n + by^m + \sum_{im+jn = mn} c_{ij}x^iy^j \) is a proper power of some other polynomial.

Thus, in many situations it is possible to rule out polynomials without irreducible simply connected fibers just by inspection. In any case, by Proposition 1.2, there is an effective procedure for deciding if a given polynomial fiber is irreducible and simply connected.
The next application of our method concerns embeddings of algebraic curves in the plane.

**Theorem 1.4.** For any \( k \geq 2 \), there is an irreducible algebraic curve (with one place at infinity) which has at least \( k \) inequivalent embeddings in the plane \( \mathbb{C}^2 \).

More formally, this means the following. Suppose we have two polynomial fibers \( \{ p(x, y) = 0 \} \) and \( \{ q(x, y) = 0 \} \). We say that these curves are *isomorphic* if the algebras of residue classes \( \mathbb{C}[x, y]/\langle p(x, y) \rangle \) and \( \mathbb{C}[x, y]/\langle q(x, y) \rangle \) are isomorphic. Here \( \langle p(x, y) \rangle \) denotes the ideal of \( \mathbb{C}[x, y] \) generated by \( p(x, y) \). On the other hand, we say that these curves (or, rather, embeddings of the same curve in \( \mathbb{C}^2 \) ) are *equivalent* if there is an automorphism of \( \mathbb{C}^2 \) that takes one of them onto the other.

Now our Theorem 1.4 says that there are arbitrarily (but finitely) many isomorphic algebraic curves in \( \mathbb{C}^2 \), all of which belong to different orbits under the action of the group \( Aut(\mathbb{C}^2) \). A particular example of a curve like that would be \( y = x^{p_0} - y^{p_1p_2\cdots p_k} \), where \( p_0, p_1, \ldots, p_k \) are distinct primes, \( p_0 > p_1p_2\cdots p_k \).

Note that by a result of Abhyankar and Singh [3], an irreducible curve with one place at infinity cannot have infinitely many inequivalent embeddings in \( \mathbb{C}^2 \).

We also note that the first example of an irreducible algebraic curve with one place at infinity which has at least 2 inequivalent embeddings in \( \mathbb{C}^2 \), was recently claimed in [2].

To conclude the Introduction, we say a few words about our general method. It is a well-known result of Jung and van der Kulk that every automorphism of \( K[x, y] \) is a product of elementary and linear automorphisms. The main difficulty in finding a canonical model for a given polynomial is to prove that one can find a sequence of elementary and linear automorphisms that would reduce the degree at every step, until it is further irreducible by any elementary automorphism. Then this last polynomial, whose degree is irreducible, will be a canonical model.

To arrange that, we use two principal ideas. First, we mimic elementary automorphisms of \( K[x, y] \) by “elementary transformations” of \( K[t] \times K[t] \). Second, we use Whitehead’s idea of “peak reduction” (see e.g. [6]) to arrange a sequence of elementary transformations of \( K[t] \times K[t] \) so that the maximum degree would decrease at every step. This is described in the next Section 2.

While the “peak reduction” always works for elementary transformations of \( K[t] \times K[t] \), the first part (mimicking elementary automorphisms of \( K[x, y] \) by elementary transformations of \( K[t] \times K[t] \)) is where the difficulty is. We managed to do that for polynomials of the form given in Theorem 1.1, and also for
polynomials \( p(x, y) \) whose fiber \( \{ p(x, y) = 0 \} \) admits a one-variable polynomial parametrization \( x = u(t); \ y = v(t) \) (i.e., this fiber is a rational curve with one place at infinity). The latter is used in proving Proposition 1.2 and Theorem 1.3. Those parametrizable fibers actually constitute the most tractable class of plane algebraic curves. It seems plausible that every curve like that has a unique embedding in \( \mathbb{C}^2 \). Below we give a high-school version of this conjecture.

**Conjecture.** Suppose \( K[p(t), q(t)] = K[u(t), v(t)] \) for some (one-variable) polynomials \( p(t), q(t), u(t), v(t) \). Let \( \deg(p(t)) = k; \ \deg(q(t)) = l; \ \deg(u(t)) = m; \ \deg(v(t)) = n \), and \( \max(m, n) > \max(k, l) \). Then either \( m \) divides \( n \), or \( n \) divides \( m \).

So far, this was established only in the case where \( p(t) = t^k; \ q(t) = t^l; \ (k, l) = 1 \), in the aforementioned paper by Zaidenberg and Lin [10]. This generalizes earlier results of Abhyankar and Moh [1] and Suzuki [9].

2. Elementary automorphisms and peak reduction

It is a well-known result of Jung and van der Kulk that every automorphism of \( K[x, y] \) is a product of elementary and linear automorphisms. We give here a somewhat more precise statement which can be found in [3, Theorem 6.8.5]:

**Proposition 2.1.** Every automorphism of \( K[x, y] \) is a product of linear automorphisms and automorphisms of the form \( x \to x + f(y); \ y \to y \). More precisely, if \( (g_1, g_2) \) is an automorphism of \( K[x, y] \) such that \( \deg(g_1) \geq \deg(g_2) \), say, then either \( (g_1, g_2) \) is a linear automorphism, or there exists a unique \( \mu \in K^* \) and a positive integer \( d \) such that \( \deg(g_1 - \mu g_2^d) < \deg(g_1) \).

Now we are going to consider the direct product \( K[t] \times K[t] \) of two copies of the one-variable polynomial algebra over \( K \), and introduce the following elementary transformations (ET) that can be applied to elements of this algebra:

**ET1** \((u, v) \to (u + \mu \cdot v^k, v)\) for some \( \mu \in K^*; \ k \geq 2 \).

**ET2** \((u, v) \to (u, v + \mu \cdot u^k)\).

**ET3** a non-degenerate linear transformation \((u, v) \to (a_1u + a_2v, b_1u + b_2v)\); \( a_1, a_2, b_1, b_2 \in K \).

One might notice that some of these transformations are redundant, e.g., (ET1) is a composition of the other ones. There is a reason behind that which will be clear a little later.

We shall need the following
Proposition 2.2. For any pair \((u, v) \in K[t] \times K[t]\), there is a (perhaps, empty) sequence of elementary transformations that takes \((u, v)\) to some \((\hat{u}, \hat{v})\) such that:

(i) the maximum of the degrees of polynomials decreases at every step in this sequence;

(ii) the maximum of the degrees in \((\hat{u}, \hat{v})\) is irreducible by any sequence of elementary transformations.

Comment to (i): if it happens so that \(u\) and \(v\) have the same leading terms, then, perhaps by somewhat abusing the language, we say that the transformation \((u, v) \rightarrow (u - v, v)\) reduces the maximum of the degrees.

Proof. We shall use the “peak reduction” method to prove this statement. This means the following. If at some point of a sequence of ET, the maximum degree goes up (or remains unchanged) before eventually going down, then there must be a pair of subsequent ET in our sequence (a “peak”) such that one of them increases the maximum degree (or leaves it unchanged), and then the other one decreases it. We are going to show that such a peak can always be reduced. In other words, if the maximum degree can be decreased by a sequence of ET, then it can also be decreased by a single ET. To prove that, we have to consider many different cases, but all of them are quite simple.

Let \((u, v)\) be a pair of polynomials from \(K[t] \times K[t]\) with, say, \(\deg(u) \leq \deg(v)\), and let \(\alpha_1\) and \(\alpha_2\) be two subsequent ET applied to \((u, v)\), as described in the previous paragraph. Consider several cases:

1. \(\alpha_1 : (u, v) \rightarrow (u + \mu \cdot v^k, v)\) for some \(\mu \in K^*; \ k \geq 2\).

   This \(\alpha_1\) strictly increases the maximum degree since \(\deg(u) \leq \deg(v)\) by the assumption. Now we have two possibilities for \(\alpha_2\) since a linear ET cannot decrease the maximum degree.

   a. \(\alpha_2 : (u + \mu \cdot v^k, v) \rightarrow (u + \mu \cdot v^k; v + \lambda(u + \mu \cdot v^k)^m)\) for some \(\lambda \in K^*; \ m \geq 2\). But this obviously increases the maximum degree, contrary to our assumption.

   b. \(\alpha_2 : (u + \mu \cdot v^k, v) \rightarrow (u + \mu \cdot v^k + \lambda \cdot v^m, v)\). If this \(\alpha_2\) decreases the maximum degree, then we should have \(\mu \cdot v^k = -\lambda \cdot v^m\), in which case \(\alpha_2 = \alpha_1^{-1}\), and the peak reduction is just cancelling out \(\alpha_1\) and \(\alpha_2\).

2. \(\alpha_1 : (u, v) \rightarrow (u, v + \mu \cdot u^k)\) for some \(\mu \in K^*; \ k \geq 2\).

   If this \(\alpha_1\) increases the maximum degree, this can only happen when \(\deg(v + \mu \cdot u^k) = \deg(u^k)\), in which case we argue exactly as in the case (1). However, since \(\deg(u) \leq \deg(v)\), it might happen that this \(\alpha_1\) does not change the maximum degree. Then we consider two possibilities for \(\alpha_2\):
Then are not both zero. Suppose that \(a, b, c\) form a polynomial of the same form, except, perhaps, in the case where \(\beta\) is an elementary automorphism.

To prove Theorem 1.1, it is clearly sufficient to prove the following

**Proposition 3.1.** Let \(p(x, y) = ax^n + by^m + \sum_{i=m+jn \leq mn} c_{ij} x^i y^j\), \(a, b, c_{ij} \in K\), \(a, b\) are not both zero. Suppose that \(m\) does not divide \(n\), and \(n\) does not divide \(m\). Then no automorphism \(\alpha \in Aut(K[x, y])\) can reduce the degree of \(p(x, y)\).

First, we need the following

**Lemma 3.2.** Let \(p(x, y)\) be a polynomial of the form \(ax^n + by^m + \sum_{i=m+jn \leq mn} c_{ij} x^i y^j\), \(c_{ij} \in K\). Then applying an elementary or linear automorphism \(\beta\) to \(p(x, y)\) gives a polynomial of the same form, except, perhaps, in the case where \(m\) divides \(n\) or \(n\) divides \(m\), say, \(m = kn\), and \(\beta : x \rightarrow x + \mu \cdot y^k; y \rightarrow y\) for some \(\mu \in K^*\).

**Proof.** The statement is obvious for a linear automorphism, so suppose we have an elementary automorphism \(\beta : x \rightarrow x + \mu \cdot y^k; y \rightarrow y\) for some \(\mu \in K^*; k \geq 2\).

Then

\[
\beta(p(x, y)) = ax^n + a\mu \cdot y^k x^n + by^m + \sum_{i=1}^{n-1} b_{ij} x^i y^{k(n-i)} + \ldots
\]
\[ \sum \frac{\text{im} + \text{jn}}{< mn} + \sum c_{ij}(x^i + \mu^i y^{k_i+j} + \sum_{s=1}^{i-1} a_{ij} x^s y^{k(i-s)+j}). \] (1)

Now we have to consider 3 cases:

(a) \(kn < m\). We have to show that for every monomial \(x^iy^j\) in (1), one has \(im + jn \leq mn\). This is not obvious only for monomials of the form \(x^s y^{k(i-s)+j}\).

Compute:

\[ sm + (k(i-s) + j)n = sm + kn(i-s) + jn. \] (2)

To see that the right hand side of (2) does not exceed \(mn\), note that \(sm + kn(i-s) < sm + m(i-s) = mi\), since \(kn < m\). Therefore, \(sm + (k(i-s) + j)n < mi + nj \leq mn\) by the assumption.

(b) \(kn > m\). In this case, the “leading part” of \(\beta(p(x,y))\) is \(ax^n + a\mu^n y^{kn}\), so we have to show that for every monomial \(x^iy^j\) in (1), one has \(ikn + jn \leq kn^2\).

Again, we only have to show that for monomials of the form \(x^s y^{k(i-s)+j}\):

\[ skn + (k(i-s) + j)n = kni + jn. \] (3)

Since \(mi + nj \leq mn\), after multiplying both sides by \(\frac{kn}{m}\) we get \(kni + \frac{kn^2}{m} j \leq kn^2\).

Since \(\frac{kn^2}{m} > n\) (recall that \(kn > m\)), this yields \(kni + jn \leq kn^2\). Comparing this to (3) completes the proof in this case.

(c) \(kn = m\). The same argument as in the previous case works here, unless \(\beta: x \rightarrow x + \mu \cdot y^k; y \rightarrow y\) for some \(\mu \in K^*\), which can cause cancellation of the leading \(y^m\) and loosening control thereby.

\[ \Box \]

**Proof of Proposition 3.1.** By way of contradiction, assume there is \(\alpha \in Aut(K[x,y])\) that takes \(p(x,y)\) to some \(q(x,y)\) of smaller degree. Put into correspondence to the polynomial \(p(x,y)\) a pair of its *face polynomials* \((p(0,t), p(t,0)) \in K[t] \times K[t]\).

In the sequence of elementary (linear) automorphisms that corresponds to the automorphism \(\alpha\), there must be an elementary automorphism which decreases the degree of the current polynomial. Find the *first place* in our sequence of elementary (linear) automorphisms where we can apply an elementary automorphism which decreases the degree. Suppose this automorphism is of the form \(\beta: x \rightarrow x + \mu \cdot y^k; y \rightarrow y\), and it is applied to a polynomial \(\tilde{p}(x,y)\), which we assume to have the same form as in the statement of Proposition 3.1 (by Lemma 3.2, we can indeed make this assumption): \(\tilde{p}(x,y) = \tilde{a} x^n + \tilde{b} y^\tilde{m} + \sum_{\text{im}+jn < \tilde{mn}} \tilde{c}_{ij} x^i y^j\).

If applying the automorphism \(\beta\) decreases the degree of the polynomial \(\tilde{p}(x,y)\), then, in particular, \(\tilde{k}n = \tilde{m}\), and applying the ET of the form (u, v) \(\rightarrow (u + 
ν · v^k, v) for some ν ∈ K* to the pair of face polynomials (p(0, t), p(t, 0)), would decrease the maximum of their degrees. (The coefficient ν here can be actually computed as ˜b̂â^{−k}).

Now Proposition 2.2 implies that there is an ET that decreases the maximum of the degrees of the original face polynomials (p(0, t), p(t, 0)). However, given the hypotheses of Proposition 3.1, this is readily seen to be impossible. This contradiction completes the proof of Proposition 3.1 and of Theorem 1.1 thereby.

4. Embeddings of curves in the plane

Before we get to the proof of Proposition 1.2 and Theorem 1.3, we make a general remark. If a polynomial fiber \{p(x, y) = 0\} admits a one-variable polynomial parametrization x = u(t); y = v(t), where u(t), v(t) have zero constant terms, then, by a result of McKay and Wang \[8\], the polynomial \(p^m(x, y)\), where 
m = [C(t) : C[u(t), v(t)]], equals the resultant \(R(x, y) = \text{Res}(u(t) − x, v(t) − y)\).

Moreover, they prove \[8, Theorem 5\] that the leading part of \(p^k(x, y)\) is obtained the same way (i.e., as a resultant) from the leading parts of u(t) and v(t). This implies, in particular, that the Newton polygon of \(p(x, y)\) is either a triangle or a line segment, i.e., \(p(x, y)\) is of the form

\[ax^n + by^m + \sum_{im + jn \leq mn} c_{ij}x^iy^j; m, n \geq 0\]  

(see \[8, Corollary 6\]). Furthermore, from the fact that \(p(x, y)\) is the minimal polynomial for \(u(t)\) and \(v(t)\), it follows that, for example, \(p(x + y^k, y)\) is the minimal polynomial for \(u(t) − v^k(t)\) and \(v(t)\). This establishes a correspondence between elementary (linear) automorphisms of \(K[x, y]\) applied to \(p(x, y)\), and elementary (linear) transformations (ET) of \(K[t] \times K[t]\) applied to \((u(t), v(t))\). Theorem 5 of \[8\] implies that in any sequence of elementary (linear) automorphisms of \(K[x, y]\) applied to \(p(x, y)\), all polynomials have the form (4), and, therefore, by our Proposition 2.2, the corresponding sequence of ET applied to \((u(t), v(t))\) can be arranged so that it decreases the maximum of the degrees in a pair of polynomials at every step.

Proof of Proposition 1.2.

First we show that both \(p\) and \(q\) have “canonical models”, i.e., automorphic images whose degrees cannot be reduced by any automorphisms of \(C[x, y]\). Indeed, by the remark above, both polynomials are of the form (4). If \(m\) divides \(n\) or \(n\) divides \(m\), then we can reduce the degree of the polynomial by applying an
elementary automorphism. This elementary automorphism can be easily found: if, say, \(kn = m\), then we apply the automorphism \(\beta: x \mapsto x + \mu \cdot y^k; y \mapsto y\) (see (1)) with \(\mu = \sqrt[n]{-b}\).

Continuing this way, we arrive at a polynomial of the form (4), where \(m\) does not divide \(n\), \(n\) does not divide \(m\), and, say, \(m < n\). The other polynomial can be reduced to the same form with the degrees \(m'\) and \(n'\), respectively. Again, we can assume that \(m' < n'\). Now, if \(n' \neq n\), we conclude (by Theorem 1.1) that there is no automorphism of \(C[x, y]\) that takes \(p(x, y)\) to \(q(x, y)\).

If \(n' = n\), then an automorphism taking \(p\) to \(q\) exists if and only if a combination of a linear automorphism with some automorphism of the form \(\{x \mapsto x; y \mapsto y + f(x)\}\), where \(\deg(f) < m/n\), can take \(p\) to \(q\).

To figure out if this is possible, we have to consider coefficients of the polynomial \(f(x)\) as indeterminates and find out if the corresponding system of polynomial equations in those indeterminates (together with indeterminates that are the coefficients coming from the linear automorphism) has a solution over \(C\). To do that, we can apply a well-known algorithm that makes use of Gröbner bases (see e.g. [4]).

This latter algorithm is pretty slow in general. However, there is one special case of Proposition 1.2 where we do not have to apply this algorithm at all. This happens when we want to find out if a given polynomial is coordinate, i.e., is an automorphic image of \(x\). In that case, if at some point we get a polynomial of the form (4), where \(m\) does not divide \(n\) and \(n\) does not divide \(m\), then the polynomial is coordinate if and only if \(\max(m, n) = 1\); no further analysis is needed. \(\square\)

Proof of Theorem 1.3. By a result of Zaidenberg and Lin [10], some automorphism of \(C[x, y]\) takes \(p(x, y)\) to \(x^k - y^l\) with \((k, l) = 1\). The polynomial fiber \(\{x^k - y^l = 0\}\) admits a one-variable polynomial parametrization \(x = t^l; y = t^k\). Therefore, by the remark in the beginning of this section, the polynomial fiber \(\{p(x, y) = 0\}\) admits a parametrization \(x = u(t); y = v(t)\), and there is a sequence of ET that takes \((u(t), v(t))\) to \((t^l, t^k)\), so that the maximum of the degrees in a pair of polynomials decreases at every step except, perhaps, several terminal steps that do not change the maximum degree. This immediately implies parts (a) and (c) of Theorem 1.3.

Then, take the last ET in the sequence that decreases the maximum degree, i.e., after applying this ET we get a pair of polynomials whose degrees are \(\{k, k\}\), or \(\{k, l\}\), or \(\{l, l\}\). That means, the preceding pair of polynomials in the sequence either has degrees \(\{km, k\}\), or \(\{l, ml\}\) for some \(m \geq 2\). In any case, either \(k\) or \(l\) divides the maximum of the degrees in the preceding pair of polynomials, and therefore also divides the degree of the corresponding two-variable polynomial.
An obvious inductive argument completes the proof of part (b). □

Now we get to

Proof of Theorem 1.4. We have to exhibit $k$ polynomials $f_1, \ldots, f_k$ from $\mathbb{C}[x, y]$ such that $\mathbb{C}[x, y]/\langle f_i \rangle \cong \mathbb{C}[x, y]/\langle f_1 \rangle$ for every $i = 1, \ldots, k$, but none of those polynomials can be taken to another by an automorphism of $\mathbb{C}[x, y]$ (here the symbol $\cong$ means “is isomorphic to”).

A particular collection of such polynomials is as follows (it is modeled on the corresponding example in combinatorial group theory [7]):

$$f_1 = y - x^{p_0} + y^{p_1 p_2 \cdots p_k}, \text{ where } p_0, p_1, \ldots, p_k \text{ are distinct primes, } p_0 > p_1 p_2 \cdots p_k;$$

$$f_2 = y - (x^{p_0} - y^{p_2 \cdots p_k})^{p_1};$$

$$f_3 = y - (x^{p_0} - y^{p_3 \cdots p_k})^{p_1 p_2};$$

$$\vdots$$

$$f_k = y - (x^{p_0} - y^{p_k})^{p_1 p_2 \cdots p_{k-1}}.$$

We are now going to show that the corresponding algebras of residue classes are isomorphic. It will be technically more convenient to write those algebras of residue classes as “algebras with relations”, i.e., instead of $\mathbb{C}[x, y]/\langle f_1 \rangle$ we shall write $\langle x, y | f_1 = 0 \rangle$. Following is the chain of isomorphism-preserving transformations (similar to Tietze transformations in group theory – see [3]) that establishes the isomorphism between $\langle x, y | f_1 = 0 \rangle$ and $\langle x, y | f_2 = 0 \rangle$:

$$\langle x, y | y = x^{p_0} - y^{p_1 p_2 \cdots p_k} \rangle \cong \langle x, y, z | y = x^{p_0} - y^{p_1 p_2 \cdots p_k}; \ z = y^{p_1} \rangle \cong \langle x, y, z | y = x^{p_0} - z^{p_2 \cdots p_k}; \ z = y^{p_1} \rangle \cong \langle x, z | z = (x^{p_0} - z^{p_2 \cdots p_k})^{p_1} \rangle \cong \langle x, y | y = (x^{p_0} - y^{p_2 \cdots p_k})^{p_1} \rangle.$$

In a similar way, one can establish the isomorphism between $\langle x, y | f_1 = 0 \rangle$ and $\langle x, y | f_i = 0 \rangle$ for every $i = 2, \ldots, k$.

Applying our Theorem 1.1 shows that none of the polynomials $f_i$ can be taken to $f_j$, $j \neq i$, by an automorphism of $\mathbb{C}[x, y]$ (the restriction $p_0 > p_1 p_2 \cdots p_k$ ensures that the conditions of Theorem 1.1 are satisfied).

Finally, the fact that the curve $\{f_1 = 0\}$ (and hence any curve $\{f_i = 0\}$) is irreducible, is obvious since $f_1$ is of the form $u(x) + v(y)$ for non-constant polynomials $u, v$ of relatively prime degrees. □

Acknowledgement

We are grateful to V. Lin for insightful discussions.

References


